Resolution-aware Slicing of CAD Data for 3D

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- Keywords: Additive Manufacturing (AM), Layered Manufacturing (LM), 3D Printing, Computer Aided Design, Fused Filament Fabrication (FFF), Fused Deposition Modelling (FDM), Electron Beam Freeform Fabrication (EBFF), Electron Beam Melting (EBM), Magnetic Resonance Imaging (MRI), Computer Tomography (CT), Rapid Prototyping (RP), Stereolithography (SLA).
- Abstract: Low resolution printing results in fused joints when the joint clearance is intended to be very small. Various 3D printers are capable of print resolutions of up to 600dpi (dots per inch) as quoted in their datasheets. It is imperative to include the ability of a 3D slicing application, to validate 3D models, based on the ability of the printer to properly produce the features with the smallest detail in a model. A way to perform this validation would be the physical measurement of printed parts and comparison to expected results. Our method uses ray casting to detect features in the 3D models whose sizes are below the minimum allowed by the printer resolution. Our model was tested using few simple and complex 3D models. Areas in the slices with thickness less than the specified resolution were detected. Our model serves two purposes: (a) to assist CAD model designers in developing models whose printability is assured- by warning or preventing shape operations that will lead to regions/features with sizes lower than that of the printer resolution. This makes our model very powerful in the quality assurance of 3D printing and a huge cost/time saver when planning for 3D printing.

1 INTRODUCTION

When 3D printing equipment manufacturers quote their printer resolutions, this information can serve as an input into a model to validate that the applicable printer will be able to produce critical features in the model of interest. The potential cost and time savings, gained by ensuring that CAD designers avoid features smaller than the printer resolutions, is quite significant.

1.1 Basic 3D Printing Process

3D printing (3DP) or rapid prototyping (RP) is an additive manufacturing process that involves the production of physical objects by adding thin successive layers of materials without using moulds (Munir, 2013). The models being printed can be obtained via image acquisition from mobile scanners (Stamos, I., Allen, P., 2000), Magnetic Resonance Imaging (MRI), Computed Tomography (CT), positron emission tomography, direct 3D CAD models. This enhances the rapid prototyping process

as the technology is capable of producing a near-netshaped and multi-coloured part. 3D printing is also referred to as *Layered Manufacturing (LM)* (Munir, 2013)

The 3D printing process (Figure 1) starts with a CAD data model generation. The data is "sliced" into successive layers. A slice is a collection of contours to be filled during printing.



Figure 1: 3D Basic Printing Workflow (Topcu, O., Tascioglu, Y., Unver, H. O. 2011).

1.2 Slicing and 3D Printing Quality Assurance

The process planning of additive manufacturing, as shown in Figure 1, begins with the creation of the CAD model. This can be done with any of the popular 3D applications. It can also be obtained from 3D medical imaging data.

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Onyeako, I. and Lee, W-s. Resolution-aware Slicing of CAD Data for 3D DOI: 10.5220/0005784701220127

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In Proceedings of the 11th Joint Conference on Computer Vision, Imaging and Computer Graphics Theory and Applications (VISIGRAPP 2016) - Volume 1: GRAPP, pages 124-129 ISBN: 978-989-758-175-5



Figure 2: Standard Tessellation Language (STL) File and CAD model.

The next step in the 3D printing workflow is the creation of the slicing output. O. Topcu, Y. Tascioglu and H.O Unver (Topcu, O., Tascioglu, Y., Unver, H. O. 2011) presented a method for slicing CAD Models for the purpose of developing G-Codes. This involves the cutting of the triangulation surfaces (facets) into shapes with heights equal to that of the slice thickness. These lines are then joined to form contours which are used for tool path data generation (G-Codes). There is presently no industrial or formal specification for slice data.



Figure 3: (a) Tessellated cube with 12 facets (b) a sliced cube (Topcu, O., Tascioglu, Y., Unver, H. O. 2011).

An algorithm for slicing 3D models, developed by Topcu et al, uses STL file as input. In Figure 3(a), the cube is tessellated into 12 triangles in the STL. The cube is cut into slices in Figure 3(b). The goal of the slicing algorithm is to produce contours for generation of G-Code. If the slicing thickness (t) is larger, the likelihood of facets falling in between the slices increases. This will make those facets not to be sliced. To avoid this, the thickness of the slices is reduced. This gap between adjacent slices defines the layer thickness. The layer thickness is a variable in the slicing algorithm.

(Baumann F. Et al, 2015) proposed a framework for achieving a comparable quality assessment of both slicing tools for FDM printers and FDM printers themselves. The framework chose few popular slicing tools based on their reliability (ability to handle all test models), G-Code compatibility and application configurability. The properties being configured included: print temperature, print bed temperature, layer thickness, fill density, print speed and minimum layer print time.

Baumann's (Baumann F. Et al, 2015) work focused on evaluation of 3D slicing applications.

This work did not consider the effects of printer resolution. Practically all 3D printers, just like cameras, quote resolutions but in 3D space (x-y-z). A consideration of this resolution in determining the ability of a printer to accurately print a model should be an integral component of a slicing application. When vendors quote printer resolutions, evaluation of printers for their suitability for a given model can make use of a resolution aware slicing process to select the best printer.

2 RESOLUTION-AWARE SLICING

In 3D printing terms, resolution is the number of individual voxels that can be deposited in a given unit volume. It is usually expressed as layer thickness (z) and x-y resolution in dots per inch (dpi) or micrometers (μ m). The layer thickness varies by printer and/or printing technology.



Figure 4: Comparison of Minimum Feature (Baumann F. Et al, 2015).



Figure 5: Comparison of Minimum Layer Thickness (Baumann F. Et al, 2015).

Data for five 3D printing technologies were compared based on their capabilities as it relates to factors that affect print resolution. The factors include minimum feature size), minimum layer thickness and tolerance. In Figure 4, direct metal laser sintering (DMLS), electron beam melting (EBM), selective laser sintering (SLS) and Fused deposition model all averaged a minimum feature size of approximately 0.005in. If the minimum feature size is less than the print resolution, it will not be possible to print the part. While this is not too common with the current application of 3D printing, it is imperative to take into consideration the fact that 3D printing is growing in application as newer uses of 3D technology is being investigated. Stereolithography (SLA) offered the best opportunity in terms of minimum feature size as it is capable of 0.004in feature size.

The layer thickness has negative effect on the quality of the print work. As the layer gets thicker it becomes increasingly difficult for the printer to accurately target and print intricate shapes. In Figure 5 DMLS and SLA offered the best opportunities as they are capable of layer thickness of 0.001in. SLS is next with a minimum layer thickness of 0.004in while EBM and FDM offered the least performance with both capable of minimum layer thickness of 0.005in.

The factors that influence printing resolution include:

- Print accuracy along the x, y and z axes. The precision of the print head is determines the x and y axis resolution. The z axis, where the layers are applied, determines how fine they will be.
- The viscosity of the binding agent
- The viscosity of the omaing agent
 The accuracy of color application
- Treatment of the 3D printed object after it comes out of the machine.



Figure 6: Effect of resolution on printability of features.

In Figure 6, it can be shown that as feature/region sizes get smaller than the resolution of the printer the feature/region becomes unprintable by that printer. Also, the staircase effect common with 3D printing is also shown. A review of methods for slicing 3D data to handle staircase effect

(Baumann F. Et al, 2015) identified a few methods including:

- Cusp height concept (Dolenc, A. and Makela, I. 1994)
- Stepwise uniform refinement (Sabourin, E., Houser, S.A. and Bohn, J.H., 1996)
- Local adaptive slicing (Tyberg, J. and Bohn, J.H. 1998)
- Accurate exterior and fast interior (Sabourin, E., Houser, S.A. and Bohn, J.H. 1997)
- Efficient slicing method (Tata, K., Fadel, G., Bagchi, A. and Aziz, N. 1998)
- Non Uniform cusp heights (Cormier, D., Unnanon, K. and Sanni, E. 2000)
- Consideration of parabolic build (Pandey, P.M., Reddy, N.V. and Dhande, S.G. 2003)

3 OUR METHODOLOGY

3.1 Objectives

The objective of our research is to improve the quality of 3D printed objects, by detecting upfront, potential defects as regions or features that will be unprintable due to printer resolution. Ray casting algorithm mentioned in section 3.2 will be applied to slicing output for the applicable printer. Each slice will contain a set of contours. Ray casting will be used to determine the regions of the contours that are actual solids. The length of the line between one edge of the solid portion of the contour and the other will be compared with the printer resolution in that direction. Regions having lines lower than the printer resolution in a particular direction will be flagged as having potential defects on printing.

3.2 Ray Casting of 3D Slice Data

Upon parsing of the 3D slice data for the applicable printer, ray casting is performed on each slice using the resolution in one of x or y direction as the frequency. The algorithm accepts the xyz resolutions quoted by the printer as inputs. The z resolution is taken as the slicing thickness. Arbitrarily y or x is chosen as the direction to begin slicing.

For each slice, a line is drawn from one edge of the slice to the other at the y axis. The pitch of this line is equal to the y resolution. All the points where this line intersects with the edge of the contours in the slide is identified and labelled 0 or 1 in alternating order. Lines labelled 1 are for solid regions. Lines labelled 0 are outside the solid regions in the slide; hence they will not be used in further computations.

Our code checks for intersection of two lines. If an intersection is found, the code also checks if the point of intersection actually occurs on the applicable lines. If the point does not occur on both lines, the algorithm discards the point.



Figure 7: Schematic of Ray casting to detect solid features for size comparison.

Our application traverses the entire slicing data set (Figure 7) to identify defective regions. If the algorithm in *SlicingResValidator* is applied to slicing outputs, it will detect defective regions as those having sizes/length less than the resolution of the applicable printers. Other uses of the algorithm in *SlicingResValidator* will be when the check is introduced during model design to enforce the requirement to ensure that features/regions do not fall below the printer resolution.

4 RESULTS AND DISCUSSION

Our application, SlicingResValidator accepts a CAD model, its SVG slicing dataset, x resolution and y resolution as inputs. A few 3D CAD models were tested and SlicignResValidator was able to detect the potential defects on the slicing sets. These defects are presented as points on both sides of the edges making up the ray solid region of interest. The defect can also be viewed as a line joining these points to show their length as evidence that they are shorter than the printer resolution in that direction. The following metrics were generated from our model:

- Slicing Number: This is the index on the slice in the total SVG slicing dataset.
- **Total Number of Contours:** This is the total number of contours found in the selected slice.
- Total number of Slice Regions with Errors: When defects are detected, algorithm provides a count of the defects and presents it to the user.

The first model contained a flat 3D model of regular geometrical shapes in different sizes. The goal was

to evaluate the ability of SlicingResValidator to detect regions of sizes lower than that of the applicable printer resolution. In Figure 8(a), a slice of the 3D model on the left was validated with a resolution of x=0.1 and y=0.1 in the applicable unit of measurement and regions. The number of errors detected in one slice was 70. This means that this slice contains 70 regions whose sizes/lengths are smaller than the x resolution of the printer. When the resolution was set to x=0.5 and y=0.5 (lower resolution, see Figure 8 (b)) 120 defective regions were detected. With a resolution of x=1 and y=0.5, 222 defective regions were detected. This shows that our model is able to detect more defects as the resolution is reduced. In effect, a lower resolution means that the printer will deposit more materials at a single point than with higher resolutions. Therefore, a larger number of smaller features will not be printed.



Figure 8: Regular Shapes Created in 3D StudioMax and Sliced with Slic3r into one SVG slice set and errors detected with printer resolutions x=0.1, y=0.1 (a); x=0.5, y=0.5(b) and x=1, y=0.5(c).

In Figure 9, a model of perforated sphere obtained from Thingivers.com was cut into 242 slices. On validating the 12^{th} slice with a printer resolution of

x=0.1 and y=0.1, our model detected only 3 defective regions. This demonstrates that as resolution is decreased, our model is able to detect more defective regions. However, when this model was validated with a resolution of x=1, y=1, the number of contours with errors reduced to 4. This shows that when the resolution is reduced, our model may detect fewer errors since some small features will not be scanned due to frequency in the particular direction. In effect, features, smaller than 1, are more likely to be missed if the resolution is 1 than when the resolution is 0.5. Our model will not propose a correction of 3D model. We assume that correcting the 3D model is outside the scope of quality inspection. As an inspection tool, its main focus is to detect and report defects. Also, it is not always practicable to modify 3D models as they may be required to be exact replicas of the physical model. In this case, the utility of our model is in informing the user that the model will have defects when printed by a particular printer with a quoted resolution. Other models used for testing our application are shown below.



Figure 9: Perforated Sphere (Thingivers.com) and Sliced with Slic3r into 242 SVG slice sets and errors detected on different slices at different resolutions.

4.1 Discussion

We have suggested a novel system for validating the printability of 3D CAD models by a given printer based on the printer resolutions. It provides significant benefits to the quality assurance of 3D printed parts. We showed how our model can utilize the slicing output of 3D CAD data from any slicer application outputting SVG $\langle g \rangle$ sets and polygons. We demonstrated that it is possible to visualize, in a slice, regions that will be unprintable in a CAD model, when they fail resolution validations.

The model proposed by (Baumann F. Et al, 2015) supports our work. It established a framework for testing the quality of slicing outputs of 3D models produced in FDM printers. In their model a few slicing applications were tested and measures were established to evaluate the slicing applications. The resolution of the printer was not included in the framework. We suggested that the validation based on resolution be added to the framework. We proposed a system which can locate the positions where the quality will fail in the actual printing.

An enhancement to our work can be in the area of applying this concept in the 3D model design process. The 3D models come from imaging data that is segmented and consequently stitched up to form a full model. However, other means of generating models include direct design. During modelling, shape operations are very common. They include scaling, stretching or shrinking a model or its features. If the resolution of the printer is added as a constraint, a CAD design application can check if a shape operation is going to result in defective features and warn the user.

Our system did not attempt to propose a correction of CAD models. As an inspection tool, its main focus is to detect and report defects. Also, it is not always practicable to modify 3D models as they may be required to be exact replicas of the physical model. In this case, the utility of our model is in informing the user that the model will have defects when printed by a particular printer with a quoted resolution.

We also acknowledge that, as a study in 3D domain, it would be beneficial to visualize our results in 3D space. We focused on visualizing our results in 2D space since the actual computations (ray casting) are done in 2D space based on slices.

Our system also considered performance in terms of optimal use of computing resources. Depending on the size of the 3D file as well as the complexity of the CAD data, the time it takes our model to scan through a slice and compare/detect regions having defects depends largely on the resolution applied in both directions (x and y). The higher the resolution, the longer it will take to validate the CAD model. Our model did not consider curved shapes, as is the case with STL. It means that each curve will be represented by discrete lines. Therefore, the number of lines in the polygon, defining each contour in the slides, will influence how long it will take to validate each model or slice. In our model, it took less than one second to process a slice having 195 lines with a resolution of x=0.1 and y=0.1 in the dimensions of the CAD model on a Windows(r) 7 PC with Intel(r) Core i5-4310U CPU @ 2.00GHz 2.60GHz processor running on 8.00 GB ram. It also took less than one second to process this file when the resolution was set at x=0.01 and y=0.01. However, it took approximately 5 seconds to process this same data when the resolution is set to x=0.001 and y=0.001.

Other 3D CAD models evaluated by our system include:



Figure 10: More 3D Models analyzed by SplicingResValidator.

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