# A Concept of the Real-time Diagnostic System for Prototype Engines Architecture and Algorithm

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Abstract: The paper summarizes the main ideas and methods used in a software design of the real time diagnostic system for an advanced engines prototype test bed. The software architecture of the diagnostic systems is built on a top of the multiprocessor computer system which allows affectively performs various tasks. The SVM (support vector machine) algorithm is discussed from a point of view its real time implementation. The simulation results are presented and discussed.

# 1 INTRODUCTION

Modeling offers a way to automation of the anomaly diagnosis in the behavior of complex technical systems during tests. This method transforms knowledge into a model used for automatic detection of abnormalities. By the behavior abnormality is meant any change in the diagnostic information arriving from the plant and regarded as significant for the diagnostic system independently of its causes. Analysis of the causes of abnormal behavior lies outside the scope of the present paper. For diagnosis of the abnormal behavior of the pilot plants such as high-powered engines or experimental machines, direct transformation of knowledge about the tested plant into a physical model or expert conclusions is not necessarily possible. This is due to complexity and insufficient examination of the tested plant or classification of information by the designer for reasons of confidentiality. However, importance of the timely detection of behavior abnormalities is evident because it allows one to minimize the losses of plant destruction and simplify the post-emergency situation analysis. That is why the designers of the diagnostic systems of such plants make emphasis on the diagnosis of abnormalities by the information-oriented method which is aimed at constructing the anomaly detection model relying on the data acquired in the course of operation, rather than on the expertise. The information-oriented algorithms for anomaly detection, which are also known as those of outlier detection, attempt to identify the portion of data

which differs somehow (is abnormal) for the given data collection. The readers are referred to (Chandola et al., 2009); (Markou and Singh, 2003a,); (Markou and Singh, 2003b) for review and analysis of the abnormality detection algorithms. All such algorithms need learning data consisting of a set of the examples of anomalies and a set of examples of normal (or nominal) data. Using the learning data, the algorithm trains the model which is used to verify the hypothesis of nominality and abnormality of the tested data.

In our opinion, the need for diagnostic system (DS) to operate at the rate of plant events, that is, in real time and with the time of response smaller than the characteristic time of development of the unfavorable situation, is an essential restriction. For the engines under examination, this time is about tens of ms. The resource and time constraints appreciably restrict the set of realizable algorithms, as well as increase the role of the technical solutions used such as system architecture or software and hardware facilities used to realize the diagnostic system.

We considered the support vector machines (Vapnik, 1995) as an algorithm to construct the information-oriented model. The support vector algorithm is realized with the use of the free library (SVM) (Chang and Lin, 1997) that was already used to solve a similar problem of diagnosis of complex experimental plants (Iverson et al., 2009), (Schwabacher et al., 2009), (Jeong et al., 2012), (Kang et al., 2012).

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Since by the time of tests the amount of data for classification of the abnormal plant modes will be insufficient, consideration was given to the singleclass algorithm of anomaly detection which learns using a single data set of which majority or all are assumed to be nominal. It learns the nominal data model that may be used to signal anomalies if the new data do not coincide with the model.

The selected algorithm and diagnostic system architecture were verified using the simulated data reflecting the typical forecasted real data obtained from the plant. Consideration was given to the system architecture enabling efficient real-time diagnosis of the engine.

# **2** SOFTWARE ARCHITECTURE

## 2.1 Introduction

The proposed diagnostic system architecture implies division of the algorithms into two classes of algorithms requiring rigid real-time (RTT) and calculation algorithms which do not require immediate response to the inputs (STT). Table 1 presents classification of the diagnostic software (DSW) and describes functionality of the tasks.

The fundamental difference between tasks (H) and (S) lies only in the priority and planner. They are realized as a pool of algorithms executed within an integrated process. Reasoning from the time requirements and hardware configuration, there may be more than one task pool (H and S) with different sets of independent algorithm. (I)–(INI), this part of DSW is executed at the stage of activation and performs the functions of determination of configuration (distribution of the computer resources) and generation of the necessary number of tasks (H) and (S). Connection of inputs and outputs of the algorithm with nonblocking queues. The inputs and outputs of the algorithm are connected by the nonblocking queues at the stage of initialization; the execution cycles of the rigid realtime algorithms are generated at the stage of initialization. DAS – digital acquisition system.

The system architecture realized on a multiprocessor system is shown in Fig. 1 depicting allocation of the tasks to the multiprocessor system processors, the affinity interface being used for binding.

# 2.2 Description of the Algorithm of Task Running

The diagnostic system tasks execute the following stepwise functions:

## 2.2.1 Task (INI)

- 1. Inventory of the RTT algorithms.
- a) Determination of the number of RTTs N.
- b) Initialization of the internal structures of the algorithms.
- c) Assignment of output identifier (for task (M)).

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lask	Туре	Function and description
(INI) Initialization	STT	Responsible for DSW initialization, in particular, binds the algorithms to particular
		tasks and supports the real-time requirements (dynamic balancing).
(I) Reception and	RTT	Supports reception of inputs and their allocation to the input ring buffers
multiplexing of the inputs		
(H) Task of rigid real-time	RTT	Supports execution of the parts of algorithms that must be executed in a strictly
algorithms		allocated time. In the case of multiprocessor system, the number of task can be
		more than one. Determined is from the results of verification of the system
		architecture with regard for the computational complexity of the algorithms and
		availability of resources.
(S) Task of soft real-time	STT	Accumulates all algorithms or their parts which are not rigidly timed.
algorithms		In the case of multiprocessor system, the number of tasks can be more than one
-		Determined is from the results of verification of the system architecture with regard
		for the computational complexity of the algorithms and availability of resources.
(M) Task of meta-	RTT	Supports generation of the outputs on the basis of the results of operation of the
algorithms and output		algorithms of STT and RTT. This tasks includes also generation and transmission
		of the control signals.
(DI) Service diagnosis	STT	Outputs service and diagnostic information.

Table 1: Program Structure of DS<sup>1</sup>.

<sup>&</sup>lt;sup>1</sup>Tasks (I) and (M) may be combined in one process executed as a real-time task. Their algorithm which is assumed to be event-triggered is described in what follows.



Figure 1: Architecture and task allocation on the multiprocessor computer. CPU1,2-N,M are individual processors or a set of processors executing the tasks.

- 2. Determination of the input points for the soft realtime algorithms (STT).
- a) Determination of the list of connected input signals for each STT.
- b) Assignment of the signal receiver identifier.
- 3. Determination of the input points for RTT.
  - a) Determination of the list of connected input signals for each RTT.
  - b) Assignment of the signal receiver identifier.
  - c) Determination of the project deadline for each RTT.
- 4. Determination of the input points for the metaalgorithm.
- a) Determination of the list of connected input signals.
- b) Assignment of the signal receiver identifier.
- 5. Algorithm balancing with respect to tasks (H and S) and processors.
- a) Determination of the number of processor kernels Np.
- b) Determination of the sequences of test signals for each RTT.
- c) Activation of each RTT on the test sequence of input signals for determination of the maximal delay per iteration.
- d) Determination of the required processor resources for each RTT on the basis of the established maximal delay and project deadline.
- e) RTT layout with respect to tasks (H) on the basis of the required processor resources.
- f) Determination of N(H), the number of tasks of types (H) and N(H) of the sets of the points of input in RTT for each task.
- g) N(H) should not exceed Np-2 to satisfy the requirements for time characteristics.
- 6. The process with task (S) is generated, its affinity to Np (N(H) + 1) processors is established, the points of input for all STT are given to it.

- N(H) processes with tasks (H) are generated, affinity to one free processor is established for each, and the corresponding sets of the points of input to RTT are passed.
- 8. Affinity to one free processor is established for the current process.
- 9. Control is passed to task (I).

## 2.2.2 Task (I)

The task is waiting for input data. After getting them, the signals are placed into the input ring buffers. The data readiness signal is sent to all tasks (H) and (S). Control is transferred to task (M).

#### 2.2.3 Task (H)

The task is waiting for the data readiness signal. After getting the input data readiness signal, the task executes RTTs successively fixed to it. (Individual RTTs place the outputs into the output ring buffers.)

Upon cycle completion, passage is made to the mode of waiting for the input data (duration of one cycle is at most 5 ms.).

#### 2.2.4 Task (S)

After activation individual flows are generated to execute STT.

Each algorithm operates using its own program and reads data from the input buffer.

The data readiness signal is processed by each STT using the internal algorithm. (Individual STTs place the output signals in the output ring buffers.)

#### 2.2.5 Task (M)

Selection of meta-algorithms: each meta-algorithm

checks availability of connected signals in the output ring buffers; if any, the algorithm is executed and, possibly, the output is generated and then placed in the output ring buffers.

Transmission of signals kept in the output ring buffers.

#### 2.2.6 Task (DI)

The task periodically diagnoses DSW with low priority. The diagnostic results are archived in the common system database and may be displayed on the service monitor.

# 2.3 One-class Support-Vector Machine (SVM)

The one-class SVMs attempt to describe with the use of the model the set of normal learning data so as to enable the resulting model to discriminate between the normal and abnormal data. It is assumed that the learning data may contain some anomalies. The oneclass SVM first transforms the learning data from the initial data space into a larger one or, possibly, infinite-dimensional space of attributes and then seeks there a linear model (hyperplane), which allows actually all normal data to stay on the one side separately from the learning data deviating from the norm, if any.

## 2.3.1 Formulation of the Task and Description of the Algorithm

Each data object is represented as a vector (point) in the p-dimensional space (sequence of p numbers). Each of these points belong only to one class. We assume that the points are given by

$$\{(x_1, t_1), (x_i, t_i), \dots, (x_n, t_n)\}$$

where the label  $t_i$  assumes value 1 or -1 depending

on whether the point  $x_i$  belongs to the class or not.

Each  $x_i$  is a *p*-dimensional vector.

Needed is to generate the function  $F: X \to T$  (classifier) assigning the class *t* to an arbitrary object  $\dot{o}$ .

The predictions of SVM rely on the functions like

$$t(\mathbf{x}^*; \boldsymbol{\omega}) = \operatorname{sign}\left(\sum_{i=1}^N \omega_i K(\mathbf{x}^*, \mathbf{x}_i) + \omega_0\right),$$
$$D_{train} = \left\{\left(\mathbf{x}_i, t_i\right)\right\}_{i=1}^N, \text{ where } \mathbf{x}_i \in \mathbb{R}^d,$$

 $t_i \in \{-1,1\}, i = 1,..., N$ ). At that, the weights of the objects  $\{\omega_i\}_{i=1}^N$  are nonnegative and upperbounded by the constant C > 0. The function  $K(\mathbf{x}, \mathbf{y})$  also has parameters on which depends essentially the performance of the resulting classifiers.

The kernel of basic radial Gaussian functions returning the distance between examples  $x_i$  and  $x_j$  as

$$K(x_i, x_j) = \exp\left(\frac{-\|x_i - x_j\|}{2\sigma^2}\right)$$
(1)

was considered as the kernel. The one-class SVMs require that the user establishes the maximal number of points in the learning sample that can be outliers. We take in our experiments that it is 0.0025 because this provides acceptable results (Schwabacher et al., 2009). The value  $\sigma$  of the SVM kernel is selected using the procedure of (Runarsson et al., 2003) which takes the least value such that the assigned part 0.0025 of the learning points is classified as abnormal.

The results of modeling are considered below, the data used to verify the algorithm are similar to the actual data; as a preliminary the algorithm was tested using the normal (Gaussian) model. Modeling was carried out on a computer with four Intel Core 3.07GHz processors.

## 2.3.2 Simulation

The data for altogether 16 simulated parameters were considered. A sample of data knowingly belonging to the normal and abnormal modes was generated for learning.

Learning sample: data duration 6 s., sampling step 5 ms.



Figure 2: Location of points on the hyperplane for example (a).

Consideration was given to two examples:

a. Test sample corresponding to the abnormal mode, data for 0.15 s., sampling step 5 ms.

b. Test sample for the nominal operation mode, data for 0.2 s., sampling step 5 ms.

#### 2.3.3 Analysis, Resource Consumption and Speed of the Algorithm

Classification precision for example a. was about 80 %, 2 points of 11 were classified as normal. One can see on the whole the increase in the distinction of the tested data from the learning sample in the course of abnormality development.



Figure 3: Location of points on the hyperplane for example (b).

For example b., classification precision was about 50%, 16 of 38 were classified as abnormal. However, on the whole one can see the correspondence between the tested data and the learning sample. The relative low percent of correct classification in example b. is attributed to an insufficient volume of the learning data in the example, increased volume of the learning sample from 6 s. to 1 min. improves precision up to 70%.

The probability of the false detection of the abnormal situation may be considerable reduced if use several consecutive detections of the abnormal situation as a sign that situation is really changed and deviated from a nominal behavior. The pitfall of the such solution will be reducing the reaction time of the DS.

The algorithm realizing the SVM method performs two distinct tasks:

- 1. modeling of calculation and learning,
- 2. state classification.

The first task belongs to the STT tasks and required up 200 ms. on the simulated data samples.

The second task requires much less resources and may be run in real time (calculation required about 1 ms.).

# **3** CONCLUSIONS

paper discusses the architecture The and algorithmic aspects of the design the fault diagnosis tested for prototype engines. The distributed architecture of the test bed allows affectively realizing the complex SVM fault diagnoses algorithm with reasonable time response. The SVM demonstrated its practicability for algorithm preliminary diagnosis of abnormalities of the objects on the test bed. It was possible to diagnose an abnormality already at the initial stage, which would enable reduction in the outcomes of the abnormality the tested object. However, extended studies on a larger data volume of real data are required for confident use of the method. Estimation of the efficiency of the SVM algorithm for detection of abnormalities as applied to real data is a challenge because the number of anomalies in the data usually is not known. One of the approaches to estimation of algorithm efficiency lies in estimating it on the artificial data where the number of anomalies is known.

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