

# UML Activity Diagrams for OWL Ontology Building

Joanna Isabelle Olszewska

*School of Computing and Technology, University of Gloucestershire, The Park, Cheltenham, GL50 2RH, U.K.*

**Keywords:** UML, Activity Diagram, OWL, Ontology Design, Knowledge Engineering, Software Engineering.

**Abstract:** Building efficiently an ontology is a crucial task for most of the applications involving knowledge representation. In particular, applications dealing with dynamic processes directly shaping the ontological domain need the conceptualization of complex activities within this domain. For this purpose, we propose to develop an OWL ontology based on UML activity diagrams. Indeed, the Unified Modeling Language (UML) is a well-known visual language widely adopted for software specification and documentation. UML consists in structure as well as behaviour notations such as activity diagrams which describe the flow of control and data through the various stages of a procedure. Our approach has been successfully validated in a study case of an ontology with a publication repository domain.

## 1 INTRODUCTION

Ontologies are widely used to capture, share, and represent knowledge (Gruber, 1995). In particular, OWL ontologies are encoded with OWL language which is very useful to develop representations of knowledge for research information in an ontological form (Olszewska et al., 2014) or to build automated planners (McCluskey and Cresswell, 2005).

Building an ontology is however complex and, in general, consists of tasks such as election, description, analysis, which are performed during four phases, namely, specification, conceptualization, implementation, and evaluation (Gómez-Pérez et al., 2004). Several methods for building ontologies have been proposed in the literature, e.g. Cyc Methodology (Lenat and Guha, 1990), Enterprise Ontology (EO) Methodology (Uschold and King, 1995), Toronto Virtual Enterprise (TOVE) Modelling Methodology (Gruninger and Fox, 1995), KACTUS Methodology (Bernaras et al., 1996), Skeletal Methodology (Uschold and Gruninger, 1996), METHONTOLOGY (Fernández-López et al., 1997), SENSUS Methodology (Swartout et al., 1997), Enhanced Methodology (Ohgren and Sandkuhl, 2005), Integrated Ontology Development Methodology (Chaware and Rao, 2010). These methods set the ground of Knowledge Engineering field, but are specific to the sole development of ontologies.

Another approach to develop an ontology is to use methods like those applied in Software Engineer-

ing and to follow a software development life-cycle (De Nicola et al., 2009). In software development, the specifications are usually captured using notations such as Unified Modeling Language (UML) (Lunn, 2003).

UML is a well-established, notational language based on a model called Meta Model and it consists of a number of diagrams with graphical notations (Jalloul, 2004). UML is methodology independent, and it supports the development of a software through the life-cycle. UML 2.0 model contains 13 types of diagrams which 6 show the static structure of a system and 7 show the dynamic behavior of a system such as behavior diagrams, i.e. use case diagrams, activity diagrams, and state machine diagrams, as well as various interaction diagrams.

Despite UML is effective and extensively used in Software Engineering (Bauer and Odell, 2005), little work has been dedicated to use UML, and especially UML behaviour diagrams such as activity diagrams, in the phases of the development of an ontology.

Indeed, (Baclwaski et al., 2001), (Kogut et al., 2002), (Guizzardi et al., 2004), (Cranefield and Purvis, 1999), (Cranefield et al., 2001) only focus on UML static diagrams such as class diagrams or object diagrams for expressing conceptual models of the domain of the ontology, thus not capturing any action but rather defining ontology classes.

(Wang and Chan, 2001) attempts to bridge the gap between ontological models and software development by using UML notations. However, UML static

and dynamic diagrams are then used as blueprint rather than sketches in the ontology building process.

On the other hand, (Olszewska et al., 2014) tackles with dynamic ontology design. As this approach deals with Business Process Modelling, this initial study focuses on BPMN diagrams to codify dynamic behaviours and does not involve UML activity diagrams directly.

In this work, we propose to develop an ontology including dynamic concepts and to conceptualize its domain based on UML activity diagrams as sketches, in order to codify dynamic behaviors of processes in an ontological form.

We have successfully tested our approach on an ontology developed for managing a publication repository.

We focus only on activity diagrams which have been demonstrated to be very powerful to capture dynamic process of a system (Wohed et al., 2005).

The main contributions of this work are the study of the conceptualization of dynamic behaviours in an ontological form through an innovative framework providing a systematical mapping of UML activity diagrams into OWL ontological concepts, and opening the design of dynamic ontologies to a broader range of knowledge-based system developers.

The paper is structured as follows. In Section 2, we first introduce notions related to UML Activity Diagrams. Then, we present our approach to design an OWL ontology based on UML Activity Diagrams. The proposed method has been successfully tested to develop representations of knowledge for research information system in an ontological form as reported and discussed in Section 3. Conclusions are drawn up in Section 4.

## 2 PROPOSED APPROACH

An activity diagram is a state transition diagram that consists of states and transitions between states as illustrated in Figs. 1-2. A state captures a snapshot of the system during execution. Each state is given a name that denotes the current activity captured by the state. A transition captures the transition of the system from one state to another brought about by performing an activity (Jalloul, 2004).

Activity diagrams can capture branching from one state using two different transitions (see Fig. 1). Because activity diagrams capture semantics of scenarios graphically, these could capture conditionals and repetition. For example, in Fig. 2, to progress from the state ‘System Validates Password’ to ‘System Opens Form’, the condition on the transition

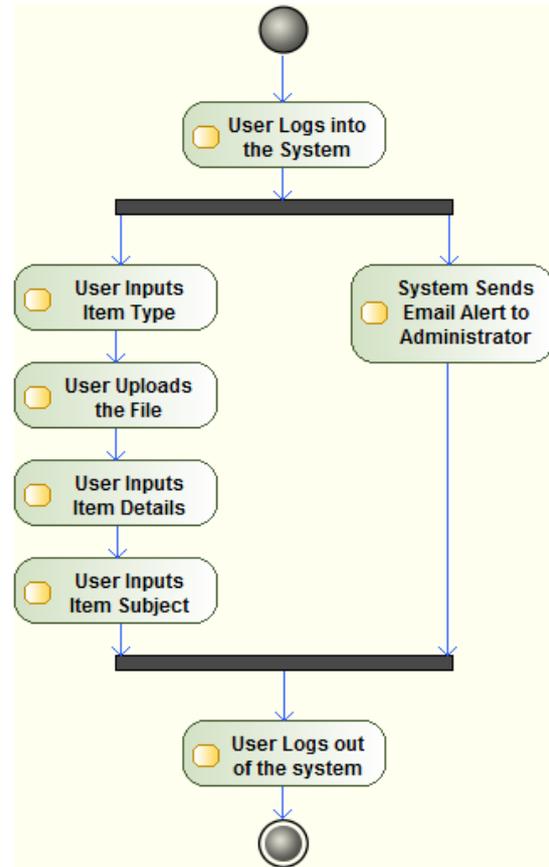


Figure 1: UML Activity Diagram resulting from *Encode Item* activity.

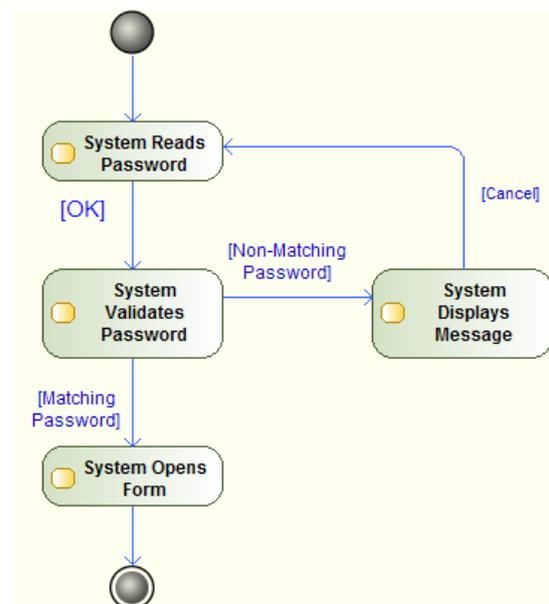


Figure 2: UML Activity Diagram resulting from *User Login* activity.

(‘[Matching Password]’) between these two states should be respected. Otherwise, the condition ‘[Non-Matching Password]’ is valid, and the next state after the state ‘System Validates Password’ is the state ‘System Displays Message’.

A constraint is a condition that needs to be satisfied for a transition from a state to occur. For example, in Fig. 2, from the state ‘System Reads Password’ to the state ‘System Validates Password’, the constraint on the transition is set as ‘[OK]’.

A looping may be modeled by transitions using backward arrows from a state to a previous state or from a state to itself (iteration). For example, in Fig. 2, the transition between the state ‘System Displays Message’ and the state ‘System Reads Password’ creates a loop in the process.

Activity diagrams could also model simultaneous states which are states working simultaneously, i.e. steps processed in parallel (Ambler, 2005). States could start simultaneously as graphically notated by a fork or finish simultaneously as visually symbolized by a join. For example, in Fig. 1, the states ‘User Inputs Item Type’ and ‘System Sends Email Alert to Administrator’ are simultaneous.

On the other hand, an OWL ontology contains classes, individuals, and properties (Horridge, 2009). In our approach, activity diagrams contribute to the process of defining/refining these ontological concepts, since they describe the functionality of the system as well as its dependencies at a high level viewpoint. Actions represented in the activity diagrams could be translated into ontological properties and sub-properties. Moreover, activity diagrams add knowledge about the characteristics of the object properties set in an ontology. Hence, loops could be formalized by setting the related properties as transitive and constraints could be formalized by annotating the resulting properties correspondingly. Thus, the temporal flow of the activities could be mapped into a hierarchical structure with defined relation characteristics as demonstrated in Section 3.

### 3 EXPERIMENTS AND DISCUSSION

In order to validate our presented approach, we re-used the ontology for publications repository Information Management called ePrOnto (Olszewska et al., 2010), developed with Protégé OWL (Protégé, 2014) and running on a Windows platform. ePrOnto is a semi-automatic, single ontology with multiple layers (different levels of hierarchy). Its domain relies on the ePrints vocabulary (Univerity of Hudders-

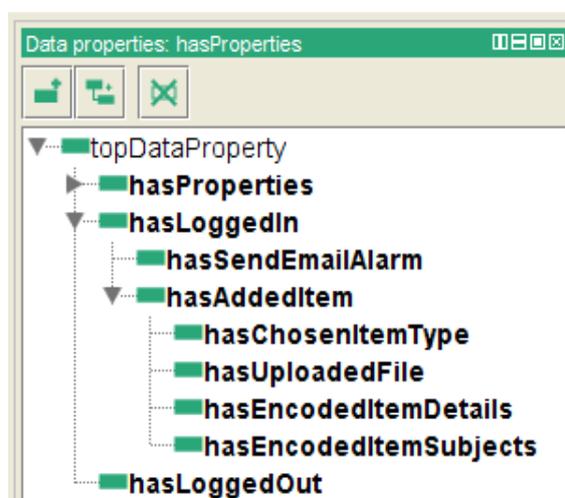


Figure 3: Example of ontological properties mapped from the *Encode Item* UML activity diagram.

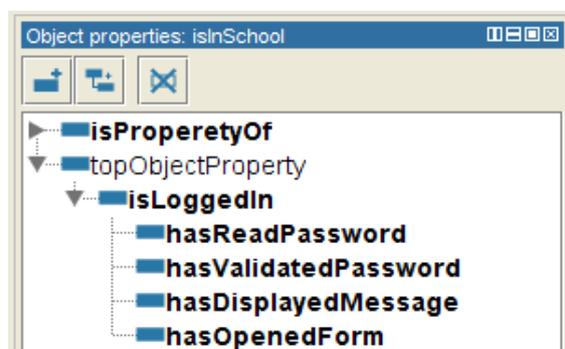


Figure 4: Example of ontological properties mapped from the *User Login* UML activity diagram.

field ePrints, 2014). The key related words have been identified when logging into ePrints system and doing the task of adding an item (i.e. publication) to the repository. Capturing this information within a standard ontology language makes it universally accessible throughout the Web, and allows it to be analyzed, queried and compared using powerful, open tools.

While the classes and relations have been defined using techniques presented in (Olszewska et al., 2014), we refined these properties and relations of the ontology by extracting and structuring the knowledge captured in the UML activity diagrams as described in Section 2.

In the experiments, we have mapped the activity diagrams presented in Figs. 1-2 into the OWL ontology. Some of the layers could be seen in Fig. 3 and Fig. 4, respectively. For example, the loop present in the activity diagram of Fig. 2 between the actions ‘System Reads Password’, ‘System Validates Password’, and ‘System Displays Message’ is

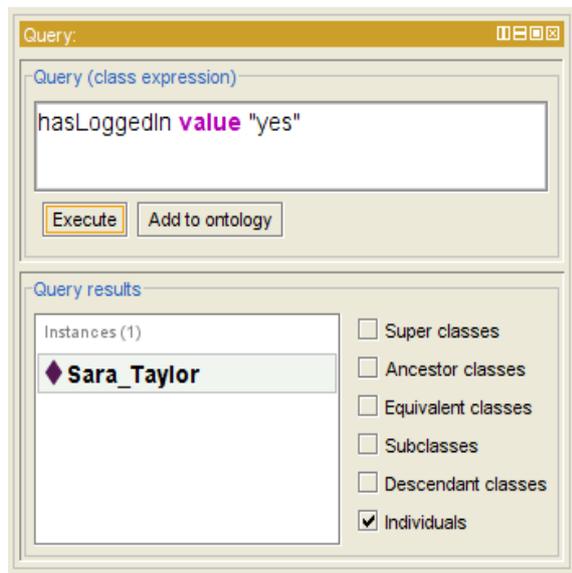


Figure 5: Example of DL query about the action *User Login*.

formalized by setting the related ontological properties *hasReadPassword*, *hasValidatedPassword*, *hasDisplayedMessage* (see Fig. 4) as transitive.

The resulting ontology is compatible with the query process such as illustrated in Fig. 5. In this case, the system has well detected that the user called *Sarah Taylor* is logged into the system.

From these experiments, we can observe that UML activity diagrams could refine knowledge about some processes such as the one presented in Fig. 2. and create more properties and sub-properties into the ontology as well as further update information about the individuals. Thus, UML activity diagrams can be widely used to design new dynamic ontologies, and can also be considered as complementary to dynamic notations such as BPMN diagrams.

## 4 CONCLUSIONS

This paper is focused on the design of an ontology with a dynamic domain. Hence, design notations such as UML activity diagrams have been used and translated into OWL in order to systematically model and/or update ontological concepts and their relations. The proposed approach has led to the capture of dynamic behavior and its transformation into structured knowledge, leading to the development as well as refinement of a complex and large-scale ontology such as ePronto for the University publication repository (ePrints) system.

## REFERENCES

- Ambler, S. (2005). *The Elements of UML 2.0 Style*. Cambridge University Press, New York, USA.
- Baclwaski, K., Kokar, M., Kogut, P., Hart, L., Smith, J., Holmes, W., Letkowski, J., and Aronson, M. (2001). Extending UML to support ontology engineering for the Semantic Web. In *Proceedings of the International Conference on UML (UML'01)*.
- Bauer, B. and Odell, J. (2005). UML 2.0 and Agents: How to build agent-based systems with the new UML standard. *Engineering Applications of Artificial Intelligence*, 18(2):141–157.
- Bernaras, A., Laresgoiti, I., and Corera, J. (1996). Building and reusing ontologies for electrical network applications. In *Proceedings of the European Conference on Artificial Intelligence (ECAI'96)*, pages 298–302.
- Chaware, S. and Rao, S. (2010). Integrated approach to ontology development methodology with case study. *International Journal of Database Management Systems*, 2:13–19.
- Cranefield, S., Hausteine, S., and Purvis, M. (2001). UML-based ontology modelling for software agents. In *Proceedings of the Workshop on Ontologies in Agent Systems*, pages 21–28.
- Cranefield, S. and Purvis, M. (1999). UML as an ontology modeling language. In *Proceedings of the IJCAI Workshop on Intelligent Information Integration*.
- De Nicola, A., Missikoff, M., and Navigli, R. (2009). A software engineering approach to ontology building. *Information Systems*, 34(2):258–275.
- Fernández-López, M., Gómez-Pérez, A., and Juristo, N. (1997). METHONTOLOGY: From ontological art towards ontological engineering. In *Proceedings of the AAAI Spring Symposium Series*, pages 33–40.
- Gómez-Pérez, A., Fernández-López, M., and Corcho, O. (2004). *Ontological Engineering*. Springer-Verlag, London.
- Gruber, T. (1995). Towards principles for the design of ontologies used for knowledge sharing. *International Journal of Human-Computer Studies*, 43(5-6):907–928.
- Gruninger, M. and Fox, M. (1995). Methodologies for the design and evaluation of ontologies. In *Proceedings of the Workshop on Basic Ontological Issues in Knowledge Sharing*, pages 6.1–6.10.
- Guizzardi, G., Wagner, G., Guarino, N., and van Sinderen, M. (2004). An ontologically well-founded profile for UML conceptual models. In *Advanced Information Systems Engineering*. Springer Berlin Heidelberg, pages 112–126.
- Horridge, M. (2009). *A Practical Guide to Building OWL Ontologies Using Protégé 4 and CO-ODE Tools*. University of Manchester, Manchester, UK, 1.2 edition.
- Jalloul, G. (2004). *UML by Example*. Cambridge University Press, USA, 1st edition.
- Kogut, P., Cranefield, S., Hart, L., Dutra, M., Baclawski, K., Kokar, M., and Smith, J. (2002). UML for ontology development. *The Knowledge Engineering Review*, 17(1):61–64.

- Lenat, D. and Guha, R. (1990). *Building Large Knowledge-Based Systems: Representation and Inference in the Cyc Project*. Addison-Wesley, Boston, USA.
- Lunn, K. (2003). *Software Development with UML*. Palgrave MacMillan, New York, USA.
- McCluskey, T. L. and Cresswell, S. N. (2005). Importing ontological information into planning domain models. In *Proceedings of the ICAPS Workshop on the Role of Ontologies in Planning and Scheduling*. AAAI Press, pages 5–12.
- Ohgren, A. and Sandkuhl, K. (2005). Towards a methodology for ontology development in small and medium-sized enterprises. In *Proceedings of the IADIS International Conference on Applied Computing*, pages 369–376.
- Olszewska, J., Simpson, R., and McCluskey, T. (2010). ePrOnto: OWL-Based Ontology for Research Information Management. In *JISC Technical Report*.
- Olszewska, J. I., Simpson, R. M., and McCluskey, T. L. (2014). Dynamic OWL ontology design using UML and BPMN. In *Proceedings of the INSTICC International Conference on Knowledge Engineering and Ontology Development (KEOD'14)*, pages 436–444.
- Protégé (2014). Open-source ontology editor. Software available online at: <http://protege.stanford.edu/>.
- Swartout, B., Ramesh, P., Knight, K., and Russ, T. (1997). Towards distributed use of large-scale ontologies. In *Proceedings of the AAAI Symposium on Ontological Engineering*, pages 33–40.
- University of Huddersfield ePrints (2014). University of Huddersfield Repository. Available online at: <http://eprints.hud.ac.uk/>.
- Uschold, M. and Gruninger, M. (1996). Ontologies: Principles, methods and applications. *Knowledge Engineering Review*, 11:1–44.
- Uschold, M. and King, M. (1995). Towards a methodology for building ontologies. In *Proceedings of the IJCAI Workshop on Basic Ontological Issues in Knowledge Sharing*, pages 2–15.
- Wang, X. and Chan, C. W. (2001). Ontology modeling using UML. In *Proceedings of the International Conference on Object Oriented Information Systems*. Springer London, page 5968.
- Wohed, P., van der Aalst, W. M., Dumas, M., ter Hofstede, A. H., and Russell, N. (2005). Pattern-based analysis of the control-flow perspective of UML activity diagrams. In *Conceptual Modeling ER 2005*. Springer Berlin Heidelberg, pages 63–78.