

# Integrating Interface Modeling and Analysis in an Industrial Setting

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**Abstract:** Precise specification of system component interfaces enables analysis of component behavior and checking of conformance of an implementation to the interface specification. Very often component interfaces are only defined by their signature and without a formal description of the admissible behavior and timing assumptions. In this paper we present a framework named ComMA (Component Modeling and Analysis) that supports model-based engineering (MBE) of high-tech systems by formalizing interface specifications. ComMA provides a family of domain-specific languages that integrate existing techniques from formal behavioral and time modeling and is easily extensible. It contains tools that support different phases of the development process and can be integrated in the industrial way of working. The framework is applied in the context of the family of interventional X-ray machines developed by Philips.

## 1 INTRODUCTION

Modern high-tech systems are complex artefacts that support many usage scenarios and system configurations. They integrate a large number of software and hardware components often provided by third party suppliers. Precise specification of the interfaces of these components is vital for a successful system integration.

### Problem Statement

Typically an interface lists its methods (commands) and notifications (events) that form an interface signature and is sometimes accompanied by an indication of allowed interactions. Timing expectations for replying to a command, periodicity of events and others usually stay implicit. This concerns both internal interfaces (between own developed components) and external interfaces with third party components. Problems may occur during integration and after changes in component upgrades. For example, an external supplier may deliver a new version of a component with improved hardware or software and implicitly changed time behavior. This might lead to a difficult to detect, unexpected, and erroneous system behavior.

Companies often use certain standards for transparent deployment of interfaces, such as COM or company-specific solutions, but the interface definitions themselves are usually only specified in a document in natural text and informal diagrams. The informal and potentially imprecise interface specifications make it difficult to guarantee that software implementations conform to their specification.

### Goal

The goal of our work is to support model-based engineering (MBE) of high-tech systems in a way that avoids the aforementioned problems by formalizing interface specifications. Besides a definition of the signature, an interface description should also include a specification of the allowed behavior, i.e., which sequences of method calls and notifications are allowed, and the timing constraints.

Furthermore, the aim is to provide practical tool support for handling interfaces throughout the development process: from the early phases of interface definition to the implementation, testing and maintenance of interfaces. For instance, during the initial interface specification phase, visualization and simulation are often useful to detect issues early in the process. Later, the automatic generation of implementa-

tion artefacts speeds up the development. During the testing and maintenance phases it is important to be able to check conformance to interface specifications after upgrades of components. This is especially relevant for upgrades of third party components.

Finally, a major concern is the ability of the tools to be easily integrated in the industrial workflow. This means that they can deal with the existing standard(s) for component deployment, can support a large and heterogeneous group of industrial users and, hence, have to be prepared for frequent change requests.

In this paper we focus on high-tech systems in the medical domain. The industrial context is the family of interventional X-ray machines developed by Philips.

## Approach and Contribution

We developed a framework named ComMA (Component Modeling and Analysis) that contains a family of Domain-Specific Languages (DSLs) (van Deursen and Klint, 1998) and supporting tools. In addition to the interface signature, engineers can define the interface behavior in a state machine-based DSL. Timing constraints are defined as relations between communication events decorated with the admissible time intervals. The most important analysis tool allows monitoring and checking of component executions against interface specifications. The monitoring can be performed for already existing traces or by monitoring executions at runtime. Model transformations serve as bridges to other analysis and visualization tools.

ComMA supports several phases in the development process. During the initial phase of interface specification, engineers can obtain an executable representation in order to simulate and validate the component behavior model and get confidence whether it captures their intention. Document generation is partially supported in combination with the generation of visual representations of some model aspects. An implementation of company-specific interface proxies is obtained by code generation. Component monitoring is used during testing and maintenance phases.

The DSL for interface specification combines concepts available in approaches for behavioral and time modeling. These approaches usually use dedicated (and often formal) languages and tools. Our approach provides an integrated solution based on a single specification language that is extensible, reflects the needs of engineers and can be incorporated in the industrial way of working. The DSLs in ComMA are not business-specific and therefore can be regarded as horizontal DSLs. Business-specific functionality (in the context of Philips) is present in some of the trans-

formation tools, for example, code generators that reflect the company best practices and infrastructure.

## Paper Outline

We first position our work with regards to tools and techniques with similar purpose (Section 2). An overview of the DSLs and the available support for different development phases is presented in Section 3. ComMA DSLs are illustrated in the context of an industrial case. The case study is about modeling the power control unit of interventional X-ray machines developed by Philips (Section 4). The behavioral specification language and runtime monitoring support are explained in Section 5 and Section 6 respectively. The paper concludes with a discussion and future work considerations.

## 2 RELATED WORK

The need for interface specifications has been addressed in a number of academic and industrial initiatives. Verum ASD (G.H. Broadfoot, 2005) and its successor Dezyne are toolsets for component interface modeling and design. The available tools support checking of conformance between an interface and its design, checking for deadlocks and livelocks and property-preserving code generation. Both ASD and Dezyne use model checking under the hood and shield the engineers from intricacies of formal techniques. Dezyne uses a DSL tailored to facilitate the supported model checking tasks. This comes with the price of limitations, for example, data passed to the interface methods cannot be read and changed. Specification of time behavior is not supported.

Unified Modeling Language (UML) and its profile for systems modeling SysML allow component interface specification and modeling of implementation. Marte is a UML profile for modeling real-time systems that allows specification of timing constraints. Several commercial tools such as IBM Rhapsody and Enterprise Architect among others support execution of UML models. Analysis of UML and SysML models requires dedicated tools and a choice of a suitable formalization of the language semantics (Kim et al., 2013). Engineers usually need only a subset of the expressive power of these rich languages. Furthermore, UML often needs tailoring for a given problem area via profiles which is in effect a domain-specific extension to the language.

Runtime verification (Falcone et al., 2013) is a technique for monitoring the behavior of software

during its execution and checking if the behavior conforms to a specification. Existing approaches use specifications based on grammars, automata, code annotations and rules. In the presented work we were inspired by the rule systems for runtime verification Eagle and RuleR (Barringer et al., 2007). Our monitoring tool uses state machines expressed in the ComMA DSL for interface specification and interprets them as a set of runtime monitoring rules. The monitoring algorithm uses the main ideas in the algorithm of RuleR.

Several formal languages exist for specification of temporal properties of systems. It is generally difficult for the practitioners to specify temporal logic formulas against a formal representation of the system under development. The timing fragment of our DSL is inspired by Metric Temporal Logic (MTL) (Ouaknine and Worrell, 2008), an extension to Linear Temporal Logic (LTL). Engineers can specify timing properties in terms of the commands and events defined in the interface signature. We do not use the full expressive power of MTL but limit ourselves to the commonly found practical scenarios.

Timing constraints can also be expressed as a timed automaton in Uppaal (Behrmann et al., 2004). This approach was applied in one of the earlier versions of our DSL for interface behavior where time variables and constraints were used. The feedback from the engineers indicated a preference for a different style for specifying timing constraints. This motivated our choice for the MTL-based approach.

There are several general and industry-specific initiatives for interface definition languages. Franca is an initiative originated from an automotive industry consortium<sup>1</sup>. Similarly to Franca, ComMA provides a language for interface signatures and state-based behavior with additional support for timing constraints and analysis features.

### 3 OVERVIEW OF COMMA

The ComMA framework provides three main DSLs to be used by engineers.

- DSL for interface signatures. Engineers can define the signatures of interfaces as groups of commands and notifications.
- DSL for interface behavior. The behavior is defined as state machines accompanied by timing rules.
- DSL for capturing execution traces. The format of traces is independent from a particular commu-

nication protocol. Engineers are not expected to use this DSL directly. Execution traces are usually automatically generated from sources such as execution logs or captured network traffic.

ComMA supports different phases in the development process. Starting from a single model which contains behavior specification or interface signature, different artifacts can be obtained via model transformations. An overview of this support is given in Fig. 1. Arrows represent the supported tasks and the label indicates the supported task.

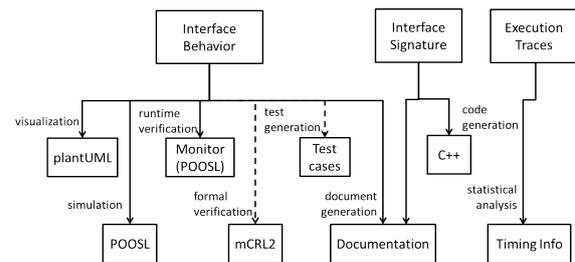


Figure 1: Overview of ComMA languages and available tool support.

- Visualization. A graphical overview of state machines is often useful. ComMA provides generation of plantUML files that can be rendered as state machines. In addition to that, all timing rules can be intuitively represented as annotated UML sequence diagrams.
- Simulation. Simulation of a model helps in receiving an early feedback and detecting errors. State machine models are transformed to POOSL programs (Parallel Object Oriented Specification Language) (Theelen et al., 2007). Engineers can use the POOSL environment that has a step-by-step execution facility with visual support.
- Runtime monitoring. A modified version of the transformation to POOSL produces an executable monitor for runtime verification. This feature is explained in details in Section 6.
- Formal verification and model-based testing. The transformations to formal verification and model-based testing frameworks are given as dashed lines because they are not fully implemented for the behavior specification DSL. We have a prior experience with a similar DSL for which generators to mCRL2 models (Groote and Mousavi, 2014) and model-based testing specifications were developed and applied.
- Code generation. Interface signatures can be transformed to C++ code that is a declaration of the interface before its deployment on the company-specific platform.

<sup>1</sup><http://franca.github.io/franca/>

- Document generation. The GenDoc document generation framework<sup>2</sup> is used to extract comments from models and insert them in a document template. This process also utilizes the diagrams obtained from state machines and timing rules.
- Statistical Analysis. Models of execution traces are used for statistical analysis of time information. The times for execution of interface commands are extracted to a separate file suitable for statistical processing.
- Reverse engineering. Interface behavior of already existing components can be automatically derived by using model learning techniques. The derived models are then manually augmented and timing constraints are added. Some of the aspects of this reverse engineering process are still an ongoing work.

ComMA DSLs provide a textual syntax defined in Xtext (Bettini, 2013). Code editors with syntax highlighting, static analysis and code completion are automatically generated by the Xtext framework. The DSLs were developed in a close collaboration with their intended users. After the initial design, a series of short increments as response to feature requests were executed.

## 4 INDUSTRIAL APPLICATION

Our work is motivated by the needs in the development of interventional X-ray machines of the company Philips. These machines have a complex distributed architecture with many software and hardware components. In this paper we use the power control unit as an example component. This unit is responsible for powering the hardware components. In case of a power failure it uses a Uninterruptable Power Supply (UPS). If the UPS is exhausted the system is automatically shutdown. The unit has 3 main interfaces: a user interface with On and Off buttons and light indicators, a physical power supply interface, and a software control interface. In this paper we focus on the software interfaces. They allow polling the system about the status of startup and shutdown scenarios. The unit sends notifications on changes in the status of the system. The interfaces also allow execution of testing scenarios in which stimuli are sent to simulate the main events such as On/Off button is pressed, power has failed, etc.

ComMA is applied in several phases in the development. The interface signatures, behavior and timing rules are expressed in the corresponding DSLs.

<sup>2</sup><https://www.eclipse.org/gendoc/>

The timing properties of interest are the allowed time to execute certain commands, the time for completing a scenario for startup/shutdown, and the periods of recurrence of certain events. For example, once the unit is on UPS source it shall send periodic notifications about the energy level of the UPS. Philips uses a company-specific component middleware. ComMA is used for automatic generation of interface proxies. At the time of testing and integration, the runtime monitoring tool checks if the component implementation adheres to the behavioral specification and timing requirements.

The following sections elaborate how ComMA was applied in the relevant steps in the development process.

## 5 LANGUAGE FOR SPECIFYING COMPONENT INTERFACES

ComMA provides a simple DSL for defining interface signatures. Simplified versions of two interfaces are given: ISuSd for Startup/Shutdown and ITest.

```
interface ISuSd{
  Types:
  enum State {SystemOn SystemOff}
  enum UpsState {OnMains OnBattery}

  commands
  State GetState

  notifications
  StateUpdate(State)
  UpsStateUpdate(UpsState)
}
```

The definition of the interface signature is straightforward. We distinguish between commands that may be called synchronously and asynchronous notifications sent to the clients. Users can define enumerations, record types and collection types.

It should be noted that ISuSd does not provide commands for the actual startup and shutdown of the system. The user does this via the On and Off buttons. For testing purposes the On and Off stimuli can be sent via the ITest interface.

```
interface ITest{
  Types:

  enum Stimulus{
    SystemOnButton SystemOffButton
    ...
  }

  enum State {
    SystemOn SystemOffTransitioning
```

```

    SystemOff SystemOffError
    SystemOnTransitioning
}

commands
bool InjectStimulus(Stimulus)
State GetState

notifications
StateUpdate(State)
}

```

Command `InjectStimulus` simulates an event that may happen during the operation of the unit. It returns true if the component can initiate a testing scenario and false otherwise.

The command `GetState` returns the current state of the unit. It is more informative than the similar command defined in `ISuSd` interface. The startup and shutdown procedures take time, hence the system enters transitioning states before the completion of the procedure.

Another DSL part of ComMA allows interface behavior specifications. The behavior of one or more interfaces is given in several state machines. A state machine is associated with at least one interface. In terms of the DSL we say that a machine 'provides' interfaces. The calls to commands in the provided interfaces can be used as triggers in the state transitions. The DSL allows only flat machines, that is, nested states are forbidden. The machines are completely orthogonal, they do not share variables and have disjoint sets of transition triggers. All state transitions must be observable: either a transition is triggered by a call or the transition effect is observable, for example, by sending a notification.

The following listing shows a part of the model of the interface behavior. Some transitions are omitted.

```

machine PowerControl
  provides ISuSd ITest {

  Variables:
  UpsState upsState

  init:
  upsState := OnMains

  initial state SystemOff {
    transition
    trigger: ISuSd::GetState do:
      reply(ISuSd::State::SystemOff)
    next state: SystemOff

    transition
    trigger: InjectStimulus(Stimulus s)
    guard: (s == SystemOnButton) do:
      ITest::StateUpdate(
        SystemOnTransitioning
      )
  }

```

```

      reply(true)
    next state: SystemOnTransitioning

    //Other transitions
  }

  state SystemOnTransitioning {
    mandatory transition do:
      ITest::StateUpdate(SystemOn)
    next state: SystemOn

    //Other transitions
  }

  state SystemOn {...}

  state SystemOffTransitioning {...}

  state SystemOffError {...}
}

```

The states in the machine `PowerControl` correspond to the possible states defined in the `ITest` interface. Transitions can be triggered by calls to interface commands and can be guarded. Reception of a call for which there is no transition defined in a given state or all guards are false is treated as an error.

Transition bodies contain actions which are assignments to variables, if-then-else branching and sending output events. Transitions may happen also without a trigger. A transition may be indicated as mandatory meaning that it must happen at some point while the machine is in the given state. Static checks are implemented for mutually exclusive mandatory transitions, for example, the ones that leave the current state and always prevent the enactment of other mandatory transitions. A mandatory transition is shown in state `SystemOnTransitioning`. It reflects the fact that the system will always complete the startup procedure and will send a notification before moving to `SystemOn`.

The language supports non-determinism in two ways. First, it is possible to give more than one target state for a transition. The second form concerns unknown values in notifications and replies. The value is given as '\*' symbol.

The behavior specification DSL allows the definition of time constraints in the form of rules. These rules give the admissible intervals between events in different contexts. There are four rule types.

```

requestStateISuSd
  call ISuSd::GetState
  -[ .. 15.0 ms] -> reply

```

The interval rule constrains the allowed interval between two events. The example rule named `requestStateISuSd` establishes that the time for replying to the `GetState` command is no more than 15 ms.

The second rule type is called conditional interval. It states that if both events are observed then the interval between them is not exceeding the specified interval. This rule is suitable in case of non-deterministic transitions when an event may have alternative follow-ups.

The third rule type allows specifications of periodic events. The following rule states that notification on UPS level is given every two minutes.

```
notification UpsStateUpdate(OnBattery)
then
  notification UPSEnergyLevel
  with period 120000.0 ms
  jitter 2000.0 ms
until
  notification UpsStateUpdate(OnMains)
```

The periodic event will not be observed once the system is back on mains power (until clause).

The visual representation of a periodic event rule is shown in Fig. 2.

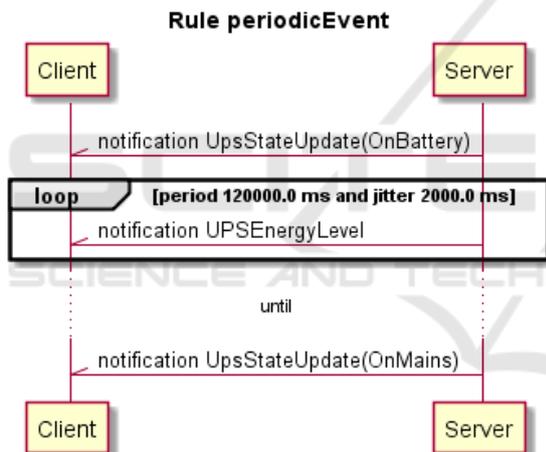


Figure 2: Diagrammatic representation of a periodic time rule as a sequence diagram.

The last rule type allows specification of timed scenarios.

```
group StartUpScenario
call InjectStimulus(SystemOnButton)
and
reply(true)
-> [ .. 15.0 ms] between events
-[ .. 1000.0 ms]->
notification
  ISuSd::StateUpdate (
    ISuSd::State::SystemOn
  )
end group
```

A startup scenario consists of injecting the stimulus SystemOnButton followed by a reply with value

true and a notification. The notification should be observed not later than 1 second after the reply.

## 6 COMPONENT MONITORING

The runtime monitoring support of ComMA was motivated by the need to extend the current Philips test infrastructure. At the time of component integration, system functions are extensively tested. The experience at Philips shows that issues discovered at system level are often traced back to issues related to the conformance of components (possibly supplied by a third party) to their interface specifications. Many issues of this kind are manifested during the interaction of several components and it is difficult to detect them if a component is tested in isolation. Monitoring and checking component interactions can reveal the problems at an earlier phase and help in analyzing logs harvested from systems in the field.

The relation between runtime verification and testing is already described in the literature (Leucker and Schallhart, 2009). Runtime verification shares commonalities with testing based on oracles. In our context, the interface behavior specification can be used to check if the component responses are correct, that is, the oracles used in testing are derived from the model.

### General Scheme for Component Monitoring

Generally, runtime verification is a technique for checking system behavior against a property during the execution of the system. The general scheme (Falcone et al., 2013) is given in Fig. 3.

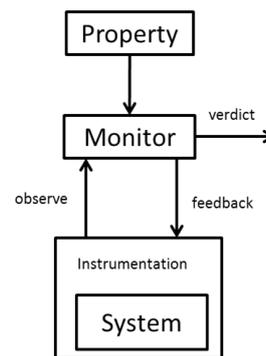


Figure 3: General scheme of runtime verification.

The property may be given in a formal specification language (automata, logic formula, grammar), as a set of rules or a program. A monitor is derived

from a set of properties. The task of the monitor is to observe the execution of the system and to produce a verdict: a statement if the observation satisfies the properties. The observation may be a series of system states or a series of input and output events. Monitoring is executed either step by step along with the system execution or over a log that contains the observations.

This general approach is applied as follows. The system is a component that implements a set of interfaces. Behavior specification of the interfaces (state machines and timing rules) plays the role of properties. Monitoring is done after the execution is complete and the observations are available. An observation is a timed sequence of the commands sent to the component and the system responses.

The monitor is a POOSL program that is synthesized from the state machine models and the timing rules. It receives the commands in the execution trace, compares them to outputs from the model and produces the verdict. Verdicts can be errors and warnings. Errors are violations of the state machine logic. Warnings are violations of time rules. Detection of an error stops the monitoring process, after a warning the monitoring continues.

The process of component monitoring is integrated in the development workflow at Philips. The monitoring framework is available as a chain of command line tools that work together with the test infrastructure. Currently, test scripts produce the execution sequences to be monitored.

In the following subsections we elaborate on the mechanisms for capturing the observations, the implementation of monitors, and error reporting. We also report on the results of applying component monitoring on the power control unit.

## Capturing Observations

The component monitoring implemented in ComMA does not require instrumentation of the checked components. Very often they are third party components and the implementation is not directly accessible. Furthermore, the components do not always produce suitable execution logs. In the presented industrial application, the components are available via a distributed platform developed in-house at Philips. The communication protocol is implemented on top of the HTTP protocol. In order to obtain an execution trace, the network traffic is sniffed and the relevant communication events are filtered.

Observations captured by monitoring the network need reordering before they are sent to the monitor. Several commands can be sent concurrently from

multiple clients. These commands are queued at the component side and executed sequentially. Our monitoring algorithm reorders the events into a sequence of pairs of a command and a reply.

Currently component monitoring is applied offline after the execution trace is complete but we do not perceive serious conceptual obstacles to execute it at runtime.

## Implementation of Monitor

The transitions defined in the state machines and the timing rules are interpreted as monitor rules in a way similar to the rules used in RuleR. The monitoring algorithm is adapted accordingly.

A transition in a given state and with a given trigger is treated as a rule for which the trigger and transition guard are the precondition. The enactment of the transition produces a set of expected observations: output events in the body of the transition. If the rule is non-deterministic then several sets of expected observations are produced. The observations received from the system are compared with the sets of expected observations and inconsistent sets are removed. Inconsistent sets are those with expected observations that differ from the real observations. If no consistent set is present at a certain execution step then the system behavior violates the specification. If in a given state a command is received for which there is no transition or all guards of the relevant transitions are false then an error is raised.

Transitions without a trigger are interpreted as follows. When the model enters a given state all such transitions with a guard that is true are potentially applicable. In order to simplify the monitoring algorithm the behavior specifications are restricted. Transitions without triggers can produce only a single notification. If the system emits a notification, the monitor is asked if this notification can be produced. Mandatory transitions that are not fired lead to errors.

Checking of timing rules uses time stamps of events produced by the network sniffing tool. Every timing rule is transformed to an automaton that consumes timestamped events. The automaton is activated if a triggering event is consumed. During the monitoring a set of activated rules is maintained. When the next event is consumed the activated rules may produce a verdict or stay active. Other rules may be activated in turn.

All errors and warnings are reported in textual and diagrammatic way. Diagrams are UML sequence diagrams with the context of the problem and the difference between the expected and the observed behavior.

## Application of Component Monitoring on the Power Control Unit

Component monitoring was applied on the power control unit provided by a supplier and several issues were found. For instance, duplicated notifications on state changes in a situation where a single notification is expected and missing notifications when the system goes on UPS source. Statistical analysis was also applied on execution traces. The time intervals for completing the executions of a given command were collected and plotted in a diagram. The actual distribution shape showed two peaks whereas a single peak was expected. Further investigation revealed the implementation decisions that were the cause of this effect.

The described issues were missed in system level tests. Component monitoring of behavior including time improved the testing process.

## 7 CONCLUSIONS

The need for precise component interface specifications is longstanding in the industry and has drawn attention of the research community. We presented ComMA, a framework for interface behavior specification with a rich tool support for different development phases. The DSLs in ComMA integrate techniques and results from different research areas and provide a single entry point for engineers to specify and develop component interfaces.

We did not employ the full expressive power of the used formal languages. Instead, DSL constructs are selected on the basis of the concrete needs of the engineers and optimized for solving their most recurring problems. Our experience in applying the DSLs shows that this approach is crucial for the tool and language adoption along with pragmatical aspects like stable editors, ergonomic concrete syntax and visualization. Whenever necessary, capabilities of specialized analysis tools can be used by building bridges in the form of model transformations.

In general, ComMA was successfully applied on the power control unit and fulfilled the tasks that were the initial motivation of the framework. The application of the techniques to several other components at Philips is an ongoing work. This will provide further validation insights.

The developed languages are not business-specific and are not restricted to the medical domain. They are aimed at problems that are found in other domains as well and utilize general techniques thus making the framework easily generalizable.

Future work intentions include extending the toolset with transformations to model-based testing and model checking facilities. Further experimentation with model learning aims at extending and improving the interface behavior extraction from existing components.

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