

# Clustering Analysis using Opposition-based API Algorithm

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Abstract: Clustering is a significant data mining task which partitions datasets based on similarities among data. In this study, partitional clustering is considered as an optimization problem and an improved ant-based algorithm, named Opposition-Based API (after the name of *Pachycondyla APicalis* ants), is applied to automatic grouping of large unlabeled datasets. The proposed algorithm employs Opposition-Based Learning (OBL) for ants' hunting sites generation phase in API. Experimental results are compared with the classical API clustering algorithm and three other recently evolutionary-based clustering techniques. It is shown that the proposed algorithm can achieve the optimal number of clusters and, in most cases, outperforms the other methods on several benchmark datasets in terms of accuracy and convergence speed.

## 1 INTRODUCTION

Research investigations in different organizations have recently shown that huge amount of data are being stored and collected in databases and this large amount of stored data continues to grow fast. Valuable knowledge which is hidden in this large amount of stored data should be revealed to improve the decision-making process in organizations. Therefore, a field called knowledge discovery and data mining in databases has emerged due to such large databases (Han and Kamber, 2001). Data mining analysis includes a number of technical approaches such as classification, data summarization, finding dependency networks, clustering, regression, and detecting anomalies (Amiri and Armano, 2014).

The process of grouping data into classes or clusters such that the data in each cluster share a high degree of similarity while being very dissimilar to data from other clusters is called data clustering. Generally speaking, hierarchical and partitional clustering are the two main categories of clustering methods (Kao et al., 2008). Hierarchical clustering results in a tree which presents a sequence of clustering while each cluster is a group of dataset (Leung et al., 2000). Partitional clustering decomposes a dataset into a set of disjoint clusters. Many partitional clustering algorithms try to minimize some measure of dissimilarity in the samples within each cluster while maximizing the dissimilarity of different clusters.

Swarm Intelligence (SI) is an innovative artificial intelligence category inspired by intelligent behaviors of insect or animal groups in nature, such as ant colonies, bird flocks, bee colonies, bacterial swarms, and so on. Over the recent years, the SI methods like ant-based clustering algorithms were successful dealing with clustering problems. Ants have an incredible optimizing capacity due to their ability to communicate indirectly by means of pheromone deposits (Bonabeau et al., 1999). In most research works, clustering analysis is considered as an optimization problem and solved by using the different types of ACO and ant-based algorithms. The idea is to make a group of ants to explore the search space of the optimization problems and find the best candidates of solutions. These candidates create clusters of the datasets and are selected according to a fitness function, which evaluate their quality with respect to the optimization problem.

In order to improve the convergence of the ant-based clustering algorithm, a combination of the popular k-means algorithm and the stochastic and exploratory behavior of clustering ants is proposed in (Monmarche et al., 1999). An ant system and ACO, which is based on the parameterized probabilistic model of the pheromone, is presented by Dorigo (Dorigo et al., 1999). Slimane et al. applies explorative and stochastic principles from the ACO meta-heuristic combined with deterministic and heuristic principles of k-means (Slimane et al., 1999). A novel strategy called ACLUSTER is developed in (Ramos and Merelo, 2002) to deal with

unsupervised clustering as well as data retrieval problems. Two other ant-based clustering algorithms, named Ant-Clust and AntTree, are presented in (Labroche et al., 2003), respectively. In Ant-Clust, the ants proceed according to chemical properties and odors to recognize themselves as similar or not. Both algorithms are applied to unsupervised learning problems. Hartmann added a neural network to each ant in his proposed algorithm which enables the ants to take the objects of their vicinity as input, and return the move action, the pick up or drop action, as outputs (Hartmann, 2005). An advanced clustering algorithm called ant colony ISODATA is proposed in (Wang et al., 2007) for applying in real time computer simulation. Ant clustering algorithm is also used in (Chen and Mo, 2009) to improve k-means and optimize the rule of ant clustering algorithm.

The API algorithm, named after “*apicalis*” in *Pachycondyla apicalis*, is inspired by a model of the foraging behavior of a population of primitive ants (Monmarché et al., 2000). It is demonstrated in (Aupetit et al., 2006) that API can be applied to continuous optimization problems and achieved robust performance for all the test problems. Despite being powerful, the ant-based algorithms, including API, can remain trapped in local optimums. This situation can occur when a certain component is very desirable on its own, but leads to a sub-optimal solution when combined with other components. Moreover, most of the reported ant-based clustering methods need the number of clusters as an input parameter instead of determining it automatically on the run. Many practical situations show that it is impossible or very difficult to determine the appropriate number of groups in a previously unlabeled datasets. Also, if a dataset contains high-dimensional feature vectors, it is practically impossible to graph the data for determining its number of clusters.

This paper has two objectives. First, it attempts to show that application of the API algorithm in clustering problems, with a modification of using Opposition-Based Learning (OBL) in hunting sites generation, can achieve very promising results. The improvement is based on the idea of opposition numbers and attempt to increase the exploration efficiency of the solution space (Tizhoosh, 2006). The modification focuses on the initialization of sites' positions. Second, it tries to determine the optimal number of clusters in any unlabeled dataset automatically. A comparison of the proposed algorithm's results with classical API, and the reported results of three other automatic clustering

methods including Genetic Algorithm (GA) (Bandyopadhyay and Maulik, 2002), Particle Swarm Optimization (PSO) (Omran et al., 2005), and Differential Evolution (DE) (Das et al., 2008) has been investigated. The accuracy of the final clustering results, the capability of the algorithms to achieve nearly similar results over randomly repeated runs (robustness), and the convergence speed are used as the performance metrics in the comparative analyses.

Organization of the rest of this paper is as follows. In Section 2, the clustering problem is defined in a formal language. The API algorithm is shortly reviewed in Section 3. The proposed algorithm optimization algorithm and the clustering scheme used in this study are presented in Sections 4 and 5. A set of experimental results are provided in Section 6. Finally, the work is concluded in Section 7.

## 2 CLUSTERING PROBLEM

Clustering problem consists of dividing a set of data into different groups based on one or more features of the data. In the area of machine learning, clustering analysis is considered as an unsupervised learning method that constitutes a main role of an intelligent data analysis process. This tool explores the data structure and attempt to group objects into clusters such that the objects in the same clusters are similar and objects from different clusters are dissimilar. It is called unsupervised learning because, unlike classification (known as supervised learning), no a priori labeling of patterns is available to use in categorizing the cluster structure of the whole dataset. As the aim of clustering is to find any interesting grouping of the data, it is possible to define cluster analysis as an optimization problem in which a given function, called the clustering validity index, consisting of within cluster similarity and among clusters dissimilarities needs to be optimized.

In every optimization algorithm it is necessary to measure the goodness of candidate solutions. In this problem, the fitness of clusters must be evaluated. In order to achieve this, one given clustering definition called the clustering validity index has been considered, that is the objects inside a cluster are very similar, whereas the objects located in distinct clusters are very different. Thereby, the fitness function is defined according to the concepts of cohesion and separation:

1) Cohesion: The variance value of the objects in a cluster indicates the cluster's compactness. In other

words, the objects within a cluster should be as similar to each other as possible.

2) Separation: The objects inside different clusters should be as dissimilar to each other as possible. To achieve this objective, different distance measures such as Euclidean, Minowsky, Manhatann, the cosine distance, etc are used as the cluster separation's indication (Jain et al., 1999).

The clustering validity index is also used to determine the number of clusters. Traditionally, the clustering algorithms were run with a different number of clusters as an input parameter. Then, based on the best gained validity measure of the dataset partitioning, the optimal number of clusters was selected (Halkidi and Vazirgiannis, 2001). Since the definitions of cohesion and separation are given, the fitness function of clustering can be introduced. There are some well-known clustering validity indexes in the literature which their maximum and minimum values indicate proper clusters. Therefore, these indexes can be used to define the fitness functions for optimization algorithms. In the current paper, a validity measure, named CS measure index (Chou et al., 2004) is employed in the study of automatic clustering algorithm. This index is introduced as follows:

First the centroid of the cluster  $C_i$  is calculated as the average of the elements within that cluster:

$$\bar{m}_i = \frac{1}{N_i} \sum_{x_j \in C_i} \bar{x}_j \quad (1)$$

Then the CS measure can be formulated as:

$$CS(K) = \frac{\sum_{i=1}^K \left[ \frac{1}{N_i} \sum_{\bar{X}_q \in C_i} \max_{\bar{X}_q \in C_i} \{d(\bar{X}_i, \bar{X}_q)\} \right]}{\sum_{i=1}^K \left[ \min_{j \in K, j \neq i} \{d(\bar{m}_i, \bar{m}_j)\} \right]} \quad (2)$$

$d(\bar{X}_i, \bar{X}_q)$  is a distance metric between any two data points  $\bar{X}_i$  and  $\bar{X}_q$ . The CS measure is also a function of the sum of within-cluster scatter to between-cluster separation. It is stated in (Chou et al., 2004) that while dealing with datasets of different densities and/or sizes the CS measure is more efficient than the other measures introduced in the literature.

### 3 API ALGORITHM

The API algorithm is inspired by the colonies of *P. APicalis* ants in tropical forests near the Guatemala

border in Mexico (Monmarché et al., 2000). In this algorithm, a population of  $n_a$  ants ( $a_1, a_2, \dots, a_{n_a}$ ) is located in search space  $S$  to minimize objective function  $f$ . API contains two parameters named  $O_{rand}$  and  $O_{explo}$ .  $O_{rand}$  generates a random point (named nest  $N$ ) that indicates a valid solution in search space  $S$  according to a uniform distribution and  $O_{explo}$  generates a new points in the neighbourhood of  $N$  and also hunting sites. In the beginning, the nest location  $N$  placed randomly in the search space using parameter  $O_{rand}$ . Then, each ant  $a_i$  of the  $n_a$  ants leaves the nest to create hunting sites randomly and utilizes  $O_{explo}$  with an amplitude  $A_{site}(a_i)$  of the neighbourhood centred in  $N$ . The  $A_{site}(a_i)$  values are set as:

$$\begin{aligned} A_{site}(1) &= 0.01, \dots, A_{site}(i) = x^i \times \\ &0.01, \dots, A_{site}(n_a) = x^{n_a} \times 0.01 \end{aligned} \quad (3)$$

where  $x = (1/0.01)^{1/n_a}$ . Afterwards, local search starts and each ant  $a_i$  goes to one of its  $p$  hunting sites  $s'$  in the neighbourhood of its site  $s$  using  $O_{explo}$  with an amplitude  $A_{local}(a_i)$ .  $A_{local}(a_i)$  is set to  $A_{site}(a_i)/10$  based on the behaviour of real ants. If  $f(s') < f(s)$ , the local search will be considered as successful (a prey has been caught) and ant  $a_i$  will memorize point  $s'$  and update its memory from  $s$  to  $s'$  and does a new exploration in the vicinity of the new site. On the contrary,  $a_i$  will randomly choose another site among its  $p$  sites saved in memory in the next exploration. If ant  $a_i$  cannot catch any prey in a hunting site which has been explored successively for more than  $t_{local}(a_i)$  times, that hunting site will be forgotten and repeated by a new site created using  $O_{explo}$ .

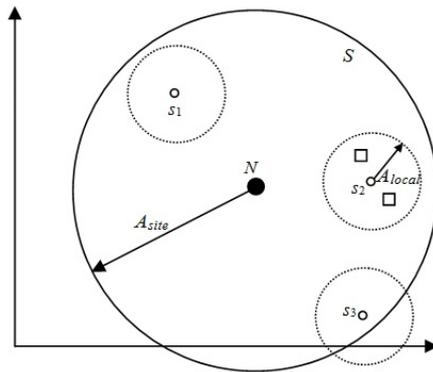


Figure 1: Search space of the API algorithm.  $s_1$ ,  $s_2$ , and  $s_3$  are sites randomly generated around nest  $N$  and their maximum distance from the nest being given by  $A_{site}$ . The small squares denote local exploration of site  $s_2$  (points situated at a maximum distance of  $A_{local}$  from the site center  $s_2$ ).

Then, nest  $N$  moves after  $T$  movements of the  $n_a$  ants (after every  $n_a \times T$  individual moves) and goes to the best point found since its own last displacement. Finally, all sites will be erased from the ants' memories to avoid local minima. It is presented in Figure 1. how the initial solution space is divided into smaller search spaces in the AIP algorithm. The API algorithm usually terminates after a specific number of iterations or when the best-so-far solution achieves a desired value.

#### 4 OPPOSITION-BASED API ALGORITHM

In most instances, Evolutionary Algorithms (*EAs*) start with random initial populations and attempt to lead them toward some optimal solutions. This searching process usually terminates when *EAs* meet some predefined criteria. However, the distance of these initial guesses from the optimal solutions has a significant effect on the computation effort and the obtained solutions' quality. The concept of Opposition-Based Learning (OBL) is introduced by (Tizhoosh, 2006) to increase the chance of starting from fitter initial (closer to optimal solutions) points in the search space. In the proposed method, the opposition points of the initial guesses are found simultaneously. After making a comparison between initial solutions and their opposites in the search space, the fitter ones are chosen as the initial solutions. The judgment between a point and its opposite position is made based on their corresponding fitness function values. This procedure has the potential to improve the convergence speed and quality of solutions and can be applied not only to initial points but also continuously to each solution in the current population. The concept of opposite point can be defined as (Tizhoosh, 2006):

Let  $X = (x_1, x_2, \dots, x_D)$  be a point in a  $D$ -dimensional space, where  $x_1, x_2, \dots, x_D \in \mathfrak{R}$  and  $x_i \in [a_i, b_i] \forall i \in \{1, 2, \dots, D\}$ . The opposition point  $\bar{X} = (\bar{x}_1, \bar{x}_2, \dots, \bar{x}_D)$  is defined by its components by:

$$\bar{x}_i = a_i + b_i - x_i \quad (4)$$

Now assume that  $f(X)$  and  $f(\bar{X})$  are the fitness function values which are evaluated simultaneously to measure the fitness of the main point  $X$  and its opposition position  $\bar{X}$  in the search space. Making a comparison between these two fitness values we continue the optimization process

with the fitter one. In other words, If  $f(\bar{X}) \geq f(X)$  then point  $X$  can be replaced with  $\bar{X}$ ; otherwise, the process will be continued by  $X$ .

In this study, we enhance the hunting sites' creation step of the API algorithm by using OBL scheme. We choose the original API as the main algorithm and the proposed opposition-base idea is embedded in API to improve its performance and convergence speed.

In this part, we explain the OBL approach added to the original API algorithm. Based on optimization literature, the common method to create initial solutions, in absence of a priori knowledge, is random number generation. Therefore, as explained previously, by applying the OBL concept, fitter starting candidate solutions can be obtained when there is no a priori knowledge about the solutions. The implementation of opposition-based initialization for API can be presented as:

1) Create hunting sites  $S = \{s_1, s_2, \dots, s_{n_a}\}$  randomly using  $O_{explo}$  where  $s_j = (x_{1j}, \dots, x_{Dj})$  and  $x_{ij} \in [a_i, b_i] \forall i \in \{1, 2, \dots, D\}, j \in \{1, \dots, n_a\}$ .

2) Calculate opposite points  $So = \{so_1, so_2, \dots, so_{n_a}\}$  of the initialized random sites by:

$$xo_{ij} = a_i + b_i - x_{ij} \quad (5)$$

where  $so_j = (xo_{1j}, \dots, xo_{Dj})$ .

3) Select  $n_a$  fittest hunting sites from  $\{S \cup So\}$  as initial hunting sites using fitness function values.

A similar approach is applied to the algorithm when an ant loses all of its  $p$  sites and needs to create new hunting sites (steps 8-9 in Tab. 1.). Therefore, after making new sites by that ant, hunting sites which are ideally fitter than current created ones will be established in each iteration.

#### 5 CLUSTERING FORMULATION AND FITNESS FUNCTION

The clustering method we applied in this work is the scheme proposed by (Das et al. 2008), in which the chromosomes of a Differential Evolution (DE) algorithm (Storn and Price, 1997) are assigned to vectors of real numbers. These vectors contain  $2K_{max}$  entries, where  $K_{max}$  is the maximum number of clusters specified by user. To control the activation of each cluster during the clustering process, first  $K_{max}$  elements of the defined vectors are assigned to

random positive floating numbers  $T_{i,j}$  (for  $j$ th cluster center in the  $i$ th vector) in  $[0,1]$ . These floating numbers are called activation thresholds. In this model, if  $T_{i,j} \geq 0.5$ , the  $j$ th cluster center in the  $i$ th vector will be used for clustering of the associated data. In contrast, if  $T_{i,j} < 0.5$ , the corresponding  $j$ th cluster center will not be considered in the partitioning process. In other words,  $T_{i,j}$ 's are used as selection rules in each vector controlling the activation of cluster centers. The second part of vectors contains  $K_{max}$   $D$ -dimensional centroids. Figure 2 shows a vector with five centroids and their corresponding activation thresholds. As it can be seen, only three of those centroids are active (have activation thresholds more than 0.5) in this vector.

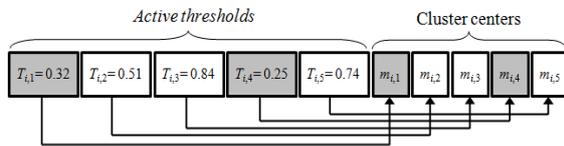


Figure 2: Active thresholds and their corresponding cluster centroids in vector  $i$  (the white and grey centroids are active and inactive, respectively).

In this scheme, when a new vector is constructed, the  $T$  values are used to activate the centroids of clusters. If in a vector all  $T_{i,j}$ 's are smaller than 0.5, two of the thresholds will be selected randomly and their values will be reinitialized between 0.5 and 1.0 which means the minimum number of clusters in a vector is 2.

In OBAPI, each clustering vector is considered as a hunting site. Ants are moving on the search space and can take or drop centroids according to the behavioral rules of the algorithm. Then, the nest is brought closer to the proper hunting sites and ants go back to new fruitful sites to try another pick up. To compare the performance of our proposed algorithm with the performance of other reported algorithms (Das et al., 2008), we applied the  $CS$  measure introduced in Section 2. Therefore, the fitness function is constructed as:

$$f = \frac{1}{CS_i(K)} \quad (6)$$

where  $CS_i$  is the clustering index defined in Eqs. (2). This index evaluates the quality of the clusters delivered by vector  $i$ . Since all selected centroids and their opposites are always built inside the boundary of the dataset, there is no probability of a division by zero while computing the  $CS$  measures.

## 6 EXPERIMENTAL RESULTS AND DISCUSSION

### 6.1 Results and Discussions

In this work, five real world clustering problems from the UCI database (Blake et al., 1998), which is a well-known database repository for machine learning, are used to evaluate the performance of the Opposition-Based API (OBAPI) algorithm. The datasets are briefly summarized as (Here,  $n$  is the number of data points,  $d$  is the number of features, and  $K$  is the number of clusters):

1) Iris ( $n = 150$ ,  $d = 4$ ,  $K = 3$ ): This dataset with 150 random samples of flowers from the iris species setosa, versicolor, and virginica consists of 50 observations for sepal length, sepal width, petal length, and petal width in cm.

2) Wine ( $n = 178$ ,  $d = 13$ ,  $K = 3$ ): This dataset is the result of a chemical analysis of wines grown in the same region in Italy but derived from three different cultivars. The analysis determined the quantities of 13 constituents found in each of the three types of wines. There are 178 instances with 13 numeric attributes in the wine dataset. All attributes are continuous and there are no missing attributes.

3) Wisconsin breast cancer ( $n = 683$ ,  $d = 9$ ,  $K = 2$ ): The Wisconsin breast cancer database has 9 relevant features: clump thickness, cell size uniformity, cell shape uniformity, marginal adhesion, single epithelial cell size, bare nuclei, bland chromatin, normal nucleoli, and mitoses. The dataset has two types: benign (239 objects) or malignant (444 objects) tumors.

4) Vowel ( $n = 871$ ,  $d = 3$ ,  $K = 6$ ): This dataset consists of 871 Indian Telugu vowel sounds. The dataset has 3 features which are the first, second, and third vowel frequencies, and 6 overlapping classes named  $d$  (72 objects),  $a$  (89 objects),  $i$  (172 objects),  $u$  (151 objects),  $e$  (207 objects), and  $o$  (180 objects).

5) Glass ( $n = 214$ ,  $d = 9$ ,  $K = 6$ ): This dataset presents 6 different glass types called building windows float processed (70 objects), building windows nonfloat processed (76 objects), vehicle windows float processed (17 objects), containers (13 objects), tableware (9 objects), and headlamps (29 objects), respectively. Each of these types has 9 features: refractive index, sodium, magnesium, aluminium, silicon, potassium, calcium, barium, and iron.

The performance of the OBAPI algorithm is compared with three recently proposed partitioning clustering algorithms called automatic clustering

using an improved differential evolution (ACDE) (Das et al., 2008), genetic clustering with an unknown number of clusters  $K$  (GCUK) (Bandyopadhyay and Maulik, 2002), and dynamic clustering particle swarm optimization (DCPSO) (Omran et al., 2002). The improvement effects of our modified algorithm with normal API have been also investigated dealing with similar clustering problems. We used the default parameter settings, selected in (Monmarché et al., 2000), for all conducted experiments:

- Number of ants,  $N_a = 20$ .
- Number of iterations (explorations performed by each ant between two nest moves),  $T=50$ .
- Number of hunting sites,  $p = 2$ .
- Search number (number of times ant  $a_i$  cannot catch any prey in a hunting site which has been explored successively),  $t_{local}(a_i) = 50$ ,  $i = 1, \dots, N_a$ .

For API and OBAPI, the hunting sites (cluster centroids) are selected randomly between the minimum and maximum numerical values of any feature of the datasets. Parameter  $O_{rand}$  generates a uniformly distributed random point within those intervals. Parameter  $O_{explo}$  is also used to create new hunting site  $s' = (x'_1, \dots, x'_D)$  from site  $s = (x_1, \dots, x_D)$  as follows:

$$x'_i = x_i + U \times A \times (b_i - a_i) \quad \forall i \in [1, \dots, D] \quad (7)$$

where  $x_i \in [a_i, b_i] \forall i \in \{1, 2, \dots, D\}$ ,  $U$  is a uniformly distributed value within  $[-0.5, +0.5]$  and  $A$  is the maximum amplitude of the move introduced in Eq. (3). The maximum and minimum number of clusters,  $K_{max}$  and  $K_{min}$ , are set to 20 and 2, respectively.

In this study, a comprehensive comparison between the results of the API and OBAPI algorithms and the results of the ACDE, GCUK, and DCPSO reported in (Das et al., 2008) has been made to verify the performance of our proposed approach. We compare the convergence speed of all the algorithms by measuring the number of function calls (NFCs) which is most commonly and fair used metric in optimization literature. The quality of obtained solutions, determined by the CS measure, and ability of the algorithms to find the optimal number of clusters have been also considered as two other evaluation metrics. In order to minimize the effect of the stochastic nature of API and OBAPI on the metrics, our reported results for each clustering problem is the average over 40 independent trials which is equal to the number of independent the algorithms' runs reported in (Das et al., 2008). The

results of two sets of experiments are presented by utilizing the five evolutionary clustering algorithms (API, OBAPI, ACDE, GCUK, and DCPSO) while CS measure is separately considered as their fitness function. For a detailed discussion on the parameter settings and simulation strategy of the ACDE, GCUK, and DCPSO algorithms please refer to (Das et al., 2008., Bandyopadhyay and Maulik, 2002, Omran et al., 2002, and Monmarché et al., 2000) We implemented both the API and OBAPI algorithms in Python 2.7.6 on a Intel Core i7, with 2.4 GHz, 8 GB RAM in Ubuntu 14.04 environment.

In order to compare the accuracy of OBAPI and API with ACDE, DCPSO, and GCUK, maximum NFCs is set to  $10^6$  and considered as the termination criterion for each clustering algorithm. Afterwards, final solutions are considered as the number of clusters found, final value of fitness function, and two other metrics called inter-cluster and intra-cluster distances. The inter-cluster distance shows the average of distances among centroids of the obtained clusters and the intra-cluster distance presents the average of distances among data vectors inside a cluster. To achieve crisp and compact

Table 1: Mean and standard deviation values of average number of found clusters and CS over 40 independent trials (NFCs =  $10^6$  is set as the termination criterion).

Dataset	Algorithm	Ave. number of clusters found	CS value
Iris	OBAPI	<b>3.11±0.05214</b>	<b>0.6122±0.053</b>
	API	3.42±0.02451	0.6812±0.142
	ACDE	3.25±0.0382	0.6643±0.097
	DCPSO	2.23±0.0443	0.7361±0.671
	GCUK	2.35±0.0985	0.7282±2.003
Wine	OBAPI	3.16±0.0874	<b>0.9622±0.047</b>
	API	3.21±0.0456	0.9132±0.0514
	ACDE	3.25±0.0391	0.9249±0.032
	DCPSO	<b>3.05±0.0352</b>	1.8721±0.037
	GCUK	2.95±0.0112	1.5842±0.328
Breast Cancer	OBAPI	<b>2.00±0.00</b>	0.4726±0.015
	API	2.15±0.0496	0.4869±0.637
	ACDE	<b>2.00±0.00</b>	<b>0.4532±0.034</b>
	DCPSO	2.25±0.0632	0.4854±0.009
	GCUK	2.00±0.0083	0.6089±0.016
Vowel	OBAPI	<b>6.13±0.0421</b>	<b>0.9011±0.624</b>
	API	5.77±0.0645	0.9232±0.224
	ACDE	5.75±0.0751	0.9089±0.051
	DCPSO	7.25±0.0183	1.1827±0.431
	GCUK	5.05±0.0075	1.9978±0.966
Glass	OBAPI	<b>6.00±0.00</b>	<b>0.3112±0.647</b>
	API	6.11±0.0324	0.4236±0.278
	ACDE	6.05±0.0148	0.3324±0.487
	DCPSO	5.96±0.0093	0.7642±0.073
	GCUK	5.85±0.0346	1.4743±0.236

clusters, the clustering algorithms try to maximize the inter-cluster distance and minimize intra-cluster distance, simultaneously. Table 1 and Table 2 show the average number of found clusters, the final *CS* values (Eq. 2), and the inter-cluster and intra-cluster distances obtained by OBAPI and API and the other three algorithms. Then, we need to compare the different algorithms in term of convergence speed.

For each dataset, a cutoff value of *CS* fitness function is selected as a threshold. This values is somewhat larger than the minimum *CS* fitness function amount obtained by each algorithm in Table 1. The *NFCs* that each algorithm takes to achieve the cutoff *CS* fitness function value is given in Table 3 and Table 4. Best obtained values are shown in boldface in all the tables.

It is demonstrated in Tabs. 1 and 2 that for the iris dataset the OBAPI has gained the lowest values of the final *CS* measure and the best values of mean intra- and inter-cluster distances. As discussed in (Das et al., 2008), the considerable overlap between two clusters (virginica and versicolor) in the iris dataset has caused GCUK and DCPSO to gain only two clusters on average while OBAPI, API, and

ACDE were successful in finding about three clusters and among them OBAPI has yielded the closest value to the real number of iris clusters. For the wine dataset, all the algorithms have been outperformed by DCPSO in term of number of clusters. OBAPI has achieved the best average values of fitness functions, and intra- and inter-cluster distances.

It is also observed in Tabs. 1 and 2 that for the breast cancer dataset, despite the fact that OBAPI, ACDE, and GCUK were competitively successful to yield high accurate vales of the number of clusters, ACDE has outperformed the other algorithms in terms of the other metrics. As it can be seen the difference between the final solutions of the two best algorithms (ACDE and OBAPI) is not significant. Tables 1 and 2 also show that the OBAPI algorithm has provided better results than the other four algorithms dealing with vowel and glass datasets which consist of large number of data vectors as well as six overlapping clusters.

Table 3 and Table 4 clearly illustrate the effectiveness of the proposed OBAPI algorithm dealing with clustering of the benchmarks. As it is

Table 2: Mean and standard deviation values of inter- and intra-cluster distances over 40 independent trials (*NFCs* =  $10^6$  is set as the termination criterion).

Dataset	Algorithm	Mean intra- cluster distance	Mean inter-cluster distance
Iris	OBAPI	<b>2.8736±1.542</b>	<b>2.7211±0.362</b>
	API	3.2232±0.324	2.4516±0.024
	ACDE	3.1164±0.033	2.5931±0.027
	DCPSO	3.6516±1.195	2.2104±0.773
	GCUK	3.5673±2.792	2.5058±1.409
Wine	OBAPI	<b>4.005±0.004</b>	<b>3.6411±0.324</b>
	API	4.096±0.041	3.1123±0.745
	ACDE	4.046±0.002	3.1483±0.078
	DCPSO	4.851±0.184	2.6113±1.637
	GCUK	4.163±1.929	2.8058±1.365
Breast Cancer	OBAPI	4.3232±0.214	3.2114±0.526
	API	4.4568±0.0354	3.0412±2.324
	ACDE	<b>4.2439±0.143</b>	<b>3.2577±0.138</b>
	DCPSO	4.8511±0.373	2.3613±0.021
	GCUK	4.9944±0.904	2.3944±1.744
Vowel	OBAPI	<b>1406.32±9.324</b>	<b>2796.67±0.547</b>
	API	1434.85±0.457	2732.11±0.213
	ACDE	1412.63±0.792	2724.85±0.124
	DCPSO	1482.51±3.973	1923.93±1.154
	GCUK	1495.13±12.334	1944.38±0.747
Glass	OBAPI	<b>521.278±65.23</b>	<b>896.31±6.123</b>
	API	550.217±14.52	871.35±3.662
	ACDE	563.247±134.2	853.62±9.044
	DCPSO	599.535±10.34	889.32±4.233
	GCUK	594.673±30.62	869.93±1.789

Table 3: Mean and standard deviation values of *NFCs* required by clustering algorithms to reach the defined cutoff thresholds over 40 independent trials.

Dataset	Algorithm	Cutoff value for <i>CS</i> measure	Ave. of required <i>NFCs</i>
Iris	OBAPI	0.95	<b>284567.23±24.36</b>
	API		432578.36±84.65
	ACDE		459888.95±20.50
	DCPSO		679023.85±31.75
	GCUK		707723.70±120.21
Wine	OBAPI	1.90	<b>42311.84±77.12</b>
	API		66251.32±87.59
	ACDE		67384.25±56.45
	DCPSO		700473.35±31.42
	GCUK		785333.05±21.75
Breast Cancer	OBAPI	1.10	<b>165278.32±15.36</b>
	API		273111.67±14.56
	ACDE		292102.50±29.73
	DCPSO		587832.50±7.34
	GCUK		914033.85±24.83
Vowel	OBAPI	2.50	<b>292487.32±14.36</b>
	API		405524.65±32.11
	ACDE		437533.35±51.73
	DCPSO		500493.15±35.47
	GCUK		498354.10±74.60
Glass	OBAPI	1.80	<b>288524.62±74.32</b>
	API		408975.41±98.32
	ACDE		443233.30±47.65
	DCPSO		566335.80±25.73
	GCUK		574938.65±82.64

shown, a significantly lower *NFCs* is needed by our algorithm to reduce both *CS* fitness function values to the cutoff thresholds in all cases. After OBAPI, ACDE, API, DCPSO, and GCUK have needed lesser *NFCs* to achieve cutoff threshold values, respectively. Moreover, OBAPI has yielded the best amount of mean intra- and inter-cluster distances over most datasets.

To conclude, the obtained results indicate that OBAPI surpasses normal API on the clustering of all the benchmarks. The OBL method applied to the API led to accuracy improvements in most clustering problems and convergence speed-ups reaching about 33%. It is interesting to see that improvements of the convergence speed were relatively similar for all benchmark datasets. In contrast, OBAPI was not as successful as ACDE dealing with the breast cancer dataset in term of accuracy. In general, it seems that OBL performs well with the more difficult problems, as it helps the learning process. These results are very encouraging, as they demonstrate that opposition can help improve performance. However, it is important to

Table 4: Mean and standard deviation values of inter- and intra-cluster distances required to reach the defined cutoff thresholds in Table 3 over 40 independent trials.

Dataset	Algorithm	Mean intra-cluster distance	Mean inter-cluster distance
Iris	OBAPI	<b>3.3145±0.471</b>	<b>2.8674±0.547</b>
	API	3.9124±0.841	2.0456±0.875
	ACDE	3.7836±0.509	2.0758±0.239
	DCPSO	3.9637±1.666	2.0093±0.795
	GCUK	3.9992±2.390	1.9243±1.843
Wine	OBAPI	<b>3.9165±0.874</b>	<b>3.5211±0.0774</b>
	API	4.6232±0.547	2.8765±0.145
	ACDE	4.9872±0.148	3.1275±0.0357
	DCPSO	4.0743±0.093	1.9967±1.828
	GCUK	5.9870±1.349	2.1323±1.334
Breast Cancer	OBAPI	5.1221±0.132	2.8011±0.411
	API	5.43266±0.025	2.832±0.741
	ACDE	<b>4.9744±0.105</b>	<b>3.0096±0.246</b>
	DCPSO	5.6546±0.241	2.1173±0.452
	GCUK	8.0442±0.435	2.0542±1.664
Vowel	OBAPI	<b>1475.32±0.852</b>	<b>2932.64±1.459</b>
	API	1482.65±0.741	2687.57±0.573
	ACDE	1494.12±0.378	2739.85±0.163
	DCPSO	1575.51±3.786	1923.93±1.154
	GCUK	1593.72±1.789	2633.45±1.213
Glass	OBAPI	<b>572.326±65.78</b>	<b>861.56±0.901</b>
	API	600.985±42.32	852.11±0.324
	ACDE	590.572±34.24	853.62±0.44
	DCPSO	619.980±15.98	846.67±0.804
	GCUK	615.88±20.95	857.34±1.465

consider here that OBAPI performs better than normal API according to the current comparison strategies as well.

## 6.2 Pros, Cons, and Future Works

The obtained results show that the enhanced OBAPI technique has a good performance and is very promising. In fact, this method can significantly decrease the number of function evaluations in comparison with the original API and other evolutionary techniques without having bad effects on the quality of solutions. Moreover, OBAPI is able to automatically find the optimal number of clusters and does not need to know them in advance. It is important to note that the results gained in this work are only examined and valid for five numerical test functions. In other words, the proposed approximation technique within API algorithm makes a heuristic method which is only designed and studied for solving the introduced problems. This method also does not add any new parameter to conventional form of the algorithm. As a part of our future work we plan to improve and study the opposition-based technique in order to solve high dimensional optimization problems with minimum decrease in quality of results.

Computational complexity analysis of OBAPI is also another task that we decide to perform in the future. The main disadvantage of OBAPI is its computational cost which basically is due to the evolutionary nature of this method. Therefore, in order to gain a deeper understanding of when OBAPI is expected to work well (or poorly) for a given complex problem and why, its computational time complexity should be analyzed. It is still unclear how powerful theoretically OBAPI is in solving high dimensional clustering problems, and where the real theoretical power of OBAPI is in comparison with more traditional deterministic algorithms. Impact of the parameters on the average computation of OBAPI is another aspect that must be analyzed. Especially, proper number of ants and hunting sites bring robustness and efficiency to OBAPI and it is important to compare different values theoretically.

To conclude, experimental studies will be carried out to validate and complement our theoretical analysis. The expected outcomes of this method will not only deepen our understanding of how and when OBAPI works, but also guide the design of more efficient algorithm in practice.

## 7 CONCLUSIONS

The main motivation for the current work was utilizing the notion of opposition values to accelerate an ant-based algorithm called API (after the name of *Pachycondyla APicalis* ants) for crisp clustering of real-world datasets. The performance of the proposed algorithm is studied by comparing it with three different state-of-the-art clustering algorithms and original version of API. The obtained results over five benchmark datasets show that the enhanced API algorithm, called OBAPI, is able to outperform four other algorithms over a majority of the datasets. The proposed method can significantly decrease the number of function evaluations while improving the quality of solutions in most cases without adding any new parameter to the original API. The proposed technique makes a heuristic method which is only studied for clustering datasets with average number of features.

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