

# Learning Rigid Image Registration

## *Utilizing Convolutional Neural Networks for Medical Image Registration*

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**Keywords:** Rigid Registration, Deep Learning, Mono-modality, Multi-modality, Magnetic Resonance Imaging, Inverse Consistency.

**Abstract:** Many traditional computer vision tasks, such as segmentation, have seen large step-changes in accuracy and/or speed with the application of Convolutional Neural Networks (CNNs). Image registration, the alignment of two or more images to a common space, is a fundamental step in many medical imaging workflows. In this paper we investigate whether these techniques can also bring tangible benefits to the registration task. We describe and evaluate the use of convolutional neural networks (CNNs) for both mono- and multi- modality registration and compare their performance to more traditional schemes, namely multi-scale, iterative registration.

This paper also investigates incorporating inverse consistency of the learned spatial transformations to impose additional constraints on the network during training and investigate any benefit in accuracy during detection. The approaches are validated with a series of artificial mono-modal registration tasks utilizing T1-weighted MR brain images from the Open Access Series of Imaging Studies (OASIS) study and IXI brain development dataset and a series of real multi-modality registration tasks using T1-weighted and T2-weighted MR brain images from the 2015 Ischemia Stroke Lesion segmentation (ISLES) challenge.

The results demonstrate that CNNs give excellent performance for both mono- and multi- modality head and neck registration compared to the baseline method with significantly fewer outliers and lower mean errors.

## 1 INTRODUCTION

Medical image registration is concerned with the automatic alignment of multiple datasets to a common space. It is an essential component in a diverse array of applications, including diagnosis, treatment planning, atlas construction and augmented reality.

This paper focusses on directly learning the transformation parameters in a single pass, given two images as opposed to learning a similarity metric (Simonovsky et al., 2016; Lee et al., 2009). By directly learning the transformation parameters in a single pass, we avoid the common pitfalls of traditional iterative approaches of non-convex optimisation and poor convergence due to sharply peaked optima.

We also investigate whether imposing inverse consistency constraints (Song and Tustison, 2010) upon transformations from a reference to template and template to reference can benefit the proposed learned registration. Inverse consistency has proved valuable in classic registration algorithms before, most

notably with Song *et al* (Song and Tustison, 2010) EMPIRE10 winning solution.

### 1.1 Previous Work

Much work has been done in utilising deep learning for medical image registration. A particular focus has been on patch-based schemes, where the registration is cast as a classification problem to learn whether two patches are aligned (positive) or misaligned (negative). These classifications are used to construct cost fields across the images from which the patches have been extracted. These cost spaces are then used to construct dense displacement fields (Simonovsky et al., 2016; Lee et al., 2009; Jiang et al., 2008). Other patch-based schemes include computing compact representations using stacked autoencoders and using correlating features to compute a displacement field (Wu et al., 2016).

There has been specific work focussed on rigid registration, including 2D/3D registration of binary

masks of wrist implants to wrist images collected by X-ray by Miao *et al* (Miao *et al.*, 2016) where they partition the transformation space and train regressors for each partitioned zone. Becker *et al* (Gutierrez-Becker *et al.*, 2017) use regression forests to iteratively compute the transformation parameter for both mono- and multi-modal experiments.

Simonovsky *et al* (Simonovsky *et al.*, 2016) uses a CNN to classify whether two given patches are similar with binary predictions, sum the predictions for each voxel belonging to a given overlapping patch then use the first-order gradient of the constructed cost field to compute transformation updates.

Lee *et al* (Lee *et al.*, 2009) use a *max-margin structured output learning* algorithm to learn a binary predictor of similarity for two given multi-modal patches, whose responses are used within a classical registration framework.

Gutierrez-Becker *et al* (Gutierrez-Becker *et al.*, 2017) train a regression forest to predict the displacements between two given patches. The regression forests are given randomly sampled long-range context Haar wavelet features computed around a point  $\mathbf{x}$  from the reference and template images. To train the forest, a series of decision trees are trained upon the described features to predict the local translation to align the two points the long-range Haar wavelet features have been constructed. After training, the forest is culled to a small subset of trees with the tree selection criterion being that the trees which predict the best estimate of the displacement with lowest covariance at the leaf node. Finally, at test time the predicted displacement for a given point by the forest is the average over the predictions made by the reduced forest.

The closest previous work to the presented work is by Miao *et al* (Miao *et al.*, 2016) where they train multiple hierarchical CNN regressors for a partitioned affine transformation space to align a binary wrist implant image with X-ray wrist image, given the residual/difference image between the implant and X-ray images.

## 1.2 Motivation

The motivation of this work is to investigate the ability of convolutional neural networks to accurately regress rigid transformation parameters with a range of architectures and classic registration constraints. We explore the viability of these methods for both mono- and multi-modality registration experiments with a series of synthetic and real registration tasks.

We initially present a series of synthetic mono-modality experiments where the reference and tem-

plate images are identical up to a noise term and a synthetic rigid distortion applied to the template. The neural networks, given the reference and template image regress the transformation parameters to bring the reference and template back into alignment.

We also present a series of real-world multi-modality experiments to align MR T1- and T2-weighted images using a variety of neural networks which incorporate user-knowledge of the task.

Throughout the series of learned registration experiments, the neural network predicts the transformation parameters in a single pass. This allows fast, real-time registration while avoiding the traditional pitfalls of iterative optimisation schemes, namely non-convex and sharply peaked optimisation surfaces which are anathema to gradient based schemes.

We compare the registration results to results obtained by using multi-scale, iterative registration using Mattes mutual information (Mattes *et al.*, 2001; Smriti *et al.*, 2005). We use the Python bindings to the well-known Insight Segmentation and Registration Toolkit *SimpleITK*<sup>1</sup>.

## 1.3 Data

The Open Access Series of Imaging Studies (OASIS) (Marcus *et al.*, 2007) was a project aimed at making MRI datasets freely available to the scientific community, and has seen use in a number of registration papers over the past years. We use the OASIS cross-sectional dataset which consists of 416 subjects ranging in age from 18 to 96. Each subject has been scanned multiple times within a single session, with 100 of the subjects being clinically diagnosed with very mild to moderate Alzheimer's disease. We use a single scan from each subject to avoid training and testing on the same subjects. Each of the volumes has dimensions of  $256 \times 256 \times 128$  and voxel resolution of  $1\text{mm} \times 1\text{mm} \times 1.25\text{mm}$  orientated sagittally.

The Information eXtraction from Images (IXI) (Imperial College London, 2010) dataset consists of 600 T1-, T2- weighted MRI, MRA and Diffusion-weighted scans from healthy and normal subjects which were collected across 3 hospitals in London.

The ISLES 2015 (ISL, 2015) datasets consists of 28 subjects, each of which has a MR-T1, MR-T2 weighted, FLAIR and Diffusion-weighted MR head volume collected. Each subject's volumes have been resampled to isotropic  $1\text{mm}^3$ , skull-stripped and manually co-registered, which affords us a rare opportunity of possessing multiple co-registered multi-modal volumes of the same subject. To this end, we

<sup>1</sup><http://www.simpleitk.org/SimpleITK/resources/software.html>

will attempt to learn the transformation to rigidly register MR-T1  $\rightarrow$  MR-T2 2D images. We will compare the results to those obtained by multi-scale, iterative registration using mutual information.

## 2 METHODOLOGY

In this section are descriptions of the mono- and multi-modality experiments performed to investigate the proposed method and the baseline methods used for comparisons.

### 2.1 Mono-modality Experiments

To construct the training and testing data, we randomly selected a volume from the respective cohort of data and then selected a sagittal  $256 \times 256$  slice randomly from that volume (excluding any slices containing solely air). The intensities were normalised to lie within  $[0, 1]$  for a reference image. Given the reference, a random  $x$ - and  $y$ -translation drawn independently from  $U(-30, 30)$  pixels, and a random rotation from  $U(-15, 15)$  degrees was applied to construct a template image. Finally, Gaussian random noise,  $N(\mu = 0, \sigma^2 = 0.01)$ , was added to the image intensity values of both the reference and template image.

The first monomodal experiment implements two archetypes of convolutional neural network (CNN) to regress the transformation parameters. One model is a typical structure of convolutional layers fed into a series of dense, fully connected layers and the other model is a fully convolutional neural network (FCN) which utilises strided convolutions to learn the transformation. Both models are described in full directly below.

The CNN consists of two inputs, one for the reference image and the other for the template image. Each input has a series of shared  $3 \times 3$  convolutional weights with linear rectifier unit activation. A skip connection (Drozdzal et al., 2016) is present after the first convolution to provide a richer set of features. The filter responses from the reference and template image are concatenated along the channel axis and flattened into a single 1D array. The concatenated, flattened filter responses are then fed into 3 stacked dense layers, where the final layer produces the regressed transformation parameters. A graphic of the described convolutional neural network is displayed in figure 1.

In an attempt to regularise the massive number of weights between the concatenated output of the shared vision towers and the first dense layer, we used

dropout (Srivastava et al., 2014) and set the fraction of neurons in the first dense layer to be dropped out at 0.5.

The fully convolutional neural network (FCN) consists of two inputs, one for the reference image and the other for the template image. Each input has a series of shared  $5 \times 5$  convolutional weights with linear rectifier unit activations. A skip connection is also present to concatenate the activations of the convolutional layers along the channel axis. The concatenated responses from the shared vision towers are then fed into a series of strided convolutions until the output of the final layer has the correct dimensions of 3 scalars ie. the transformation parameters. Each of the strided convolutional layer (except the final layer) has 7 kernels with each kernel possessing dimensions of  $5 \times 5$  with leaky rectifier unit activations and strides of 2 along the  $x$ - and  $y$ -dimensions of the feature maps. The final layer has 3 kernels with each kernel having dimensions of  $3 \times 3$  with a linear activation to allow the model to regress negative values.

A key decision we have made in designing the described convolutional neural networks is not using max pooling (Scherer et al., 2010) anywhere within the model. This is because max pooling has the well-known property of providing *local shift invariance* to input feature maps ie. the output feature maps do not change if the input feature maps are shifted by a small amount. The purpose of our networks is to regress these small shifts as accurately as possible so the inclusion of max pooling would most likely lead to a degradation of results.

Both the described models were trained on 30000 registration instances constructed from the OASIS data, using an Adadelata optimizer (learning rate = 1,  $\rho=0.95$ ) for 30 epochs. We used the *mean squared error* (MSE) function between the true transformation parameters  $T^{true}$  and the predicted transformation parameters  $T^{pred}$  to train the described CNN over a batchsize  $M$ :

$$MSE(T^{true}, T^{pred}) = \frac{1}{M} \sum_{i=1}^M (T_i^{true} - T_i^{pred})^2 \quad (1)$$

We tested on 500 registration instances constructed from subjects from the OASIS dataset not previously used to construct training data. This allows us to test how well the model generalises to unseen subjects collected on the same scanners as the subjects used to construct the training data.

In addition to this, both models were tested on 500 registration instances constructed from the IXI brain development dataset (Imperial College London, 2010) to investigate how well the model generalises

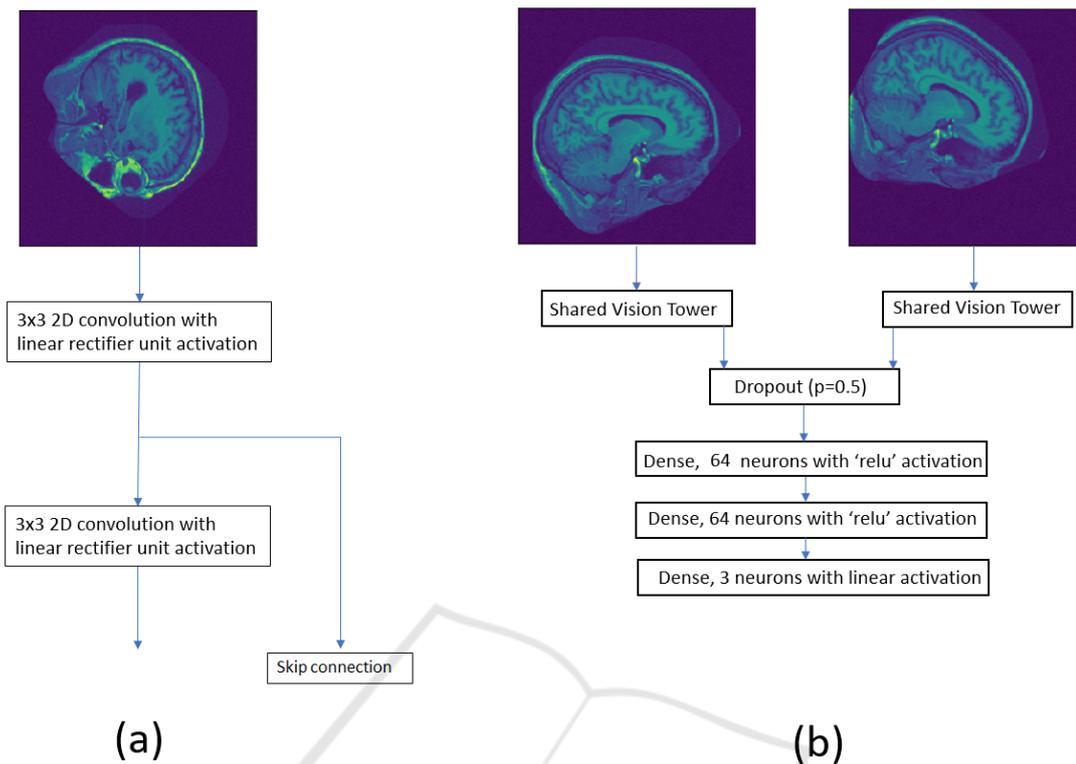


Figure 1: (a) The vision tower used for feature extraction from the input image. (b) The CNN model used for registration, where the final layer is the regressed transformation parameters ie.  $x$ - and  $y$ -translation and the rotation around the centre. The shared vision tower is the model displayed in (a).

to other datasets. Coronal slices from the IXI dataset were used to construct testing data in an identical manner to how the training instances from the training subjects of the OASIS dataset were constructed.

We compare the registration results to results obtained by using multi-scale, iterative registration using Mattes mutual information (Mattes et al., 2001). We use the Python bindings to the well-known Insight Segmentation and Registration Toolkit *SimpleITK*<sup>2</sup>. We used the mutual information between the reference and template image as a similarity metric, and used a scale pyramid of  $\{\frac{1}{4}, \frac{1}{2}, 1\}$ , with a smoothing sigma of  $\{2, 1, 0\}$  at each respective scale. The joint histogram used to compute the mutual information was  $60 \times 60$  bins, and the maximum number of iterations was set to 100.

## 2.2 Multi-modality Experiments

We used the first 22 subjects from ISLES 2015 to generate training data, and the remaining 6 subjects to generate the testing data. We used the same process as

<sup>2</sup><http://www.simpleitk.org/SimpleITK/resources/software.html>

described in subsection 2.1 to construct training and testing instances, but we apply the randomly sampled transformation to the corresponding T2 axial slice of the randomly selected T1 axial slice.

The content of the multi-modal experiments is very similar to that of the mono-modal experiments described in section 2.1, but we are trying to regress transformation parameters to register MR-T2  $\rightarrow$  MR-T1. The transformation parameters being regressed are in the same range as the mono-modal experiments, with  $x$  and  $y$ - translation sampled from  $U(-30, 30)$  pixels and the rotations around the centre of the image sampled from  $U(-15^\circ, 15^\circ)$ . Examples slices of ISLES data can be found in figure 2.

For the first multi-modal experiment, we attempt to use a CNN to regress the transformation parameters. The CNN used for the mono-modal registration experiments, as described in section 2.1, is almost identical in structure to the CNN used in this experiment. As the reference and template images are different modalities with visibly different spatial resolution (see figure 2), it is not necessarily optimal to have shared convolutional weights so the convolutional weights applied to the T1 and T2 image were not shared. This adds 18 additional free weights

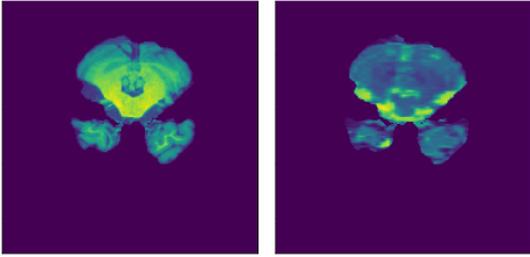


Figure 2: The left image is an example slice of a T1-weighted MR slice from the ISLES dataset and the right image is the corresponding T2-weighted MR slice. Note the different spatial resolutions of the images.

to optimise when compared to the CNN used in the monomodal experiments, which is very small when compared to the total number of weights to optimise in the entire model. We tested the model on 500 test instances generated from the remaining 6 subjects of the ISLES dataset.

The second multi-modal experiment consists of repeating the first CNN multi-modality experiment with 30000 training instances generated from the first 10 subjects of the ISLES data. This was done to test how well the CNN generalises with only a small number of unique subjects to train on. The 500 testing instances were constructed from the remaining 18 subjects.

The third and fourth multi-modality experiments are training two FCNs, one of which possess shared weights within the vision towers (as displayed in figure 3 and the other possessing separate weights within the vision towers applied to the reference and template image. This was to test whether learning separate image features within the vision towers for different modalities was beneficial or detrimental.

The fifth multi-modal experiment consists of using SimpleITK to register the same test instances as the first multi-modality experiment. We used the mutual information between the reference and template image as the similarity metric, and used a scale pyramid of  $\{\frac{1}{4}, \frac{1}{2}, 1\}$ , with a smoothing sigma of  $\{2, 1, 0\}$  at each respective scale. The joint histogram used to compute the mutual information was  $60 \times 60$  bins, and the maximum number of iterations was set to 100.

### 2.3 Introducing Inverse Consistency Errors

Inverse consistency error (ICE) is a classic vision problem which measures the difference between mappings  $T_1$  and  $T_2$  computed by some algorithm that map the space  $X$  to another space  $Y$  and from  $Y$  to  $X$  respectively. If the algorithm correctly computes  $T_1$  and  $T_2$ , then  $T_1 = T_2^{-1}$  (with the assumption of bijective

mappings between the spaces). This constraint has been imposed on a number of problems such as style transfer by (Zhu et al., 2017) and most notably within the registration community by Song *et al* (Song and Tustison, 2010) which lead to the EMPIRE (Murphy et al., 2011) challenge winning solution.

We will perform two experiments to incorporate inverse consistency to our learned registration paradigm. Firstly, we attempt to implicitly use ICE during training time by giving the model the reference as the reference and the template as the template and fit the model to the true transformation parameters. Simultaneously, we pass the model the template as the reference and the reference as the template and fit the model to the inverse transformation parameters. To update the weights within the model, the gradients from both operations are summed and the model optimised accordingly. This acts as a data augmentation technique but additionally becomes a soft constraint for ICE. To test this, we rerun the mono-modality experiments involving the FCN as described in subsection 2.1 but with the described simultaneous training method incorporating inverse transformations. This experiment is denoted as ICE implicit.

The second experiment to incorporate ICE is using a transformation regressor during detection time to regress the transformation  $T_1$  from reference to template and additionally regress the transformation  $T_2$  from template to reference. For the final transformation  $T_{final}$  from reference to template, we use 'half' of  $T_1 = \{\theta_1, t_1^x, t_1^y\}$  and 'half' of  $T_2^{-1} = \{\theta_2, t_2^x, t_2^y\}$  such that the transformation matrix  $\hat{T}_{final}$  of  $T_{final}$  is of the form:

$$\hat{T}_{final} = \begin{pmatrix} \cos(\frac{\theta_1 + \theta_2}{2}) & -\sin(\frac{\theta_1 + \theta_2}{2}) & \frac{t_1^x + t_2^x}{2} \\ \sin(\frac{\theta_1 + \theta_2}{2}) & \cos(\frac{\theta_1 + \theta_2}{2}) & \frac{t_1^y + t_2^y}{2} \\ 0 & 0 & 1 \end{pmatrix} \quad (2)$$

This experiment is denoted as ICE explicit.

## 3 EVALUATION

Displayed in table 1 are the results from the experiments as described in the previous section.

Also displayed are scatter plots of predicted transformation parameter vs. known transformation parameter for a choice set of experiments described in sections 2.1 & 2.2. Figure 4 displays the results of the FCN trained on the first 100 OASIS subjects and then tested on the registration instances constructed from the remaining subjects. Figure 5 displays the results of the same FCN tested on unseen IXI subjects.

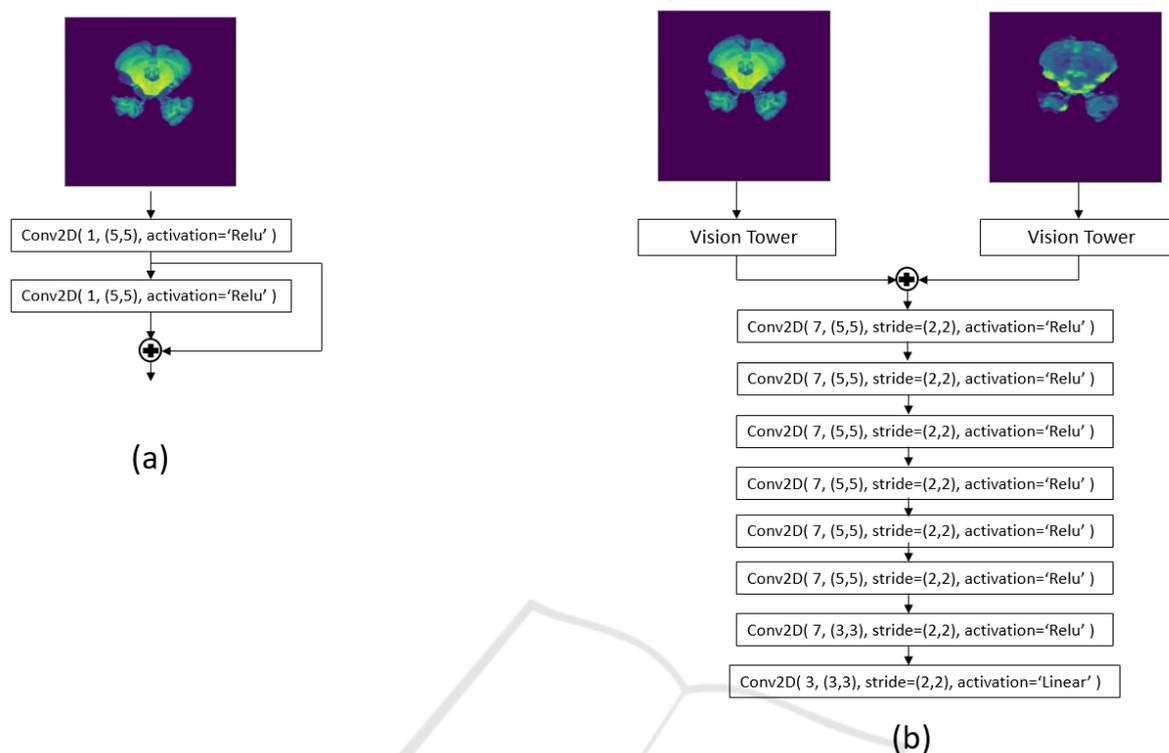


Figure 3: Fully convolutional neural network (FCN) used for the multi-modality experiments. Model (a) is the vision tower used to extract features from the input images. Model (b) is the FCN which regress the transformation between a given reference and template image with Model (a) possessing either shared or separate weights, depending on the experiment. + indicates the two inputs are merged via concatenation along the channel axis of the input tensors and outputted.

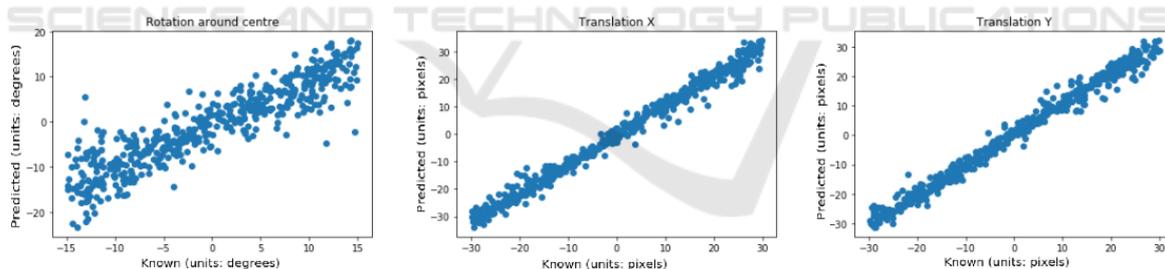


Figure 4: Scatter plot of predicted vs. known transformation parameters for the mono-modality experiment and testing on unseen OASIS data, using the FCN with shared vision towers as the transformation regressor.

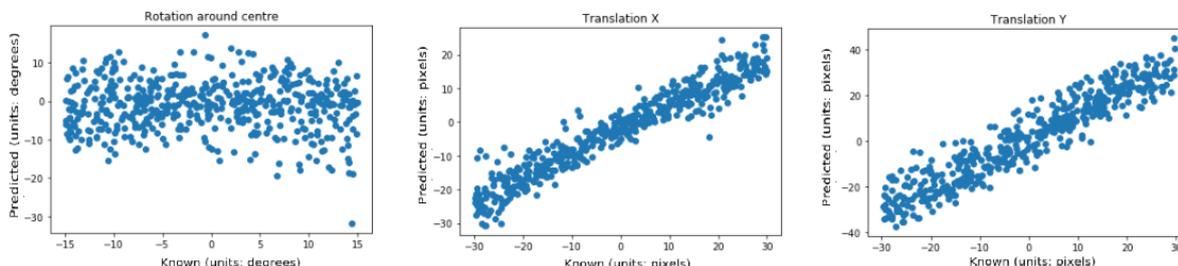


Figure 5: Scatter plot of predicted vs. known transformation parameters for the mono-modality experiment and testing on unseen IXI data, using the FCN with shared vision towers as the transformation regressor.

Table 1: Mono-modal and multi-modal results from experiments described in section 2. Mean absolute error and standard deviation between the measured and known transform parameters for the multi-scale iterative registration and the CNN regression methods. Rotation error is measured in degrees and translation errors are measured in pixels.

### Mono-modality results

OASIS experiments	Rotation	Translation X	Translation Y
CNN	$2.45 \pm 2.78$	$1.66 \pm 2.13$	$1.81 \pm 2.78$
FCN	$1.71 \pm 2.35$	$1.40 \pm 1.74$	$1.44 \pm 1.82$
FCN (ICE implicit)	$2.21 \pm 3.06$	$1.58 \pm 2.09$	$1.70 \pm 2.17$
FCN (ICE explicit)	$2.90 \pm 3.80$	$1.52 \pm 2.12$	$1.65 \pm 2.20$
SimpleITK	$3.02 \pm 5.04$	$18.97 \pm 31.2$	$17.75 \pm 30.26$
IXI experiments	Rotation	Translation X	Translation Y
CNN	$6.81 \pm 7.85$	$4.22 \pm 5.24$	$4.66 \pm 6.81$
FCN	$9.22 \pm 11.06$	$4.92 \pm 6.08$	$4.67 \pm 5.61$
FCN (ICE implicit)	$8.80 \pm 10.86$	$5.80 \pm 7.20$	$4.56 \pm 5.71$
FCN (ICE explicit)	$8.94 \pm 10.66$	$4.80 \pm 6.25$	$4.26 \pm 5.35$
SimpleITK	$1.59 \pm 2.88$	$21.33 \pm 34.78$	$23.90 \pm 38.91$

### Multi-modality results

ISLES experiments	Rotation	Translation X	Translation Y
CNN (trained on 22 subjects)	$3.93 \pm 4.60$	$2.38 \pm 3.07$	$2.45 \pm 3.15$
CNN (trained on 10 subjects)	$4.09 \pm 5.40$	$3.14 \pm 3.92$	$2.18 \pm 2.84$
FCN (separable vision)	$3.24 \pm 3.90$	$2.65 \pm 3.25$	$2.11 \pm 2.36$
FCN (shared vision)	$2.66 \pm 3.68$	$1.64 \pm 2.07$	$1.40 \pm 1.99$
SimpleITK	$1.29 \pm 2.24$	$2.92 \pm 7.31$	$2.82 \pm 4.07$

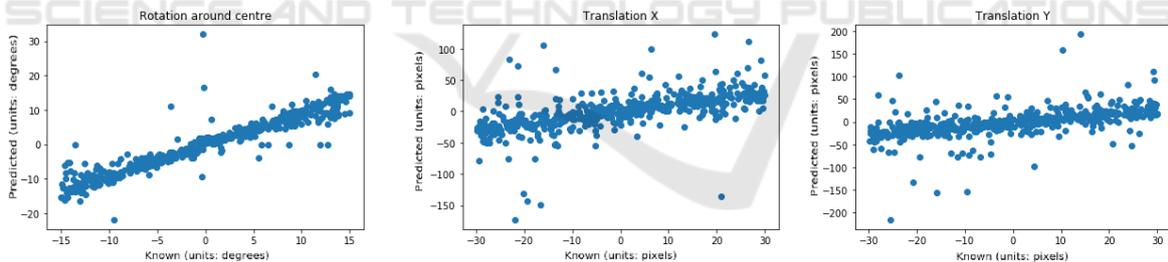


Figure 6: Scatter plot of predicted vs. known transformation parameters for the mono-modality experiment, using the SimpleITK implementation as described in sub-section 2.1.

Figure 7 displays the results of the FCN with shared vision towers, trained on the first 18 subjects of the ISLES dataset and tested on the remaining 6 subjects.

## 4 DISCUSSION

As can be seen from table 1, our method performs well when compared with the SimpleITK implementation for the OASIS and IXI test datasets for the mono-modal experiments. A major failing of our

method is the rotation regression on the IXI dataset is very poor. Indeed, it's predictions for rotation do not appear to correlate with the known rotation at all (see the left plot of figure 5). This suggests that our method does not generalise well to subjects which have been collected from another scanner or different scanning protocol. This is known generally as the problem of *domain adaptation* (Ganin et al., 2015; Kamnitsas et al., 2017).

Domain adaptation is the problem of images that at a high-level are similar but there is sufficient differ-

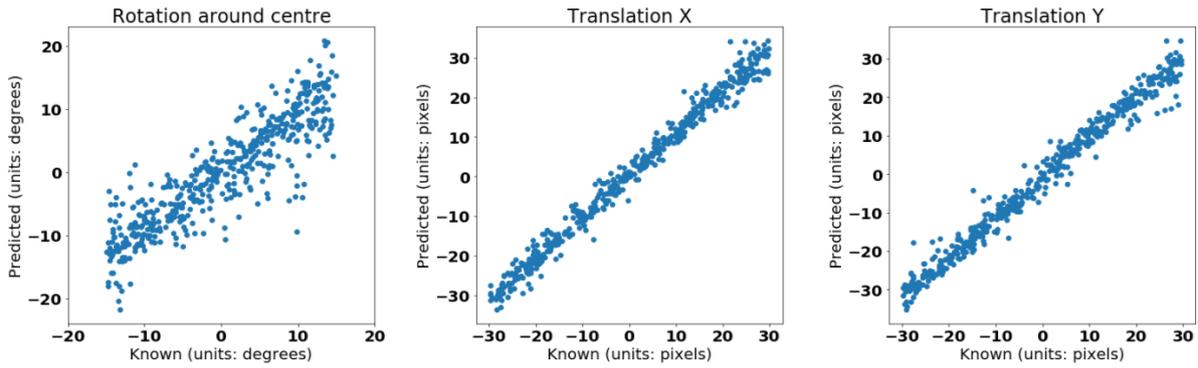


Figure 7: Scatter plot of predicted vs. known transformation parameters for the multi-modality experiment, using the FCN with shared vision towers as the transformation regressor.

ences that a deep learning, or any machine learning algorithm does not generalise to these unseen datasets. The differences can be a number of low-level properties such as noise characteristics or image resolution and high-level properties such as scanning protocol or underlying anatomy being imaged. The OASIS dataset is collected from a single scanner in a Washington hospital while the IXI dataset was collected from 3 scanners from different hospitals across London. There is sufficient differences between the two datasets that a deep learning algorithm trained on a subset of the OASIS subjects generalises well to unseen subjects from OASIS but performs poorly on unseen subjects from the IXI dataset.

To test our hypothesis of domain adaptation, we trained a FCN on a subset of the IXI dataset to regress the rigid transformation parameters as described in previous experiments and tested on unseen subjects from the IXI dataset and unseen subjects from the OASIS subject. The results (as shown in table 2) demonstrate that our method generalises well to subsets of IXI subjects when trained on other subsets of IXI datasets but performs poorly on OASIS subjects.

This suggests that the proposed method suffers from the effect of domain adaptation as our method gives excellent results on unseen subjects from IXI when trained on another subset of IXI subjects but generalises poorly to OASIS subjects.

Our method also performs well with the multi-modality test datasets and is of comparable performance with the SimpleITK implementation but our method possesses fewer outliers (observe the standard deviation of the results). The multi-modality experiments may be considered a more powerful and realistic demonstration of the proposed method as there will be a small amount of non-rigid deformation between the acquisition of the MR T1- and T2- weighted images used to construct the reference and template, unlike the mono-modality experiments where the un-

derlying anatomy of the reference and template are identical up to the added noise term.

The CNN trained on only 10 subjects and testing on the remaining 16 subjects shows the method generalises well even though there is a restricted number of subjects to train on as the results between the CNN trained on 22 and 10 subjects respectively are not significantly different.

Throughout all of the learned registration models, the rotation parameter consistently has larger mean absolute error and standard deviation than the x- and y- translation parameters (see tables 1 & 2). We hypothesised that because the maximum and minimum values of the rotation parameter and translation parameters are  $\{-15^\circ, 15^\circ\}$  and  $\{-30 \text{ pixels}, 30 \text{ pixels}\}$  respectively, the mean squared error objective function (equation 1) used to train each of the models is likely to change the weights to minimise the errors due to translation errors as they contribute proportionally more to the error function. To test this hypothesis, we re-evaluated the multi-modality experiments but used a weighted objective function to train the networks to balance the loss contributions from the translations and rotation:

$$\begin{aligned}
 &MSE(T^{true}, T^{pred}) \\
 &= \frac{1}{M} \sum_{i=1}^M (x_i^{true} - x_i^{pred})^2 + (y_i^{true} - y_i^{pred})^2 \\
 &\quad + (2(\theta_i^{true} - \theta_i^{pred})^2) \\
 &= \frac{1}{M} \sum_{i=1}^M (x_i^{true} - x_i^{pred})^2 + (y_i^{true} - y_i^{pred})^2 \\
 &\quad + 4(\theta_i^{true} - \theta_i^{pred})^2
 \end{aligned} \tag{3}$$

After re-evaluating the multi-modality experiments with the weighted loss objective function, we still observed consistently higher errors for the rotation parameter.

Table 2: Domain adaption experiments, where we train a FCN on a subset of the IXI dataset and test on unseen IXI subjects and the OASIS dataset to regress rigid 2D transformation parameters. Mean absolute error and standard deviation between the measured and known transform parameters for the multi-scale iterative registration and the CNN regression. Rotation measured in degrees and translations measured in pixels.

Domain adaptation experiments	Rotation	Translation X	Translation Y
Unseen IXI subjects	$1.70 \pm 2.26$	$1.43 \pm 1.88$	$1.36 \pm 1.64$
Unseen OASIS subjects	$8.43 \pm 10.14$	$3.14 \pm 4.70$	$3.74 \pm 4.89$

The FCN models with shared and separable weights within the the vision towers for multi-modality registration produce results which are not statistically significant.

#### 4.1 Inverse Consistency Discussion

Our inverse consistency experiments demonstrated that inverse consistency does not improve the results, regardless of whether it is imposed implicitly by updating the weights of the network when training on registration instances of the  $R \rightarrow T$  and  $T \rightarrow R$  simultaneously or using half of the forward transform from  $R \rightarrow T$  and half of the inverse transform from  $T \rightarrow R$  to compose a transform from  $R \rightarrow T$  (see equation 2). It is not surprising that composing half transformations to form a final transformation from  $R \& T$  does not improve the results as it is not obvious where any additional benefit would come from. Within the work of Song *et al* (Song and Tustison, 2010), imposing inverse consistency using half-transformations provides the benefit of making the displacement field diffeomorphic but for our experiments of rigid transformations there is no such benefit.

It is perhaps more surprising that the explicit inverse consistency experiments of passing the network the reference and template images and training on registration instances of the  $R \rightarrow T$  and  $T \rightarrow R$  simultaneously did not improve the results. We might have expected the results to have improved solely because though we passed the model 12000 training instances, the number of training instances effectively doubles as the model is fitted to  $R \rightarrow T$  and  $T \rightarrow R$ . By effectively doubling the amount of training data, the model would have been expected to improve but the results indicate this is not the case.

#### 4.2 Comparison to State-of-the-art Methods

Displayed in figures 8 and 9 are the transformation parameter updates for the methods presented by (Simonovsky *et al.*, 2016) and (Gutierrez-Becker *et al.*, 2017) respectively. These plots are equivalent to our plots presented in figures 4 and 7 where we display

the predicted transformation updates vs. the known transformation using our described method. Note that their plots are highly non-linear and thus could never predict the transformation parameters in a single pass. Indeed, it is the linearity of our plots that allow us to do so in a single pass.

Simonovsky *et al* (Simonovsky *et al.*, 2016) plots look like classic transformation updates from a sharply peaked metric at the optimal transformation update. The steep gradient at either side of the optimum results in large transformation updates a small perturbation from the optimal transformation. This is typified by the x- transformation update (green plotted line in the left plot of figure 8 when perturbing the image along the x-axis and the rotation around the x-axis transformation update when perturbing around the x-axis (green plotted line of the right plot in figure 8. Additionally, they compute the transformation updates  $\theta_{update}$  as first-order gradients  $\frac{\partial M}{\partial \theta}$  of the learned metric  $M$  such that  $\theta_{update} = \alpha \frac{\partial M}{\partial \theta}$  where  $\alpha$  is a user set gain coefficient. If one wanted to design a metric that provides linear transformation updates vs perturbation, the metric  $M$ , learned or otherwise, should have a parabolic profile:

$$\begin{aligned} \alpha \cdot \frac{\partial M}{\partial \theta} &\stackrel{!}{=} \theta_{perturbation}, \text{ set } \alpha = 1 \\ \int \partial M &= \int \theta_{perturbation} \cdot \partial \theta \\ M &= \theta_{perturbation}^2 + C \end{aligned} \quad (4)$$

Becker *et al* (Gutierrez-Becker *et al.*, 2017) present more interesting transformation updates (top row of figure 9) which look smoother and translation updates which look like they monotonically decrease (though this may be due to sparser sampling of perturbations). The smoothness of the predicted transformation updates is most likely due the smooth solutions generally computed by regression forests which are conventionally the average of the predicted values of each decision tree within the forest. Interestingly, the translation updates resemble a Fermi-Dirac distribution but the authors make no comment on this.

However neither of the plotted regressed translation and rotation parameter demonstrate linearity with respect to the known transformation perturbation.

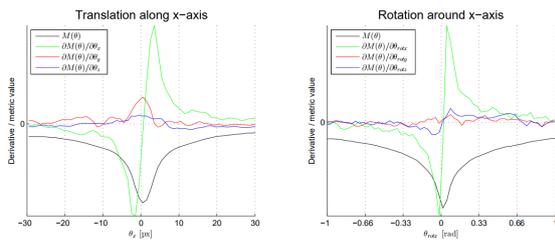


Figure 8: Taken from Simonovsky *et al* (Simonovsky et al., 2016). The plot on the left displays their learned deep multi-modal metric value and it’s derivative w.r.t x, y and z as a test image is perturbed along the x-axis with respect to the corresponding multi-modal image. The plot on the right displays the same metric and it’s derivatives w.r.t rotations around the x, y and z axis as the test image is rotated around the x-axis with respect to corresponding multi-modal image.

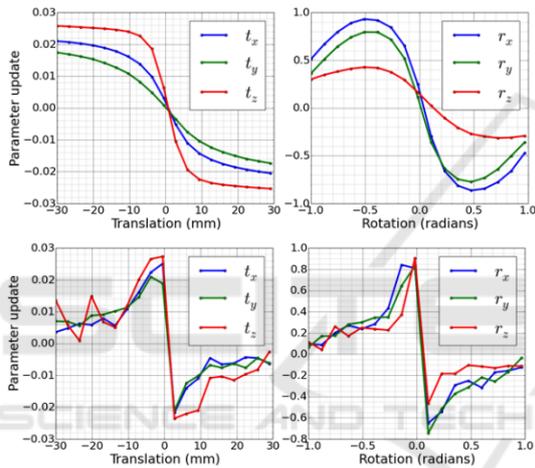


Figure 9: Taken from Gutierrez-Becker *et al* (Gutierrez-Becker et al., 2017). The top left plot displays the transformation parameter update predicted by their regression forest method, where each plotted line is the predicted transformation update as the images are perturbed along that dimension and keeping the other transformation parameters fixed at zero. The top right plot is similar to the top left plot where the rotation around each axis is perturbed and the transformation parameter computed by their method to correct the perturbation. The bottom left and right plots are the same experiment as the top row but the transformation parameters are computed using Normalised Mutual Information for comparison as a baseline.

## 5 CONCLUSIONS

We have presented a novel method of registering images by regressing the transformation parameters using a convolutional neural network and demonstrated its efficacy for both mono- and multi-modal applications. We have demonstrated it is possible to accurately register images in a single pass.

For our mono-modal experiments, we demonstrated that the model generalises well to unseen subjects from the same dataset. This is likely because the training and testing subjects were collected from the same scanner, and thus the image resolutions will be similar and the scanning protocols the same. The method did not generalise as well to subjects from the IXI dataset and thus the method is subject to the problem of domain adaptation (Ganin et al., 2015) which afflicts many medical imaging applications.

For our multi-modal experiments, we demonstrated that our model produces comparable results to that of the described multi-scale iterative scheme using mutual information. We also demonstrated that the proposed CNN method generalises sufficiently well with a small number of unique subjects, by training 2 convolutional networks which are identical in structure with training data constructed from 22 and 10 unique subjects respectively and no significant decrease in accuracy was observed.

For mono-modality registration, we can build near infinite sets of training datasets as any image can be translated and rotated with respect to itself to produce a training instance.

For multi-modality registration, we are restricted by the availability of co-registered multi-modal data such as CT and MR to construct training data. Building datasets of manually co-registered multi-modal images requires additional effort, but can be well justified if traditional metrics are not sufficient.

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