# Privacy-preserving Hybrid Peer-to-Peer Recommendation System Architecture Locality-Sensitive Hashing in Structured Overlay Network

Alexander Smirnov<sup>1,2</sup> and Andrew Ponomarev<sup>1</sup>

<sup>1</sup>St. Petersburg Institute for Informatics and Automation of the RAS, 14<sup>th</sup> line, 39, St. Petersburg, Russia <sup>2</sup>ITMO University, Kronverksky, 49, St. Petersburg, Russia

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Abstract: Recommendation systems are widely used to mitigate the information overflow peculiar to current life. Most of the modern recommendation system approaches are centralized. Although the centralized recommendations have some significant advantages they also bear two primary disadvantages: the necessity for users to share their preferences and a single point of failure. In this paper, an architecture of a collaborative peer-to-peer recommendation system with limited preferences' disclosure is proposed. Privacy in the proposed design is provided by the fact that exact user preferences are never shared together with the user identity. To achieve that, the proposed architecture employs a locality-sensitive hashing of user preferences and an anonymized distributed hash table approach to peer-to-peer design.

## **1 INTRODUCTION**

Recommendation systems play an important role in modern e-commerce systems by helping users to make their ways through the abundant variety of goods and services offers. From an architectural point of view, most of the widely used recommendation systems have a centralized design. This design is beneficial mainly because it allows employing a broad spectrum of user preference models to predict the future user behaviour. It also puts all the relevant information under control user of the recommendation system provider allowing to perform various research activities on this information besides providing online recommendations to users.

However, the centralized approach has several drawbacks. First, it introduces a quandary about privacy and, in a wider perspective, about rights on the preferences data collected about users. As a rule, a user is not aware of what information the system collects about his/her behaviour and cannot extract this information from the centralized system. On the other hand, if a recommendation system is abandoned by its maintainer, all the collected user profiles may be lost. Second, the centralization usually results in some kind of preferences partitioning. A user may communicate with several recommendation systems, sharing with each system some part of his/her preferences profile, therefore all user's preferences become spread over several recommendation systems with no chance of being united. This is not desirable, because a complete preferences profile can potentially lead to recommendations that are more accurate. Third, any centralization usually leads to a single point of failure, however, in modern computer systems, this drawback is usually alleviated by multilevel duplication and replication.

On the other hand, decentralization of recommendation systems brings two main advantages:

- the recommendation functions can be distributed among all users, thus, removing the need for a costly central server and enhancing scalability;

- a decentralized system may improve the users' privacy, as there exists no central entity owning the users' private information (however, this topic is subtle due to the inherent security issues of peer-to-peer systems).

There are several approaches to recommendation system decentralization. In this paper, a user-centric approach is examined. According to this approach the

532 Smirnov A. and Ponomarev A..

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 Copyright © 2015 SCITEPRESS (Science and Technology Publications, Lda.) user holds all his/her preferences on his/her own system. This entirely removes the quandary about rights – the user fully controls his/her preferences storage. This can also remove the preferences' partitioning as all the user preferences become centralized in a device controlled by the user. When recommendations are needed, the users' device sends recommendation requests to other devices.

Albeit all enumerated issues of the centralized recommendation systems design are alleviated by the user-centric decentralized recommendation system design, it poses several new issues. The main problem that is addressed in this paper is how to make recommendations based on collaborative filtering approach respecting user privacy by not sharing complete profiles between members of distributed recommendations network.

In this paper, the recommendation system architecture that follows the user-centric approach is proposed. It is based on a structured peer-to-peer (P2P) network, where each peer corresponds to one holds preferences thereof. user and Recommendations are made by means of anonymized communication between peers. The proposed architecture enforces privacy by providing limited preferences disclosure. It means that there is no way to reliably match ratings and a user's network address having no global control over the entire P2P network. The proposed architecture is a hybrid P2P as it uses one special node for the data-driven coordination that, however, is not used directly in the recommendation process.

The rest of the paper is structured as follows. Section 2 presents an overview of existing P2P recommendation systems and approaches. In section 3, the locality-sensitive hashing approach to recommendations is discussed. Section 4 contains the description of the proposed recommendation system's architecture. Section 5 contains an experimental evaluation of the proposed ideas. Main results are summarized in the conclusion.

# 2 RELATED WORK

Peer-to-peer recommendation systems design is already addressed in literature.

In Draidi et al. (2011a) and Draidi et al. (2011b) P2Prec system is proposed. The idea of this system is to recommend high quality documents related to query topics and content hold by useful friends (or friends of friends) of the users, by exploring friendship networks. To disseminate information about relevant peers, it relies on gossip algorithms. For publishing and discovering services a distributed hash table is used.

The authors of P2Prec employ two-level Latent Dirichlet Allocation to automatically model topics. At the global level performed by a bootstrap server a sample of documents is collected from peers and a set of topics is inferred. Then at the local level performed by each peer the local documents are analysed with respect to common topics. Each user maintains the friendship network. A user enlarges the friendship network by accretion of new friends relevant to queries and overlapping with this users' friendship network.

To establish friendship P2Prec use gossip protocols. Keyword queries are routed recursively through friends networks, based on user trust and usefulness.

In a number of methods described in literature, an overlay network structure based on a similarity between nodes is built and recommendation algorithm is defined on this network (Draidi, Pacitti and Kemme, 2011; Pitsilis and Marshall, 2006). Recommendations are searched for among neighbours up to certain depth or certain similarity threshold.

One of the algorithms of an aligning network structure to peer similarities is T-Man (Jelasity, Montresor and Babaoglu, 2009). T-Man relies on the ability of a peer to measure how it «likes» peers. Having defined this relation, T-Man algorithm aligns the structure of the overlay network to juxtapose peers that «like» each other.

The similarity-based overlay network structure is extensively studied in Ormandi et al. (2010) where authors showed that overlay topologies defined by node similarity have highly unbalanced degree distributions to be taken into account when loadbalancing the P2P recommendation network. They proposed algorithms with favourable also convergence of speed and prediction accuracy taking load balancing into account, considering collaborative filtering system where similarity of users is measured as cosine similarity.

In the proposed architecture, the exact ratings are not exposed together with a node identity, so there is no way to say how similar the two nodes are. Using the locality-sensitive hash values one can possibly say whether they are likely to be close enough or not.

Another approach is to rely on random walk search for similar nodes in the ordinary P2P network using some form of the flooding technique (Tveit, 2001). Similarly Bakker et al. (2009) show that it is enough to take a random sample of the network and use the closest elements of that sample to make recommendations.

In Kermarrec et al. (2010), the random walks approach to collaborative filtering recommendations is examined in the context of P2P systems. The authors argue that the effect of random walk in decentralized environment is different than the centralized one. They also propose a system where epidemic protocols (gossip protocols) are used to disseminate the user similarity information. They start from a random set of peers and then in series of random exchanges compare their local-view with the local view of the remote node, leaving only the most similar peers in the local view (clustering gossip protocol). This process converges to form some overlay based on the peers' similarity. Then peers that are not farther than two hops from the given one are used to make recommendations.

In epidemic protocols, peers have access to a Random Peer Sampling service (RPS) providing them with a continuously changing random subset of the network peers. Each peer maintains a view of the network, which is initialized at random through RPS when a peer joins the network. Gossip protocols are fully decentralized, can handle high churn rates, and require no specific protocol to recover from massive failures.

There also published research papers where structured P2P networks are used. For example, in Hecht et al., (2012) and Han et al., (2004), distributed hash tables are used to store ratings. The proposed approach stands close to this way except the point that ratings are not stored in a distributed hash table, instead a fast lookup capability provided by this kind of P2P architecture is employed for searching similar peers.

Most of the approaches involve sharing the rating data between nodes, while in the proposed architecture it is avoided.

Privacy concerns are directly addressed in Pussep et al., (2009). The authors propose a file sharing network where users exchange their data only with their friends and the recommendation system on the top of it. They propose a privacy-conserving distributed collaborative filtering approach that is based on exchanges of anonymized items' relevance ranks between peers. Their approach, however, allows only unary ratings (initially, the fact of owning a specific file).

Distributed recommendation systems are also analysed in quite different context, seeking for efficient parallel implementations of centralized recommendation techniques. This research direction is entirely beyond the scope of this paper.

# 3 LOCALITY-SENSITIVE HASHING FOR RECOMMENDATIONS

Locality-sensitive hashing (LSH) is a method widely used for a probabilistic solution of k-NN (k Nearest Neighbours) problem. The idea of this method is to hash multidimensional objects in such a way that similar objects (w.r.t. some distance measure defined on them) are likely to have the same hash value.

#### 3.1 The Idea of LSH

The problem of finding the nearest neighbours is closely related to the recommendation systems research area. The reason is rather straightforward and is based on an assumption that users that had similar preferences in the past are likely to have similar preferences now (and in the future). Therefore, if user preferences are represented as a numerical vector and some measure is introduced in that vector space that corresponds to preference similarity, then the problem of finding similar users translates into the nearest neighbours search. In this section, a formal description of collaborative filtering recommendation method based on the localitysensitive hashing is provided.

Let  $d_1 < d_2$  be two distances according to some distance measure *d*. A family *F* of functions is said to be  $(d_1, d_2, p_1, p_2)$ -sensitive if for every *f* in *F* (Rajaraman and Ulman, 2012):

• If  $d(a, b) \le d_1$ , then probability that f(a) = f(b) is at least  $p_1$ .

• If  $d(a, b) \ge d_2$  then probability that f(a) = f(b) is at most  $p_2$ .

An important concept in the locality-sensitive hashing theory is an amplification. Given a  $(d_1, d_2, p_1, p_2)$ -sensitive family F, a new family F' can be constructed by either AND-construction or OR-construction.

AND-construction of F' is defined as follows. Each member of F' consists of r members of F for some fixed r. If f is in F' and f is constructed from the set  $\{f_1, f_2, ..., f_r\}$  of members of F, f(x) = f(y) if, and only if  $f_i(x) = f_i(y)$  for all  $i \in \{1, ..., r\}$ . As members of F' are independently chosen from F, F' is an  $(d_1, d_2, p_1^r, p_2^r)$ -sensitive family (Rajaraman and Ulman, 2012).

OR-construction of *F*' is defined as follows. Each member of *F*' consists of *b* members of *F* for some fixed *b*. If *f* is in *F*', and *f* is constructed from the set  $\{f_1, f_2, ..., f_b\}$  of members of *F*, f(x) = f(y) if and only there exists  $i \in \{1, ..., b\}$ , such that  $f_i(x) = f_i(y)$ .

Similarly, *F*' is an  $(d_1, d_2, 1 - (1 - p_1)^b, 1 - (1 - p_2)^b)$ -sensitive family.

Generally, it is desirable that  $p_1$  is as large as possible and  $p_2$  is as small as possible. If  $p_1$  is less than 1, then there exists some possibility that similar objects will have different hash values. On the other hand, if  $p_2$  is greater than 0, some possibility exists that distant objects will have similar hash values. Therefore, family *F* is chosen in such a way that  $p_1$  is large (close to 1) and  $p_2$  is small (close to 0). There is a finite set of well-studied locality-sensitive function families and the desired levels of  $p_1$  and  $p_2$  cannot always be achieved with one "pure" family, and here the amplification comes into play.

If family  $F^{Ar}$  is obtained as AND-construction of r functions from family F, and G is then obtained as OR-construction of b functions from family  $F^{Ar}$ , then G is a  $(d_1, d_2, 1 - (1 - p_1^r)^b, 1 - (1 - p_2^r)^b)$ -sensitive family. Informally, AND-construction mostly lowers the initially low  $p_2$  probability and subsequent OR-construction raises the initially high  $p_1$  probability.

The idea of the nearest neighbours search based on LSH is described in many papers like Rajaraman and Ulman, (2012) and Slanley and Casey (2008). First, a hash family F (to be discussed in greater detail later) is chosen and b ordinary hash tables are arranged. Each hash table corresponds to some hash function  $f^{dr}_i$ , i = 1, ..., b, where  $f^{dr}_i$  is an ANDconstruction of r random functions from F. Every object x is stored into each of the b hash tables. Key is the  $f^{dr}_i(x)$  and value is either some identity of x or xitself. It is natural that several objects can fall into one hash table bucket.

When searching for the nearest neighbours of an object y, at first,  $f^{dr}{}_i(y)$ , i=1,..,b is calculated and then all values from the corresponding hash maps are retrieved resulting in a set of the nearest neighbour candidates. Precise distance to each of the candidates is then assessed and the false positives are removed.

Particular choice of the hash function family depends on data representation and distance function d. For Hamming distance a bit sampling locality sensitive hash was proposed in Indyk and Motwani (1998), for cosine distance a random projections method was proposed in Charikar (2002), a wellperforming hash function for Euclidean distance is proposed in Datar et al. (2004).

In the proposed architecture the random projections method is used, i.e. function f from F corresponds to one random hyperplane and checks whether a point being hashed is above or under this hyperplane.

#### 3.2 Recommendations Generation

User-based collaborative filtering system is the recommendation system that infers recommendations from the similarity of users measured by the degree known user ratings coincide.

More formally, let  $r_{uj}$  be the rating assigned to the item *j* by the user *u*, which corresponds to how user *u* liked item *j*, or what was the subjective utility of *j* for *u*. Let *U* be the set of all users, *I* – the set of all items,  $I_u$  – the set of items rated by user *u*, and  $I_{uv}$  – the set of items rated by user *u*, and  $I_{uv}$  – the set of items rated by both user *u* and user *v*. Usually, a user has ratings for relatively small number of items,  $|I_u| \ll |I|$ . Neighbourhood methods of user-based collaborative filtering employ some similarity measure between users which is calculated based on common ratings  $(sim(u, v) = f_s(\{r_{uj}, r_{vj} | j \in I_{uv}\}))$  and estimate unknown rating  $r^*_{uj}$  based on known ratings  $r_{vi}$  and estimated similarities sim(u, v).

In the recommendation systems research several user similarity measures were introduced: Pearson and Spearman correlation coefficients, Jaccard similarity, Hamming distance, cosine similarity (Amatriain et al., 2011). In this paper, the cosine similarity is employed as the similarity measure between users. Therefore:

$$m(u,v) = \frac{\sum_{I_{uv}} r_{uj} r_{vj}}{\sqrt{\sum_{I_{uv}} r_{uj}^2} \sqrt{\sum_{I_{uv}} r_{vj}^2}}$$
(1)

The similarity measure choice is caused mostly by the fact that there exists a known way to approximate this measure by a set of locality-sensitive hash functions (Charikar, 2002), which is not the case for other wide-spread similarity measures (e.g., Pearson correlation coefficient). It is also supported by the evidence that the cosine similarity works well in many recommendation system settings (Amatriain et al., 2011).

User ratings are normalized in such a way that  $r_{uj} = l$  corresponds to a strong positive attitude of user u to item j, and  $r_{uj} = -l$  corresponds to a strong negative attitude respectively.

The prediction of an unknown rating  $r^*_{uj}$  requires the search of users v that are similar to u, or the nearest neighbours of u according to cosine similarity measure.

Recommendation system using LSH follows the nearest neighbour approach. At the known set of hash values for some user u, the system checks the respective hash tables and retrieves all users whose interests are likely (due to hash function properties) to be similar to u's. Then an exact similarity may be

assessed and high rated items of similar users are provided to u.

In the proposed system, the exact user similarity is not computed, as it would lead to user profile exposure, which is avoided. Instead, approximate similarity measure s'(u,v) is introduced as the number of locality-sensitive hash functions whose values are equal for users u and v. The recommendation algorithm, at first, retrieves all approximate neighbours  $Q_u$  of user u from hash tables and computes s'(u,v) (where  $v \in Q_u$ ). Then, each of the neighbours  $v \in Q_u$  is asked for the recommended items  $R_v$ . The proposed algorithm and the system as a whole do not predict ratings, instead it ranks all items that were recommended by approximate neighbours with respect to some attractiveness estimate  $\tilde{a}_{ui}$  of item i for user u defined by the following expression:

$$\widetilde{a}_{ui} = \sum_{v \in Q_u} s'(u, v) P_{vi}^R .$$
<sup>(2)</sup>

Here  $P_{vi}^R$  is an indicator function that checks if item *i* is in the list of items recommended by user *v*:

$$P_{vi}^{R} = \begin{cases} 1, i \in R_{v} \\ 0, i \notin R_{v} \end{cases}.$$
(3)

In other words, the attractiveness estimate  $\tilde{a}_{ui} \in [0;$ 

 $Q_u$ ] is the sum of approximate similarities between user u and neighbours that "recommended" item i to user u.

To sum it up, in the proposed system architecture, a profile of user u is a set of pairs (*i*,  $r_{ui}$ ), where *i* are item identifiers. To compute the hash function, a profile is transformed to a vector of length |I| in such a way that for each known rating  $r_{ui}$  the respective  $(i^{th})$ vector component is set to normalized rating value, and for each unknown rating it is set to 0. Each of blocality-sensitive hash functions is represented by rvectors, whose dimensionality equals to a number of the known items (|I|). Finding a hash of a profile vector corresponds to computing inner products of the profile vector and hash functions vectors, resulting in 1 if the inner product is positive and 0 if the inner product is negative or equals to zero. After application of all these hash functions b rdimensional binary vectors are obtained and stored into hash table. When looking for recommendations, b lookups are performed, then each found approximate neighbour is queried for recommended items and the list of recommended items is sorted according to  $\tilde{a}_{ui}$  value.

The values of b and r are the parameters of recommendation system. In the section 5, impact of

these parameters on the recommendations quality is assessed.

### **4** SYSTEM ARCHITECTURE

The proposed hybrid architecture enables the personalized recommendations exchange with the limited user preferences disclosure. In this section, target use cases are discussed, as well as components of the proposed system and scenarios that implement the target use cases.

#### 4.1 Use Cases

Recommendation systems may provide for somewhat different end-user features. Specifically, in this paper the following recommendation use cases are considered: a) attractiveness estimation of a given item (or set of items); b) recommendations query.

Attractiveness estimation of a given item (or a set of items) is involved when a user encounters some item and wants to check if it is potentially interesting or useful for him/her. In this case, the user passes this item (item identity) to recommendation system and the recommendation system should return an expected attitude of this user to this item. Certainly, the user is not required to perform this request intentionally by hand; some other program or GUI element acting on behalf of the user can mediate this action. Attractiveness estimation request may contain several items. Though estimation for multiple items can always be implemented as a series of single item estimations, it is interpreted here as a use case extension, because in some circumstances the estimation for multiple items is potentially more efficient than multiple separate single item requests.

Recommendations query is launched in quite another situation. Here, the user just wants to see some recommendations – maybe recommendations of new, previously unseen and actual items.

#### 4.2 Components

In the proposed architecture, the recommendation system is split into two parts: Peer-to-Peer (P2P) recommendations network and the Master node (Figure 1). The Master node breaks the conceptual purity of the P2P design, making it a hybrid P2P system, but it does not play a significant role in the primary use cases of the system, namely the assessment of a given item and recommendation query. Both enumerated earlier use cases are implemented by the P2P network solely and the Master node is responsible for synchronizing supplementary information between peers.



Figure 1: Connections between nodes in the proposed architecture.

In Figure 1, two types of connection between nodes are shown: connections between similar peers used to get recommendations are shown by solid lines, and occasional connections of peers to the Master node for retrieving the supplementary information are depicted by dashed lines.

1) Peer-to-Peer recommendations network: In the proposed architecture, each user corresponds to exactly one node (or peer – these terms are used here interchangeably). That node holds all the information about one user's preferences, ratings, browsing history etc, but does not share this information with the other nodes, instead it shares only the locality-sensitive hash values of this information in order to find similar users to query for recommendations.

P2P network is based on the Distributed Hash Table (DHT) (Korzun and Gurtov, 2013) model widely employed in various P2P networks. The general idea of DHT is rather straightforward. It holds a collection of key/value pairs scattered over a distributed set of nodes, supporting key/value pair migration in case of node disconnection. DHT usually refers to a class of systems rather than to some specific system or algorithm.

Original DHT has some severe security vulnerabilities. To overcome these vulnerabilities a variety of secure and anonymous DHT lookup implementations were designed. The proposed architecture relies on one of these anonymized implementations, namely Octopus (Wang and Borisov, 2012). The idea behind the most of secured DHT implementations is that all the DHT lookups are made through other nodes accessible by anonymous paths through anonymization relays. Each node in the anonymization path knows only the neighbour nodes and does not know whether some request originated in the neighbour node, or was passed over from some other node. DHT in the proposed system is used as a set of hash tables needed for nearest neighbour search, as described in section 3. Each key/value pair stored in DHT holds information about one locality-sensitive hash value and the list of nodes corresponding to that hash value. As it was discussed in the respective section, several (b) hash tables are needed to perform the nearest neighbour search. Each of the b tables uses its own locality-sensitive hash function. It is proposed to store all of these b hash tables in one DHT. In order to achieve this key of the DHT pair should include a global unique identifier of the locality-sensitive hash function and the value of that function.

Each node of the P2P network has its unique identifier assigned to the node when it first connects to the network. In most DHT implementations the node identifier is a 160-bit value that is produced by applying SHA-1 to the network address of the node.

Before a node advertises itself in DHT it creates an anonymized path and uses the endpoint specification of this path as an address it shares with other nodes. These anonymized paths are created each time when the node connects network, resulting in different public identifiers of the same node.



Figure 2: Peer-to-Peer layers.

As user preferences expressed in ratings are not changing very fast, it is reasonable for each node to locate other nodes with the similar profiles through DHT and store links to them. Therefore, a new overlay network of similar users is formed over the P2P network. It is important to differentiate between the three employed connection layers (Figure 2). The first layer is the underlying network, that provides a physical connection between P2P nodes. The second layer is DHT connection layer that provides DHT key search, key redistribution etc. This layer is formed by links to adjacent nodes in structured P2P, the socalled "fingers". The third layer is formed by connections between similar nodes, where the similarity is interpreted like an equality of localitysensitive hashes.

It is important to note, that links to neighbour nodes in the third layer are not exactly identifiers of nodes in P2P network, they are entrances to anonymized paths to these nodes.

2) The Master node: The distributed nature of the proposed system causes one hindrance. LSH-based nearest neighbour search implies that when searching for the neighbours of object x, all the localitysensitive hash functions that were used to hash other objects and fill hash tables are applied to x. In the proposed architecture, an object being hashed is a vector of normalized ratings assigned by the user to different items of interest and hashing functions family is represented by random hyperplane projections. To define a hyperplane the dimensionality of the space has to be known. In some cases, for instance, when the rating storage is centralized, when ratings are immutable or all possible items are known in advance, knowing dimensionality is not a problem. However, in case of distributed rating storage when each node holds only ratings of one user, overall item space dimensionality can be found out only though communication between nodes. Dimensionality means the number of dimensions as well as their order. It is easy to see that if one user encounters items in the following order: (Item1:1, Item2:-0.5, Item3:1), and another user encounters and rates the same items in another order: (Item1:1, Item3:1, Item2:-0.5), then their hashes with hyperplane (0, 1, 0) would be different although the ratings match perfectly.

Hence, it is needed to synchronize item space characteristics and random projection hyperplanes across all nodes. The problem of maintaining a global shared state in the P2P network is rather nettlesome, and there are numerous papers dedicated to this problem, e.g. (Hu, Bhuyan and Feng, 2012; Oster et al., 2006; Chen et al., 2005). In the proposed system this problem is addressed in a way similar to the one presented in (Mastroianni, Pirro and Talia, 2008) and sacrificing the P2P-purity of the system. It is the Master node that, first, collects all new items discovered and rated by peers, maintains their ordering and generates new locality-sensitive hash functions. So, each peer must connect to the Master node in two situations: first, to notify about some previously unknown item (which should become a

new dimension), second, to get a new set of localitysensitive hash functions. It must be noted, that there is no necessity in generation of new hash functions after an assessment of each new item. Using outdated hash functions with lower dimensions is still possible, but it gradually decreases the quality of recommendations. So, each user node collects the new rated items (which were not assigned identifiers yet) and then sends a batch of these items to the Master node. The Master node, in turn, accumulates new items, and when their number is great enough assigns them an ordering and issues a new set of locality sensitive hash functions. It is also important that the new set is not an entire replacement of the previous, but contains only several new hash functions.



This subsection describes how five main scenarios of the recommendation system are implemented by means of the proposed architecture. These scenarios are: attractiveness estimation for a given item, recommendations query, rating an item, refreshing hash functions, and the search for similar peers.

1) Attractiveness estimation of a given item: attractiveness estimation on a node is possible only after the integration of this node into the P2P network and locating the nodes of the users with similar ratings (hereinafter these nodes are referred to as neighbour nodes). Let the neighbour nodes for the given one be stored in the Neighbours list. Then attractiveness estimation for the item is performed by sending requests to each node from the Neighbours list passing the item identifier over. Each neighbour node answers with a binary value meaning if it can recommend this item to others or not. Attractiveness estimation for the set of items is done mostly in the same way, except that the requester node passes the list of item identifiers instead one identifier and the answer contains a list of pairs (itemId, recommend flag) for all items that the neighbour node is able to recommend.

Informally, attractiveness estimation scenario can be interpreted as asking an advice from co-minded people. In centralized systems it is performed in some conceptual way, in the proposed hybrid P2P system it is performed literally sending requests to the respective nodes. When answering attractiveness estimation request, a node can base the response on the rating that is stored for the given item, or infer the rating from some other information. This is an extension point of the proposed system architecture. These requests are sent and answered through anonymization relays, so the node does not expose both its identity and an exact rating for any item.

2) Recommendations query: In this case, node that needs recommendations just sends corresponding requests to each of the neighbour nodes. Each neighbour node answers with a list of (item, rating) pairs. Unlike the previous scenario, here the neighbour node needs to send not just identifiers of the recommended items, but their entity, something that the receiver side can use directly.

The way neighbour node forms the recommendations list is also an extension point. In the simplest case, it should return some random sample of the high-rated items, it may also return only new high-rated items.

Anonymization relays make sure that the recommendations provider does not expose both ratings and its identity.

3) Rating an item: The main issue of rating items is the generation of new locality-sensitive hash functions that must follow it. To address this issue each node has two lists: *Known* and *New*. The *Known* list holds all the items the Master node is aware of. This list is received from the *Master* node during the bootstrap process of periodical synchronization process. The order of items in this list is also important as it corresponds to the order of dimensions of locality-sensitive hash functions. The *New* list, on the other hand, holds the items that are discovered by this node and are not yet approved by the Master node. When the user rates an item, the rating is saved and then, if the item is neither in *Known*, nor in *New* lists it is added to the *New* list.

When *New* list exceeds some predefined size or once in a predefined period (whatever happens first), the node sends its *New* list to the Master node and retrieves the global shared state from the Master node. Global shared state from the Master node includes upto-date version of the *Known* list. Each node augments its *Known* list according to the one received from the Master node and removes from *New* list items that are present in *Known* list.

4) Refreshing hash functions (supplementary scenario): Each node periodically queries the Master node for the global shared state. As it was described earlier, there are b functions, and each hash function is a vector of r *m*-dimensional random vectors (representing random hyperplanes). To reduce the amount of information exchange and load of the Master node, each hash function posted by the Master node is represented by three integers: function unique identifier (*funcId*), random seed and current number of items *m* (i.e. item space dimensionality). When a

node gets this information it generates random hyperplanes constituting each of the *b* locality-sensitive hash function as a sequence of  $r^*m$  (*m* dimensions for each of *r* hyperplanes) random numbers from the specified seed using Mersenne twister (Matsumoto and Nishimura, 1998).

5) The search for similar peers (supplementary scenario): The search for similar, or neighbour, peers is initiated when a node is registered in the P2P network. Then this search is performed regularly. Before searching for neighbours a node have to refresh item list and hash functions from the Master node. Then each function from an up-to-date set of hash functions is applied to this node ratings vector. The results are merged into pairs (*funcId*, *value*) and these pairs are used as keys to look up in DHT. DHT look up returns a list of node identifiers similar to this one according to the respective locality-sensitive function. These lists are then merged and stored as the *Neighbours* list.

# 5 EXPERIMENTAL STUDY

Experimental study of the proposed approach was performed with the MovieLens 100k dataset shared by GroupLens research lab. This dataset fits well with e-commerce scenarios (specifically, media streaming services), as it contains 100,000 real-life ratings assigned by 943 users to 1682 movies.

The purpose of the experimental study was twofold. First, to gain some insights into the internal quantitative characteristics of the proposed approach and to estimate time and spatial complexity of the DHT-based LSH recommendation system. Second, to evaluate the quality of recommendations with respect to some well-known baselines.

Ratings are normalized by centring over the user's mean rating and scaling to [-1; 1] range.

## 5.1 Time, Space and Network Load

It was already noted that b (the number of hash functions) and r (the number of hyperplanes in each hash function) are parameters of the LSH-based recommender. Values of these parameters have significant impact both system performance and accuracy.

As each node puts itself into DHT *b* times, the size of the DHT is n\*b it means that on the average only *b* records of the DHT are located on each node. In most cases, this burden is negligible. More important is the fact that the search for the neighbour nodes takes *b* lookups which is  $O(b \ \log(n))$  of internode communications. Even more important is the number of neighbours, as this number corresponds to the number of network queries performed to obtain recommendations, and it is desirable to keep the number of these queries as small as possible.



Figure 3: Average number of neighbours depending on the number of hash functions (*b*) and their dimensionality (*r*).

Figure 3 shows the dependency between b and r parameters of recommender and the average number of neighbours found through hash table look up. It can be seen that the number of neighbours increases with the growth of b, and the speed of growth significantly depends on the dimensionality of hash functions. It is expected behaviour, as small dimensionality of hash functions and large number of "alternative" hash functions make neighbour search procedure indiscriminative. In this experiment, we assume that the reasonable number of neighbours is under 100 and the reasonable number of neighbours is under 50. The numbers are different as neighbours search is one-time action and queries to neighbours happen more often.

The number of neighbours selection is also supported by the experiment, presented in Figure 4. For fixed dimensionality (r) different values of b were tried and the average number of neighbours and the respective recall were evaluated. It can be seen, that when the number of neighbours is less than approximately 50, the quality of recommendations is growing fast, whereas for bigger values of the number of neighbours it reaches a plateau.

Having this in mind, three configurations were selected to examine recommendations quality: (r=12, b=100), (r=10, b=35), (r=8, b=10). These configurations were selected because each of them gives on the average approximately 50 neighbours for a user in the explored dataset (see Figure 3).

#### 5.2 **Recommendations Quality**

As the proposed recommendation system does not predict item ratings, the conventional root mean square error metric for measuring recommendations quality is irrelevant. Instead, recall is used as a quality metric better tailored to top-n recommendation systems. The authors follow the approach described in Cremonesi et al. (2010). Ratings dataset is split into two subsets: training set and testing set in 80/20 proportion. Training set is used to fill the hash table. Then, for each high rating (4 or 5) from the testing set a check is performed whether this item is in top nrecommended items for that user. The outcome of this check may be either 1 (if it is in the top *n*) or 0 (if it is not). These outcomes are summed for all high ratings of the testing set to produce  $N_p$  value. Recall is calculated according to formula:

$$R@n = \frac{N_p}{N_H}, \qquad (4)$$

where  $N_H$  is the number of high ratings. In other words, this value can be interpreted as a probability that a randomly taken high rated item is in fact recommended by the algorithm.



Figure 4: R@50 depending on the number of nearest neighbours for LSH with r=10 and b varying from 5 to 55.

Recall of the proposed recommendation method was compared with two baseline non-personalized recommenders. First, a random recommender (RandRec) which recommends just n random items to any user, second, popular items recommender (PopRec) which recommends the items that have the most number of ratings. Figure 5 shows the recall of each of the recommenders at different values of n.

All the tested variants of LSH recommendation method give similar results. It may be explained by the fact that in all of the tested variants there are nearly the same number of neighbour nodes (about 50, see Figure 3). It can also be seen that the proposed Privacy-preserving Hybrid Peer-to-Peer Recommendation System Architecture - Locality-Sensitive Hashing in Structured Overlay Network



Figure 5: Comparison of R@n for different recommendation methods.

recommendation algorithm significantly outperforms the non-personalized recommendation algorithms in terms of recall.

# **6** CONCLUSIONS

In this paper, the architecture of a user-centric hybrid peer-to-peer recommendation system, based on locality-sensitive hashing is proposed. In the proposed architecture, privacy is enforced by the fact, that user ratings are shared only in an anonymized way and complete profiles are not shared at all (only their hash values).

The proposed approach was evaluated on a widely used dataset from an e-commerce scenario (movie ratings) and it was shown that the estimated recall of the proposed recommendation system is sufficiently higher than that of the trivial baselines.

However, some limitations of this approach can also be enumerated. First, due to DHT limitations it is not applicable to the P2P networks with high churn, second, it most likely does not fit highly dynamical domains, such as news recommendation, because of the need of sharing information about all objects all over the P2P network.

In the future, the authors are planning to consider alternative solutions of sharing the global set of locality-sensitive hash functions among peers.

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