# Ab initio Splice Site Prediction with Simple Domain Adaptation Classifiers

#### Nic Herndon and Doina Caragea

Computing and Information Sciences, Kansas State University, Manhattan, KS 66506, U.S.A.

Keywords: Splice Site Prediction, Domain Adaptation, Imbalanced Data, Logistic Regression, Naïve Bayes.

Abstract: The next generation sequencing technologies (NGS) have made it affordable to sequence any organism, opening the door to assembling new genomes and annotating them, even for non-model organisms. One option for annotating a genome is to assemble RNA-Seq reads into a transcriptome and aligning the transcriptome to the genome assembly to identify the protein-encoding genes. However, there are a couple of problems with this approach. RNA-Seq is error prone and therefore the gene models generated with this technique need to be validated. In addition, this method can only capture the genes expressed at the time of sequencing. Machine learning can help address both of these problems by generating ab initio gene models that can provide supporting evidence to the models generated with RNA-Seq, as well as predict additional genes that were not expressed during sequencing. However, machine learning algorithms need large amounts of labeled data to learn accurate classifiers, and newly sequenced, non-model organisms have insufficient labeled data. This can be addressed by leveraging the abundant labeled data from a related model-organism (the source domain) and use it in conjunction with the little labeled data from the organism of interest (the target domain) to train a classifier in a domain adaptation setting. The method we propose uses this approach and generates accurate classification on the task of splice site prediction - a difficult and essential step in gene prediction. It is simple - it combines source and target labeled data, with different weights, into one dataset, and then trains a supervised classifier on the combined dataset. Despite its simplicity it is surprisingly accurate, with highest areas under the precision-recall curve between 53.33% and 83.57%. Out of the domain adaptation classifiers evaluated (SVM, naïve Bayes, and logistic regression) this method produced the best results in 12 out of the 16 cases studied.

# **1 INTRODUCTION**

Recently a number of domain adaptation algorithms have been proposed to address the lack of labeled data in the domain of interest, the target domain, by leveraging plentiful labeled data from a related domain, the source domain, and in some cases the large volume of unlabeled data available from the target domain. One application that meets this criteria – lacking labeled data and with abundant labeled data in a similar domain – that is tackled by these algorithms is splice site prediction. This was enabled by the next generation sequencing technologies, which allow faster and cheaper sequencing of DNA and RNA than the previously used Sanger technology, leading to advances in the field of genomics.

With NGS, short DNA read fragments are used to generate genome assemblies. Similarly, RNA fragments are assembled into transcriptomes. The transcriptome is then used as evidence when annotating a genome, by mapping it along that genome. This helps determine the location and structure of the proteinencoding genes. One of the disadvantages of this method is that RNA-Seq reads are generated only from the genes expressed at the time of sequencing in the tissue analyzed, leaving out of the transcriptome some of the protein-encoding genes.

In addition, assembling the transcriptome is not error proof. NGS technologies speed up the sequencing of DNA and RNA molecules, but do so at the expense of read length and accuracy. They generate shorter reads than previous sequencing technologies (e.g., Sanger) with much higher error rates. The common practice to address these issues is to trim the low quality ends of the reads, remove reads with low scores, and require higher depth of coverage. The remaining reads are then assembled into a genome (for DNA reads) or transcriptome (for RNA reads). These assemblies are not 100% accurate. Therefore, annotating a genome with a transcriptome generated from

Herndon, N. and Caragea, D.

Ab initio Splice Site Prediction with Simple Domain Adaptation Classifiers

DOI: 10.5220/0005710502450252

Copyright © 2016 by SCITEPRESS – Science and Technology Publications, Lda. All rights reserved

In Proceedings of the 9th International Joint Conference on Biomedical Engineering Systems and Technologies (BIOSTEC 2016) - Volume 3: BIOINFORMATICS, pages 245-252 ISBN: 978-989-758-170-0

RNA-Seq reads should be validated by independent methods (Steijger et al., 2013).

Domain adaptation algorithms can provide a means to validate the gene models produced with this technique, as well as generate gene models for genes missed by RNA-Seq. Such classifiers can accomplish this despite the difficult nature of the splice site prediction problem – a highly imbalanced problem, where only a small ratio of the GT and AG dimers within a genome are splice sites (Sonnenburg et al., 2007). These are the donor and acceptor canonical splice sites, respectively. Even though this type of problem is difficult for every type of classifier – supervised or semi-supervised – not just domain adaptation, the existing algorithms, discussed in Section 2, achieved good results.

In this work, we propose an algorithm that surpasses these results. It is a simple, yet surprisingly accurate method, presented in detail in Section 3.1. With this method we combine data from two organisms into one dataset. Each organism is assigned a different weight. The resulting dataset is then used to train a supervised classifier for the organism of interest. To evaluate this algorithm we tested it with data from C.elegans, the source domain, and four target domains at increasing evolutionary distance from this source: C.remanei, P.pacificus, D.melanogaster, and A.thaliana - data described in Section 3.2. From the results shown in Section 4, we can infer that this method is a viable ab initio splice site prediction technique. It generated highest average areas under the precision-recall curve (auPRC) for the positive class between 53.33% for distantly related organisms and 83.57% for closely related ones.

### 2 RELATED WORK

Most of the research on *ab initio* splice prediction focused on supervised learning approaches. Most methods proposed used either support vector machines, (Baten et al., 2006; Li et al., 2012; Sonnenburg et al., 2007; Zhang et al., 2006), Bayesian networks, (Cai et al., 2000), hidden Markov models, (Baten et al., 2007), or Bahadur expansion truncated at the second order, (Arita et al., 2002). However, as these methods employ supervised classifiers, they generally require lots of labeled data to generate accurate predictions.

Other methods evaluated the use of semisupervised classifiers for this task. One study investigated one of the main factors that affects the performance of an expectation-maximization semisupervised algorithm – the highly imbalanced class distribution (Stanescu and Caragea, 2014b). The authors studied the effects of the level of imbalance on the accuracy of the classifier, and recommended different ways to address it: adding only instances from the minority class at each iteration, balancing the class ratio through oversampling, and splitting the data into balanced subsets by undersampling and then training an ensemble of classifiers on these datasets. In their subsequent study (Stanescu and Caragea, 2014a), they further analyzed ensemble-based semisupervised learning approaches, and recommended using an ensemble of self-training classifiers that add at each iteration only instances from the minority class. However, considering the highly imbalanced nature of the problem and the lack of sufficient labeled data, the accuracy of these classifiers was not very high, with the highest auPRC of 54.78% for the best classifier.

For domain adaptation setting, there are several studies. One proposed an iterative domain adaptation algorithm derived from naïve Bayes, that used source data, and target labeled and unlabeled data (Herndon and Caragea, 2014b). Although it performed well on the task of protein localization, it produced unsatisfactory results for splice site prediction. Their first updated version (Herndon and Caragea, 2014a) produced promising results for splice site prediction with highest auPRC between 43.20% for distant domains and 78.01% for related domains. Later, they achieved even better results, with best auPRCs between 50.83% and 82.61%, (Herndon and Caragea, 2015). Another study for splice site prediction proposed a modified version of the k-means clustering algorithm that considered the commonalities between the source and target domains (Giannoulis et al., 2014). This algorithm was not very accurate though. Its best area under receiver operating characteristic curve (auROC) was below 70%. One of the best methods for splice site prediction in this setting, used a support vector machine classifier, with highest auPRC values between 49.75% and 79.02%, (Schweikert et al., 2009).

There are also evidence-based methods, such as TWINSCAN (Korf et al., 2001), CONTRAST (Gross et al., 2007), TrueSight (Li et al., 2013), and using single-molecule transcript sequencing (Minoche et al., 2015). It is however unfair to compare these with *ab initio* methods, as they use mRNA evidence to generate their models, whereas *ab initio* methods do not.

### **3 METHOD AND MATERIALS**

#### 3.1 Proposed Method

Let the set of independently generated training instances be represented by  $X \in \mathbb{R}^{m \times n}$  and their corresponding labels by  $y \in \mathcal{Y}^m$ ,  $\mathcal{Y} = \{0, 1\}$ , where *m* is the number of training instances and *n* is the number of features.

Given a set of training instances from the source domain,  $\mathcal{D}_S = (X_S, y_S)$ , where  $X_S \in \mathbb{R}^{m_S \times n}$  and  $y_S \in \mathcal{Y}^{m_S}$ , and a set of training instances from the target domain,  $\mathcal{D}_T = (X_T, y_T)$ , where  $X_T \in \mathbb{R}^{m_T \times n}$  and  $y_T \in \mathcal{Y}^{m_T}$ , create an empty dataset  $\mathcal{D} = (X, y)$ , where  $X \in \mathbb{R}^{(m_S+m_T) \times n}$  and  $y \in \mathcal{Y}^{m_S+m_T}$ . For each instance  $(x_i, y_i) \in \mathcal{D}_S$  multiply its weight by  $w_S$ , then add this instance to the new dataset,  $\mathcal{D}$ . Similarly, for each instance  $(x_j, y_j) \in \mathcal{D}_T$  multiply its weight by  $w_T$ , then add it to the new dataset,  $\mathcal{D}$ . Then, train a supervised classifier on this combined dataset,  $\mathcal{D}$ . In our experiments we used WEKA implementations of the regularized logistic regression (Le Cessie and Van Houwelingen, 1992), and naïve Bayes (John and Langley, 1995) classifiers.

#### 3.2 Data Sets

We evaluated our proposed method using the same dataset<sup>1</sup> as in the previous related domain adaptation studies, dataset that was first introduced in (Schweikert et al., 2009). It contains DNA sequences from one source organism, C.elegans, and four target organisms at increasing evolutionary distance from source, C.remanei, P.pacificus, D.melanogaster, and A.thaliana. For the source organism there is one dataset with 100,000 instances, and for each target organism there are three folds of 1,000, 2,500, 6,500, 16,000, 25,000, 40,000, and 100,000 instances used for training, and three folds of 20,000 instances used for testing. Each instance is a 141 nucleotides long DNA sequence, with the AG dimer at the sixty-first position, and the label for each instance indicates whether this dimer is an acceptor splice site (positive) or not (negative). In each file about 1% of instances are positive and the remaining are negative.

#### **3.3 Experimental Setup**

From this dataset, to compare our proposed method with previous methods, we used the three folds of

2,500, 6,500, 16,000, and 40,000 instances as target labeled data. Note that the method proposed by (Herndon and Caragea, 2014a), also uses the three folds of 100,000 instances from the target organisms as unlabeled data, which have the potential to increase the accuracy of the classifier.

We use the same representation for the data as in (Herndon and Caragea, 2014a; Herndon and Caragea, 2015). Namely, we convert the DNA sequences into two types of features, nucleotides and trimers, along with their position within the sequence. In one set of experiments we represent the data with nucleotide features only, and in the other we represent it with both types of features. For example, using nucleotide and trimer features, a DNA sequence starting with TTCTAAGCG... and class 1 would be represented in WEKA ARFF format as:

```
@RELATION rel
@ATTRIBUTE NUCLEOTIDE_1 {A,C,G,T}
...
@ATTRIBUTE NUCLEOTIDE_141 {A,C,G,T}
@ATTRIBUTE TRIMER_1 {AAA,AAC,...,TTT}
...
@ATTRIBUTE TRIMER_139 {AAA,AAC,...,TTT}
@ATTRIBUTE cls {1,-1}
@DATA
T,T,C,T,A,A,G,C,G,...,TTC,TCT,CTA,...,1
```

We would like to note that the trimer features are not independent of each other. Each trimer has nucleotides in common with the overlapping neighboring trimers – two to five neighbors, depending on the position of the trimer. The trimers at each end of a sequence have nucleotides in common with two neighboring trimers. The trimers in the middle, have nucleotides in common with at most five neighbors. This does not violate the independence assumption of the naïve Bayes classifiers. These classifiers still assume that all features are independent of each other.

To find the optimal parameters' values we did a grid search for  $w_S, w_T \in \{0.1, 0.2, ..., 1\}$ , using the target datasets of 100,000 instances for validation (same as was done in the method proposed by (Herndon and Caragea, 2015)). For our proposed method we:

- 1. Trained the classifier with labeled data from the source and target domains.
- 2. Evaluated on the validation dataset and picked the values for  $w_S$  and  $w_T$  that generated best auPRC.
- 3. Tested the classifier with these parameters' values on the target domain.

For the source domain we used the only dataset, with

<sup>&</sup>lt;sup>1</sup>Downloaded from ftp://ftp.tuebingen.mpg.de/ fml/cwidmer/

100,000 instances. For the target domain, for each organism we used:

- For training, one of the three folds of 2,500, 6,500, 16,000 or 40,000 instances.
- For validation, the corresponding fold of 100,000 instances.
- For testing, the corresponding fold of 20,000 instances.

As baselines, we used the naïve Bayes and the logistic regression with regularized parameters classifiers, trained on either 100,000 from *C.elegans*, or one of the three folds of 2,500, 6,500, 16,000, or 40,000 from the target organisms, and tested them on the corresponding fold for that organism. We expect the results of the baseline classifiers will be the lower bound for our proposed method, as we hypothesize that adding data from a related organism should improve the accuracy of the classifier. Note, that whenever we used the logistic regression classifier, for baselines or for our proposed method, we set the ridge parameter to 1,000, as this value led to the best results in (Herndon and Caragea, 2015).

All results are reported in Table 1 as averages of three random train-test splits, to ensure the results are not biased. For evaluating the classifiers we used the area under the precision-recall curve for the minority class, which is the class of interest, since the data are so highly imbalanced (Davis and Goadrich, 2006).

This experimental setup allowed us to evaluate:

- 1. How the following factors influence the performance of our classifier: features, amount of target labeled data, distance between domains, and weights used for source and target data.
- 2. How our proposed method (when using naïve Bayes or regularized logistic regression) compares to other domain adaptation classifiers for the task of splice site prediction, namely, the SVM classifier proposed by (Schweikert et al., 2009), the naïve Bayes classifier proposed by (Herndon and Caragea, 2014a), and the regularized logistic regression proposed by (Herndon and Caragea, 2015).

## 4 RESULTS AND DISCUSSION

In Table 1 we show the auPRC averages over three folds and their standard deviations for the four target organisms for:

• Our proposed method (LR\_SL<sub>S+T</sub> when using the regularized logistic regression and NB\_SL<sub>S+T</sub> when using the naïve Bayes classifier).

- Supervised classifiers used as baselines (LR\_SL<sub>S</sub> and LR\_SL<sub>T</sub> when using the regularized logistic regression classifier trained on source and target data, respectively, and NB\_SL<sub>S</sub> and NB\_SL<sub>T</sub> when using the naïve Bayes classifier trained on source and target data, respectively).
- The domain adaptation with naïve Bayes classifier proposed by (Herndon and Caragea, 2014a) (NB\_DA<sub>S+T+U</sub>). Note that this is the only classifier, from the ones we compared, that used the target unlabeled data in addition to the source and target labeled data.
- The domain adaptation with regularized logistic regression proposed by (Herndon and Caragea, 2015) (LR\_cc).
- The domain adaptation with SVM classifier proposed by (Schweikert et al., 2009) (SVM). Note that this classifier used other features to represent the DNA sequences (i.e., it did not represent them with nucleotides and trimers along with their positions).

Based on these results we make the following observations:

- 1. In terms of the different factors that influence the performance of the classifier:
  - (a) Features: we notice a similar trend for our proposed method as with previous classifiers (Herndon and Caragea, 2014a; Herndon and Caragea, 2015), namely, using simple features (the nucleotides) leads to more accurate classifiers when the source and target domains are distant and there is scarce labeled data in the target domain. Using a combination of simple and complex features (nucleotides and trimers) leads to more accurate classifiers when the source and target domains are closed and there is enough target labeled data. This is expected as trimer features are sparser than nucleotide features, and with less labeled data the classifier performs worse with trimer features as it does not have enough data to learn an accurate classifier.
- (b) Amount of Target Labeled Data: as the amount of target labeled data increases the accuracy of our proposed method increases as well, with one exception, though. For *D.melanogaster*, when using nucleotide and trimer features, we observe the auPRC decreases as the amount of target labeled data increases from 16,000 to 40,000, regardless of the type of supervised classifier we used, naïve Bayes, or regularized logistic regression. It is

Table 1: auPRC values for the minority (i.e., positive) class for four target organisms based on the number of labeled target instances used for training: 2,500, 6,500, 16,000, and 40,000. The LR\_SL classifier is the logistic regression classifier trained on 100,000 instances from the source domain, C.elegans (first and tenth rows); trained on target labeled data (second and eleventh rows); and a combination of source and target labeled data (third and twelfth rows), respectively. LR\_cc (rows forth and thirteenth) is the domain adaptation classifier trained on a combination of source labeled and target labeled data in (Herndon and Caragea, 2015). SVM (ninth rows) is the best overall classifier in (Schweikert et al., 2009), namely SVM<sub>S.T</sub>. Note that the SVM classifier used different features. The NB\_SL classifier is the naïve Bayes classifier trained on 100,000 instances from the source domain (fifth and thirteenth rows); trained on target labeled data (sixth and fourteenth rows); and a combination of source and target labeled data (seventh and fifteenth rows), respectively. NB\_DAS+T+U is the best overall domain adaptation classifier in (Herndon and Caragea, 2014a), A1, trained on a combination of source labeled, target labeled, and target unlabeled data. The best average values for each type of features used is shown in **bold** font. (Note that for *Ppacificus* the best auPRC value when using nucleotides and trimers with 2,500 and 6,500 target labeled instances is 67.10, obtained with the naïve Bayes classifier trained on source data, NB\_SLS.) We would like to highlight that when the source and target domains are close (C.remanei and P.pacificus are close to C.elegans), the best overall classifier is logistic regression trained on the combination of source labeled and target labeled data (i.e., best auPRC values in six out of eight cases). When the source and target domains are distant (D.melanogaster and A.thaliana are far from C.elegans), the best overall classifier is naïve Bayes trained on the combination of source labeled and target labeled data (i.e., best auPRC values in five out of eight cases).

		(a) C.remane	ei					
Features	Classifier	2,500	6,500	16,000	40,000			
	LR_SL <sub>S</sub>	77.63±1.37						
nucleotides	LR_SLT	31.07±8.72	$54.20 \pm 3.97$	65.73±2.76	$72.93 \pm 1.70$			
	LR_SL <sub>S+T</sub>	$77.65 \pm 1.34$	$77.88{\pm}1.16$	$78.32{\pm}1.29$	$79.00 {\pm} 0.97$			
	LR_cc	77.64±1.39	$77.75 \pm 1.25$	$77.88 {\pm} 1.42$	$78.10{\pm}1.15$			
	NB_SL <sub>S</sub>	63.77±1.30						
	NB_SL <sub>T</sub>	$23.42 \pm 7.39$	$45.44 {\pm} 4.01$	$54.57 {\pm} 2.63$	$59.68 {\pm} 1.62$			
	NB_SL <sub>S+T</sub>	$75.49 \pm 1.39$	$75.56 \pm 1.46$	$75.63 \pm 1.45$	$75.82{\pm}1.32$			
	NB_DA <sub>S+T+U</sub>	59.18±1.17	$63.10 \pm 1.23$	$63.95 {\pm} 2.08$	$63.80{\pm}1.41$			
	SVM	$77.06 \pm 2.13$	$77.80{\pm}2.89$	$77.89 \pm 0.29$	$79.02 \pm 0.09$			
	LR_SL <sub>S</sub>	81.37±2.27						
	LR_SL <sub>T</sub>	$26.93 \pm 9.91$	$55.26 \pm 2.21$	$68.30 \pm 1.91$	$77.33 \pm 2.78$			
	LR_SL <sub>S+T</sub>	81.40±2.25	81.73±1.90	82.62±2.28	83.57±1.76			
nucleotides and trimers	LR_cc	$81.39 \pm 2.30$	$81.47 {\pm} 2.19$	$81.78 {\pm} 2.08$	$82.61 {\pm} 2.00$			
	NB_SL <sub>S</sub>	77.67±2.24						
	NB_SL <sub>T</sub>	$22.94 \pm 4.37$	$58.39 \pm 3.94$	$68.40 \pm 3.37$	$75.75 \pm 1.32$			
	NB_SL <sub>S+T</sub>	81.11±0.73	$81.38 {\pm} 0.34$	$81.51 \pm 0.87$	$82.73 {\pm} 0.52$			
	NB_DA <sub>S+T+U</sub>	$45.29 \pm 2.62$	$72.00 \pm 4.16$	$74.83 \pm 4.32$	$77.07 \pm 4.45$			
(b) <i>P.pacificus</i>								
Features	Classifier	2,500	6,500	16,000	40,000			
	LR_SL <sub>S</sub>	64.20±1.91						
	LR_SLT	$29.87 \pm 3.58$	$49.03 \pm 4.90$	$59.93 \pm 2.74$	$69.10 \pm 2.25$			
	LR_SL <sub>S+T</sub>	$64.72 \pm 1.85$	$65.63{\pm}1.82$	$67.09 \pm 1.29$	$70.76 {\pm} 2.08$			
nucleotides	LR_cc	$64.70 \pm 1.85$	$65.31{\pm}2.10$	$66.76 {\pm} 0.89$	$70.18 {\pm} 2.12$			
	NB_SL <sub>S</sub>	49.12±1.58						
	NB_SL <sub>T</sub>	$19.22 \pm 3.39$	$37.33{\pm}2.65$	$45.33{\pm}2.28$	$52.84{\pm}2.06$			
	NB_SL <sub>S+T</sub>	$60.67 \pm 1.97$	$61.96 {\pm} 2.04$	$63.04 {\pm} 0.33$	$65.17 {\pm} 2.09$			
	NB_DA <sub>S+T+U</sub>	$45.32{\pm}2.68$	$49.82{\pm}2.58$	$52.09 \pm 2.04$	$54.62 \pm 1.51$			
	SVM	64.72±3.75	$66.39 \pm 0.66$	$68.44 {\pm} 0.67$	$71.00 \pm 0.38$			
nucleotides and trimers	LR_SL <sub>S</sub>	62.37±0.84						
	LR_SL <sub>T</sub>	$28.40 \pm 4.49$	$49.67 {\pm} 2.83$	$62.97 \pm 3.32$	$74.60{\pm}2.85$			
	LR_SL <sub>S+T</sub>	$64.14 {\pm} 0.83$	$66.14 {\pm} 0.55$	70.97±2.03	<b>76.89</b> ±1.75			
	LR_cc	$64.18 \pm 1.10$	$65.49{\pm}1.84$	$69.76 {\pm} 2.08$	$75.82{\pm}2.00$			
	NB_SL <sub>S</sub>	<b>67.10</b> ±1.94						
	NB_SL <sub>T</sub>	$26.39 \pm 3.97$	$48.54 \pm 3.42$	$59.29 {\pm} 2.80$	$68.78 \pm 1.52$			
	NB_SL <sub>S+T</sub>	$64.51 \pm 0.70$	$66.32 {\pm} 0.71$	$69.29 {\pm} 2.00$	$72.54 \pm 0.42$			
	NB DASTT	$20.21 \pm 1.17$	$53.29 \pm 3.08$	$62.33 \pm 3.60$	$69.88 \pm 4.04$			

	1	(a) D m alamaa a	ueu)						
Features	Classifier	2 500	6 500	16 000	40,000				
nucleotides	LK_SLS	$33.8/\pm 2.32$							
	LK_SLT	$19.97 \pm 3.48$	$31.80\pm 3.80$	$42.37\pm2.15$	$50.55 \pm 1.80$				
	LK_SL <sub>S+T</sub>	$41.35 \pm 1.40$	$43.00\pm 3.20$	$49.96 \pm 2.09$	$54.02 \pm 0.95$				
		39.70±2.82	$42.19\pm3.41$	$49.72\pm2.01$	53.43±0.89				
	NB_SLS	31.23±1.03							
	NB_SLT	$14.90\pm2.80$	$26.05 \pm 4.79$	$35.21\pm2.43$	$39.42\pm2.90$				
	NB_SL <sub>S+T</sub>	$45.43 \pm 0.87$	$47.12 \pm 3.86$	$51.73 \pm 1.24$	$52.74\pm2.43$				
	NB_DA <sub>S+T+U</sub>	$33.31\pm3.71$	36.43±2.18	$40.32\pm2.04$	42.3/±1.51				
	SVM	$40.80 \pm 2.18$	3/.8/±3.//	52.33±0.91	58.17±1.50				
nucleotides and trimers	LR_SL <sub>S</sub>	32.23±2.76							
	$LR_{-}SL_{T}$	$15.07 \pm 4.11$	$28.30\pm5.45$	$44.67 \pm 3.23$	$38.43 \pm 32.36$				
	LR_SL <sub>S+T</sub>	$34.97 \pm 2.59$	$37.22 \pm 4.30$	$49.16 \pm 5.11$	$43.03 \pm 22.03$				
	LR_cc	$37.24 \pm 2.20$	40.93±3.79	$50.54 \pm 3.91$	$45.89 \pm 22.25$				
	NB_SL <sub>S</sub>	34.09±2.44							
	NB_SL <sub>T</sub>	$13.87 \pm 2.97$	$25.00 \pm 5.59$	$35.28 \pm 2.14$	$45.85 \pm 3.32$				
	NB_SL <sub>S+T</sub>	<b>46.85</b> ±1.41	<b>50.84</b> ±4.39	<b>56.57</b> ±2.37	$50.15 \pm 14.84$				
	NB_DA <sub>S+T+U</sub>	$25.83 \pm 2.35$	$32.58 \pm 5.83$	$39.10 \pm 1.82$	$47.49 \pm 3.44$				
Features	Classifier	(B) A.thalland 2.500	a 6.500	16.000	40.000				
		12 97 - 2 62	10.93	$\pm 0.21$	$40.22 \pm 4.07$				
		$13.87\pm2.03$ 22.70 $\pm0.02$	$20.03 \pm 3.29$ 31 70 $\pm 2.70$	$36.43 \pm 0.16$ $41.28 \pm 2.64$	$49.33 \pm 4.07$ $40.01 \pm 2.38$				
	LR_SLS+T	$22.79\pm0.92$ 20.67±0.58	$31.70\pm 2.70$ 27.10 $\pm 1.20$	$41.26 \pm 2.04$	$49.91 \pm 2.50$ 40.75 $\pm 2.82$				
nucleotides	ND CL	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$							
5017	NB SL	$7.21\pm0.00$	$17.00 \pm 1.03$	$\pm 0.23$ 28 10 $\pm 4.68$	34 82+4 77				
	NB SL a m	$7.21\pm0.90$ 23 30 $\pm1.18$	$17.90 \pm 1.93$ 30.07 $\pm 2.31$	$20.10\pm4.00$ $30.18\pm2.70$	$34.02 \pm 4.11$				
	NB DA	$18.46\pm1.13$	$30.97 \pm 2.31$ 25.04 $\pm 0.72$	$39.10\pm2.79$ 31 47 $\pm3.56$	$44.00 \pm 3.13$ 36.05 $\pm 3.20$				
	SVM	$10.40 \pm 1.13$	$23.04\pm0.72$	$31.47 \pm 3.50$	$30.95 \pm 3.39$				
nucleotides and trimers		<b>24.21</b> ±3.41	27.30±1.40	36.49±1.39	49./J±1.40				
	LK_SLS	$14.0/\pm0.31$							
	LK_SLT	8.8/±1.84	$21.10\pm4.43$	$38.33 \pm 8.08$	$49.77 \pm 2.77$				
	LK_SL <sub>S+T</sub>	$15.8/\pm0.36$	$23.05 \pm 1.49$	$39.97 \pm 4.39$	$50.00\pm 2.11$				
		$10.42 \pm 1.20$	20.44±2.49	41.35±6.49	30.83±2.28				
	NB_SLS	$13.98 \pm 0.71$							
	NB_SLT	$3.10\pm0.35$	$\delta./0\pm1.00$	$28.21 \pm 1.58$	40.92±3.78				
	NB_SL <sub>S+T</sub>	$21.62 \pm 1.02$	$27.89\pm2.19$	<b>43.52</b> ±6.16	53.33±3.11				
	NB_DA <sub>S+T+U</sub>	$3.99 \pm 0.43$	$13.96 \pm 2.42$	$33.62 \pm 6.31$	$43.20\pm3.78$				

Table 1. (Continued)

interesting to note that for this combination of features used and target domain, the auPRC for the regularized logistic regression classifier also decreases when the amount of target labeled data increases from 16,000 to 40,000. This partially explains this exception for our proposed method when using the logistic regression classifier. Another factor, suggested by the large standard deviation, is that the frequency of features is very different between training and test datasets, especially for trimers, since using only nucleotide features does not exhibit this behavior.

(c) Distance between Domains: as the distance between the source and target domains increases, the contribution from the source data decreases, and the accuracy of our method decreases, which is expected.

- (d) Weight Assigned to Source and Target Data: in regards to the weight assigned to the target labeled data, the best results are obtained when  $w_T$  is set to one, or close to one. For the weight assigned to the source labeled data, when the domains are closely related the best results are for high values of  $w_S$ , but as the distance between domains increases the value for  $w_S$  decreases. It only makes sense to decrease the weight assigned to source data when the distance between domains increases, so these results confirm our intuition.
- 2. In terms of performance, our proposed method produced the best results out of all domain adaptation classifiers compared, when the source and target domains are closely related (for C.remanei

and *P.pacificus*)), using logistic regression with nucleotide and trimer features. It also produced the best results when the domains are distant (for D.melanogaster and A.thaliana), using naïve Bayes with nucleotide and trimer features, in five out of eight cases. This is a similar behavior to the one observed in (Ng and Jordan, 2001), namely that a generative classifier performs better than a discriminative one when there is a small amount of training labeled data. For domain adaptation, when the domains are close the source labeled data contributes a lot to the classifier so a discriminative classifier performs better than a generative one. When the domains are distant, the source labeled data contributes less and a generative classifier performs better than a discriminative one. Another case for which our method produced the best results is for very distant domains (A.thaliana), using logistic regression with nucleotide features, when there is somewhat scarce target labeled data (6,500 instances). There are only two cases in which another domain adaptation classifier, the SVM proposed by (Schweikert et al., 2009), outperformed our proposed method.

## 5 CONCLUSIONS AND FUTURE WORK

In this paper we proposed a simple domain adaptation method to address the lack of or limited amount of labeled data for a target domain, by leveraging the large amount of labeled data from a related domain. We evaluated this method on a biological problem, splice site prediction, a critical step for gene annotation, since many organisms have limited to no labeled data, whereas related, more studied model organisms have large amounts of labeled data.

From our experimental results we made a few observations, such as, in some cases simple features are preferred over complex ones when the latter can lead to sparse representations and decreased accuracy, and vice versa; using more labeled data increases the accuracy of the classifier; and that as the distance between the domains increases the contribution of the source data decreases. More importantly, we observed that our proposed method performed better than previously proposed methods with only a couple of exceptions, recommending it for *ab initio* splice site prediction.

For future work we would like to explore ways to further increase its accuracy. For example, we would like to create balanced subsamples, through undersampling, and then training an ensemble of classifiers on these subsamples. In addition, we would like to experiment with ensembles of classifiers produced by the different methods proposed, on balanced datasets. Another direction for future work is to combine data from multiple organisms and train a classifier for a target organism, i.e., use multiple source domains.

### ACKNOWLEDGEMENTS

This work was supported by an Institutional Development Award (IDeA) from the National Institute of General Medical Sciences of the National Institutes of Health under grant number P20GM103418. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institute of General Medical Sciences or the National Institutes of Health. The computing for this project was performed on the Beocat Research Cluster at Kansas State University, which is funded in part by grants MRI-1126709, CC-NIE-1341026, MRI-1429316, CC-IIE-1440548.

# REFERENCES

- Arita, M., Tsuda, K., and Asai, K. (2002). Modeling splicing sites with pairwise correlations. *Bioinformatics*, 18(suppl 2):S27–S34.
- Baten, A. K., Chang, B. C., Halgamuge, S. K., and Li, J. (2006). Splice site identification using probabilistic parameters and svm classification. *BMC bioinformatics*, 7(Suppl 5):S15.
- Baten, A. K., Halgamuge, S. K., Chang, B., and Wickramarachchi, N. (2007). Biological sequence data preprocessing for classification: A case study in splice site identification. In *Advances in Neural Networks–ISNN* 2007, pages 1221–1230. Springer.
- Cai, D., Delcher, A., Kao, B., and Kasif, S. (2000). Modeling splice sites with bayes networks. *Bioinformatics*, 16(2):152–158.
- Davis, J. and Goadrich, M. (2006). The relationship between precision-recall and roc curves. In Proceedings of the 23rd international conference on Machine learning, pages 233–240. ACM.
- Giannoulis, G., Krithara, A., Karatsalos, C., and Paliouras, G. (2014). Splice site recognition using transfer learning. In SETN, pages 341–353. Springer.
- Gross, S. S., Do, C. B., Sirota, M., and Batzoglou, S. (2007). Contrast: a discriminative, phylogeny-free approach to multiple informant de novo gene prediction. *Genome biology*, 8(12):R269.
- Herndon, N. and Caragea, D. (2014a). Empirical Study of Domain Adaptation Algorithms on the Task of Splice Site Prediction. Communications in Computer and Information Science (CCIS 2014). Springer-Verlag.

- Herndon, N. and Caragea, D. (2014b). Predicting protein localization using a domain adaptation approach. In *Biomedical Engineering Systems and Technologies*, pages 191–206. Springer.
- Herndon, N. and Caragea, D. (2015). Domain adaptation with logistic regression for the task of splice site prediction. In 11th International Symposium on Bioinformatics Research and Applications, ISBRA 2015, pages 125–137.
- John, G. H. and Langley, P. (1995). Estimating continuous distributions in bayesian classifiers. In *Proceedings* of the Eleventh conference on Uncertainty in artificial intelligence, pages 338–345. Morgan Kaufmann Publishers Inc.
- Korf, I., Flicek, P., Duan, D., and Brent, M. R. (2001). Integrating genomic homology into gene structure prediction. *Bioinformatics*, 17(suppl 1):S140–S148.
- Le Cessie, S. and Van Houwelingen, J. C. (1992). Ridge estimators in logistic regression. *Applied statistics*, pages 191–201.
- Li, J., Wang, L., Wang, H., Bai, L., and Yuan, Z. (2012). High-accuracy splice site prediction based on sequence component and position features. *Genet Mol Res*, 11(3):3431–3451.
- Li, Y., Li-Byarlay, H., Burns, P., Borodovsky, M., Robinson, G. E., and Ma, J. (2013). Truesight: a new algorithm for splice junction detection using rna-seq. *Nucleic acids research*, 41(4):e51–e51.
- Minoche, A. E., Dohm, J. C., Schneider, J., Holtgräwe, D., Viehöver, P., Montfort, M., Sörensen, T. R., Weisshaar, B., and Himmelbauer, H. (2015). Exploiting single-molecule transcript sequencing for eukaryotic gene prediction. *Genome biology*, 16(1):1–13.
- Ng, A. Y. and Jordan, M. I. (2001). On discriminative vs. generative classifiers: a comparison of logistic regression and naive bayes. In *Proceedings of the Neural Information Processing Systems Conference*, pages 841–848.
- Schweikert, G., Rätsch, G., Widmer, C., and Schölkopf, B. (2009). An empirical analysis of domain adaptation algorithms for genomic sequence analysis. In Advances in Neural Information Processing Systems, pages 1433–1440.
- Sonnenburg, S., Schweikert, G., Philips, P., Behr, J., and Rätsch, G. (2007). Accurate splice site prediction using support vector machines. *BMC bioinformatics*, 8(Suppl 10):S7.
- Stanescu, A. and Caragea, D. (2014a). Ensemblebased semi-supervised learning approaches for imbalanced splice site datasets. In *Bioinformatics and Biomedicine (BIBM)*, 2014 IEEE International Conference on, pages 432–437. IEEE.
- Stanescu, A. and Caragea, D. (2014b). Semi-supervised self-training approaches for imbalanced splice site datasets. In *Proceedings of the 6th International Conference on Bioinformatics and Computational Biology, BICoB*, pages 131–136.
- Steijger, T., Abril, J. F., Engström, P. G., Kokocinski, F., Hubbard, T. J., Guigó, R., Harrow, J., Bertone, P., Consortium, R., et al. (2013). Assessment of transcript

reconstruction methods for rna-seq. *Nature methods*, 10(12):1177–1184.

Zhang, Y., Chu, C.-H., Chen, Y., Zha, H., and Ji, X. (2006). Splice site prediction using support vector machines with a bayes kernel. *Expert Systems with Applications*, 30(1):73–81.

252