

# A Novel Handwritten Digits Recognition Method based on Subclass Low Variances Guided Support Vector Machine

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**Abstract:** Handwritten Digits Recognition (HWDR) is one of the very popular application in computer vision and it has always been a challenging task in pattern recognition. But it is very hard practical problem and many problems are still unresolved. To develop a high performance automatic HWDR, several learning algorithms have been proposed, studied and modified. Much of the effort involved in Handwritten digits classification with Support Vector Machine (SVM). More specifically, in the current study we are focusing on one-class SVM (OSVM) approaches which are of huge interest for our problem. Covariance Guided OSVM (COSVM) algorithm improves up on the OSVM method, by emphasizing the low variance directions. However, COSVM does not handle multi-modal target class data. Thus, we design a new subclass algorithm based on COSVM, which takes advantage of the target class clusters variance information. To investigate the effectiveness of the novel Subclass COSVM (SCOSVM), we compared our proposed approach with other methods based on other contemporary one-class classifiers, on well-known standard MNIST benchmark datasets and Optical Recognition of Handwritten Digits datasets. The experimental results verify the significant superiority of our method.

## 1 INTRODUCTION

Nowadays Digit Recognition is widely used in many applications: Banking to recognize amounts written on checks (Mahmoud and Al-Khatib, 2011), postal services for zip codes on envelopes (Niu and Suen, 2012), Optical Character Recognition (OCR) to read text from scanned document and translating the images into a form that computer can manipulate, etc. Digit Recognition can be divided into two categories: Printed Digits Recognition and Handwritten Digits Recognition. Printed Digits have regular shapes and differences between images of the same number are just in the angle of view, size, color, etc. Automatic Handwritten Digits Recognition (HWDR) is the process of interpreting handwritten digits by machines (Tuba et al., 2016). The HWDR is a complicated undertaking compared with recognition of printed digits, since handwriting depends much on the writer personal behavior, where there are several number models based on angles, length of the segments, stress on some parts of numbers, etc. Thus, the same digit can be written in many different ways, hence more

effort is required to find similarity between instances of the same digit. In fact, it is difficult operation for the machines, especially, when there are some ambiguities on different classes (e.g. '1' and '7') (Ebrahimzadeh and Jampour, 2014). In the past few years, many classification and regression techniques have been proposed to improve HWDR, including linear and nonlinear Regression models, Nearest Neighbor classifiers, Decision Tree (Zhang et al., 2014), Bayesian classifiers and Support Vector Machines (SVM). Today, one of the most successful and popular classifiers is SVM, which constructs a hyper-plane in high order space, in order to perform classification efficiently (Cortes and Vapnik, 1995). Many applications use SVM for solving classification problems, especially, those of HWDR. In (Gorgevik and Cakmakov, 2004) SVM and neural network were combined for classification of handwritten digits. Recently, in (Malon et al., 2008), SVM was used to improve classification accuracy for the OCR of mathematical documents. More recently, it was used for classification of brain metastasis and radiation necrosis (Larroza et al., 2015). However, in real workflows, if

the classification is based on the content of the handwritten digits, it may happen that the classes are ill-defined, neither well known and only few examples of each class could be available. Second, even if most of the time the categories of handwritten digits could be well identified, it could happen that the categorization is not so simple. The one-class classification problem is different from the multi-class classification problem in the sense that in one-class classification it is assumed that only information of one of the classes, the target class, is available (Tax and Duin, 2001). SVM can be used as one-class classifier which is of huge interest for our problem. One-Class Support Vector Machine (OSVM) separates the target from outliers, but does not put any special emphasis on the target class low variance direction, which are very crucial for one-class classification. Thus, Covariance Guided OSVM (COSVM) classification method was proposed by (Khan et al., 2014) to emphasize the low variance projectional directions of the training data without compromising any important characteristics. COSVM improves up on the OSVM method by controlling the direction of the separating hyperplane through incorporation of the estimated covariance matrix from the training data. However, the COSVM method does not handle multi-modal target class data.

In this paper, we propose a HWDR method which is based on novel Subclass COSVM (SCOSVM). This latter takes advantage of the target class clusters variance information and improves upon the classical COSVM method, by dividing the target class into groups, where similar observations are assigned to the same group or cluster. Then, we select the cluster low variance direction which provides the most discriminating projectional directions, leading to the best classification accuracy. The SCOSVM is still based on convex optimization problem, which could be solved efficiently using classical numerical methods.

The rest of the paper is organized as follows: In the next section, we will describe in details the proposed HWDR method based on the novel SCOSVM. Section 3 and section 4 present, respectively, the experimental setting and comparative evaluation of our method to other methods based on relevant one-class classifiers, on several common HWDR data sets. Finally, section 5 contains some concluding remarks.

## 2 PROPOSED METHOD

In this section, we describe in details our subclass HWDR method. It consists of two main phases: Pre-processing, feature extraction and selection. Then, fe-

ature classification using a novel Subclass COSVM (SCOSVM).

### 2.1 Handwritten Digits Preprocessing and Feature Extraction

In general, HWDR consists of three phases: Pre-processing, feature extraction (and selection) and classification. The pre-processing technique or dimensionality reduction (DR) allows an efficient data representation and makes them easier to handle. In the preprocessing (filtering, segmentation, normalization, thinning, etc.), we have some basic image processing to separate numbers from real samples or preparing data from dataset. Some of the common preprocessing steps are centering, morphological operations and more (Tuba et al., 2016).

Feature extraction is very important step that also aims at reducing the dimension of the data, while extracting relevant information. In HWDR, features are created from knowledge of the data. A good set of features should represent characteristics that are particular for one class and be as invariant as possible to changes within this class (Lauer et al., 2007).

Feature selection is important when we want to fit a classifier using finite sample sizes. Using too many features will introduce too much noise, and classifiers can easily overfit. To avoid this, the data is pre-processed to remove as many noisy or redundant features as possible. The implementation of the feature selection is used after feature extraction to construct vector space. The main goal of feature selection is to keep words with highest scores according to a set of predefined measures (Zi-qiang et al., 2006). A good feature selection metric should consider problem domain and algorithm characteristics. Since many classifiers cannot process efficiently the raw images or data, many feature evaluation metrics have been explored, notable among which are: Horizontal and vertical projection with dynamic thresholding (Jagannathan et al., 2014), projection histograms are usually used for printed digit recognition and combined with other feature sets, invariant moments like geometric moments, fourier coefficients, prole correlations, karhunen-love coefficients, pixel averages, Zernike moments and morphological are the common choices for features. Each Handwritten digit image is represented with same set of features and a novel subclass COSVM classifier aims to detect whether an input is part of the data the classifier was trained on, or it is unknown.

## 2.2 Subclass Low Variances Guided Classification

In this section, we will present in details the OSVM and COSVM since they are the basis of our proposed method and then introduce the novel SCOSVM.

### 2.2.1 One-Class SVM (OSVM)

One-Class SVM has been proposed by Scholkopf (Schölkopf et al., 2001). Its main principle consists of mapping the feature  $X$  via a kernel  $\Phi$  method to a higher dimensional feature space, where an hyperplane is estimated to separate the training data from the origin with maximum margin. This hyperplane can be modeled by the following optimization problem:

$$\begin{aligned} \min_{\mathbf{w} \neq 0, \rho} \quad & \frac{1}{2} \mathbf{w}^T \mathbf{w} - \rho + \frac{1}{vN} \sum_{i=1}^N \xi_i, \\ \text{s.t.} \quad & \mathbf{w}^T \Phi(x_i) \geq \rho - \xi_i, \xi_i \geq 0 \quad \forall i = 1, \dots, N. \end{aligned} \quad (1)$$

Where the weight vector  $\mathbf{w} = (w_1, \dots, w_N)$  and the offset  $\rho$  are the parameters to estimate,  $\xi_i$  are the slack variables to the optimization problem and  $v \in (0, 1]$  is the key parameter that controls the fraction of outliers and that of support vectors (SVs). To solve the OSVM optimization problem (1), we use Lagrange multipliers (Schölkopf et al., 2001) to find the dual problem. By introducing the Lagrange variables, problem (1) becomes the following:

$$\begin{aligned} \min_{\alpha} \quad & \alpha^T \mathbf{Q} \alpha \\ \text{s.t.} \quad & 0 \leq \alpha_i \leq \frac{1}{vN}, \sum_{i=1}^N \alpha_i = 1. \end{aligned} \quad (2)$$

For clarity, we have used the vectorized form of  $\alpha = (\alpha_1, \dots, \alpha_N)$ .  $\mathbf{Q}$  is the kernel matrix for the training data:  $\mathbf{Q}(i, j) = \mathcal{K}(x_i, x_j), i = 1, \dots, N; j = 1, \dots, N$ . Now,  $\mathbf{w}$  can be recovered using the following equation:  $\mathbf{w} = \sum_{i=1}^N \alpha_i \Phi(x_i)$ .

However, it has been shown in (Moya et al., 1993) that low variance direction of the target class are crucial for one-class classification. Thus, to keep the robustness of the OSVM classifier intact while emphasizing the small variance directions, (Khan et al., 2014) have proposed the COSVM by incorporating the kernel covariance matrix into the objective function of the OSVM optimization problem.

### 2.2.2 Covariance Guided One-Class Support Vector Machine (COSVM)

The convex optimization problem of COSVM method can be described as follows:

$$\begin{aligned} \min_{\alpha} \quad & \alpha^T (\eta \mathbf{Q} + (1 - \eta) \Delta) \alpha \\ \text{s.t.} \quad & 0 \leq \alpha_i \leq \frac{1}{vN}, \sum_{i=1}^N \alpha_i = 1, \end{aligned} \quad (3)$$

where  $\Delta = \mathbf{Q}(I - 1_N)\mathbf{Q}^T$ .  $I$  is the identity matrix,  $1_N$  is a matrix with all entries  $\frac{1}{N}$  and  $\eta$  is the tradeoff parameter that controls the balance between the kernel matrix  $\mathbf{Q}$  and the dual kernel covariance matrix  $\Delta$ . However, the COSVM method does not handle multi-modal target class data. More precisely, it does not take advantage of the target class clusters variance information. Thus, we propose a novel 'Subclass COSVM' (SCOSVM) method that aims to improve the unimodal COSVM.

### 2.2.3 Subclass COSVM

The proposed SCOSVM is organized in twofold. First, we divide data into groups using cluster validation, where similar observations are assigned to the same cluster. Second, we plug the kernel covariance matrix for each cluster into the optimization problem of OSVM, derive the dual problem, minimize the resulted problem for each target class cluster and finally select the cluster low variance direction which provides the most discriminating projectional directions, leading to the best classification accuracy. Let  $X = \{x_i\}_{i=1}^N$  represents the training data set of  $N$  samples. Once the target class is divided into  $K$  clusters  $\{C_s\}_{s=1}^K$ , where  $|C_s| = N_s$ , we incorporate the kernel covariance matrix  $\Sigma_{\Phi}^s$  of each subclass or cluster  $C_s, \forall s \in \{1, 2, \dots, K\}$  into the OSVM optimisation problem (1). In fact, the kernel covariance matrix  $\Sigma_{\Phi}^s$  of the training cluster  $C_s$  contains all projectional directions, from high variance to low variance. We can assume that if we plug the cluster kernel covariance matrix into the optimization problem of OSVM, during the optimization algorithm, the influence of low variance directions will be fine-tuned. Hence, as the optimization problem is finally solved, the weight vector  $\mathbf{w}^s$  will be adjusted in a way that low variance directions are emphasized more. The kernel covariance matrix is defined as follows:

$$\Sigma_{\Phi}^s = \sum_{i=1}^{N_s} (\Phi(x_i) - m_{\Phi}^s)(\Phi(x_i) - m_{\Phi}^s)^T. \quad (4)$$

Where  $m_{\Phi}^s$  is the mean of the cluster  $C_s$  calculated in feature space:

$$m_{\Phi}^s = \frac{1}{N_s} \sum_{i=1}^{N_s} \Phi(x_i). \quad (5)$$

Moreover, despite that Equation (4) provides a form of the covariance matrix in kernel space, this form is not directly computable. Therefore, we have to use the kernel trick to represent the additional term  $\mathbf{w}^{sT} \Sigma_{\Phi}^s \mathbf{w}^s$  in terms of dot products only. From the theory of reproducing kernels (Saitoh, 1998), we know that any solution  $\mathbf{w}^s$  must lie in the span of all training samples. Hence, we can find an expansion of  $\mathbf{w}^s$  of the form:  $\mathbf{w}^s = \sum_{i=1}^N \alpha_i^s \Phi(x_i)$ . By using the definitions of  $\Sigma_{\Phi}^s$  (Equation (4)),  $m_{\Phi}^s$  (Equation (5)) and the kernel function  $\mathcal{K}(x_i, x_j) = \langle \Phi(x_i), \Phi(x_j) \rangle, \forall i, j \in \{1, 2, \dots, N\}$ , we can derive the dot product form as follows:  $\mathbf{w}^{sT} \Sigma_{\Phi}^s \mathbf{w}^s = \alpha^{sT} \Delta_s \alpha^s$ .  $\Delta_s$  is the dual version of  $\Sigma_{\Phi}^s$ :

$$\Delta_s = \mathbf{Q}^{sT} (I - 1_{N_s}) \mathbf{Q}^s. \quad (6)$$

$\mathbf{Q}^s$  is the kernel matrix of the cluster  $C_s$ , defined by  $\mathbf{Q}^s(i, j) = \mathcal{K}(x_i, x_j), i = 1, \dots, N_s; j = 1, \dots, N_s$ . Thus, our method consists of solving the following optimization problem:

$$\begin{aligned} \min_{\alpha^s} & \alpha^{sT} (\eta \mathbf{Q} + (1 - \eta) \Delta_s) \alpha^s \\ \text{s.t.} & 0 \leq \alpha_i^s \leq \frac{1}{\nu N}, \sum_{i=1}^N \alpha_i^s = 1. \end{aligned} \quad (7)$$

Where  $\eta$  is the balance control parameter between the whole target class kernel matrix  $\mathbf{Q}$  and dual kernel covariance matrix  $\Delta_s$  and  $\alpha^s = \{\alpha_i^s\}_{i=1}^N, s = 1, \dots, K$  are the weights to be computed for the cluster  $C_s, s = 1, \dots, K$ . Here,  $\eta$  can take value from 0 to 1 and it is estimated by applying the unimodal COSVM on whole target class. We are aware that this estimation could be suboptimal, especially, when the target class clusters have different low variance directions, but we claim that they have very negligible effect on classification accuracy, since a further fine-tuning low variance selection is performed using the dual kernel covariance matrix term. Moreover, our assumption avoids the high complexity of computing multiple  $\eta$  values for different clusters. The proposed method still results in a convex optimization problem since both the kernel matrix  $\mathbf{Q}$  and the dual covariance matrix  $\Delta_s$  are positive definite (Michelli, 1986). Finally, we solve the optimization problem for each target class cluster  $C_s, s = 1, \dots, K$ , and then the weights  $\alpha^{s*} = \{\alpha_i^{s*}\}_{i=1}^N$  that lead to the most discriminating projectional directions are selected for best classification accuracy. The optimization problem

of SCOSVM (7) is solved using the Lagrange multipliers and the SVM-KM toolbox (Rakotomamonjy et al., 2007).

## 2.2.4 Schematic Depictions

In this section, we present schematic depictions to show the advantage of our SCOSVM method over the unimodal COSVM.

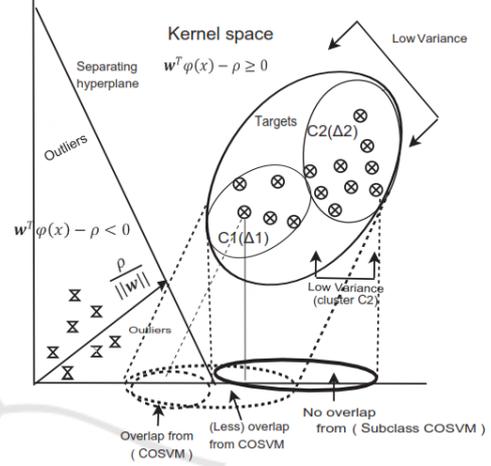


Figure 1: General Case: The value of the tradeoff parameter  $\eta$  is set equal to 0. The COSVM linear projection in target class low variance direction (depicted by dotted arrows), results in overlap between the target class examples and hypothetical outlier data (circled by dotted boundary), while an optimally tuned SCOSVM projection in  $C_2$  low variance direction (depicted by solid arrows), does not result in any overlap (circled by solid boundaries).

According to Figure 1, the value of the tradeoff parameter  $\eta$  is set equal to 0. Thus, the projections for COSVM and SCOSVM are based only on optimizing the dual kernel covariance matrix terms. The projection of the target class in low variance direction, results in higher overlap between the target class and the outliers data points (circled with dotted boundary). However, on the other hand, projecting the target class in the subclass  $C_2$  low variance direction, does not result in any overlap. This shows clearly that our subclass method performs better than the unimodal COSVM method.

## 2.3 HWDR Method Algorithm

The following algorithm describes our proposed subclass HWDR method:

**Algorithm 1:** HWDR method algorithm.

1. Let  $X = \{x_i\}_{i=1}^N$  represent the training data set of  $N$  samples, which are the features vectors associated to database Handwritten Digits. Divide  $X$  into  $K$  clusters  $\{C_s\}_{s=1}^K$ , where  $|C_s| = N_s, \forall s \in \{1, 2, \dots, K\}$ .
2. Estimate the target class kernel matrix  $\mathbf{Q}$  and kernel matrix  $\mathbf{Q}^s$  for each cluster  $C_s, \forall s \in \{1, 2, \dots, K\}$ .
3. Estimate the dual covariance matrix  $\Delta_s$  of each cluster  $C_s$  using (6).
4. Apply COSVM method for all data and find the parameter  $\eta$ .
5. Solve the optimisation problem (7) for each cluster  $C_s, s = 1, \dots, K$ .
6. Select the weights  $\alpha^{s*} = \{\alpha_i^{s*}\}_{i=1}^N$  and the cluster low variance direction which allow the best classification accuracy.
7. HWDR phase.

### 3 EXPERIMENTAL SETTING

In this section, we will describe the Handwritten Digits datasets used and provide the experimental protocol.

#### 3.1 Datasets Used

We have employed publicly available datasets, which have been widely adopted in relevant research works based on Handwritten Digits Classification, namely, “The Optical Recognition of Handwritten Digits” (Bache and Lichman, 2013) and “The MNIST Database of Handwritten Digits” (Deng, 2012).

##### 3.1.1 Optical Recognition of Handwritten Digits

This database is hosted in the well-known UCI Machine Learning Repository (Bache and Lichman, 2013), and consists of features of handwritten numerals ('0'...'9'). 200 patterns per class are represented in terms of the following six feature sets: Fourier coefficients of the character shapes (mfeat\_fou), Profile correlations (mfeat\_fac), Karhunen-Love coefficients (mfeat\_kar), Pixel averages (mfeat\_pix), Zernike moments (mfeat\_zer) and Morphological features (mfeat\_mor). A detailed description of the data sets used can be found in Table (1): Each file is composed of 2000 samples, where 1400 samples are for

training (target class) and the remaining 600 samples are for testing.

##### 3.1.2 The MNIST Database of Handwritten Digits

The Mixed National Institute of Standards and Technology (MNIST) database of handwritten digits has a training set of 60,000 examples, and a test set of 10,000 examples. It is a subset of a larger set available from NIST. The digits have been size-normalized and centered in a fixed-size image. In order to be classified, the modified image file had to be converted from a 2 dimensional 28 by 28 px array into a 784 column wide row vector. The dataset contains  $X$  and  $Y$ , the matrices of examples and labels respectively. Each row of  $X$  is a vectorized 28x28 grayscale image of a handwritten digit from the MNIST dataset. We tested our algorithm on limited set of digits. Figure 2 shows HWD images from MNIST database. We created different datasets by randomly split the dataset into a training and a test set; different number of training and testing points are described in Table (2).

### 3.2 Experimental Protocol

We choose the clustering method and the validity index proposed in (Bouguessa et al., 2006), as it performs well when clusters overlap or there is significant variation in their covariance structure. First, for all data sets used, we set the number of clusters  $C_{min} = 2$  and  $C_{max} = 10$  with the assumption that each data sets target has a minimum of 2 clusters (subclass) to a maximum of 10 clusters. Second, we used 10-fold stratied cross validation. In fact, we added 10% randomly selected data to the outliers for testing, and the remaining was used as the training data. To build different training and testing sets, this approach was repeated 10 times. The final result was achieved by averaging over these 10 models. This ensures that the achieved results were not a coincidence.

In one-class classifiers and novelty detection, the Receiver Operating Characteristic (ROC) curves is a useful assessment tool for organizing classifiers and visualizing their performance (Cabral and de Oliveira, 2011). The ROC curve is created by plotting the True Positive Rate (TPR) vs the False Positive Rate (FPR). Informally, one point in ROC space is better than another if it is to the northwest (TPR) is higher, (FPR) is lower. ROC curve depends on rates of correct and incorrect target detection (TPR and FPR) (Hanley and McNeil, 1983). It does not depend on the number of training data points or outlier data points. Besides, The Area Under the ROC Curve (AUC) (Fawcett, 2004) is thus a good measure of the classifica-

Table 1: Description of the Optical Recognition of Handwritten Digits Data Sets.

| Data set Name | Number of Features | Number of clusters |
|---------------|--------------------|--------------------|
| mfea_fou      | 216                | 5                  |
| mfeat_fac     | 76                 | 4                  |
| mfeat_kar     | 64                 | 5                  |
| mfeat_pix     | 240                | 2                  |
| mfeat_zer     | 53                 | 3                  |
| mfeat_mor     | 6                  | 1                  |



Figure 2: Example: Handwritten Digits Images from MNIST Database.

Table 2: Description of MNIST Database of Handwritten Digits.

| Data set Name | Number of Training | Number of Testing | Number of clusters |
|---------------|--------------------|-------------------|--------------------|
| Set A         | 1000               | 895               | 4                  |
| Set B         | 900                | 995               | 4                  |
| Set C         | 895                | 1000              | 3                  |
| Set D         | 500                | 1000              | 3                  |
| Set E         | 100                | 1000              | 2                  |
| Set F         | 70                 | 1000              | 2                  |
| Set G         | 200                | 1500              | 2                  |
| Set H         | 150                | 1700              | 2                  |
| Set I         | 150                | 800               | 2                  |
| Set J         | 595                | 1300              | 3                  |
| Set K         | 195                | 1700              | 2                  |

tion performance. Consequently, the AUC criterion must be maximized in order to obtain a good separation between targets and outliers. We performed paired t-tests on the AUC values (Press et al., 2007) by pairing up the SCOSVM method with each method at a time. The paired t-test determines whether or not two sets of measured values are significantly different.

#### 4 EXPERIMENTAL RESULTS AND ANALYSIS

In this section, we perform a comparative evaluation of SCOSVM to OSVM, Mahalanobis OSVM (MOSVM) (Tsang et al., 2006) and unimodal COSVM (SCOSVM). The  $\eta$  for OSVM, COSVM and SCOSVM was set to 0.2. The radial basis kernel with width  $\sigma$  was used for kernelization in OSVM, COSVM and SCOSVM. For a practical application,

Table 3: Average AUC of each method for the 11 Data Sets of MNIST Database of Handwritten Digits (best method in **bold**, second best *emphasized*). The last row contains the paired t-test confidence intervals.

| Data set Name | OSVM  | MOSVM | COSVM | SCOSVM       |
|---------------|-------|-------|-------|--------------|
| Set A         | 49.60 | 49.19 | 49.60 | <b>50.10</b> |
| Set B         | 48.93 | 46.80 | 50.05 | <b>51.40</b> |
| Set C         | 49.01 | 50.24 | 50.50 | <b>52.50</b> |
| Set D         | 51.58 | 52.53 | 53.71 | <b>54.30</b> |
| Set E         | 55.63 | 52.36 | 56.02 | <b>57.32</b> |
| Set F         | 54.56 | 51.82 | 54.58 | <b>56.17</b> |
| Set G         | 52.38 | 51.96 | 52.70 | <b>54.19</b> |
| Set H         | 47.06 | 47.25 | 47.52 | <b>50.19</b> |
| Set I         | 49.25 | 48.07 | 49.62 | <b>50.05</b> |
| Set J         | 51.04 | 51.05 | 51.74 | <b>52.12</b> |
| Set K         | 50.34 | 55.43 | 56.49 | <b>57.07</b> |
| Confidence    | 94.90 | 96.63 | 99.96 | -            |

Table 4: Average AUC of each method for the 6 Data Sets of Optical Recognition of Handwritten Digits (best method in **bold**, second best *emphasized*). The last row contains the paired t-test confidence intervals.

| Data set Name | OSVM  | MOSVM | COSVM        | SCOSVM       |
|---------------|-------|-------|--------------|--------------|
| mfea_fou      | 50    | 50.27 | 50.60        | <b>50.85</b> |
| mfeat_fac     | 50.62 | 49.88 | 50.62        | <b>50.86</b> |
| mfeat_kar     | 50    | 50.36 | 50.32        | <b>50.65</b> |
| mfeat_pix     | 50.18 | 49.62 | 50.29        | <b>50.54</b> |
| mfeat_zer     | 50    | 45.55 | 50.76        | <b>51.75</b> |
| mfeat_mor     | 50.69 | 49.75 | <b>50.73</b> | <b>50.73</b> |
| Confidence    | 98.82 | 94.14 | 88.97        | -            |

Table 5: Average training times (per model) in *seconds* for COSVM and SCOSVM for the experiments on the Handwritten Digits Data Sets.

| Experiment                                | COSVM | SCOSVM |
|---|-------|--------|
| Optical Recognition of Handwritten Digits | 0.47  | 1.73   |
| MNIST Database of Handwritten Digits      | 0.50  | 0.89   |

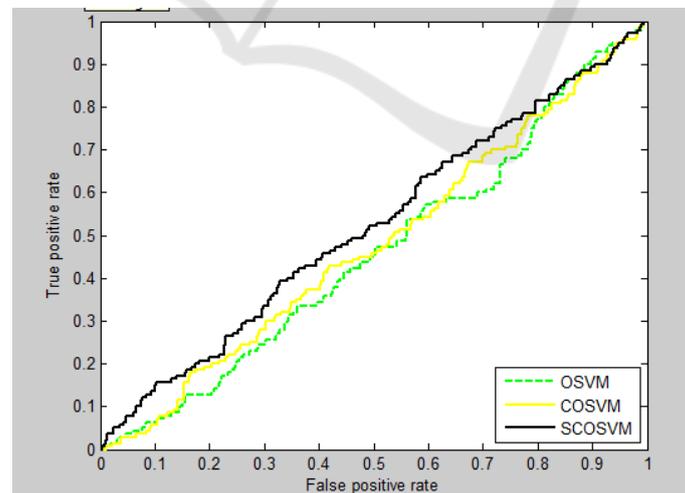


Figure 3: ROC curves for the three classifiers (OSVM, COSVM, SCOSVM) for one model from the data set *mfeat\_zer*.

these parameters can be adjusted and the system can be re-trained time-to-time if necessary. Table (4) and Table (3) contain the average AUC (Area Under the Curve) values obtained for the classifiers on the “Op-

tical Recognition of Handwritten Digits” and “The MNIST Database of Handwritten Digits” datasets, respectively. As we can see, the SCOSVM is superior to all the other classifiers and provides best results on all

most data sets, in terms of the obtained unbiased AUC values by averaging over 10 different models. This strengthens our claim that by emphasizing each subclass low variance directions will allow the best separation between the target class and outliers, which results in best performance. Also, according to Table (4) and Table (3), the AUC values average is around 50%, this is expected as both used datasets (“MNIST Database of Handwritten Digits” and “Optical Recognition of Handwritten Digits”) are highly overlapped. The last rows of Table (4) and Table (3) provides the confidence intervals (in %) obtained from the performed t-tests. This confidence interval quantifies the probability of the paired distributions being the same. The higher the confidence interval, the lower is the probability that the underlying distributions are statistically indifferent. As we can see, all the confidence intervals are high, which shows that SCOSVM indeed provides statistically significant accuracy improvements.

In terms of training computational complexity, the COSVM algorithm uses sequential minimal optimization to solve the quadratic programming problem, and therefore scales with is  $O(N^3)$ . According to the Equation (7) the SCOSVM scales with same complexity. However, we expect that SCOSVM has higher training time, especially, as target class has several clusters. Table (5) shows the average training times per model for the data sets. As we expect, the running time of the SCOSVM method is reasonably higher than the unimodal COSVM classifier. We also present some individual graphical results for the data set models by plotting the actual Receiver Operating Characteristics (ROC) for the data set (mfeat\_zero). Figure 3 shows the ROC curves for three classifiers (OSVM, COSVM, SCOSVM) for one out of the 10 models for this data set. We can clearly see from Figure 3 that SCOSVM indeed leads to a best ROC curve in terms of performance (Nallammal and Radha, 2010).

## 5 CONCLUSION

In this paper, we investigate the effectiveness of a novel SCOSVM classification approach (SCOSVM) in Handwritten Digits Recognition. Comparatively to the unimodal COSVM, the SCOSVM is able to handle multi-modal target class, and takes advantage of the target class clusters low variance directions, to improve classification performance. The evaluation and comparison are carried out on the relevant Handwritten Digits datasets, namely, “The Optical Recognition of Handwritten Digits” and “The MNIST Database of Handwritten Digits”, where we compared

our method against contemporary one-class classifiers. Results have shown the superiority of the method. Future work will consist in validating the proposed novel SCOSVM on strong applications, such as, face recognition, anomaly detection, etc.

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