

# Improving a Fuzzy Discretization Process by Bagging

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Keywords: Crisp/Fuzzy Discretization, Bagging, Numerical Attributes, Data Mining.

**Abstract:** Classification problems in which the number of attributes is larger than the number of examples are increasingly common with rapid technological advances in data collection. Also numerical data are predominant in real world applications and many algorithms in supervised learning are restricted to discrete attributes. Focusing on these issues, we proposed an improvement in a fuzzy discretization method by means of the introduction of a bagging process in the different phases of the method. The bagging process tries to solve problems which can appear with small size datasets. Also we show the benefits that bagging introduces in the method by means of several experiments. The experiments have been validated by means of statistical tests.

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## 1 INTRODUCTION

Successful decision-making, whether done by an individual or as a group relies on the presence of many ingredients. Availability and quality of information is an essential element of successful decisions, (O'Reilly, 1982). On the one hand, with the advent of key information technologies in recent decades, decision makers now have access to vast amount of historical data. In this case, the information available could be enough depending on its quality. On the other hand, due to the highly competitive global market, companies must meet the customer increasing demands to rapidly and continually improve the standard of their products and services. In the real world, there are many situations where organizations have to work with small datasets. For instance, with the pilot production of a new product in the early stages of a system, dealing with a small number of VIP customers, and some special cancers, such as bladder cancer for which there are only a few medical records, (Der-Chiang and Chiao-Wen, 2012).

The extraction of valuable information from these small data sources requires purposeful application of rigorous analysis techniques such as data mining, machine learning, and other statistical learning techniques, (Ulner and Murat, 2010).

Data mining methods are often employed to understand the patterns present in the data and derive predictive models with the purpose of predicting future behavior. While data mining encompasses a wide

range of data processing and manipulation steps (formatting, filtering, visualization,...), machine learning algorithms are central to the data mining process. Machine learning algorithms are a collection of methods that are capable of learning to optimize a performance criterion using example data or past experience. The classification problem, as a form of supervised machine learning, aims to induce a model with the purpose of predicting categorical class labels for new samples given a training set of samples each with a class label. Classification has found applications in various domains such as credit approval in financial services, target marketing in retailing, medical diagnosis in healthcare, and treatment effectiveness analysis in healthcare. A typical implementation of the classification problem involves selecting a training dataset with class labels, developing an accurate description or a model for each class using the attributes available in the data, and then evaluating the prediction quality of the induced model.

This paper will focus on supervised classification and models which have been obtained from datasets with few examples in relation with the number of attributes. From the computational learning viewpoint, these datasets are very important in machine learning problems, because the information contained can be more difficult to extract. For instance, with a classifier, it is hard to make accurate predictions because these datasets do not only make the modeling procedure prone to overfitting, but also cause problems in predicting specific correlations between the inputs

and outputs, (Der-Chiang and Chiao-Wen, 2012). For that reasons, this paper focus on these kind of datasets because all of the commonly used classifiers can suffer from the curse of dimensionality.

While an exact relationship between the probability of misclassification, the number of training examples, the number of attributes and the true parameters of the class-conditional densities is very difficult to establish, some guidelines have been suggested regarding the ratio of the sample size to dimensionality, (Jain, 2000).

As a general rule, a minimum number of  $10 \cdot |A| \cdot |C|$  training examples is required for a  $|A|$ -dimensionality classification problem of  $|C|$  classes, (Jain, 2000). Following this rule, when datasets have few examples (hereafter called small size datasets), we should take into account in the learning algorithm some measure in order to build better models to get good accuracy in classification.

In this paper we focus on discretization of numerical attributes in small size datasets. The discretization of numerical attributes is a crucial step in machine learning problems since there are classifiers that cannot deal with numerical attributes, and there are other classifiers that exhibit better performance when these attributes are discretized, since discretization reduces the number of numerical attribute values, enabling faster and more accurate learning (Antonelli et al., 2011).

More specifically we propose the introduction of a measure in the discretization method presented in (Cadenas et al., 2012b), OFP\_CLASS, that allows it to get good accuracy in classification when it deals with small size datasets. This measure is based on the use of bagging in order to improve the stability and accuracy of the discretization process. Bagging is a special case of the model averaging approach. We have called the resulting method BAGOFP\_CLASS.

This paper is organized as follows. First, in Section 2, we describe some of the different methods reported in literature to discretize numerical attributes highlighting the fulfilling degree of the rule. Next, in Section 3, we describe the BAGOFP\_CLASS discretization method. Then, in Section 4, we present some experimental results illustrating the performance of BAGOFP\_CLASS method. Finally, in Section 5 remarks and conclusions are presented.

## 2 RELATED WORKS

There are some classification algorithms which can only take nominal data as inputs and some of them need to discretize numerical data into nominal data

before the learning process. Therefore, discretization is needed as a pre-processing step to partition each numerical attribute into a finite set of adjacent distinct intervals/items. A good discretization algorithm should not only characterize the original data to produce a concise summarization, but also help the classification performance (Zhu et al., 2011).

Discretization algorithms can be categorized from different viewpoints depending on the measure that is being focused on. If the class label is used to build partitions, the discretization methods can be categorized into two ways, namely unsupervised and supervised. With the focus on the kind of logic, discretization algorithms can be categorized into fuzzy discretization and crisp discretization. On the one hand, when the fuzzy logic is used, we can create fuzzy partitions where a value can belong to more than one partition. On the other hand, when the classical logic is used, we create crisp partitions where a value can only belong to one partition. Furthermore, discretization methods can be classified taking into account the kind of measure that it is used or the way that the partitions are created.

In this section, we focus on how several discretization methods consider the management of small size datasets explicitly. From this viewpoint, there are methods that build partitions taking into account the possible problems that datasets with few examples can induce in the discretization process and later in loss of accuracy.

However, there are methods which are not specific for small size datasets but get good precision for this kind of datasets.

For instance, in (Armengol and García-Cerdana, 2012) a method, called  $\varepsilon$ -procedure, that constructs crisp partitions on the range of an attribute taking numerical values is proposed. These partitions can be seen as refinements of the ones given by the expert or the ones given by a standard discretization method. Moreover, the method can be seen as “similar” to the fuzzy discretization methods since the  $\varepsilon$ -procedure takes into account the neighborhood of the thresholds given by the crisp discretization methods.

Another method that does not specify anything about managing small size datasets is proposed in (Zhu et al., 2011), where a novel and effective supervised discretization algorithm based on correlation maximization is proposed by using multiple correspondence analysis. For each numerical attribute, the candidate cut point that maximizes the correlation between attribute intervals and classes is selected as the first cut point, then this strategy is carried out in the left and right intervals recursively to further partition the intervals.

As a last instance for discretization methods which do not consider small size datasets, in (Wang et al., 2012) the discretization quality is improved by increasing the certainty degree of a decision table in terms of deterministic attribute relationship, which is revealed by the positive domain ratio in rough set theory. Furthermore, they take into account both the decrement of uncertainty level and increment of certainty degree to induce a Coupled Discretization algorithm. This algorithm selects the best cut point according to the importance function composed of the information entropy and positive domain ratio in each run. The algorithm builds crisp partitions because it is focused on classical logic.

In contrast to the methods which do not consider the problems of small size datasets, in literature there are some methods that explicitly work with this kind of datasets.

So, in (Qureshi and Zighed, 2009) a novel soft decision tree method that uses soft of fuzzy discretization instead of traditional crisp cuts is proposed. They take into account the dataset size and use a resampling based technique to generate soft discretization points. They use a fuzzy decision tree and an ordinary bootstrap as a method for resampling. Bootstrap allows them to get global partitions and a better estimation toward the entire population.

In (Der-Chiang et al., 2012), a trend-diffusion and tree-structure based approach, which is called a tree structure based trend diffusion (TTD) procedure, specifically designed for small datasets in manufacturing problems has been proposed. In the first phase of the proposed procedure, TTD is employed to estimate the possible value bounds, and then uses a heuristic mechanism to fill values within the bounds to form a new training set. In the second phase, the M5' model tree is adopted (Wang and Witten, 1997) as the modeling tool to concretely represent the knowledge. In this second phase it is necessary to discretize data for the tree. The discretization process should be repeated whenever each branching process of M5' begins. This repetition is a special treatment to deal with small datasets. In this case, the method works with small datasets (microarrays), although, they do not apply the technique for small datasets explicitly.

Typical datasets which have few examples are the microarrays datasets. In (Kianmehr et al., 2010) this kind of data is used. That paper presents a novel classification approach that integrates fuzzy class association rules and support vector machines. Also, a fuzzy discretization technique based on fuzzy c-means clustering algorithm is employed to transform the training set, particularly quantitative attributes, to a format ap-

propriate for association rule mining. A hill-climbing procedure is adapted for automatic thresholds adjustment and fuzzy class association rules are mined accordingly. The compatibility between the generated rules and fuzzy patterns is considered to construct a set of attribute vectors, which are used to generate a classifier.

Another paper which deal with biology issue (microarray time series datasets) is presented in (Dimитрова and Vera-Licona, 2010). In that paper a new algorithm, called SSD, is introduced. SSD is especially designed for short time series data and is capable of determining the optimal number of discretization states. An important characteristic of such time series is the relatively small number of data points (typically no more than ten). A graph-theoretic clustering method is employed to perform the discretization and an information-theoretic technique to minimize loss of information content. One of the most useful characteristic of that method is the determination of an optimal number of discrete states that is most appropriate for the data.

It is notable that some of the above methods use some kind of repetitions to manage small size datasets. This approach is also used in other types of machine learning algorithms to manage small size datasets such as in (Liu et al., 2004) to attribute selection or in (Zararsiz et al., 2012) to classify. Following this line, a bagging method is used, in order to emulate the repetitions that others methods use.

Bagging was proposed by Breiman in (Breiman, 1996a), and is based on bootstrapping and aggregating concepts, so it incorporates the benefits of both approaches. Bagging uses the same training set multiple times, and has been shown to outperform a single classifier trained from the training set (Breiman, 1996a).

In bagging, the training set is randomly sampled  $k$  times with replacement, producing  $k$  training sets with sizes equal to the original training set. Since the original set is sampled with replacement, some training instances are repeated in the new training sets, and some are not present at all. Bagging has several advantages. First, because different classifiers make different errors, combining multiple classifiers generally leads to superior performance when compared to a single classifier, and thus it is more noise tolerant. Second, bagging can be computationally efficient in training because it can be implemented in a parallel or distributed way. Finally, bagging is able to maintain the class distribution of the training set.

In the next section, we are going to describe the BAGOFP\_CLASS method which also obtains good results when discretizing numerical attributes of small

size datasets.

### 3 BAGOFP\_CLASS: DISCRETIZING BY BAGGING

In this section we are going to present the BAGOFP\_CLASS method so that this method gets better fuzzy partition than OFP\_CLASS method (Cadenas et al., 2012b) when it works with datasets which do not verify the rule commented in Section 1. This rule states that datasets should have more examples than  $10 \cdot |A| \cdot |C|$ , where  $|A|$  is the number of attributes that describe each example and  $|C|$  is the number of different values of the class attribute.

Following the methodology of OFP\_CLASS (Cadenas et al., 2012b), BAGOFP\_CLASS is composed of two phases. The former uses a fuzzy decision tree in order to find possible cut points to create the partitions. The latter takes as input the cut points of the first phase to optimize and to build the final fuzzy partitions by means of a genetic algorithm. It should be noted that if the second phase is not carry out, with the cut points it could be possible to construct intervals and crisp partitions would be obtained for the attributes instead of fuzzy partitions.

The use of bagging in OFP\_CLASS method is motivated by the fact that bagging can give substantial gains in accuracy when the classification method used is unstable. If perturbing the learning set can cause significant changes in the classifier constructed, the bagging can improve accuracy (Breiman, 1996a). Instability was studied in (Breiman, 1996b) where it was pointed out that neural nets, classification and regression trees, and subset selection in linear regression were unstable. The result, both experimental and theoretical, is that bagging can push a good but unstable procedure a significant step towards optimality.

In addition, instability of decision trees can be increased by using small size datasets because in a decision tree when a node is split, the attribute with higher information gain is selected. When the number of examples is small relative to the number of the attributes, the probability that redundant attributes exist is increased. In this way, there will be several attributes that have the same information gain and the selection of one of them is random. With a certain probability, the unselected attributes are not partitioned and, therefore, these attributes might not be part of the final partition generated by the decision tree. When a bagging process is introduced, the probability that these attributes can be part of the final partition is increased. In this situation, the method will work with different bagging of the dataset. By re-

peating the process, the selection of other attributes is allowed.

Therefore, since the first phase of the algorithm is based on decision trees, can be improved with the use of bagging obtaining a better partitioning from the set of decision trees generated and enabling that a greater number of attributes to be part of the partition when the information gain of various attributes is the same. The second phase of the algorithm is responsible for selecting the most relevant attributes for classification.

On the other hand, the genetic algorithm in the second phase of the OFP\_CLASS method, uses a fitness function which tries to find the best set of partitions to divide the examples with respect to the class attribute. Again, the second phase of OFP\_CLASS method can be improved with a bagging process with the motivation of reducing variability in the partitioning results via averaging. The fitness function is modified in order to obtain a better value for those partitions (individuals) with better average performance when we using a bagging of the dataset. Also with bagging the genetic algorithm studies different region of the searching space and it can get more global fuzzy partitions.

Therefore, we will introduce a bagging process into the two phases of BAGOFP\_CLASS method: 1) in the construction of the decision tree which generates the cut points of the first phase, and 2) in the fitness function that will be used in the genetic algorithm of the second phase.

The fuzzy partitions created with BAGOFP\_CLASS have the same characteristics as the fuzzy partitions created with the OFP\_CLASS method. This means that the domain of each numerical attribute is partitioned in trapezoidal fuzzy sets and the partitions are:

- Completeness (no point in the domain is outside the fuzzy partition), and
- Strong (it verifies that  $\forall x \in \Omega_i$ ,  $\sum_{f=1}^{F_i} \mu_{B_f}(x) = 1$  where  $B_1, \dots, B_{F_i}$  are the  $F_i$  fuzzy sets for the partition of the  $i$  numerical attribute with  $\Omega_i$  domain and  $\mu_{B_f}(x)$  are its functions membership).

The domain of each  $i$  numerical attribute is partitioned in trapezoidal fuzzy sets and the membership functions  $B_1, B_2, \dots, B_{F_i}$  are calculated in the following way:

$$\mu_{B_1}(x) = \begin{cases} 1 & b_{11} \leq x \leq b_{12} \\ \frac{(b_{13}-x)}{(b_{13}-b_{12})} & b_{12} \leq x \leq b_{13} \\ 0 & b_{13} \leq x \end{cases};$$

$$\mu_{B_2}(x) = \begin{cases} 0 & x \leq b_{12} \\ \frac{(x-b_{12})}{(b_{13}-b_{12})} & b_{12} \leq x \leq b_{13} \\ 1 & b_{13} \leq x \leq b_{23} \\ \frac{(b_{24}-x)}{(b_{24}-b_{23})} & b_{23} \leq x \leq b_{24} \\ 0 & b_{24} \leq x \end{cases};$$

...;

$$\mu_{B_{F_i}}(x) = \begin{cases} 0 & x \leq b_{(F_i-1)3} \\ \frac{(x-b_{(F_i-1)3})}{(b_{(F_i-1)4}-b_{(F_i-1)3})} & b_{(F_i-1)3} \leq x \leq b_{(F_i-1)4} \\ 1 & b_{F_i3} \leq x \end{cases}$$

In the next subsection, we are going to detail the two phases of the BAGOFP\_CLASS method and we are going to highlight the more important changes regarding the OFP\_CLASS method. Figure 1 shows, in an illustrative way, the whole process of BAGOFP\_CLASS method.

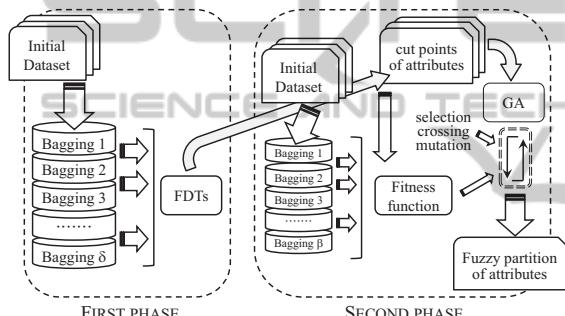


Figure 1: BAGOFP\_CLASS method.

### 3.1 First Phase: Cut Points

The first phase of BAGOFP\_CLASS uses a fuzzy decision tree as base method to search the possible cut points to build the fuzzy partitions, but unlike of OFP.CLASS method, the input dataset to this fuzzy decision tree is each dataset obtained by performing a bagging process over the original dataset.

The kind of values, with which this fuzzy decision tree can work, are nominal attributes, numerical discretized attributes by means of a fuzzy partition, non-discretized numerical attributes described with crisp values, interval and fuzzy values and furthermore it allows the existence of missing values in all of them. The fuzzy decision tree behavior changes depending on the kind of attribute that is analyzed by the tree in order to find the best of them to divide a node.

On the one hand, when the attribute is numerical and does not have partitions available, the process to follow is similar to the decision tree C4.5 process. The thresholds selected in each node of the tree for these attributes will be the cut points that delimit the intervals. On the other hand, for the other kind of

attributes, the methodology followed is the same as the fuzzy decision tree presented in (Cadenas et al., 2012b). During the rest of the process the method behavior is the same for both discretize attributes and non-discretized attributes. The procedure of building the fuzzy decision tree has a priority tail which is used to arrange tree nodes depending on the number of examples each one has. The reason for incorporating the priority tail is that the nodes with more examples are analized first because these nodes will have more information than those with less examples.

Comparing the new method with the OFP\_CLASS method, the main difference between them is that for datasets which do not have  $10 \cdot |A| \cdot |C|$  training examples, the cut points obtained by OFP\_CLASS are not rich enough in information to provide a good partition, because these cut points are too specific and partitions obtained are not global. In order to prevent getting cut points so specific and more attributed can be discretized, the input to the fuzzy decision tree in BAGOFP\_CLASS is a bagging obtained from the whole dataset.

It must be remembered that in the bagging process, it is possible that a cut point may appear several times. In this case the cut point will be included once.

Algorithm 1 describes all the process to get all possible cut points.

All the cut points obtained after the first phase are introduced as input in the second phase in order to build optimal fuzzy partitions.

### 3.2 Second Phase: Building Fuzzy Partitions

In the second phase of the method, we are going to use a genetic algorithm to get the fuzzy sets that make up the partitioning of non-discretized attributes. We have decided to use a genetic algorithm, because these algorithms are very powerful and robust, as in most cases they can successfully deal with an infinity of problems from very diverse areas and specifically in Data Mining. These algorithms are normally used in problems without specialized techniques or even in those problems where a technique does exist, but is combined with a genetic algorithm to obtain hybrid algorithms that improve results (Cox, 2005).

In this section we briefly describe the several elements which compose the algorithm. We focus on those that characterizing the BAGOFP\_CLASS method. For remaining elements originating from the OFP.CLASS method a more detailed description can be found in (Cadenas et al., 2012b).

**Algorithm 1:** Getting Cut points.**FindingCutPoints(*in* :  $E$ ; *out* : Cut points)****begin**

- Initialize the set of cut points to each numerical attribute  $k$  to empty:  $CPS_k = \emptyset$
- Initialize bagging size  $\delta$ .
- For  $j=1$  to  $\delta$ 
  1. Obtain the dataset  $BA_j$  consisting of  $|E|$  examples selected from  $E$  randomly and with replacement.
  2. Obtain a fuzzy decision tree from  $BA_j$ :
    - Start at the root node, which is placed in the initially empty priority tail. Initially, in the root node the set of examples  $BA_j$  with an initial weight are found.
    - Extract the first node from the priority tail.
    - Select the best attribute to split this node using information gain, which is explained in detail in (Cadenas et al., 2012b), as the criterion.
    - Having selected the attribute to expand node, all the descendants generated are introduced in the tail. If the selected attribute is nominal, a descendant is generated to each possible value of that attribute. If the selected attribute (SA) is numerical it is necessary to obtain the corresponding *cut point* and two descendants are generated. In this case,  $CPS_{SA}$  is updated as follows:

$$CPS_{SA} = CPS_{SA} \cup \{cut\ point\}$$

- Go back to step two to continue constructing the tree until no nodes remain in the priority tail or until another stopping condition occurs, such as reaching nodes with a minimum number of examples allowed by the algorithm.
- Return  $CPS_k$  sets for each numerical attribute  $k$ .

**end**

The genetic algorithm takes as input the cut points which have been obtained in the first phase, but it is important to mention that the genetic algorithm will decide what cut points are more important to construct the fuzzy partitions, so it is possible that many cut points are not used to obtain the optimal fuzzy partitions. If the first phase gets  $F$  cut points for the attribute  $i$ , the genetic algorithm can make up  $F_i + 1$  fuzzy partitions for the attribute  $i$  at the most. However, if the genetic algorithm considers that the attribute  $i$  will not have a lot of relevance in the dataset, this attribute will not be partitioned.

The different elements which compose this genetic algorithm are as follows:

**Encoding.** An individual has a real coding and its size will be the sum of the number of cut points that the fuzzy decision tree will have provided for each attribute in the first phase. Each gene represents the quantity to be added to and subtracted from each attribute's split point to form the fuzzy partition. Also, each gene is associated with a boolean value which indicates whether this gene or cut point has to be taken into account or not, in other words, if this gene or cut point is active or not. We must consider that if a gene is not active the domain of the adjacent gene can change. The domain of each gene is an interval defined by  $[0, \min(\frac{p_r-p_{r-1}}{2}, \frac{p_{r+1}-p_r}{2})]$  where  $p_r$  is the  $r$ -th cut point of attribute  $i$  represented by this gene except in the first ( $p_1$ ) and last ( $p_u$ ) cut point of each attribute whose domains are, respectively:  $[0, \min(p_1, \frac{p_2-p_1}{2})]$  and  $[0, \min(\frac{p_u-p_{u-1}}{2}, 1-p_u)]$ .

When  $F_i = 2$ , the domain of the single cut point is defined by  $[0, \min(p_1, 1-p_1)]$ .

**Initialization.** Firstly, it is determined if each gene is active or not. We must consider that at least one gene from each individual must be active because if all genes were inactive, any attribute would be discretized. Once the boolean value of each gene of the individual has been initialized, the domain of each gene is calculated, considering which cut points are active and which are not. After calculating the domain of each gene, each gene is randomly initialized generating a value within its domain.

**Fitness Function.** The fitness function of each individual is defined according to the information gain defined in (Au et al., 2006). In this case, in the same way as in the first phase of the method BAGOFP\_CLASS, to calculate the fitness for each individual a bagging process is applied. In this case, the fitness of the individual is an average fitness using different bagging of the input dataset. In this way, the algorithm obtains a more robust fitness for each individual because the average fitness gives a more general vision over different data subsets and is a more reliable measure. Algorithm 2 implements the fitness function.

In the algorithm  $\mu_{if}(\cdot)$  is the membership function corresponding to fuzzy set  $f$  of attribute  $i$ . This fitness function, based on the information gain, indicates how dependent the attributes are with regard to class, i.e., how discriminatory each attribute's partitions are. If the fitness obtained for each individual is close to zero, it indicates that the attributes are totally independent of the classes, which means that the fuzzy sets obtained do not discriminate classes. On the other hand, as the fitness value moves further away

from zero, it indicates that the partitions obtained are more than acceptable and may discriminate classes with good accuracy.

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**Algorithm 2:** Fitness Function.
 

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**Fitness**(*in* :  $E$ , *out* : *FinalFitness*)  
**begin**

- Initialize bagging size  $\beta$
- Initialize *ValueFitness* = 0
- For  $j=1$  to  $\beta$ 
  1. Obtain the dataset  $BA_j$  consisting of  $|E|$  examples selected from  $E$  randomly and with replacement.
  2. For each attribute  $i = 1, \dots, |A|$ :
    - 2.1 For each set  $f = 1, \dots, F_i$  of attribute  $i$ 
      - For each class  $k = 1, \dots, |C|$  calculate the probability
$$P_{ifk} = \frac{\sum_{e \in BA_j} \mu_{if}(e)}{\sum_{e \in BA_j} \mu_{if}(e)}$$
    - 2.2 For each class  $k = 1, \dots, |C|$  calculate the probability  $P_{ik} = \sum_{f=1}^{F_i} P_{ifk}$
    - 2.3 For each  $f = 1, \dots, F_i$  calculate the probability  $P_{if} = \sum_{k=1}^{|C|} P_{ifk}$
    - 2.4 For each  $f = 1, \dots, F_i$  calculate the information gain of attribute  $i$  and set  $f$
  - 2.5 For each  $f = 1, \dots, F_i$  calculate the entropy

$$I_{if} = \sum_{k=1}^{|C|} P_{ifk} \cdot \log_2 \frac{P_{ifk}}{P_{ik} \cdot P_{if}}$$

- 2.5 For each  $f = 1, \dots, F_i$  calculate the entropy

$$H_{if} = - \sum_{k=1}^{|C|} P_{ifk} \cdot \log_2 P_{ifk}$$

- 2.6 Calculate the  $I$  and  $H$  total of attribute  $i$   
 $I_i = \sum_{f=1}^{F_i} I_{if}$  and  $H_i = \sum_{f=1}^{F_i} H_{if}$

- 3. Calculate the fitness as :

$$ValueFitness = ValueFitness + \frac{\sum_{i=1}^{|A|} I_i}{\sum_{i=1}^{|A|} H_i}$$

- $FinalFitness = ValueFitness / \beta$
- Return *FinalFitness*

**end**

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**Selection.** Individual selection is by means of tournament, taking subsets with size 2. It must be taken into account that the best individual is always selected due to the elitism that the method carries out.

**Crossover.** The crossover operator is applied with a certain probability, crossing two individuals through a single point, which may be any one of the positions on the vector. Not all crossings are valid, since one of the restrictions imposed on an individual is that the individual must not have all its genes inactive. When crossing two individuals and this situation occurs, the crossing is invalid, and individuals remain in the population without interbreeding. If the crossing is valid, the domain for each gene is updated in the individuals generated. As in selection, the method applies elitism in this operator, so the best individual is not crossed.

**Mutation.** Mutation is carried out according to a certain probability at interval [0.01, 0.2].

Since each gene has a boolean associated value which indicates whether the gene is active or not and the gene value represents the amount to add and subtract the cut point, the mutation operator is hybrid. In BAGOFP\_CLASS method when a gene has to mutate by chance, there are two options:

1. to activate or deactivate the gene and
2. to modify the amount to add and subtract to the cut point.

The first option allows us to explore new search spaces. When the second option is applied the slopes of the partition are modified. This last option is included in the method in order to adjust the slopes as much as possible and in this way to get better accuracy.

The method applies the first option 50% of the time and the second option 50% of the time.

When a gene is activated or deactivated, first, the boolean value associated to the gene is modified and then a check is made to make sure there are still active genes. If there are still active genes, their domains and the domains of adjacent genes must be updated. If all the individual genes are deactivated, the mutation process is not performed.

When the mutation have to modify the value to add and subtract, a randomly calculated value within its domain is generated.

**Stopping.** The stopping condition is determined by the number of generations.

The genetic algorithm should find the best possible solution in order to achieve a more efficient classification.

In the next section we show some computational experiments where we are going to compare using several datasets the accuracy in classification of discretizing with OFP\_CLASS method and with BAGOFP\_CLASS method. In these experiments, we can see that the behavior of the BAGOFP\_CLASS

method with datasets with a few examples is better than the previous method.

## 4 EXPERIMENTS

This section shows the details of the experimental framework. We present the datasets employed and we describe the experimental setup, the performance measure and the statistical test employed to analyze the results. Finally, we present and analyze the results obtained.

### 4.1 The Datasets and the Experimental Setup

The proposed approach is going to evaluate by means of experiments on various datasets selected from UCI machine learning repository (Frank and Asuncion, 2010). In addition, three high dimensional datasets (ADE, PRO, SRB) available in (Diaz-Uriarte and de Andrés, 2006) have been added. These datasets used to test the proposed approach are summarized in Table 1.

Table 1: Datasets.

Dataset	Abbr	E	A	C	rule
Australian credit	AUS	690	14	2	YES
Breast Cancer W.	BCW	699	9	2	YES
Statlog Heart	HEA	270	13	2	YES
Iris Plant	IRP	150	4	3	YES
Vehicle	VEH	946	18	4	YES
Adenocarcinoma	ADE	76	9868	2	NO
Apendicitis	APE	106	7	2	NO
Glass	GLA	214	9	7	NO
Ionosphere	ION	351	34	2	NO
Prostate	PRO	102	6033	2	NO
Sonar	SON	208	60	2	NO
SPECTF	SPE	267	44	2	NO
Srbct	SRB	63	2308	4	NO
Wis. D. Breast C.	WDB	569	31	2	NO
Wine	WIN	178	13	3	NO

Table 1 shows the number of examples ( $|E|$ ), the number of attributes ( $|A|$ ) and the number of classes ( $|C|$ ) for each dataset. All the various dataset attributes are numerical except AUS dataset having six numerical attributes and eight nominal attributes. In addition, the last column “rule” of the Table 1 shows whether the dataset verifies the condition  $|E| \geq 10 \cdot |A| \cdot |C|$ . “Abbr” indicates the abbreviation of the dataset used in the experiments.

In order to evaluate the partitions obtained both in the OFP\_CLASS method and the BAGOFP\_CLASS method, we use an ensemble classifier called Fuzzy

Random Forest (FRF) (Cadenas et al., 2012a). This ensemble needs as input data a fuzzy partition for the numerical attributes. FRF ensemble was originally presented in (Bonissone et al., 2010), and then extended in (Cadenas et al., 2012a) to handle imprecise and uncertain data. The ensemble is composed of a set of fuzzy decision trees (FDTs).

The experimental parameters are as follows:

- Parameters of the FRF ensemble.
  - Ensemble Size: 500 FDTs
  - Random selection of attributes from the set of available attributes:  $\sqrt{|A|}$
- Parameters for GA in both methods (OFP\_CLASS and BAGOFP\_CLASS).
  - Individual Number: 100
  - Generation Number: 250
  - Crossover probability: 0.8
  - Mutation probability: 0.1

### 4.2 Estimation of the Classification Performance and Validating the Experimental Results

To analyze the results obtained in the study, the following performance measure has been employed: to compare the results in the experiments the accuracy (number of successful hits relative to the total number of classifications) is used. More specifically, the accuracy medium obtained as the average accuracy of a  $3 \times 5$ -fold cross-validation is used.

To complete the experimental study, we perform an analysis of them in each subsection using statistical techniques. Following the methodology proposed by García et al. in (García et al., 2009) we use a non-parametric test.

We use the Wilcoxon signed-rank test to compare two methods. This test is a non-parametric statistical procedure for performing pairwise comparison between two methods. This is analogous with the paired t-test in non-parametric statistical procedures; therefore, it is a pairwise test that aims to detect significant differences between two sample means, that is, the behavior of two methods.

In order to carry out the statistical analysis R packet (Ihaka and Gentleman, 1996) has been used.

### 4.3 BAGOFP\_CLASS Method and Bagging Size Parameters

As we have commented in Sections 2 and 3, the use of bagging is justified by the fact that bagging can give

substantial gains in accuracy when the classification method used is unstable (in our case, fuzzy decision trees). If perturbing the learning set can cause significant changes in the classifier constructed, the bagging can improve accuracy.

In this subsection we show computationally that fact. As a result of these tests, we will select the values for  $\delta$  and  $\beta$  in the method.

To this end, we will show the behavior of the FRF classifier using different partitions obtained by the BAGOFP\_CLASS method. We will change the values of  $\delta$  and  $\beta$  of the following way.

We will set the value of  $\beta = 1$ , and we will vary  $\delta$  from 1 to 20. Next, we will set  $\delta = 20$ , we will vary  $\beta$  from 2 to 30.

In Figures 2 and 3 the obtained results are shown using GLAS and ION datasets. The horizontal axis shows the different values ( $\delta, \beta$ ) and the vertical axis the average accuracy of a  $3 \times 5$ -fold cross-validation.

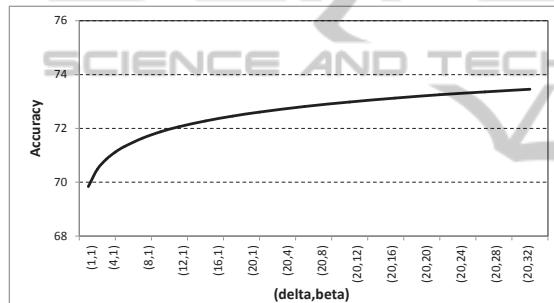


Figure 2: Behavior of BAGOFP\_CLASS method with GLA dataset when vary parameters  $\delta$  and  $\beta$ .

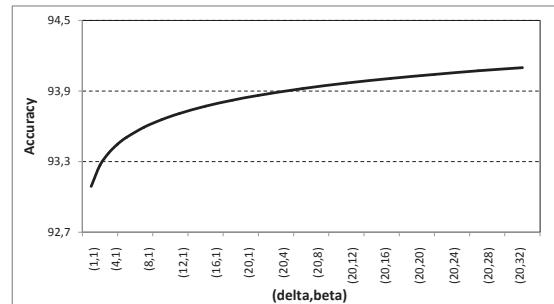


Figure 3: Behavior of BAGOFP\_CLASS method with ION dataset when vary parameters  $\delta$  and  $\beta$ .

As we can see in these figures, in the first changes of  $\delta$ , the accuracy presents the biggest changes. Next, the behavior is stabilizing.

Values of  $\delta = 20$  and  $\beta = 30$  have an acceptable performance with all the datasets used in this work. Therefore, we will use these values for experiments of the next subsection:

- Parameters for BAGOFP\_CLASS method.

- In first phase -  $\delta$  bagging size: 20
- In second phase -  $\beta$  bagging size: 30

#### 4.4 Evaluation of the Classification Performance

The experiments are designed to evaluate the performance of the proposed approach. First, we compare the OFP\_CLASS method (Cadenas et al., 2012b) with the proposed method BAGOFP\_CLASS using datasets which verify the condition  $|E| \geq 10 \cdot |A| \cdot |C|$ . The accuracy results are shown in Table 2. And second, we compare these two discretization methods using the datasets that do not verify the condition. The accuracy results are shown in Table 3.

In Tables 2 and 3, training and test are the percentages of classification average accuracy (mean and standard deviation) for training and test datasets, respectively. Moreover, the obtained p-values, when comparing the results of the test phase using a Wilcoxon signed-rank test are shown.

In Table 2 it is observed that the results obtained by the new method seem to be similar to the results obtained by OFP\_CLASS. Analyzing the different p-values with  $\alpha = 0.05$ , we can conclude:

- There are no significant differences between the datasets.
- Globally, analyzing all the results obtained for different datasets, we can conclude that there are no significant differences between evaluating with the discretization of the method OFP\_CLASS and evaluating with the discretization of the new method BAGOFP\_CLASS.

Table 2: Results obtained by FRF after classifying the datasets that verify  $|E| \geq 10 \cdot |A| \cdot |C|$  using the discretization obtained by OFP\_CLASS and BAGOFP\_CLASS.

	OFP_CLASS		BAGOFP_CLASS		
	training	test	training	test	p-value
AUS	99.85 <sub>0.15</sub>	86.42 <sub>2.94</sub>	100.00	86.81 <sub>3.12</sub>	0.1768
BCW	99.74 <sub>0.10</sub>	96.13 <sub>1.27</sub>	98.19 <sub>0.22</sub>	95.90 <sub>1.55</sub>	0.7772
HEA	97.84 <sub>0.54</sub>	79.01 <sub>5.34</sub>	98.92 <sub>0.41</sub>	79.26 <sub>6.56</sub>	0.5092
IRP	97.78 <sub>0.92</sub>	96.67 <sub>3.26</sub>	98.98 <sub>0.62</sub>	96.22 <sub>3.16</sub>	0.6578
VEH	93.60 <sub>0.47</sub>	71.16 <sub>3.48</sub>	99.99 <sub>0.02</sub>	71.55 <sub>4.80</sub>	0.4325
aver.	97.76 <sub>0.44</sub>	85.88 <sub>3.26</sub>	99.21 <sub>0.26</sub>	85.95 <sub>3.84</sub>	0.5805

In Table 3 it is observed that the results obtained by the new method seem to be better than the results obtained by OFP\_CLASS. Analyzing the different p-values with  $\alpha = 0.05$ , we can conclude:

- For all datasets, the analysis indicates that there are significant differences between the two methods, where BAGOFP.CLASS is the best method.
- Globally, analyzing all the results obtained for different datasets, we can conclude that there are significant differences between evaluating with the discretization of the method OFP.CLASS and evaluating with the discretization of the new method. The method which gets the best average accuracy is BAGOFP.CLASS.

Table 3: Results obtained by FRF after classifying the datasets that do not verify  $|E| \geq 10 \cdot |A| \cdot |C|$  using the discretization obtained by OFP.CLASS and BAGOFP.CLASS.

	OFP.CLASS		BAGOFP.CLASS		
	training	test	training	test	p-value
ADE	84.32 <sub>2.05</sub>	81.94 <sub>9.96</sub>	100.00	85.06 <sub>9.83</sub>	0.01102
APP	90.33 <sub>1.80</sub>	88.33 <sub>7.95</sub>	94.02 <sub>0.86</sub>	90.25 <sub>5.72</sub>	0.00529
GLA	85.82 <sub>1.03</sub>	69.80 <sub>5.60</sub>	100.00	73.38 <sub>6.68</sub>	0.01199
ION	95.89 <sub>0.41</sub>	93.07 <sub>2.38</sub>	100.00	94.11 <sub>2.05</sub>	0.00424
PRO	94.93 <sub>1.36</sub>	89.84 <sub>6.98</sub>	100.00	93.78 <sub>5.36</sub>	0.00464
SON	93.59 <sub>1.59</sub>	80.31 <sub>5.11</sub>	100.00	83.68 <sub>4.72</sub>	0.00105
SPE	79.81 <sub>0.97</sub>	79.41 <sub>4.12</sub>	100.00	81.78 <sub>4.58</sub>	0.00108
SRB	94.58 <sub>1.72</sub>	78.46 <sub>13.54</sub>	100.00	96.79 <sub>3.77</sub>	0.00109
WDB	93.99 <sub>0.41</sub>	93.79 <sub>2.23</sub>	100.00	95.49 <sub>1.66</sub>	0.00692
WIN	94.33 <sub>1.28</sub>	93.82 <sub>3.54</sub>	100.00	97.57 <sub>1.76</sub>	0.00253
aver.	90.76 <sub>1.26</sub>	84.88 <sub>6.14</sub>	99.40 <sub>0.09</sub>	89.19 <sub>4.61</sub>	2.2e-16

Figure 4 shows, in an illustrative way, the results obtained using BAGOFP.CLASS and OFP.CLASS methods with datasets containing enough examples.

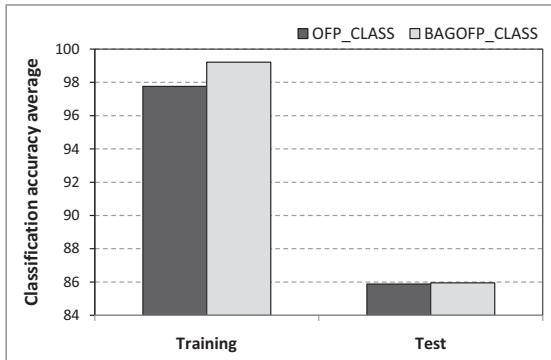


Figure 4: Comparing results of datasets verifying  $|E| \geq 10 \cdot |A| \cdot |C|$ .

Figure 5 shows in an illustrative way the results obtained using BAGOFP.CLASS and OFP.CLASS methods with datasets containing few examples.

In general, with the datasets used (which either verify or do not verify  $|E| \geq 10 \cdot |A| \cdot |C|$ ) we can con-

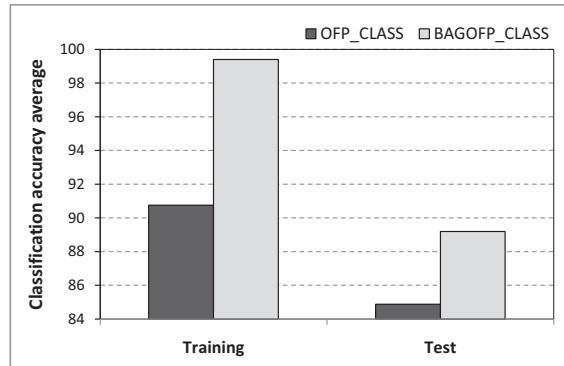


Figure 5: Comparing results of datasets that do not verify  $|E| \geq 10 \cdot |A| \cdot |C|$ .

clude that the proposed method is useful. When the datasets do not verify the condition, the fundamental difference of the new method of discretization is the partitioning of the attributes. The most important attributes in the classification are partitioned possibly into more parts and more precisely.

From the computational viewpoint, the introduction of bagging and therefore an iterative process in both phases increases the runtime of the method. However, as discussed in Section 2, bagging can be computationally efficient because it can be implemented in a parallel or distributed way.

## 5 CONCLUSIONS

In this study we have presented an improvement on a discretization method, in order to get better partitions and better accuracy in the classification task with small size datasets.

The discretization method is divided into two phases. On the one hand, in the first phase the method uses a fuzzy decision tree to search possible cut points to create partitions. On the other hand, in the second phase a genetic algorithm is used to construct the fuzzy partitions taking as input data, the cut points obtained in the first phase.

The way to improve the discretization method is using a bagging process into the two phases. The capacity of bagging to improve the accuracy of unstable classifiers is exploited in the first phase of the method based on fuzzy decision trees. In addition, the use of the bagging procedure allows the discretization of more attributes when datasets have a few training examples compared with the number of attributes, in other word, when the rule  $|E| \geq 10 \cdot |A| \cdot |C|$  is not verified.

Also we have presented several experiments

where the new proposed method using bagging gets better accuracy in classification with this kind of datasets. These conclusions have been validated by applying statistical techniques to analyze the behavior of different methods in the experiments.

Improve the discretization of numerical attributes in small size datasets is important like previous step to carry out feature selection in microarrays data which is a topic of current interest and we want to carry out the feature selection using a fuzzy ensemble which needs a partition of the numerical attributes.

## ACKNOWLEDGEMENTS

Supported by the project TIN2011-27696-C02-02 of the Ministry of Economy and Competitiveness of Spain. Thanks also to “Fundación Séneca - Agencia de Ciencia y Tecnología de la Región de Murcia” (Spain) for the support given to Raquel Martínez by the scholarship program FPI.

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