Application of the Hamiltonian Formulation to Nonlinear Light-envelope Propagations

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Keywords: Canonical Equations of Hamilton, the Nonlocal Nonlinear Schrödinger Equation, Optical Solitons.

Abstract: A new approach, which is based on the new canonical equations of Hamilton found by us recently, is presented to analytically obtain the approximate solution of the nonlocal nonlinear Schrödinger equation (NNLSE). The approximate analytical soliton solution of the NNLSE can be obtained, and the stability of the soliton can be analytically analysed in the simple way as well, all of which are consistent with the results published earlier. For the single light-envelope propagated in nonlocal nonlinear media modeled by the NNLSE, the Hamiltonian of the system can be constructed, which is the sum of the generalized kinetic energy and the generalized potential. The extreme point of the generalized potential corresponds to the soliton solution of the NNLSE. The soliton is stable when the generalized potential has the minimum, and unstable otherwise.

1 INTRODUCTION

The propagations of the (1+D)-dimensional lightenvelopes in nonlinear media have been studied extensively for a few decades (Agrawal, 2001; Assanto, 2012b; Trillo and Torruellas, 2001; Kivshar and Agrawal, 2003; Stegeman and Segev, 1999; Chen et al., 2012; Malomed et al., 2005), which are governed by the following dimensionless model (Chen et al., 2015):

$$i\frac{\partial\varphi}{\partial z} + \nabla_{\perp}^{2}\varphi + \Delta n\varphi = 0, \qquad (1)$$

where $\varphi(\mathbf{r}, z)$ is the complex amplitude envelop, $\Delta n(\mathbf{r}, z)$ is the light-induced nonlinear refractive index, *z* is the longitudinal coordinate, **r** is the *D*dimensional transverse coordinate vector with *D* being the positive integer, and ∇_{\perp} is the *D*-dimensional differential operator vector of the transverse coordinates. Generally, $\Delta n(\mathbf{r}, z)$ can be phenomenologically expressed as the convolution between the response function $R(\mathbf{r})$ of the media and the modulus square of the light-envelope $\varphi(\mathbf{r}, z)$ for the bulk media with the nonlocal nonlinearity (Chen et al., 2015; Assanto, 2012a; Snyder and Mitchell, 1997; Krolikowski et al., 2001)

$$\Delta n(\mathbf{r},z) = \int_{-\infty}^{\infty} R(\mathbf{r} - \mathbf{r}') |\varphi(\mathbf{r}',z)|^2 \mathrm{d}^D \mathbf{r}'.$$
 (2)

According to the relative scale of the characteristic length of the response function *R* and the scale in the transverse dimension occupied by the light-envelope φ , the degree of nonlocality can be divided into four categories(Chen et al., 2015; Krolikowski et al., 2001; Assanto, 2012a): local, weakly nonlocal, generally nonlocal, and strongly nonlocal, and locality is the case when the response function *R* is the Dirac delta function. In the local case, Eq.(1) is reduced to

$$i\frac{\partial\varphi}{\partial z} + \nabla_{\perp}^{2}\varphi + |\varphi|^{2}\varphi = 0.$$
(3)

Eq. (1) together with the nonlocal nonlinearity (2) is called as the nonlocal nonlinear Schrödinger equation (NNLSE) (Chen et al., 2015; Assanto, 2012a; Krolikowski et al., 2001), while its special case, Eq. (3), is the well-known nonlinear Schrödinger equation (NLSE) (Kivshar and Agrawal, 2003; Agrawal, 2001; Trillo and Torruellas, 2001).

The NNLSE (with its special case NLSE) can describe the nonlinear propagations of the optical beams (Assanto, 2012b; Trillo and Torruellas, 2001; Stegeman and Segev, 1999; Chen et al., 2012; Kivshar and Agrawal, 2003), the optical pulses (Agrawal, 2001; Kivshar and Agrawal, 2003) and the optical pulsed beams (Kivshar and Agrawal, 2003; Malomed et al., 2005). The second term of the NNLSE accounts for the diffraction for the first case where **r**

is the spatial transverse coordinate, the group velocity dispersion (GVD) for the second case where \mathbf{r} is the time coordinate, and both the diffraction and the GVD for the last case where **r** is both the spatial transverse coordinate and the time coordinate, while the third term (the nonlinear term) describes the compression of the light-envelopes for all cases. Specifically, when D = 1, the NNLSE can model the propagation of the optical beam (Snyder and Mitchell, 1997; Krolikowski et al., 2001) in the self-focusing nonlinear planar waveguide, and can also model the propagation of the optical pulse (Agrawal, 2001) in the self-focusing nonlinear waveguide if the carrier frequency is in the anomalous GVD regime or in the self-defocusing nonlinear waveguide when its carrier frequency is in the normal GVD regime. The (1+1)dimensional NNLSE has the spatial (or temporal) bright optical soliton solution (Kivshar and Agrawal, 2003). When D = 2, the NNLSE can only describe the propagation of the optical beam in the nonlinear bulk media. The bright spatial optical soliton can exist stably for the nonlocal case (Assanto, 2012a), but for the local case the strong self-focusing of a two dimensional beam will lead to the catastrophic phenomenon (Kivshar and Pelinovsky, 2000). When D = 3, the NNLSE can describe the propagation of the optical pulsed beams. Like the case of D = 2, the self trapped optical pulsed beam propagating in the local nonlinear media will lead to the spatiotemporal collapse (Silberberg, 1990), which can be arrested by the nonlocal nonlinearity (Malomed et al., 2005). But when D > 3, the NNLSE is just a phenomenological model, the counterpart of which can not be found in physics. It's important to note that(Chen et al., 2015) the response function R is symmetric for the spatial nonlocality, but is asymmetric for the temporal nonlocality due to the causality (Hong et al., 2015).

As the special case of the NNLSE, the NLSE (3) can be solved exactly using inverse-scattering technique (Zakharov and Shabat, 1971; Zakharov and Shabat, 1973) when D = 1. But for the general case, a closed-form solution of NNLSE (1) cannot been found except for the strongly nonlocal limit, where the NNLSE can be simplified to the (linear) Snyder-Mitchell model for the spatial nonlocality and an exact Gaussian-shaped stationary solution known as accessible soliton was found (Snyder and Mitchell, 1997). Approximately analytical solutions can be obtained by various of perturbation methods, such as the perturbation approach based on the inverse scattering transform (Karpman and Maslov, 1977), the adiabatic perturbation approach (Kivshar and Malomed, 1989), the method of moments (Maimistov, 1993), and the most widely used one is variational method (Anderson, 1983; Guo et al., 2006; Chen et al., 2013; Wolf, 2002). It was claimed without proof that the variational method can only be applied in nonlocal cases where the response function is symmetric (Steffensen et al., 2012). And for the case of the response function without even symmetry, the method of moments can work well. Another new approach is presented here, and we apply the canonical equations of Hamilton to study the nonlinear light-envelope propagations. By taking this approach, the approximate analytical soliton solution of the NNLSE is obtained. Furthermore, the stability of solutions can be analysed analytically in a simple way as well, but it can not be done by the variational approach.

2 CANONICAL EQUATIONS OF HAMILTON FOR THE NNLSE

As has been known (Anderson, 1983), the variational approach to find the approximately analytical solution of the NNLSE is based on the Euler-Lagrange equations. In the classical mechanics, however, there exist two theory frameworks: the Lagrangian formulation (the Euler-Lagrange equations) and the Hamiltonian formulation (canonical equations of Hamilton). The two methods are parallel, and no one is particularly superior to the another for the direct solution of mechanical problems (Goldstein et al., 2001). The new approach presented in this paper to analytically obtain the approximate solution of the NNLSE is based on the new canonical equations of Hamilton (CEH) found by us recently (Liang et al., 2013). For the sake of the systematicness and the readability of this paper, the key points about the new CEH are outlined here in this section, although the detail can be found in Ref (Liang et al., 2013).

We firstly define two different systems of mathematical physics (Liang et al., 2013): the second-order differential system (SODS) and the first-order differential system (FODS). The SODS is defined as the system described by the partial differential equation that contains the second-order partial derivative with respect to the evolution coordinate, while the FODS is defined as the system described by the partial differential equation that contains only the first-order partial derivative with respect to the evolution coordinate. The Newton's second law of motion and the NNLSE are the exemplary SODS and FODS, where the evolution coordinates are the time coordinate t and the propagation coordinate z, respectively. The conventional CEH (Goldstein et al., 2001)is established on the basis of the Newton's second law of motion.

$$\dot{q}_i = \frac{\partial H}{\partial p_i},\tag{4}$$

$$-\dot{p}_i = \frac{\partial H}{\partial q_i},\tag{5}$$

The dot above the variable in Eqs. (4) and (5) (\dot{q}_i and \dot{p}_i) indicates the derivative with respect to the evolution coordinate (here the evolution coordinate is the time t), q_i and p_i are said to be the generalized coordinate and the generalized momentum, and H is the Hamiltonian. The CEH (4) and (5) can be extended to the continuous system as (Goldstein et al., 2001)

$$\dot{q}_s = \frac{\delta h}{\delta p_s},$$
 (6)

$$-\dot{p}_s = \frac{\delta h}{\delta q_s}, \qquad (7)$$

with $s = 1, \dots, N$ representing the components of the quantity of the continuous system (Goldstein et al., 2001), $\frac{\delta h}{\delta q_s} = \frac{\partial h}{\partial q_s} - \frac{\partial}{\partial x} \frac{\partial h}{\partial q_{s,x}}$ and $\frac{\delta h}{\delta p_s} = \frac{\partial h}{\partial p_s} - \frac{\partial}{\partial x} \frac{\partial h}{\partial p_{s,x}}$ denote the functional derivatives of *h* with respect to q_s and p_s with $q_{s,x} = \frac{\partial q_s}{\partial x}$ and $p_{s,x} = \frac{\partial p_s}{\partial x}$, and *h* is the Hamiltonian density of the continuous system.

We have shown that the FODS can not be expressed by the conventional CEH, and we have reconstructed a set of new CEH through the following procedure.

For the first-order differential system of the continuous systems, the Lagrangian density must be the linear function of the generalized velocities, and expressed as

$$l = \sum_{s=1}^{N} R_s(q_s) \dot{q}_s + Q(q_s, q_{s,x}),$$
(8)

where R_s is not the function of a set of $q_{s,x}$ with $q_{s,x}$ = $\partial q_s / \partial x$. Consequently, the generalized momentum p_s , which is obtained by the definition $p_s = \partial l / \partial \dot{q}_s$ as

$$p_s = R_s(q_s), (s = 1, \cdots, N),$$
 (9)

is only a function of q_s . There are 2N variables, q_s and p_s , in Eqs. (9). The number of Eqs. (9) is N, which also means there exist N constraints between q_s and p_s . So the degree of freedom of the system given by Eqs. (9) is N. Without loss of generality, we take q_1, \dots, q_v and p_1, \dots, p_{μ} as the independent variables, where $v + \mu = N$. The remaining generalized coordinates and generalized momenta can be expressed with these independent variables as $q_{\alpha} = q_{\alpha}(q_1, \cdots, q_{\nu}, p_1, \cdots, p_{\mu})(\alpha = \nu +$ $1, \dots, N$, and $p_{\beta} = p_{\beta}(q_1, \dots, q_{\nu}, p_1, \dots, p_{\mu})(\beta)$ $\mu + 1, \dots, N$). The Hamiltonian density h for the continuous system is obtained by the Legendre transformation as $h = \sum_{s=1}^{N} \dot{q}_s p_s - l$, where the Hamiltonian density *h* is a function of v generalized coordinates,

 q_1, \cdots, q_{v} , and μ generalized momenta, p_1, \cdots, p_{μ} . We can obtain the new CEH consisting of N equations as

$$\frac{\delta h}{\delta q_{\lambda}} = \sum_{s=1}^{N} \left(\dot{q}_s \frac{\partial p_s}{\partial q_{\lambda}} - \dot{p}_s \frac{\partial q_s}{\partial q_{\lambda}} \right) + \sum_{\alpha=\nu+1}^{N} \frac{\partial}{\partial x} \frac{\partial h}{\partial q_{\alpha,x}} \frac{\partial q_{\alpha}}{\partial q_{\lambda}}, \quad (10)$$

$$\frac{\delta h}{\delta p_{\eta}} = \sum_{s=1}^{N} \left(\dot{q}_{s} \frac{\partial p_{s}}{\partial p_{\eta}} - \dot{p}_{s} \frac{\partial q_{s}}{\partial p_{\eta}} \right) + \sum_{\alpha=\nu+1}^{N} \frac{\partial}{\partial x} \frac{\partial h}{\partial q_{\alpha,x}} \frac{\partial q_{\alpha}}{\partial p_{\eta}}, \quad (11)$$

where $\lambda = 1, \dots, \nu, \eta = 1, \dots, \mu$, and $\nu + \mu = N$. The CEH (10) and (11) can be easily extended to the discrete system, which can be expressed as

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$$\frac{\partial H}{\partial q_{\lambda}} = \sum_{s=1}^{N} \left(\dot{q}_{s} \frac{\partial p_{s}}{\partial q_{\lambda}} - \dot{p}_{s} \frac{\partial q_{s}}{\partial q_{\lambda}} \right), \qquad (12)$$

$$\frac{\partial H}{\partial p_{\eta}} = \sum_{s=1}^{N} \left(\dot{q}_s \frac{\partial p_s}{\partial p_{\eta}} - \dot{p}_s \frac{\partial q_s}{\partial p_{\eta}} \right), \quad (13)$$

where $\lambda = 1, \dots, \nu, \eta = 1, \dots, \mu, \nu + \mu = N$, the generalized momenta are defined as

$$p_i = \frac{\partial L}{\partial \dot{q}_i},\tag{14}$$

with $L = \int_{-\infty}^{\infty} l d^{D} \mathbf{r}$ being the Lagrangian, and the Hamiltonian is obtained by Legendre transformation as

$$H = \sum_{i=1}^{n} \dot{q}_i p_i - L.$$
 (15)

For the SODS, all the generalized coordinates and the generalized momenta are independent, the new CEH (12) and (13) are automatically reduced to the conventional CEH (4) and (5).

We have shown that the FODS can only be expressed by the new CEH, but do not by the conventional CEH, while the SODS can be done by both the new and the conventional CEHs. We have also shown that the NLSE can be expressed by the new CEH in a consistent way if the propagation coordinate z in the NLSE is considered to be the evolution coordinate.

APPLICATION OF THE NEW 3 **CEH TO LIGHT-ENVELOPE** PROPAGATIONS

Different from the case of the NLSE, the Hamiltonian density of the NNLSE contains the convolution between the response function $R(\mathbf{r})$ and the modulus square of the light-envelope $\varphi(\mathbf{r}, z)$. Following the procedure in Sec. 2, it can be easily proved that the NNLSE can also be expressed with the new CEH in a consistent way if the propagation coordinate z in the model is considered to be the evolution coordinate.

Based on the new CEH, we now introduce a new approach to deal with the nonlinear light-envelope propagations.

We assume the trial solution of the form as

$$\varphi(r,z) = q_A(z) \exp\left[-\frac{r^2}{q_w^2(z)}\right] \exp\left[iq_c(z)r^2 + iq_\theta(z)\right],$$
(16)

where q_A, q_θ are the amplitude and phase of the complex amplitude of the light-envelope, respectively, q_w is the width of the light-envelope, q_c is the phase-front curvature, and they all vary with the propagation distance (the evolution coordinate) *z*. The response function of materials is assumed as

$$R(\mathbf{r}) = \frac{1}{(\sqrt{\pi}w_m)^D} \exp\left(-\frac{r^2}{w_m^2}\right).$$
(17)

Inserting the trial solution (16) and the response function (17) into the Lagrangian density

$$l = \frac{i}{2} \left(\varphi^* \frac{\partial \varphi}{\partial z} - \varphi \frac{\partial \varphi^*}{\partial z} \right) - |\nabla_{\perp} \varphi|^2 + \frac{1}{2} |\varphi(\mathbf{r}, z)|^2 \Delta n(\mathbf{r}, z), \quad (18)$$

and performing the integration $L = \int_{-\infty}^{\infty} l d^{D} \mathbf{r}$ we obtain

$$L = -2^{-2-D} \pi^{D/2} q_A^2 q_w^{-2+D} (w_m^2 + q_w^2)^{-D/2} [-2q_A^2 q_w^{2+D} +2^{D/2} (w_m^2 + q_w^2)^{D/2} (4D + 4Dq_c^2 q_w^4 + Dq_w^4 \dot{q}_c + 4q_w^2 \dot{q}_{\theta})], \quad (19)$$

which is a function of generalized coordinates, q_A, q_w, q_c and generalized velocities, $\dot{q}_c, \dot{q}_{\theta}$ (The dot above the variable indicates the derivative with respect to the evolution coordinate z), but not an explicit function of the evolution coordinate z. Eq. (16) can be understood as a "coordinate transformation". Through such a transformation (of course, this is not a real coordinate transformation in the rigorous sense in mathematics), the coordinate system consist of a set of generalized coordinates φ and φ * is transformed to that consist of another set of generalized coordinates q_A , q_w , q_c , and q_{θ} , and the Lagrangian density expressed by Eq. (18) in the continuous system is transferred to the Lagrangian expressed by Eq. (19) in the discrete system at the same time via the integration $L = \int_{-\infty}^{\infty} l d^D \mathbf{r}.$

Then the generalized momenta can be obtained by definition (14) as follows

$$p_A = p_w = 0, \qquad (20)$$

$$p_c = -2^{-2-\frac{D}{2}} D \pi^{D/2} q_A^2 q_w^{2+D}, \qquad (21)$$

$$p_{\theta} = -\left(\frac{\pi}{2}\right)^{D/2} q_A^2 q_w^D. \tag{22}$$

The Hamiltonian of the system then can be determined by Legendre transformation (15)

$$H = 2^{-1-D} \pi^{D/2} q_A^2 q_w^{-2+D} (w_m^2 + q_w^2)^{-D/2} [-q_A^2 q_w^{2+D} + 2^{1+\frac{D}{2}} D(w_m^2 + q_w^2)^{D/2} (1 + q_c^2 q_w^4)],$$
(23)

and can be proved to be a constant, i.e. $\dot{H} = 0$.

There are four generalized coordinates and four generalized momenta in the four equations (20), (21) and (22). So the degree of freedom of the set of equations (20), (21) and (22) is four. Without loss of generality, we take q_c, q_{θ}, p_c and p_{θ} as the independent variables. By solving Eqs.(21) and (22), the generalized coordinates q_A and q_w can be expressed by generalized momenta p_c and p_{θ} as $q_A = (-p_{\theta})^{1/2} [Dp_{\theta}/(2\pi p_c)]^{D/4}$ and $q_w = [4p_c/(Dp_{\theta})]^{1/2}$, and inserting this result into the Hamiltonian (23) yields

$$H = -\frac{D^2 p_{\theta}^2 + 16 p_c^2 q_c^2}{4 p_c} - \frac{1}{2} \pi^{-D/2} \left(\frac{4 p_c}{D p_{\theta}} + w_m^2\right)^{-D/2}.$$
 (24)

By use of the canonical equations of Hamilton (12) and (13) in the case that $\mu = \nu = 2$ and n = 4 because there are only two independent generalized coordinates and two independent generalized momenta, we can obtain the following four equations

$$\dot{q}_{c} = \frac{D^{2} p_{\theta}^{2}}{4 p_{c}^{2}} - 4 q_{c}^{2} + \frac{D \pi^{-D/2} p_{\theta}^{2} (\frac{4 p_{c}}{D p_{\theta}} + w_{m}^{2})^{-D/2}}{4 p_{c} + D p_{\theta} w_{m}^{2}}, \quad (25)$$

$$\dot{c} = \frac{(4 + D) \pi^{-D/2} p_{c} p_{\theta} (\frac{4 p_{c}}{D p_{\theta}} + w_{m}^{2})^{-D/2}}{4 p_{c} p_{0} p_{0}$$

$$-\frac{4p_c + Dp_{\theta}w_m^2}{-\frac{D^2 p_{\theta}}{2p_c}} - \frac{D\pi^{-D/2} p_{\theta}^2 w_m^2 (\frac{4p_c}{Dp_{\theta}} + w_m^2)^{-D/2}}{4p_c + Dp_{\theta}w_m^2}, \quad (26)$$

$$\dot{p}_c = 8p_c q_c, \tag{27}$$

$$p_{\theta} = 0. \tag{28}$$

It can be found that the generalized coordinate q_{θ} is not contained in the Hamiltonian (24), then q_{θ} is a cyclic coordinate. It is known that the generalized momentum conjugate to a cyclic coordinate is conserved (Goldstein et al., 2001). Therefore, the generalized momentum p_{θ} conjugate to the generalized coordinate q_{θ} is a constant, which can be confirmed by Eq.(28). In fact, this represents that the power of the light-envelope,

$$P_0 = \int_{-\infty}^{\infty} |\boldsymbol{\varphi}|^2 d^D \mathbf{r} = q_A^2 (\sqrt{\pi/2} q_w)^D, \quad (29)$$

is conservative. Then we can obtain

 \dot{q}_{θ}

$$q_A^2 = P_0(\sqrt{\pi/2}q_w)^{-D}.$$
 (30)

Taking the derivative with respect to z on both sides of Eq.(21), then comparing it with Eq.(27), we can obtain with the aid of Eq.(30)

$$q_c = \frac{\dot{q}_w}{4q_w}.$$
(31)

Then by substituting Eq.(31) into the Hamiltonian (23) with the aid of Eq.(30), we have H = T + V, where

$$T = \frac{1}{16} D P_0 \dot{q}_w^2, (32)$$

$$V = \frac{DP_0}{q_w^2} - \frac{1}{2}\pi^{-D/2}P_0^2 \left(w_m^2 + q_w^2\right)^{-D/2}$$
(33)

are the generalized kinetic energy and the generalized potential of the Hamiltonian system, respectively.

Now we can observe that the dynamics of the light-envelopes in nonlinear media can be treated as problems of small oscillations of a Hamiltonian system about positions of equilibrium from the Hamiltonian point of view. The equilibrium state of the system described by the Hamiltonian given together by Eqs. (32) and (33) corresponds to the soliton solutions of the NNLSE, and can be obtained as the extremum points of the generalized potential of the Hamiltonian system. An equilibrium position is classified as stable if a small disturbance of the system from equilibrium results in small bounded motion about the rest position. The equilibrium is unstable if an infinitesimal disturbance eventually produces unbounded motion (Goldstein et al., 2001). It can be readily seen that when the extremum of the generalized potential is a minimum the equilibrium must be stable, otherwise, the equilibrium must be unstable. In this sense, therefore, the viewpoint in some literatures (Seghete et al., 2007; Picozzi and Garnier, 2011; Lashkin et al., 2007; Petroski et al., 2007), where solitons were regarded as the extremum of the Hamiltonian itself rather than the generalized potential of the Hamiltonian system, would be some ambiguous. Because in those literatures (Seghete et al., 2007; Picozzi and Garnier, 2011; Lashkin et al., 2007; Petroski et al., 2007) the trial solution has a changeless profile (solitonic profile), the state expressed with the solitonic profile is the static state. The kinetic energy of the static state is zero, and the Hamiltonian is equal to the potential of the static state. In this connection, the extremum of the Hamiltonian equals to the extremum of the generalized potential of the static system only in value. Although the soliton solutions obtained in such literatures (Seghete et al., 2007; Picozzi and Garnier, 2011; Lashkin et al., 2007; Petroski et al., 2007) are correct, it is more reasonable to consider the soliton solutions of the NNLSE as the extremum points of the generalized potential of the Hamiltonian system.

In order to find the equilibrium position (the soliton solution), letting $\partial V/\partial q_w = 0$, we have

$$-\frac{32}{q_w^3} + 8\pi^{-D/2} P_0 q_w \left(w_m^2 + q_w^2\right)^{-1 - \frac{D}{2}} = 0.$$
(34)

We can easily obtain the critical power

$$P_c = \frac{4\pi^{D/2} \left(w_m^2 + q_w^2\right)^{1+\frac{D}{2}}}{q_w^4},$$
 (35)

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with which the light-envelope will propagate with a changeless shape. In addition, when $P_0 = P_c$, it can be easily obtained that $\dot{q}_c = q_c = 0$, which implies that the wavefront of the soliton solution is a plane.

Then we elucidate the stability characteristics of the soliton by analysing the properties of the generalized potential V. Performing the second-order derivative of the generalized potential V with respect to q_w , then inserting the critical power into it, we obtain

$$\mathbf{r} \equiv \left. \frac{\partial^2 V}{\partial q_w^2} \right|_{P_0 = P_c} = \frac{64}{q_w^4} \left[2 - \frac{2 + D}{2(1 + \sigma^2)} \right], \quad (36)$$

where $\sigma = w_m/q_w$ is the degree of nonlocality. The larger is σ , the stronger is the degree of nonlocality. When $\Upsilon > 0$, the generalized potential has a minimum, and the soliton is stable. From Eq.(36) we can obtain the criterion for the stability of solitons, that is

$$\sigma^2 > \frac{1}{4}(D-2),$$
 (37)

which is, in fact, consistent with the Vakhitov-Kolokolov (VK) criterion (Vakhitov and Kolokolov, 1975)

3.1 The Local Case

When $w_m \rightarrow 0$, the response function $R(\mathbf{r}) \rightarrow \delta(\mathbf{r})$, then the NNLSE will be reduced to the NLSE (3). In this case, Eqs. (35) and (36) are reduced to

$$P_c = 4\pi^{D/2} q_w^{D-2}, \Upsilon = \frac{32}{q_w^4} (2-D).$$
(38)

When D = 1, the critical power is deduced to $P_c = 4\sqrt{\pi}/q_w$, which is consistent with Eq.(42) of Ref. (Anderson, 1983). When D = 2, the critical power is deduced to $P_c = 4\pi$, which is the same as Eq.(16a) of Ref. (Desaix et al., 1991). We can obtain $\Upsilon > 0$ when D < 2, $\Upsilon < 0$ when D > 2, and $\Upsilon = 0$ when D = 2. So for the local case, the soliton is stable for (1+1)-dimensional case, but unstable when D > 2. It needs the further analysis for the case of D = 2 because $\Upsilon = 0$. When D = 2, the generalized potential (33) from the Hamiltonian point of view is deduced to

$$V = \frac{(4\pi - P_0) P_0}{2\pi q_w^2},$$
(39)

which has no extreme when $P_0 \neq 4\pi$. When $P_0 = P_c = 4\pi$, it can be obtained that V = 0, which is the extreme but not the minimum. So the (1+2)-dimensional local solitons are unstable. The relation between the potential V and the width q_w of the light-envelope is shown in Fig.1. If the power of the light-envelope equals to the critical power, the potential will be a constant, as can be seen by dash curve of Fig.(1). Without the external disturbance, the light-envelope will stay in its initial state, and keep its width changeless. But the ideal condition without external disturbances can not exist in fact. If the external disturbance makes the power larger than the critical power, then the system will evolve towards the lower potential, the beam width will become more and more smaller, and the optical beam will collapse at last, as can be confirmed by the dash-dot curve of Fig.1. If the external disturbance makes the power smaller than the critical power, then the system will also evolve towards the lower potential, the beam width will become more and more larger, and the optical beam will diffract at last, as can be confirmed by the solid curve of Fig.1. These conclusions are consist with those of Refs. (Berge, 1998; Moll et al., 2003; Sun et al., 2008).



Figure 1: Qualitative plot of the potential *V* as a function of q_w for three cases, $P_0 < P_c$ (solid curve), $P_0 = P_c$ (dashed curve), and $P_0 > P_c$ (dash-dot curve) when D = 2.

3.2 The Nonlocal Case

For the nonlocal case, when $D \leq 2$, the condition (37) can be satisfied automatically. That is to say the (1+1)-dimensional and the (1+2)-dimensional nonlocal solitons are always stable when the response function of the material is a Gaussian function. It is consistent with the conclusion of Ref. (Bang et al., 2002). When D > 2 the solitons can be stable only if the the degree of nonlocality is strong enough that can satisfy the criterion (37), which is also the same as the result of Ref.(Bang et al., 2002).

4 TWO REMARKS

At the end, we make two remarks on the new approach in dealing with the nonlinear light-envelope propagations presented in the paper. Firstly, the new approach is based on the new CEH (12) and (13), and we will show that the conventional CEH (4) and (5) will yield contradictory and inconsistent results. Secondly, we will compare our approach with the variational approach, and discuss the differences between them.

4.1 Contradictory Results Coming from the Conventional CEH

Here we use the conventional CEH (4) and (5) to deal with the light-envelope propagated in nonlinear media, following the same procedure in Sec. 3, and show that the conventional CEH (4) and (5) will give the contradictory and inconsistent results.

Without loss of generality, we only take the NLSE (3) with D = 1 as an example. The NLSE is a special case of the NNLSE when w_m approaches to zero. Then letting $w_m = 0$ and D = 1 makes Hamiltonian (23) reduced into

$$H = \frac{\sqrt{\pi}q_A^2 \left[2\sqrt{2} - q_w^2 \left(q_A^2 - 2\sqrt{2}q_w^2 q_c^2 \right) \right]}{4q_w}.$$
 (40)

Because the Hamiltonian is only the function of the generalized coordinates, the CEH (4), the right hand side of which is the derivative of the Hamiltonian with respect to the generalized momentum, can yield nothing unless $\dot{q}_c = \dot{q}_\theta = \dot{q}_A = \dot{q}_w = 0$. It means the four quantities are all the conserved quantities. This result coming from the CEH (4) is obviously wrong because such quantities as the amplitude q_A , the width q_w and the phase-front curvature q_c all generally vary with the evolution coordinate *z* except for the soliton state, and q_{θ} , the phase of the complex amplitude of the light-envelope, must be the function of *z* even for the soliton state.

From the other CEH (5), four equations can be obtained as

$$\dot{p}_c = -\frac{\partial H}{\partial q_c} = -\sqrt{2\pi}q_A^2 q_c q_w^3, \tag{41}$$

$$\dot{p}_{\theta} = -\frac{\partial H}{\partial q_{\theta}} = 0,$$
(42)

$$\dot{p}_{A} = -\frac{\partial H}{\partial q_{A}} = \frac{\sqrt{\pi}q_{A}\left(-\sqrt{2}+q_{A}^{2}q_{w}^{2}-\sqrt{2}q_{c}^{2}q_{w}^{4}\right)}{q_{w}},$$
 (43)

$$\dot{p}_{w} = -\frac{\partial H}{\partial q_{w}} = \frac{\sqrt{\pi}q_{A}^{2}\left(2\sqrt{2} + q_{A}^{2}q_{w}^{2} - 6\sqrt{2}q_{c}^{2}q_{w}^{4}\right)}{4q_{w}^{2}}.$$
 (44)

Substitution of the generalized momenta p_c given by Eq. (21) into Eq. (41) yields the same result as Eq. (31). Then inserting Eq. (31) into the Hamiltonian (40) gives out $H = \frac{P_0}{16} \left(\dot{q}_w^2 + \frac{16}{q_w^2} - \frac{8P_0}{\sqrt{\pi}q_w} \right)$. The Hamiltonian is the sum of the generalized kinetic energy and the generalized potential $V(q_w) = \frac{P_0}{2} \left(\frac{2}{q_w^2} - \frac{P_0}{\sqrt{\pi}q_w} \right)$, which is also the same as Eq. (33) when D = 1 and $w_m = 0$. Therefore, the critical power, corresponding to the extremum point of the generalized potential, $P_c = \frac{4\sqrt{\pi}}{q_w}$ is the same as Eq. (35) when D = 1and $w_m = 0$. It can also be found that Eq. (42) is the same as Eq. (28), which means that the power of the light-envelope is conservative. Although the first two equations, Eqs. (41) and (42), of a set of equations resulting from CEH (5) can give out the correct results, the other two equations, Eqs. (43) and (44), will yield the contradictory and inconsistent results. Let us show as follows. Inserting Eq. (20) into Eqs.(43) and (44) yields

$$P_0 = \frac{8\sqrt{\pi}}{5q_w},\tag{45}$$

$$q_c = \sqrt{\frac{3}{5} \frac{1}{q_w^2}}.$$
 (46)

Obviously, the two results given by Eqs. (45) and (46) are both wrong. Under the assumption of the light-envelope with the form of Gaussian-shape given by Eq. (16), the power carried by the light-envelope should be $P_0 = \sqrt{\pi/2}q_A^2q_w$ given by Eq. (29), with which Eq. (45) is contradictory and inconsistent. Eq. (46) gives the fixed relation between q_c and q_w . But the phase-front curvature, q_c , should be changed depending upon the state of the light-envelope, especially q_c should be zero for the soliton state, with which Eq. (46) is inconsistent.

It is no surprise to obtain such contradictory and inconsistent results from the canonical equations of Hamilton (4) and (5), since both the NNLSE (1) and its complex conjugation can not be derived from the canonical equations of Hamilton (6) and (7) as stated in Sec. 2.

4.2 Our Approach vs the Variational Approach: Same and Different

As mentioned above, our approach presented in this paper is based on the canonical equations of Hamilton (the Hamiltonian formulation), while the variational approach (Anderson, 1983) is based on the Euler-Lagrange equations (the Lagrangian formulation). Although the same point of the two approaches is to first compute the Lagrangian of the system by using a suitably chosen trial function, they are in essence two parallel methods because the Hamiltonian formulation and the Lagrangian formulation are two parallel theory frameworks in the classical mechanics.

The most important concept in our approach is the "potential". The potential given by Eq. (33) is the real "potential" of the system that a single light-envelope propagates in nonlocal nonlinear media modeled by the NNLSE. It is not, of course, the potential of the narrow-sense mechanical system, but does be the potential in the frame of the Hamiltonian theory, that is, the potential of the Hamiltonian system. In other word, it is the potential from the Hamiltonian point of view. Looking back to the variational approach, we can observe that although the "potential" was also introduced [see, Eqs. (28) and (29) in Ref. (Anderson, 1983)], it is just a mathematically equivalent potential in the sence that the evolution of the width of the light-envelope can be analogous to that of a particle in a potential well, rather than the real "potential" of the system.

5 CONCLUSIONS

We introduce a new approach, based on the new canonical equations of Hamilton found by us recently, to analytically obtain the approximate solution of the nonlocal nonlinear Schrödinger equation and to analytically discuss the stability of the soliton. For the single light-envelope propagated in nonlocal nonlinear media modeled by the NNLSE, the Hamiltonian of the system can be constructed as the sum of the generalized kinetic energy and the generalized potential. The extreme point of the generalized potential corresponds to the soliton solution of the NNLSE. The soliton is stable when the generalized potential has the minimum, and unstable otherwise. In addition, we give the rigorous proof of the equivalency between the NNLSE and the Euler-Lagrange equation on the premise of the response function with even symmetry.

ACKNOWLEDGEMENTS

This research was supported by the National Natural Science Foundation of China, Grant Nos. 11274125 and 11474109.

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