

# Schema-based Parallel Compression and Decompression of XML Data

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Abstract: Whenever huge amounts of XML data have to be transferred from a web server to multiple clients, the transferred data volumes can be reduced significantly by sending compressed XML instead of plain XML. Whenever applications require querying a compressed XML format and XML compression or decompression time is a bottleneck, parallel XML compression and parallel decompression may be of significant advantage. We choose the XML compressor XSDS as starting point for our new approach to parallel compression and parallel decompression of XML documents for the following reasons. First, XSDS generally reaches stronger compression ratios than other compressors like *gzip*, *bzip2*, and *XMill*. Second, in contrast to these compressors, XSDS not only supports XPath queries on compressed XML data, but also XPath queries can be evaluated on XSDS compressed data even faster than on uncompressed XML. We propose a String-search-based parsing approach to parallelize XML compression with XSDS, and we show that we can speed-up the compression of XML documents by a factor of 1.4 and that we can speed-up the decompression time even by a factor of up to 7 on a quad-core processor.

## 1 INTRODUCTION

### 1.1 Motivation

XML has become a de facto standard data exchange format in the web, although, due to the verbosity of XML data, transfer time may become a serious bottleneck in web applications. Whenever huge amounts of XML data have to be transferred from a web server to multiple clients, and client applications have to evaluate queries locally on the transferred XML data, then transferring XML data in a compressed, but queryable data format, instead of transferring plain XML data, may become an interesting solution for preventing the bottleneck.

Within such an architecture, XML data is compressed on the server's side, and compressed XML data is submitted to multiple clients, which not only reduces the transmitted data volume, but also significantly increases the transmission speed. On the clients' side, the data can be further processed in compressed format, e.g., whenever query evaluation on the compressed XML format is faster than on uncompressed XML, or it can be decompressed, e.g., whenever uncompressed XML has to be processed further. However, when large XML data

fragments are processed, compression time on a server, and even worse, decompression time for client applications may become a bottleneck.

While generic compressors like *gzip* or *bzip2* or early XML specific compressors like *XMill* allow for a reasonable compression ratio and a fast compression and decompression, they do not support query processing on the compressed data, i.e., they require a prior decompression in order to query the compressed data. On the other hand, there exist XML compressors like XML Schema Subtraction (XSDS) (Böttcher et al., 2010) that not only provide stronger compression ratios than these generic data compressors and than *XMill* (Liefke and Suciu, 2000), but also allow for query evaluation and direct search on the compressed data at a speed that is faster than that of XPath query evaluation on the original uncompressed XML data (Böttcher et al., 2012).

The greatest deficiency of XSDS currently is the time needed to compress and to decompress the data. To overcome the lack of compression and decompression speed, we provide an approach for parallelizing both, the XSDS compression and the decompression which leads to significant speed-up for client devices containing a multi-core processor.

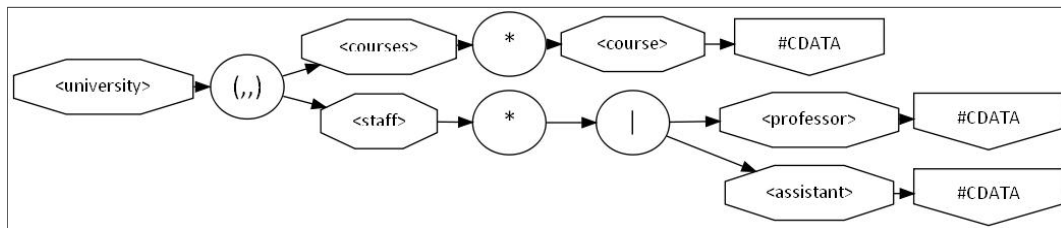


Figure 1: Rule graph of our example schema.

## 1.2 Contributions

In this paper, we present an approach to speed up the schema-based XML document compression and decompression of XSDS, which to the best of our knowledge is the only approach that combines the following properties:

- It supports a self-organized parallelization, i.e. parallel compression and decompression can be achieved on arbitrary large XML documents that are not yet fragmented into handy parts.
  - As a key contribution it contains an automated separation of input XML documents into fragments that can be compressed independently of each other.
  - This includes an approach that analyzes a given XML schema or DTD and that determines text positions within the input XML document which are suitable for a good separation of the XML document into fragments that can be compressed independently.
  - Furthermore, the approach uses a String-based fast XML parser that does not require the XML document to be tokenized into e.g. SAX events, i.e. a parser that very efficiently separates the XML document into several smaller, independent data fragments.
  - Finally, compressed data is enriched to support efficient parallel decompression.
- Additionally, we present the performance improvements by parallel XSDS compression and parallel XSDS decompression. This includes a speed-up factor of 7 for the decompression that we have achieved on a quad-core processor.

## 1.3 Paper Organization

This paper is organized as follows: Section 2 summarizes the basic idea of XSDS followed by a description of how XSDS is being used for compressing XML data. Section 3 describes the fundamental concepts used by our approach to parallelize the compression and the decompression of the XML data via XSDS. The fourth section outlines some of the experiments that compare our

prototype with other XPath evaluators. Section 5 gives an overview of related work and is followed by the Summary and Conclusions.

## 2 A SUMMARY OF XSDS AND THE PAPER'S EXAMPLE

### 2.1 The Basic Idea of XSDS Compression

The main compression principle of XML schema subtraction (XSDS) is to remove all the information that is strictly defined by a DTD or an XML schema definition from a given XML document, and to encode only those parts of the XML document in the compressed format that can vary according to the DTD or XML schema. In this paper, we only provide a short overview of XSDS compression, as details are described in (Böttcher et al., 2010).

### 2.2 This Paper's Example

To illustrate the ideas of our approach, we use an example of a schema that represents a university. Each document consists of a <university> element that contains a sequence of one <courses> element followed by one <staff> element. The <courses> element consists of any number of <course> elements. The <staff> element contains any number of elements which are either <professor> or <assistant> elements. The elements <course>, <professor>, and <assistant> contain PCDATA only.

Fig. 1 shows a visualization of our example schema  $S$ , called the *rule graph* of  $S$ . The node with label '(,)' is called a *sequence node* that defines a sequence of all its child nodes in the given order. It corresponds to an <xsd:sequence> element within an XML schema and to a comma-operator within a DTD rule. The node with label '\*' is called a *repetition node* that defines any number of occurrences of its child node. It corresponds to non-default values of the attributes minOccurs and

```

<university>
  <courses>
    <course>DBIS 1</course>
    <course>DBIS 2</course>
    <course>Web</course>
  </courses>
  <staff>
    <professor>Paul</professor>
    <assistant>Peter</assistant>
    <professor>Mary</professor>
  </staff>
</university>

```

Figure 2: An example XML document.

maxOccurs within an XML schema and to a ‘\*’-operator within a DTD rule. The node with label ‘|’ is called a *choice node* that defines the choice among its child nodes. It corresponds to the <xsd:choice> element within an XML schema and to a ‘|’-operator within a DTD rule.

Fig. 2 shows an example document that conforms to the given rule graph and that consists of 3 courses, 2 professors, and 1 assistant.

### 2.3 Compressing the Example Document

**Compressing the Structure.** Within the structure of an XML document, i.e., within the element tags, there are only three different concepts that allow for variant parts within an XML document defined by a given schema: First, when the schema requires the choice of one out of different given alternatives. Second, when the XSD element ‘all’ requires the occurrence of all elements declared by children of the ‘all’ element, but they can occur in any order. Third, when the XSD requires a repetition of an element, but leaves it open how often this element occurs. For DTD, we have to consider ‘|’ and ‘\*’.

The compression of these variant parts within an XML document works as follows. Each compression step assumes that we consider one current position in the XML document at a time for which the XSD allows variant parts. For each current position in the XML document for which the XSD allows a choice, we only store the alternative chosen at this current position (This requires  $\log(n)$  bits, if there are  $n$  possible alternatives.) For each XSD element ‘all’, we only encode the order of the elements required by the children of the ‘all’ element in the XSD. (This requires  $\sum_{i=1}^n \log(i)$  bits, if there are  $n$  possible alternatives.) Finally, for each repetition of elements starting at a given position within an XML document, we only store the number of occurrences of this element found at the current position of the

XML document. (If the number of children per node is e.g. limited by  $2^{32}$  (MAXINT), this requires 1 to 5 bytes per repetition node, depending on the concrete number of repetitions.)

For example, for the schema given in Fig. 1 and the document given in Fig. 2, we have to encode a 3 to represent the number of courses, followed by a 3 to determine the number of staff members followed by a 0-bit representing the chosen alternative (first staff member is a professor), followed by a 1-bit (second staff member is an assistant), and finally followed by the 0-bit (third staff member is a professor). Thus, the whole structure of the example document given in Fig. 2 can be represented by 2 integer numbers plus 3 bits.

**Compressing the Textual Data.** Beneath the structure, an XML document contains textual data. We store the text data in document order in a text container and apply gzip on top of the text container at the end of the document.

**Decompression.** The rule graph is also used for the reverse process, i.e., decompressing the compressed XML structure and the compressed text container back to the original XML document (as described in (Böttcher, Hartel & Messinger, 2010)).

## 3 PARALLEL COMPRESSION

### 3.1 Basic Idea of the Parallelization

The parallel compression of XML data is performed in 3 steps. The first step is a preprocessing step that has to be performed only once per schema (XSD or DTD). In this step, we analyze only the schema to determine which text positions are promising candidates to separate the XML document into several fragments. In the second step, we perform the real fragmentation of the XML document. Finally, in the third step, we compress each fragment independently and in parallel by using XSDS.

Furthermore, we augment the compressed data to packages containing the compressed data fragments plus additional meta-data containing the start and end addresses of the fragments within the compressed data, such that the parallel decompression can be performed efficiently.

Then parallel decompression can be performed in 2 steps as follows. In the first step, the compressed data packages are separated back into fragments. In the second step, the fragments are decompressed in parallel by XSDS. As this does not require nearly no additional work, the overhead by the parallelization is minimal for the decompression, such that we can

expect a high speed-up within the decompression phase. This is of particular interest when decompression is used much more frequently than compression, for example when compressed data is downloaded and decompressed multiple times.

### 3.2 Step 1: Determining Promising Fragmentation Candidates

In the first compression step, we analyze only the rule graph of the schema, and we do not need any access to any XML document. Therefore, this step can be performed as a preprocessing step and does not have to be repeated for different XML input documents.

The goal of this step is to determine promising candidates in the rule graph, at which the input document could be fragmented into several document fragments. For promising candidates within the rule graph, we have two different criteria: a structural criterion on the one hand, and a criterion conforming to the depth and the expected size of the fragments on the other hand.

The idea behind the structural criterion is that those rule graph nodes are promising candidates that have child nodes in the rule graph which correspond to a lot of document nodes within the XML document, as then, the document can be split at each of these document nodes. If we look at the rule graph, we can see that three kinds of rule graph nodes fulfill this condition: the sequence nodes, the repetition nodes, and the ALL nodes, each of which may have several child nodes within the XML document. In contrast, for each visit of a choice node while processing the rule graph, there is only one XML document node processed, if the child node of the choice node in the rule graph is an element node. Therefore, we consider each sequence node, each repetition node, and each ALL node within the rule graph as a promising candidate for fragmenting the XML input document.

As splitting an XML document into too many fragments may cause unnecessary overhead, we introduce a depth threshold that avoids selecting candidates that might lead to a too small document fragment as a second criterion.

The first step therefore involves a preorder walk through the rule graph which selects each sequence node, each repetition node, and each ALL node that lies above the specified depth threshold as a promising fragmentation candidate. This set of candidate nodes is then the input for the next step, the fragmentation of the input document.

### 3.3 Step 2: Fragmenting the Input Document

To fragment a given XML document, we have to find matchings of the candidates that were identified in Step 1. As the parallelized compression shall be faster than a sequential compression, the fragmentation has to be very fast. How much faster the parallel processing is, in contrast to the sequential processing, depends mainly on how fast the fragmentation of the XML document into several fragments is. Therefore, we do not use an approach that requires the XML input document in form of SAX events or other tokenized data. Instead, we work on the String representation of the XML document itself in order to find the elements that correspond to the candidates selected in Step 1.

The key idea is to reduce the search for these XML elements to a search for multiple substrings. For this purpose, we use a String-based XML filter approach presented in (Böttcher et al., 2012).

For the example schema given in Fig. 1, the candidates are the sequence node and the two repetition nodes, i.e., we have to search for positions of the elements `<courses>`, `<course>`, `<staff>`, `<professor>`, and `<assistant>`. At the same time, we know the structure of the document, i.e., the nesting of the elements, given by the schema. This means, at the beginning, we search within a fast String search for occurrences of `'<courses'`. As soon as we have found a position of an element `<courses>`, we search instead for `'<course'` or `'</courses'`, as either `'<course'` indicates that an additional `<course>` element is found or `'</courses'` indicates that no more `<course>` element is found as a child of the current `<courses>` element. Similar, when we have found a position of an element `<staff>`, we search for `'<professor'`, `'<assistant'`, or `'</staff'`. For details on this String-based XML filtering algorithm, please refer to (Böttcher et al., 2012).

This String-based XML filtering allows for a fast search for text positions in the XML document corresponding to the candidates determined in Step 1. While this approach parses the String representation, it counts the number of characters read. As soon as a minimum size threshold for a document fragment is exceeded by an XML element tag, a new fragment is started and the old fragment together with the fragment's location information is passed to one of the processes performing Step 3. The fragment's location information contains the following components: first, a rule graph path PR that corresponds to the path PF from the document root to the root of the fragment, second, for each



repetition node in PR, the number of repetitions found in the XML document that precede PF.

### 3.4 Step 3: Parallel Compression

The parallel compression is similar to the sequential compression of standard XSDS. The important difference however is, that the compression does not start at the root node of the rule graph, but at that rule graph node given by a path PR from the root node to the specified rule graph node that was passed to the compression process by Step 2.

Starting at that rule graph node determined by PR, the compression process traverses the rule graph in preorder. Whenever compression passes an element node, it consumes an element within the document fragment. Whenever compression passes a #PCDATA node, it consumes a text node within the document fragment and stores it in the corresponding text container. Whenever compression passes a choice node, it determines the chosen alternative and encodes it in the compressed data. Whenever compression passes a repetition node, it increases a counter for each occurrence within the document that is written into the compressed data. And finally, whenever compression passes a sequence node, it proceeds with the children of this sequence node.

Whenever a fragment is compressed completely, the compressed data is written to the output document or is transferred to a receiver.

In order to restore the decompressed document in the right order at the receiver's side, the fragment's location information is being used, such that the decompressor can restore the original order.

### 3.5 Modified Data Format

In order to be able to decompress the compressed document fragments in parallel, i.e., independently of each other and in any order, the compressed data has to be enriched by additional meta-data. Therefore, the output of the compressor is a package that contains one compressed data fragment plus a header specifying the path PR within the rule graph from the root node to that rule graph node where to start the decompression. Furthermore, for each repetition node for an element or a fragment E on this path, we store the number of occurrences of E that are stored in this document fragment.

Besides this meta-data, each package contains a unique number which defines the order in which the decompressed data has to be concatenated and the size of the compressed document packages in bytes.

The complete compressed data then consists of the concatenation of all compressed document packages including the meta-data.

### 3.6 Parallel Decompression

The parallel decompression is similar to the sequential decompression, except for the fragmentation of the compressed data and for determining each fragment's corresponding rule graph node, which is needed for decompression.

The fragmentation process utilizes the size information contained in the meta-data of each package in order to split this package from the remaining packages. Each package are then passed to an own decompression process running in parallel to all the other decompression processes.

Each decompression process uses the meta-data for calculating the fragment's corresponding start node within the rule graph. Similar to each compression process, each decompression process traverses the rule graph in preorder. Whenever decompression passes an element node within the rule graph, it writes the corresponding element to the decompressed data. Whenever decompression passes a #PCDATA node, it reads a text value from the corresponding text container and writes it to the decompressed data. Whenever decompression passes a choice node, it reads the chosen alternative from the compressed data and it proceeds with decompressing the chosen alternative. Whenever decompression passes a repetition node, it checks from the compressed data whether or not a further occurrence follows and continues with decompressing the further occurrence or the next node in preorder. And finally, whenever decompression passes a sequence node, it proceeds with the children of this sequence node.

## 4 EVALUATION OF OUR PROTOTYPE IMPLEMENTATION

### 4.1 Evaluation Environment

For our performance evaluation, we used a quad-core system, Intel Core 2 Quad Q8200 2,33GHz, with 2x DDR2 RAM 800MHz, 2048MB memory and with a 7200rpm 500GB SATA hard disk.

To evaluate the presented compression approach and to compare it with other approaches, we have used two different XML benchmarks: XMark

(Schmidt et al., 2002) and XBench (Yao et al., 2004).

In order to get representative evaluation results, we have used the two benchmarks for generating documents of different types, different sizes, and with different structure quota as listed in Table 1.

Table 1: Data used in our evaluation.

Abbr.	Description	size	Structure
<b>XMark01</b>	XMark benchmark with factor 0.1	11.3 MB	26.23 %
<b>XMark1</b>	XMark benchmark with factor 1	113.0 MB	26.10 %
<b>XMark3</b>	XMark benchmark with factor 1	340.0 MB	26.05 %
<b>catalog-01</b>	XBench data-centric benchmark	10.5 MB	55.23 %
<b>catalog-02</b>	XBench data-centric benchmark	105.0 MB	55.21 %
<b>dictionary-01</b>	XBench text-centric benchmark	10.7 MB	20.87 %
<b>dictionary-02</b>	XBench text-centric benchmark	106.0 MB	20.81 %

We have compared the presented approach with the following alternatives, ranging from XML non-aware, to encoding-based, to grammar-based, to

schema-based approaches to XML compression. From each category one or two representatives are chosen for the evaluation (c.f. Table 2).

Table 2: The evaluated approaches for XML compression.

Abbr.	Description	Type
<b>gzip</b>	default gzip implementation	XML non-aware
<b>gzip [p]</b>	parallel default implementation	XML non-aware
<b>bzip2</b>	default bzip2 implementation	XML non-aware
<b>bzip2 [p]</b>	parallel bzip2 implementation	XML non-aware
<b>XMill</b>	default XMill implementation	encoding-based
<b>Succinct</b>	default Succinct implementation	encoding-based
<b>RePAIR</b>	default RePAIR implementation	grammar-based
<b>XSDS</b>	starting point of this paper	schema-based
<b>XSDS[p]</b>	approach of this paper	schema-based

In addition to our parallel approach, we evaluate two further parallel compressors (marked with [p]): gzip [p] (Adler) and bzip2 [p] (Gilchrist). In contrast to our approach, they only implement the concept of gzip and bzip2 in a parallel way. In particular, they only separate the input data into several packages of equal sizes and compress these packages in parallel. Then, the separately compressed packages will be put together, which yields the default package

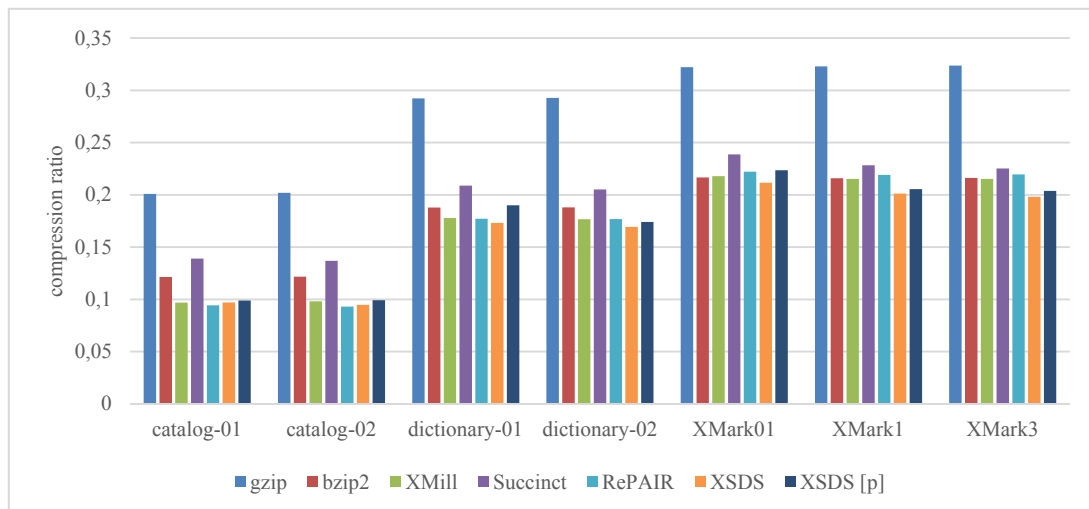


Figure 3: Compression ratios for different files.

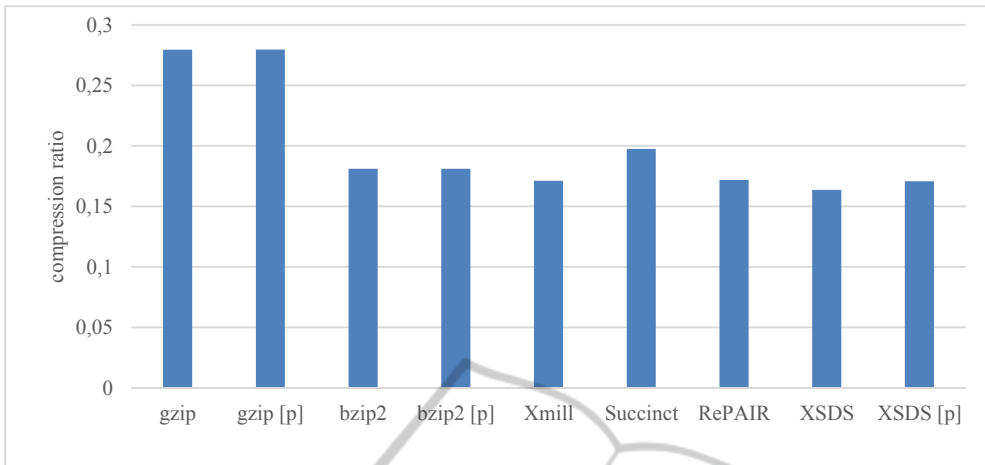


Figure 4: Average compression ratio.

format of gzip/bzip2. Therefore, there is no information about the separate packets saved in the compressed data, and as a consequence, the decompression cannot be parallelized as in our approach.

In order to get stable results and to avoid random variations, each evaluation run was started 10 times with flushed memory and with the same parameters, and the average of the 10 results was taken.

## 4.2 Evaluation Results

Figure 3 summarizes the results comparing the compression ratio of the different compressors for the sample benchmark files. XSDS has the best compression ratio for all sample benchmark files except for the catalog benchmark, a dataset with high structure ratio, where RePAIR is a little bit better, but the parallel XSDS achieves very good results, too: Although the compressed file is a little

bit greater than in serial XSDS due to the meta-data required, the parallel XSDS compressor has the second best compression ratio for each sample benchmark file except for the small sample files and the catalog benchmark. Therefore, we expect parallel XSDS to be a useful processor for compressing large XML files.

Figure 4 summarizes the results comparing the average compression over all sample files. Also here, in the summarized results, the new parallel XSDS processor has the second best compression ratio, shortly after the serial XSDS compressor, i.e., all other compared compressors have worse compression ratios.

Figure 5 summarizes the results comparing the compression and decompression speeds. The XML non-aware compressors have higher compression and decompression throughputs than all the XML aware compressors. However, the new parallel XSDS compressor is the fastest XML compressor,

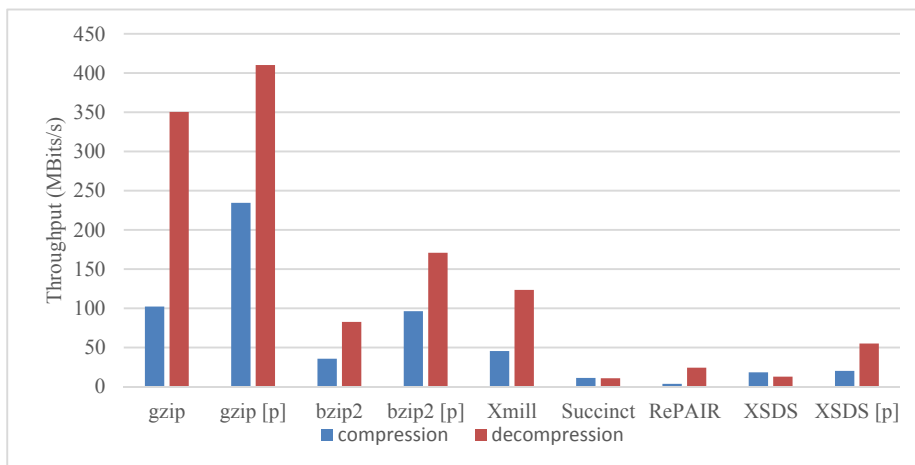


Figure 5: Average throughput rates for compression and decompression.

which generates a compressed data format that is also queryable. In particular, for the decompression, which is the most interesting direction when compressed files are downloaded and decompressed multiple times, parallel XSDS is faster than Succinct, RePAIR, and XSDS, and also the gap to the compression speed of the XML non-aware compressors is smaller.

Finally, we compare the possible speed-up factors of the parallel compression variants compared to their sequential counterparts. The parallel gzip compressor reaches average speed-up factors of 2.99 for compression and 1.17 for decompression of XML data. The bzip2 compressor reaches average speed-up factors of 2.7 for compression and 2.07 for decompression of the XML data. However, our parallel XSDS compressor reaches average speed-up factors of 1.10 for compression and 4.29 for decompression. For the largest file, i.e. catalog-02, we even get a speed-up factor of 7.

The speed-up factor achieved by parallel XSDS for compression is smaller than for decompression for the following reason: During the compression, the input files also have to be separated into packages by using the StringFilter; this step is not needed during decompression because the separation is saved in the packets.

Especially when decompression of XML files is executed more often than compression, e.g., when multiple users download compressed XML files from a web server, our approach to parallelize XSDS gets the best speed-up factor by parallelization of all the approaches to parallelize an XML compressor.

To summarize, as parallel XSDS is the fastest compressor generating a queryable compressed data format, and additionally achieves the best compression strength on large XML files (except for serial XSDS), we consider our parallel XSDS to be a significant contribution to the field of providing compressed data in web-based information systems.

## 5 RELATED WORKS

To the best of our knowledge, there do not exist XML specific compressors that use parallelization to speed up their compression or decompression.

In contrast to the XML specific compressors, there exist generic compressors that can be applied to any type of data. Typical representatives of this family of compressors are gzip (based on LZ77 and Huffman) or bzip2 (based on Burrows-Wheeler Transform followed by Move-To-Front and Huffman). In general, each XML file can be

regarded simply as a chain of bytes without any connection between these bytes. Then the data could be simply chopped into several chunks by random or into chunks of fixed size and each chunk of data could be compressed independently of the other chunks in parallel by generic data compressors. Following this idea, each generic data compression approach could be parallelized. The greatest disadvantage of this idea is that by ignoring connections between the chunks, redundancies are not detected, such that a loss of compression ratio is the consequence. This idea is mainly followed by pigz (Adler) and PBZIP2 (Gilchrist), which are the parallel versions of gzip or bzip2 respectively. They both compress chunks of data independently of the other chunks and concatenate the output of the processes to one compressed file following the compressed file format of gzip or bzip2 respectively.

(Howard and Vitter, 1996) present ideas for the parallelization of Huffman encoding or other prefix codes like Golomb and Rice and for arithmetic encoding for image data. The main idea is that each processor compresses one pixel at a time. All processors follow the same pulse and write one bit of the calculated code to the output at one heart beat. In order to decompress, each processor reads one bit of the compressed code at one heart beat, and as soon as the code is complete (which can be determined because of the prefix property), the processor can decode the code and – as soon as all processors have finished the decoding – can write the decoded information to the output.

Regarding XML structure compression, there exist several approaches, which can be mainly divided into three categories: encoding-based compressors, grammar-based compressors, and schema-based compressors.

The encoding-based compressors allow for a faster compression speed than the other ones, as only local data has to be considered in the compression as opposed to considering different sub-trees as in grammar-based compressors.

The XMill algorithm (Liefke and Suciu, 2000), XGrind (Tolani and Haritsa, 2002), XPRESS (Min et al., 2003), XQueC (Arion et al., 2007), and the approach presented in (Bayardo et al., 2004) belong to the first group. The latter four approaches allow querying the compressed data. Furthermore, the encoding-based compression approaches (Cheney, 2001), (Girardot and Sundaresan, 2000), and in (Zhang et al., 2004) enrich the compressed data by additional information that allows for a fast navigation.

We assume, that a trivial parallelization approach



should be applicable to all encoding based compression techniques: Simply chop the XML data at any XML token (i.e., before a '<' character or after a '>' character) and compress the chunks independently of each other. As the encoding-based compressors do not consider the structure of an XML file, but simply compress each XML file token by token, this trivial parallelization should lead to an efficient speed-up of the compression and of the decompression. However, typically the encodings-based compressors reach a weaker compression ratio than the compressors of the class of the schema-based compressors, to which e.g. XSDS belongs to.

XQzip (Cheng and Ng, 2004), the approaches presented in (Adiego et al., 2004) and (Buneman et al., 2003), and the BPLEX algorithm (Busatto et al., 2005) belong to grammar-based compression. They compress the data structure of an XML document by combining identical or similar sub-trees. As these compressors have to analyze the structure of the XML data, it is more sophisticated to fragment the input data in order to parallelize the compression. Nevertheless, by separating the input data into several fragments, a loss of compression ratio is unavoidable, as identical or similar sub-trees that are contained in different fragments cannot be detected.

Schema-based compression comprises such approaches as XCQ (Ng et al., 2006), XAUST (Subramaniam and Shankar, 2005), Xenia (Werner et al., 2006) and (Böttcher et al., 2007). They subtract the given schema information from the structural information. Instead of a complete XML structure stream or tree, they only generate and output information not already contained in the schema information (e.g., the chosen alternative for a choice-operator or the number of repetitions for a \*-operator within the DTD). As they all follow the same idea as XSDS that was examined in this paper, we assume that the ideas of parallelization can be applied to all these approaches to enhance their compression and decompression speed.

## 6 SUMMARY AND CONCLUSIONS

Whenever web servers provide huge amounts of XML data that are further processed by queries within client applications, then transferring the XML data in a compressed, but queryable data format from the server to the clients may significantly reduce the amount of data transfer. When, by using a queryable compressed XML format, XML compression or decompression time becomes a bottleneck, parallel

XML compression and parallel decompression may be of significant advantage. We have contributed an approach to parallelize XML compression and decompression using the XSDS compressor. The XSDS compressor has the advantages that it generally reaches stronger compression ratios than other compressors like gzip, bzip2, and XMill, and, in contrast to these compressors, XSDS not only supports XPath queries on compressed XML data, but XPath queries can also be evaluated on XSDS compressed data even faster than on uncompressed XML. To overcome a previous weakness of the XSDS processor, i.e. its slower compression and decompression, we have proposed a parallel approach to XSDS-based XML compression. Our approach consists of a String-search-based technique to split an XML document into fragments and to enrich these fragments with meta-data, such that each of the resulting packages can be compressed in parallel and completely independently of the other packages. We have further shown how to extend the approach to allow parallel decompression of XSDS-compressed XML documents. Finally, our experiments have shown that our approach to parallelized XSDS compression can speed-up the compression time by a factor of 1.4 and can speed-up the decompression time even by a factor of up to 7 on a quad-core processor.

We assume that our approach is not limited to parallel compression and decompression of XSDS, but can also be applied to other schema-based XML compression techniques like e.g. XENIA or XCQ.

To summarize, as parallel XSDS is the fastest compressor generating a queryable compressed data format, and additionally achieves the best compression strength on large XML files (except for serial XSDS), we consider our parallel XSDS to be a significant contribution to the field of providing compressed data in web-based information systems.

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