

Synthesizing Decentralized Components from a Variant of Live Sequence Charts

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Abstract: *Live sequence charts (LSC)* is a visual, executable, language for the modeling of reactive systems. Each chart depicts an inter-object scenario arising in the modeled system, partitioned into two: a monitored prechart, and a main chart. Despite the intuitive use of the language, complications arise when one wants to implement an LSC specification with decentralized components. In this paper, we introduce a variant of LSC, called *distributed LSC (dLSC)*, which is targeted for the modeling and synthesis of decentralized systems, composed of several interacting components. While LSCs are commonly interpreted in terms of an interleaved execution of the scenarios in a sequential run, dLSCs employ *partially ordered runs*. We investigate the expressive power of dLSC compared to an established model of concurrent systems, namely, *Petri nets*, and show that dLSCs are, computationally, strictly more expressive than low-level Petri nets and subsumed by higher-level Petri nets. Specifically, we present an algorithm that synthesizes, given a dLSC specification, an equivalent *token history net*, which can serve as an executable implementation of the specification. Most importantly, the implementation is decentralized — components can be automatically extracted from the net. The synthesis of Petri-net components from a dLSC specification is supported by a tool.

1 INTRODUCTION

Scenario-based formalisms, such as *message sequence charts (MSC)* (ITU, 1996) and *live sequence charts (LSC)* (Harel and Marelly, 2003), are actively used to describe the behavior of complex systems in an intuitive way, particularly at earlier stages of system design. Scenarios visually describe interactions among components and objects of the system. This inter-object behavior is aligned along time-lines, corresponding explicitly to the runs of the modeled system. In that respect, scenarios are *dual* to the intra-object perspective taken in traditional *system-models* such as statecharts (Harel, 1987) and Petri nets (Reisig, 1985). In the latter, the system is described in terms of states and actions of each object in the system. The latter are useful as blueprints for implementing the system in hardware or software, but they are generally hard to devise. Thus, a notable challenge is to *synthesize* from a *specification* in the form of a set of scenarios, a system-model, called an *implementation* of the specification, which behaves as specified in the scenarios.

A particularly challenging class of systems to design are *concurrent systems* (Ben-Ari, 2006). A con-

current system involves several interrelated *components* that are executed simultaneously so that control is *decentralized* among the components. Such systems are very common, in particular when concurrency is dictated by the underlying architecture that provides no central control. Examples for such systems are web-services executed over the Internet, and embedded systems composed of autonomous controllers. Moreover, real-life processes, e.g., business processes, carried out by several autonomous persons or units, can be modeled and analyzed as concurrent systems.

In this paper, we address scenario-based modeling of concurrent systems, and the problem of synthesizing such systems from the specifications. Scenarios, which present interactions between components in a partially ordered structure, can naturally describe executions of concurrent systems. In fact, MSCs are extensively used in industry to describe sample interactions in concurrent systems and distributed protocols. Yet, MSCs are essentially too weak to capture the logic that underlies most systems (Damm and Harel, 2001). LSC enriches the scenarios of MSC, mainly by being *multi-modal*, and makes them expressive enough to become a fully-fledged model for the system, expres-

sively comparable to intra-object behavioral models.

However, the language of LSC, in its present form, is not well suited for the modeling of concurrent, decentralized, systems. First, *play-out* (Harel and Marelly, 2003), the executable semantics of LSC, defines a central controller that implements the system as a whole. Moreover, regardless of how play-out is defined, it is shown in (Bontemps and Schobbens, 2007) that without additional coordination some LSC specifications cannot be distributed into components. As we discuss in Sect. 2, the standard interpretation of LSC (Harel and Marelly, 2003) results in implicit dependencies between the different parts of a scenario, which arise throughout any typical specification. For systems which can be operated by a single controller, this raises no difficulty. However, in decentralized architectures, such dependencies require more interaction between the components than specified.

If we were to use LSC, or any other formalism, for specifying concurrent systems, the behavior that can be specified in that formalism must be such that it can be exhibited by decentralized components. In this context, it is significant to impose a restriction on the components, that they coordinate and interact with each other merely as described in the specification. Otherwise, components are not as autonomous, and the system is more centralized than intended. Moreover, as in typical LSC specifications, additional interactions may result in a significant efficiency overhead.

With the intention to support the modeling of concurrent systems, we introduce a variation on the semantics of LSC. It is applied on a central fragment of the language, which includes scenarios partitioned into a *prechart* and a *main chart* (see Sect. 2). Instead of the traditional interpretation, presented in terms of *interleaved* sequential runs, we interpret LSC specifications on the basis of *partially ordered runs* (Pratt, 1986); i.e., traces of executions in which events are partially ordered. In such a semantic domain, also known as a *true-concurrency* semantic domain, we adopt LSC's prechart/main-chart distinction. As runs are partially ordered, they convey more information than interleaved runs — regarding the causal dependencies between the events. Here, as scenarios themselves are partially ordered, a fragment of a scenario can be identified with a matching sub-structure in the run.

Changing the semantic domain results in a simple variant of the language, which we refer to as *distributed live sequence charts (dLSC)*. dLSC avoids implicit dependencies between separate parts of a scenario, and is thus, we believe, well suited for the modeling of concurrent systems. Moreover, as partially ordered runs directly correspond to the visual structure of charts, our interpretation is simple and compre-

hensible, and has a rigorous mathematical basis. We demonstrate the language and its use with a case study.

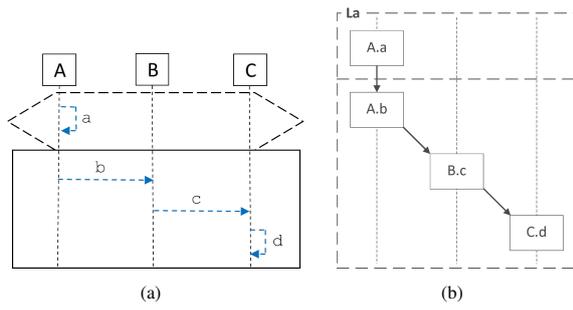
We investigate the expressive power of dLSC with respect to a common model of concurrent systems, namely, *Petri nets* (Reisig, 1985). We show that dLSC specifications are, effectively, strictly more expressive than low-level Petri nets in the form of *place/transition nets* (Reisig, 1985). However, they do not exceed the expressive power of high-level nets; dLSC specifications are subsumed by the class of *token history nets* (Van Hee et al., 2008).

We present an algorithm that synthesizes, for any given dLSC specification \mathcal{S} , an equivalent token history net $N_{\mathcal{S}}$. A token history net, being a particular kind of a *coloured Petri net* (Jensen, 1987), is an executable model, and thus may serve as an implementation of the specification. Moreover, and most importantly, the implementation is *decentralized* — the components specified in \mathcal{S} can be extracted from the resulting net $N_{\mathcal{S}}$, and, for a large class of specifications, no additional interaction between the components is involved. The synthesis of Petri-net components from a dLSC specification is supported by a prototype tool.

The paper is structured as follows. In Sect. 2, the semantics of LSC is discussed more closely. In Sect. 3, we introduce the variant of distributed LSC through an example, whereas a formal representation of the formalism and its semantics is given in the appendix. In Sect. 4, we investigate the expressive power of dLSC. Our technique to synthesize system-models from dLSC specifications and to extract decentralized components from them is presented in Sect. 5 and 6, as well as our prototype tool. We discuss related work in Sect. 7, and conclude in Sect. 8.

2 FROM LSCs TO DISTRIBUTED LSCs

In Fig. 1(a), we illustrate a live sequence chart L_a . In the chart, there are three vertical lines, called *lifelines*, which correspond to three objects: A, B, and C. The interactions between the objects are depicted by four arrows, labeled by a, b, c, and d, which designate events or messages. Time passes along lifelines from top to bottom, which determines the order between the events (namely, a through d in that exact order). Events in LSCs are, in general, partially ordered. L_a is divided into two: a *prechart*, depicted inside a dashed hexagon (containing event a), and a *main chart*, depicted inside a solid rectangle (containing events b through d). The prechart and the main chart are of two complementary modalities: *monitored* versus *execute*. The prechart is monitored; i.e., it is matched at


 Figure 1: A live sequence chart L_a .

run-time against the events that are executed, but does not yield new behavior. The main chart, in contrast, supplements runs with new behavior. If and when the prechart is met, the main chart is enabled and thus executed. Accordingly, in L_a , if and when event a occurs, events b, c, and d are executed.¹

The common semantics of LSC (see, e.g., (Harel and Marelly, 2003)) is based on an interleaved execution of LSCs; i.e., a sequential run is constructed from the interleaving of partial order scenarios. An LSC is one consolidated structure, in the following sense: if any of the events that appear in the chart happens to occur out of the order prescribed by the chart, the scenario is violated, and should be aborted. Consider, for example, the chart L_a presented in Fig. 1(a), describing interactions between three objects, A, B, and C. If event a occurs for some reason (perhaps due to some other chart) after a, b, and c have all occurred, but before d, then C should abort the scenario without executing d. In order to achieve this kind of behavior, C must be aware of occurrences of a. Thus, if the system is to be implemented by decentralized components, C must be notified of the executions of a (even those coming from outside the present chart) one way or another. There are many such implicit dependencies in L_a alone. E.g., such a dependency arises also between b and d, so that C must be aware of executions of b, and A must be aware of executions of d.

If the modeled system is to be implemented in a decentralized architecture, such dependencies introduce an essential complication, as the implementation would require additional unspecified interactions between the components. Moreover, this results in communication overheads and excessive run-time syn-

¹There is another multi-modal distinction in the language of LSC (Harel and Marelly, 2003), between *cold* behaviors, which *may* happen in the system (possible), and *hot* behaviors, which *must* happen (mandatory). In L_a , all events are cold (possible), which is designated by blue dashed arrows, and thus may be discarded in the presence of other, conflicting, alternatives. The following observations are independent of the hot/cold distinction.

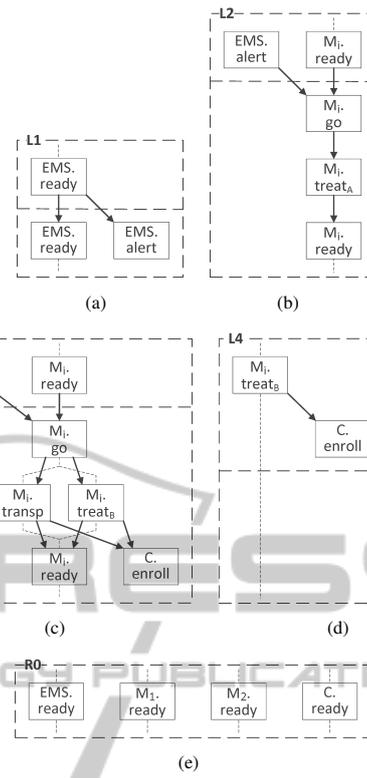


Figure 2: Distributed LSCs of an emergency management procedure.

chronization among the components. In this paper we establish the use of LSC, and specifically the language's prechart/main-chart distinction, in a semantic domain that is more suited to the modeling of concurrent systems. The resulting formalism is referred to as *distributed LSC (dLSC)*. In this paper we address a basic, central, fragment of the language of LSC. We consider charts, each partitioned into a prechart and a main chart, containing cold events.

3 THE VISUAL FORMALISM OF DISTRIBUTED LSC

We introduce distributed LSCs in the context of an example, which involves concurrently operating components. We model the behavior of an *emergency management procedure*. The procedure involves one or more medics, providing first-aid treatment, a clinic, and an Emergency Management System (EMS), which keeps track of pending emergencies and mediates between the medics and the clinic.

3.1 Scenarios

A *dLSC specification* is a finite set of *dLSCs* (and an initial run) which together describe the system's behavior. Fig. 2(c) shows an illustrative *dLSC* of the procedure, denoted by L_3 . A *dLSC* is a *partial* ordering of *events*; events are drawn as rectangles, and the ordering of events is indicated by arrows. The horizontal dashed line divides L_3 into a monitored *prechart* (denoting the precondition that enables L_3) and a *main chart* (denoting the behavior contributed by L_3 , which is to be executed once the prechart is met). The vertical *lifelines* in L_3 are used to graphically align events of the same component but have no formal meaning.

The prechart of L_3 consists of two unordered events, labeled EMS.alert and M_i .ready. Throughout the specification, the events of the i^{th} medic are prefixed by M_i (for different concrete values of i), those of the clinic are prefixed by C, and those of the EMS by EMS. Event EMS.alert represents a notification from the EMS of a pending emergency. Event M_i .ready designates a notification by the medic that he has become ready to handle emergencies. If and when the EMS notifies of a pending emergency, and the medic is ready, the execution may continue according to the main chart as follows.

The main chart of L_3 contains five events, starting with M_i .go, which indicates that the medic travels to the location of the emergency. Then, the medic transports the patient to the clinic (M_i .transp), and, concurrently, provides first-aid treatment (M_i .treat_B). After both events, the clinic enrolls the newly arrived patient (C.enroll), and the medic becomes ready to handle following emergencies (M_i .ready).

Another *dLSC*, L_4 depicted in Fig. 2(d), describes medical treatment at the clinic. Its prechart is comprised of three events, two of which are ordered: the clinic enrolls the arrival of a patient (event C.enroll) *after* receiving treatment by the medic (M_i .treat_B), and, in addition, the resources of the clinic are set and ready (C.ready). When the prechart is met, the execution continues as indicated in the main chart: the patient receives treatment at the clinic (C.treat), after which the clinic's resources are ready for the next patient (C.ready).

The specification includes three more charts. *dLSC* L_2 of Fig. 2(b) captures situations in which first-aid treatment by the medic is enough (M_i .treat_A) after which the medic is available again (and the patient need not be brought to the clinic). *dLSCs* L_2 and L_3 have the same prechart. As we consider all events to be cold (possible), we understand such scenarios as *alternatives*: whenever the prechart of L_2 and L_3 is met, the execution continues according to either L_2

or L_3 .

dLSC L_1 of Fig. 2(a) captures the arrival of emergency calls to the EMS. Whenever the EMS is ready (EMS.ready), a new emergency may arrive, resulting in two independent events: the EMS alerts the medics of a pending emergency (EMS.alert), and the EMS becomes ready again to receive more emergencies (EMS.ready). Finally, a specification contains an *initial run* that describes how the procedure begins. It is depicted in Fig. 2(e) and is denoted by R_0 . In our example, R_0 includes four unordered (independent) events: the events EMS.ready, two events labeled M_1 .ready and M_2 .ready (assuming the process involves two medics; any number of medics is supported), and the event C.ready.

3.2 Semantics

Syntactically, a *dLSCs* is just an *LSC* drawn in a slightly more abstract form. For instance, *LSC* L_a of Fig. 1(a) can be represented as in Fig. 1(b). Where *dLSCs* and *LSCs* actually differ is in their interpretation. Instead of *LSC*'s interleaved semantics, we interpret *dLSCs* on the basis of Pratt's *partially ordered runs* (Pratt, 1986), a common framework to describe the behavior of concurrent systems.

Partially Ordered Runs. Fig. 3(a) shows a partially ordered run ρ_1 . It consists of 9 events (drawn as rectangles) that are labeled and partially ordered according to the directed arcs (the dashed vertical lines align events graphically but have no formal meaning). A partially ordered run captures the *causal dependencies* between events — an event occurs after all its predecessors have occurred. For instance, in Fig. 3(a), events EMS.ready, M_1 .ready, M_2 .ready and C.ready can all occur in the beginning, i.e., they are mutually independent. Once EMS.ready occurred, EMS.alert and the second EMS.ready event occur; M_1 .go can only occur after both, M_1 .ready and EMS.alert have occurred.

A partially ordered run ρ corresponds to a set of sequential runs, each being an *interleaving* of the events in ρ that is consistent with the partial order in ρ . Such an interleaving corresponds to what a global observer overlooking the execution might see.

dLSCs Describe Partially Ordered Runs. As individual scenarios are themselves fragments of partially ordered runs, the latter seems a natural candidate for the semantic domain. When executions are represented as partially ordered runs, the ordering of events in a scenario directly carries over to the runs, and individual scenarios can be recognized inside the run. This perspective suggests an alternative way to interpret

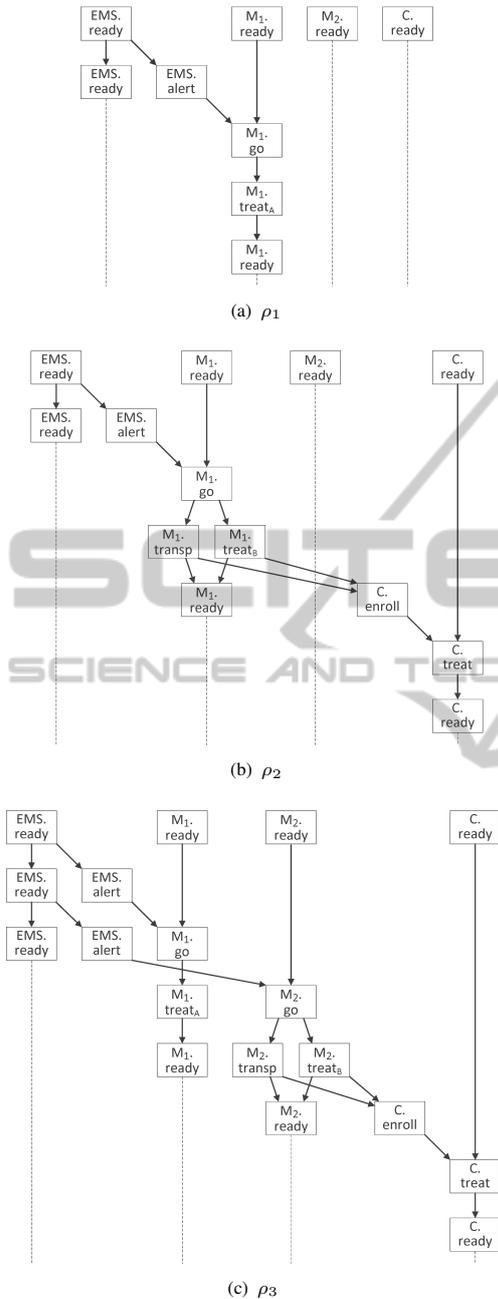


Figure 3: Runs of the emergency management procedure.

LSCs.

The behavior induced by a dLSC specification \mathcal{S} may be briefly described as follows. The specification is executed starting with the initial, partially ordered, run (R_0 in our example). A prechart of some dLSC L in \mathcal{S} matches the end of a run whenever the events of the prechart occur at the end of the run — in the same order. In this case, the events in the main chart of L are *locally concatenated* at the end of the run. With such

concatenations, partially ordered runs are augmented, possibly *ad infinitum*. The exact formal semantics are given in the appendix. In the following, we illustrate this semantics by our running example.

The partially ordered run in Fig. 3(a), which we denote by ρ_1 , is an example of an execution of the emergency management procedure. It is obtained as follows. Starting with the initial run R_0 , the prechart of L_1 is met, and so its main chart is concatenated. This results, in particular, in the creation of the event EMS.alert. Then, the precharts of both L_2 and L_3 are met, so either one may be concatenated. ρ_1 is the result of concatenating L_2 . The other possibility, of concatenating L_3 , appears in run ρ_2 of Fig. 3(b). Runs ρ_1 and ρ_2 are alternatives. In ρ_2 , the concatenation of L_3 results, in particular, in the occurrence of the event C.enroll. Then, the prechart of L_4 is met, and so the main chart of L_4 is also concatenated.

A slightly more involved execution is ρ_3 of Fig. 3(c). It contains two EMS.alert events. The first alert is handled by M_1 according to dLSC L_2 , and the second alert is handled by M_2 according to dLSCs L_3 and L_4 . Runs ρ_1 , ρ_2 , and ρ_3 can be continued, possibly *ad infinitum*.

Difference from Classical LSC. Observe that the activities of the two medics in ρ_3 are unordered, reflecting the fact that the two medics operate independently. In classical LSC (Harel and Marelly, 2003), this concurrent behavior would not have been obtained, as the second EMS.alert event (that triggers L_3) would violate L_2 of Fig. 2(b) as long as L_2 is not completed. This illustrates the fundamental difference between dLSC, which is interpreted on the basis of partially ordered runs, and classical LSC.

3.3 The Extended Example

In order to illustrate other aspects in the semantics of distributed LSC, we incrementally extend the emergency management procedure with three additional dLSCs. L_5 , depicted in Fig. 4(a), describes another alternative to L_2 and L_3 : a medic reaching the patient may realize that the clinic needs to prepare for the incoming patient. The medic notifies the EMS of the incoming patient ($M_i.notify$), which in turn notifies the clinic (EMS.notify). According to dLSC L_6 of Fig. 4(b), the clinic prepares for the arrival of the patient (C.prepare), and then waits for the patient (C.wait4), concurrently to the other duties of the clinic (due to C.ready). After the patient has enrolled in the clinic, he is treated according to dLSC L_7 (see Fig. 4(c)).

An execution of the extended specifications is depicted in Fig. 5. The run, denoted ρ_4 , is similar to ρ_3 of

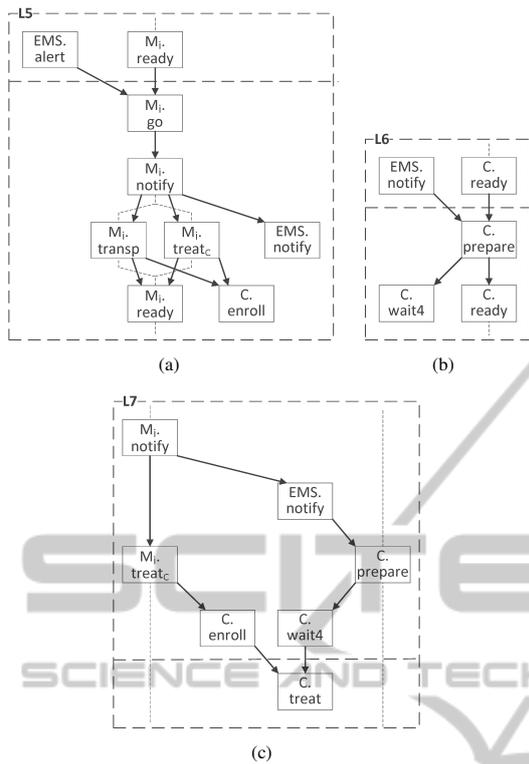


Figure 4: Extending the emergency management procedure.

Fig. 3(c), but the second medical emergency is treated according to L_5 . After the concatenation of L_5 and L_6 the prechart of L_7 is matched, while the prechart of L_4 is not; only the main chart of L_7 can be added, after the events $C.enroll$ and $C.wait4$. dLSC L_7 illustrates the expressive power of precharts to describe behavior across components. dLSC L_4 and L_7 both include the event $C.enroll$ in their precharts, but it is preceded by different events (namely, $M_i.treat_B$ in L_4 and $M_i.treat_C$ in L_7). Therefore, the precharts reflect different situations. Moreover, the prechart of L_7 states that the event $C.enroll$ corresponds to the same patient waited for by $C.wait4$ because of the joint predecessor event $M_i.notify$.

4 EXPRESSIVE POWER

We just introduced dLSC, which interprets the core concepts of LSC in the context of partially ordered runs. In this section, we discuss whether this core language and interpretation are sufficiently expressive to describe decentralized systems.

dLSC Subsumes Petri Nets. Distributed LSCs can be seen to subsume low-level Petri nets in the form of *place/transition nets (PTN)* (Reisig, 1985; Peterson,

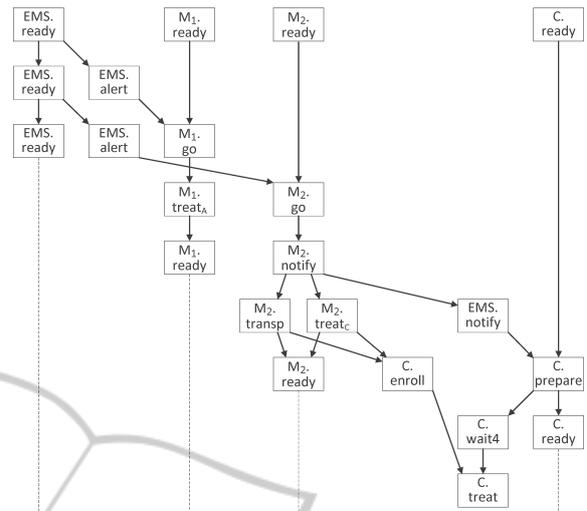


Figure 5: A run ρ_4 of the extended emergency management procedure.

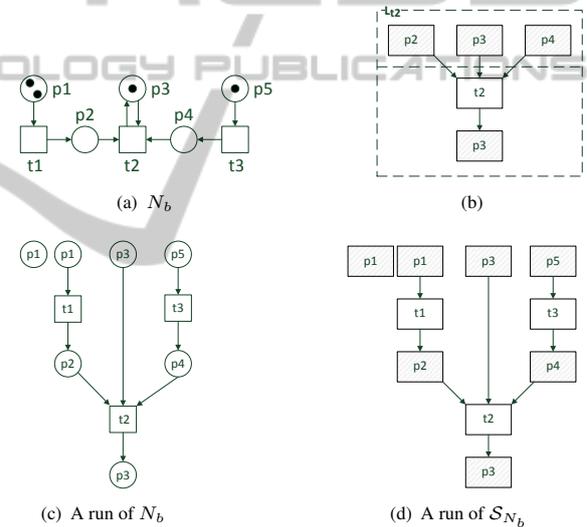


Figure 6: Translating a place/transition net into a dLSC specification.

1977). PTNs are an abstract model for the flow of control and information in systems, particularly concurrent and decentralized systems.

A PTN consists of *places* P (drawn as circles) and *transitions* T (drawn as rectangles) that are connected by arcs from places to transitions and from transitions to places; see, for example, PTN N_b depicted in Fig. 6(a). The global state of the net is given by a *marking* which puts in each place a nonnegative number of *tokens*; a PTN has a dedicated initial marking. Given a marking, a transition t is *enabled* if each place with an arc to t has a token. If t is enabled, it may *fire*, which results in a new marking obtained by removing a token from each place with an arc going

to t and putting a token on each place with an arc coming from t . These notions give rise to both an interleaved semantics, presented in terms of sequential runs, and a true-concurrency semantics in terms of partially ordered runs that is consistent with the interleaved semantics (Goltz and Reisig, 1983). The partially ordered runs of a Petri net can be constructed by local continuations — each firing of a transition is recorded as a local continuation. Figure 6(c) shows a partially ordered run of PTN N_b of Fig. 6(a) as follows: transition t_1 occurred, consuming a token from p_1 and producing a token on p_2 ; t_3 occurred concurrently to t_1 , consuming from p_5 and producing on p_4 ; transition t_2 occurred after t_1 and t_3 , consuming from p_2 , p_3 and p_4 and producing on p_3 .

Next, we show that dLSC are *expressive enough to specify any place/transition Petri net*. Given a PTN N , one can construct an equivalent dLSC specification \mathcal{S}_N . We take $\Sigma := T \cup P$ to be the set of actions in our specification, which includes both transitions and places. Places can be considered as auxiliary actions, and can be abstracted away from the runs induced by the specification, in case one is only interested in the events that are due to the firing of transitions.

For each transition $t \in T$, we construct a dLSC L_t as follows (see Fig. 6(b), illustrating the dLSC corresponding to transition t_2 of N_b). The prechart of L_t contains the input places of t as events. There is no ordering between the events in the prechart. The main chart of L_t begins with the event t , after which the output places of t are included with no ordering between them. The dLSC specification corresponding to the net N contains one dLSC L_t for each transition t of N , and an initial run R_0 , where R_0 contains for each place p of N as many p -labeled events as there are tokens on p in the initial marking, with no ordering between the events. This construction also applies to place/transition nets with arc weights, by duplicating events representing places according to the weights.

It can be shown that the set of runs of \mathcal{S}_N is isomorphic to the set of partial order Petri-net runs of N . The idea is to represent the latter on the basis of local continuations. Each continuation rule for constructing the Petri-net runs, corresponds to a dLSC in \mathcal{S}_N . Fig. 6(c) illustrates the Petri-net run of N_b , starting from the initial marking, while Fig. 6(d) depicts the corresponding run of the dLSC specification \mathcal{S}_{N_b} .

Strictly more Expressive than Petri Nets. The converse proposition, that each dLSC specification can be effectively translated into an equivalent PTN, does not hold. Intuitively, PTNs cannot mimic the enabling condition expressed by precharts with a complex structure. The enabling of a Petri net transition depends only on the availability of tokens in its preplaces and

nothing else; the enabling of a dLSC can depend on several past events and their causal ordering. The proof that establishes the greater expressive power of dLSC compared to PTN is a variation on a similar proof in (Fahland, 2010). It shows that any instance of Post’s correspondence problem (PCP) can be expressed as a dLSC specification, such that a particular event occurs if and only if the PCP instance has a solution. In PTN, the problem of deciding whether a particular event can occur is *decidable*, whereas PCP is *undecidable*. Therefore, there is no algorithm to translate dLSC specifications into equivalent PTNs.

5 SYNTHESIZING SYSTEMS

Section 4 shows that distributed LSC, our interpretation of LSC in the context of partially ordered runs, allows to specify the behavior of a large class of concurrent systems. In the remainder of the paper, we address the following problem, which may be referred to as the *decentralized synthesis problem*: given a dLSC specification \mathcal{S} (i.e., a set of dLSCs, in which events are assigned to components, and an initial run), *synthesize* an implementation consisting of decentralized components, in a suitable system-model formalism, which behave and interact exactly as specified in \mathcal{S} .

Section 4 shows that this problem is not trivial, and that the class of simple place/transition nets is not expressive enough to capture the behavior specified in dLSC specifications. In order to solve the decentralized synthesis problem, we use a slight extension of place/transitions nets, called *token history nets* (Van Hee et al., 2008), to represent the synthesized implementation. In the present section, we show how to effectively synthesize from a given dLSC specification \mathcal{S} an equivalent token history net $N_{\mathcal{S}}$. Then, individual components can be easily extracted from $N_{\mathcal{S}}$, which is discussed in Sect. 6.

The synthesis of $N_{\mathcal{S}}$ is carried out as follows. Events of \mathcal{S} are translated into *transitions* in $N_{\mathcal{S}}$, and the partial order between them is enforced in $N_{\mathcal{S}}$ through Petri-net *places*. To capture that the occurrence of some event of \mathcal{S} depends on its preceding events, we use the fact that tokens in $N_{\mathcal{S}}$ record their own *history*, in terms of the transitions that they have passed. A transition in $N_{\mathcal{S}}$ will only be enabled by tokens with the correct history. We first present the class of token history nets, and then define the synthesis of $N_{\mathcal{S}}$ from \mathcal{S} .

5.1 Token History Nets

This part gives an informal introduction to token his-

tory nets; the formal definitions are given in (Fahland and Kantor, 2012). A *token history Petri net (THPN)* (Van Hee et al., 2007; Van Hee et al., 2008) is a Petri net in which transitions are labeled with actions Σ or with $\tau \notin \Sigma$; Σ are observable actions (which will represent the actions in a dLSC specification), while τ is a *silent* (or, unobservable) action. The main difference from place/transition nets is that each token of a THPN is a partially ordered run as discussed in Sect. 3.2, representing the history of transition firings that have led it to its current place. A firing of a transition extends the histories of the tokens involved.

Figure 7(a) shows a token history net. As usual, a circle represents a place, a rectangle represents a transition, and transition labels are inscribed. Moreover, each transition has a guard in the form of a token history (shown for the transitions that go by the names L_2 to L_5 , and L_7). Intuitively, a transition is only enabled if the token histories in its pre-places together end with the token history in the guard.

We illustrate the semantics of THPNs with a *partially ordered run* (Goltz and Reisig, 1983) ρ of the THPN N of Fig. 7(a). Run ρ is shown in Fig. 7(b) as an *acyclic labeled Petri net*: each place of ρ (called a *condition*) with label p represents a token history on the place p ; a transition e of ρ (called an *event*), with label t , represents a firing of transition t of N ; the pre-places (post-places) of e represent the token histories consumed (produced) by t .

For instance, in Fig. 7(b), condition b_3 denotes that the place $E.ready$ is marked with history h_0 (consisting only of event $E.ready$). In this situation, transition L_1 is enabled. Event e_3 denotes the firing of L_1 , which consumes h_0 from $E.ready$ and produces h_1 (h_0 extended with the occurrence of the silent transition L_1) on both p_3 and p_4 , as represented by conditions b_6 and b_7 in ρ . Event e_5 denotes the firing of the transition labeled $E.alert$ (the one consuming from p_4), which consumes h_1 from p_4 , and produces h_3 on place $E.alert$ as represented by condition b_9 . The run of Fig. 7(b) shows how the token histories are built up event by event, eventually joining several token histories into one at event e_6 . Note that transition L_2 is only enabled because the union of histories h_3 and h_7 ends with the guard of L_2 . Guards in a THPN can also be more complex such as the guard of L_7 which requires token histories on $C.enroll$ and $C.wait4$ to have a joint event $M_1.notify$.

5.2 Translating Specifications into Token History Nets

In the execution of a THPN, each token history records the preceding events as a partially ordered run. This

allows us to capture the semantics of dLSC specifications with token history nets. Fig. 7(a), for instance, depicts the result of the translation of the specification of Sect. 3 into a THPN (to avoid cluttering the figures, we show only one of the medics). The formal translation is included in (Fahland and Kantor, 2012).

Translating the Specification. We translate a dLSC specification $\mathcal{S} = \langle \mathcal{D}, R_0 \rangle$ over actions Σ (where \mathcal{D} is a set of dLSCs and R_0 is the initial run) into an equivalent THPN $N_{\mathcal{S}}$ over Σ . We first translate each chart $L \in \mathcal{D}$ into a net N_L , and then, compose the resulting nets to form the net $N_{\mathcal{S}}$ of the entire specification. The different N_L 's are connected via *shared places*: for each action a that appears maximal in some main chart of a chart $L \in \mathcal{D}$ there is a shared place p_a , on which N_L produces. For a chart $L' \in \mathcal{D}$ in which an event labeled a appears maximal in the prechart, $N_{L'}$ will consume from p_a .

Translating Individual Charts. Each dLSC L in the specification induces a net N_L . For each main-chart event e of L , N_L contains a transition t_e that gets the same label as e . The partial order of L 's main chart is encoded by places. In addition, each transition t_e of N_L gets a guard that ensures that t_e is only enabled if the token history produced by t_e ends with the history of e in L , i.e., the events preceding e in L . The initial run R_0 is translated into a net N_{R_0} in the same way.

In Fig. 7(a), the result of translating the main charts of the specification of Sect. 3 as described above is shown inside the shaded boxes. For instance, considering dLSC L_2 , the net contains a transition labeled $M_1.go$ for the minimal event in the main chart of L_2 , preceded by the activation place p_5 . The last event in L_2 , labeled $M_1.ready$, produces on the shared place $M_1.ready$. The subnet N_{R_0} of the initial run is scattered throughout between the other subnets; its activation places are p_0 , p_1 , and p_2 .

The transitions of N_L that represent the minimal events in the main chart of L shall only be enabled when all the maximal events in L 's prechart have occurred. We formalize this by a main-chart *activation transition* t_L with label τ (unobservable); t_L consumes from the shared places corresponding to the maximal events in L 's prechart and produces on places that enable the minimal events in L 's main chart. In addition, t_L has a guard that enables t_L only if the prechart of L has occurred. A firing of t_L will not be visible in the resulting token history as t_L has a label τ . E.g., in Fig. 7(a), the τ -transition L_2 is the activation transition of dLSC L_2 of Fig. 2(b). When checking whether the token histories consumed by a transition t satisfy the guard of t , τ -labeled events in the tokens are ignored.

The synthesized net $N_{\mathcal{S}}$ exhibits the same behav-

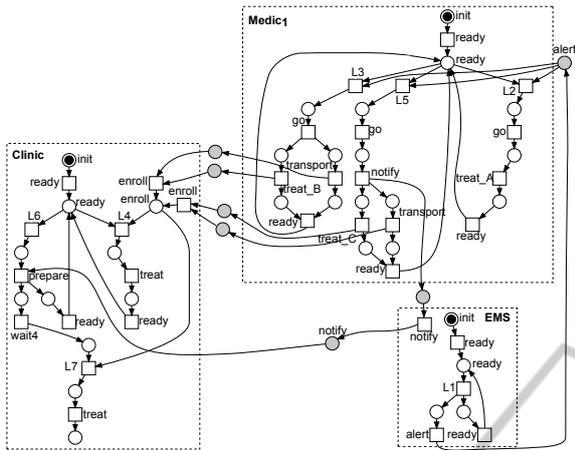


Figure 8: The result of synthesizing components from dLSCs L1-L7.

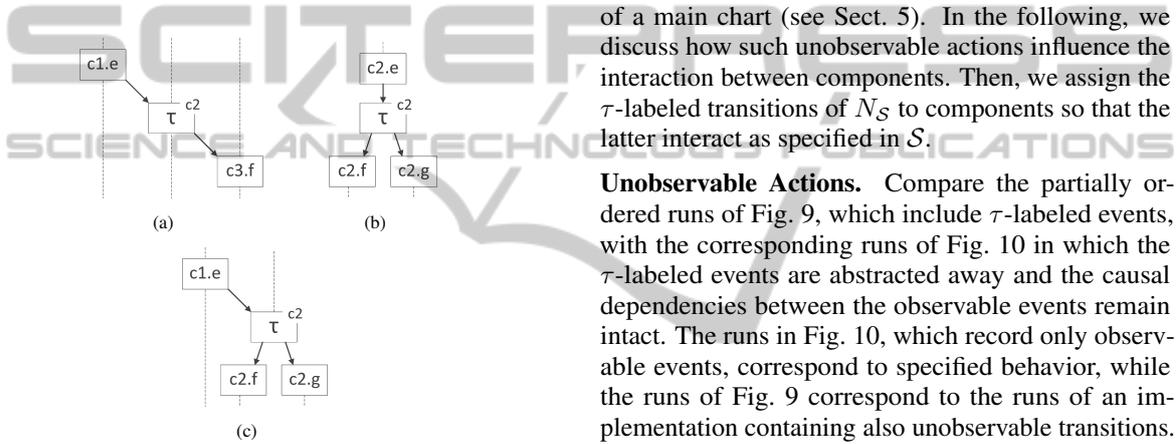


Figure 9: Runs including unobservable actions.

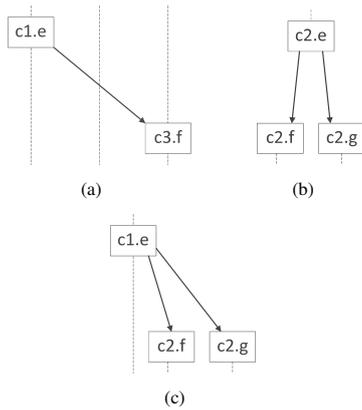


Figure 10: Unobservable actions abstracted away.

above yields the components shown in Fig. 8. This figure was obtained using our tool SAM, which is described in the following.

6.2 Interactions between Components

When considering decentralized synthesis from specifications, the latter must impose restrictions on how components interact with each other. Without any limitation in that respect, one could construct components that interact arbitrarily. This would undermine the autonomous nature of the components, and lend the problem not well-defined.

In a partially ordered run, interaction is made explicit through the causal dependencies recorded in the run. If an implementation presents exactly the same partially ordered runs as in the specification, then by definition the components interact exactly as specified (and exhibit no additional interaction). This is the case for the net N_S synthesized from S , up to the τ -labeled transitions of N_S that express the activation of a main chart (see Sect. 5). In the following, we discuss how such unobservable actions influence the interaction between components. Then, we assign the τ -labeled transitions of N_S to components so that the latter interact as specified in S .

Unobservable Actions. Compare the partially ordered runs of Fig. 9, which include τ -labeled events, with the corresponding runs of Fig. 10 in which the τ -labeled events are abstracted away and the causal dependencies between the observable events remain intact. The runs in Fig. 10, which record only observable events, correspond to specified behavior, while the runs of Fig. 9 correspond to the runs of an implementation containing also unobservable transitions. In the runs, each event, including the unobservable events, is associated with a particular component.

A direct causal dependency between events of different components gives rise to an interaction between the components. E.g., in Fig. 10(a), as event $c1.e$ directly causes event $c3.f$, there is an underlying interaction between the components. Depending on how τ -labeled actions are performed, the interaction scheme may change: in Fig. 9(a), c_1 and c_3 no longer interact with each other; rather, through the τ -labeled event of component c_2 , c_1 interacts with c_2 and c_2 with c_3 . This is exactly the situation that must be avoided when a decentralized implementation contains unobservable actions that refine the specified behavior.

Figures 9(b) and 9(c) show situations in which the refined behavior of the implementation presents the same interaction scheme as in the specified behavior. In Fig. 9(b) and 10(b), all events belong to the same component, so no interaction is present. In Fig. 9(c), there is an interaction between c_1 and c_2 , which is also the case in Fig. 10(c) (multiple arrows from one event in c_1 to multiple events in the same component c_2 count as one interaction). These two cases illustrate

sufficient conditions in which unobservable events do not change the interaction scheme: a τ -labeled event x is termed *pre-internal* (*post-internal*) if all direct successor (predecessors) events of x are performed by the component performing x ; x is *internal* if it is pre- and post-internal. In Fig. 9(b), the τ -labeled event is internal, in Fig. 9(c) it is pre-internal, and in Fig. 9(a) it is neither.

In the runs of the implementation, an unobservable event that is pre- or post-internal does not change the interaction scheme between the components compared to the specification. Thus, when assigning the τ -labeled transitions of N_S to components, we have to make sure they only yield pre- or post-internal events. A Petri-net transition t yields only pre-internal events if all post-places (having an arc from t) are internal places, since the succeeding transitions belong to the same component as t ; similarly, t yields only post-internal events if all pre-places are internal.

Assigning Activation Transitions. There is a class of specifications for which there is a natural way to assign activation transitions to components. A dLSC L is called *local choice* if all the minimal events in its main chart are of the same component $c \in C$. Intuitively, in this case, only component c is involved when the main chart begins, and thus the choice for an activation of the chart can be made locally in component c . For a local choice dLSC L , the activation transition of L is assigned to component c as well. A specification S is said to be *local choice* if all the dLSCs in S are local choice. E.g., the specification of our running example, as one may easily verify, is local choice.

In local choice specifications, when activation transitions are assigned to components as indicated above, any occurrence of a τ -labeled action in the run is pre-internal. This can be deduced from the structure of N_S , as the post-places of each activation transition are internal. Therefore, the τ -labeled transitions in N_S do not change the interaction scheme between the components compared to the specification.

As for non-local choice charts, activation transitions need to be explicitly assigned by the modeler. Then, although the observable behavior is precisely as indicated in S , interactions that are not made explicit in the specification may be introduced. These can be made explicit by refining the specification to become local choice.

Tool Support. Our technique for synthesizing Petri-net components from dLSC specifications is implemented in a prototype tool called SAM. The tool takes as input a dLSC specification in a simple textual syntax, describing each dLSC's prechart and main chart as a partial order of events. Additionally, components can

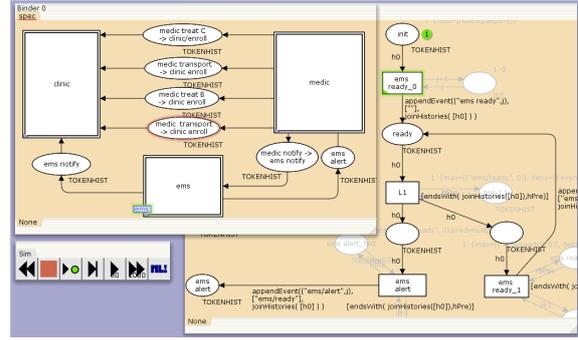


Figure 11: A CPN Tools model of three components synthesized by SAM.

be specified as sets of event names. SAM produces a token history net with extracted components as a CPN Tools model (Jensen et al., 2007; Ratzler et al., 2003). CPN Tools implements the general class of *coloured Petri nets* (Jensen, 1987), which supports defining datatypes for token histories, and can represent the firing rule of a THPN with operations on tokens. Fig. 11 shows the components appearing in Fig. 8 within CPN Tools. This allows to simulate and analyze the specification and the resulting components using the full grown functionality of CPN Tools. SAM is available at <http://www.win.tue.nl/~dfahland/tools/sam/>.

7 RELATED WORK

As discussed in Sect. 2, dLSC builds on ideas from the language of LSC (Harel and Marelly, 2003), and implements them in a semantic domain that is more directly related to concurrent systems, based on partially ordered runs. The change in the semantic domain allows to identify scenarios with patterns that explicitly appear in the constructed run. This contrasts with LSC, which identifies scenarios with their interleavings.

In LSC, whether two enabled charts can be executed concurrently depends on the identity of their events; that is, whether each can be executed without violating the other. In the presence of violations, the charts may become alternatives (in case of *cold* main-chart events). In dLSC, in contrast, causality is recorded in the run: two charts are executed concurrently if they are enabled at two causally independent parts of the run, and they are alternatives if they are enabled at overlapping (or identical) parts of the run. Thus, the semantics of dLSC corresponds to that of LSC whenever violations of charts coincide with the charts being enabled at the same location of the run.

The semantics of dLSC somewhat resembles that of the existential, conditional, interpretation of LSC in (Sibay et al., 2008), which demands that whenever

a run ends with a prechart of an LSC, *there exists* a run that continues with the main chart. In dLSC, however, all possibilities to continue are induced by the specification, and progress is assumed whenever there is an enabled main chart.³ Moreover, again, dLSC employs composition of partial orders whereas (Sibay et al., 2008) interprets LSCs over sequential runs.

Distributed LSCs are closely related to *oclets* (Fahland, 2009; Fahland, 2010), a scenario-based formalism that employs LSC's prechart/main-chart distinction in terms of Petri nets and their partially ordered runs. dLSC can be seen as an adaptation of oclets in a context that is purely event-based. This significantly simplifies the formalism, and yet oclets' Petri-net places can be represented in dLSC by events. Moreover, in dLSC, as in LSC, a main chart is completely synchronized after the prechart. This eliminates *implied scenarios* (Uchitel et al., 2001), i.e., additional behavior that is not explicitly specified, which may occur in oclets. The framework of oclets also allows to extract decentralized components from a specification (Fahland, 2010), but it requires a different method that cannot take all specifications as input, and has exponential worst-case complexity, unlike the complete, polynomial, method proposed in this paper.

Synthesis of systems and decentralized components from scenario-based specifications is a well-known problem, with many contributions; (Liang et al., 2006) provides an extensive survey. Most approaches consider (H)MSCs or UML Sequence Diagrams as input, and translate the specification into statecharts or Petri nets somewhat similarly to our technique (Liang et al., 2006), or through behavioral synthesis (Uchitel et al., 2001; Bergenthum et al., 2009). Moreover, centralized synthesis from LSC has been studied, which succeeds by structural translations to statecharts (Harel and Kugler, 2002), or through game-based synthesis techniques (Harel and Segall, 2012). However, in all cases, synthesis introduces either non-specified behavior (implied scenarios), or centralized synchronization between the events that makes the extraction of decentralized components impossible. Our synthesis technique makes synchronization information part of the exchanged messages by means of token histories, which effectively prohibits implied scenarios, and, for a large class of specifications, limits the interactions among components to those specified in the dLSCs.

³One can slightly generalize the definition of dLSC to also allow for charts for which, when enabled, progress is not assumed. The synthesis algorithm, with trivial changes, would still apply.

8 CONCLUSIONS

In this paper, we take a fresh look at an essential fragment of live sequence charts (LSC) and provide a semantics in terms of *partially ordered runs* by means of simple scenario composition. This variant of LSC, called *distributed LSC (dLSC)*, has sufficient expressive power for specifying concurrent systems: effectively, it is strictly more expressive than classical Petri nets. We also provide a technique to synthesize, from any dLSC specification, an implementation in the class of *token history nets (THPN)*. *Decentralized components* can be easily extracted from the THPN. The approach has polynomial time and space complexity and is implemented in a tool, which extracts a CPN Tools model of the components.

While the CPN Tools model can be used for simulation and analysis, or as a blueprint for implementing the components in code, automatic code generation is an interesting open issue. As for other future work, one may extend dLSC with a notion of data, such as from algebraic specifications; we believe our synthesis technique still applies, as coloured Petri nets, which support data manipulation, could be similarly synthesized. Also, operations on dLSC specifications, such as scenario (de-)composition and refinement, are of interest. They would permit to systematically develop and reason on complex dLSC specifications.

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APPENDIX

This appendix presents the formal semantics of distributed LSC. In the following we assume a set Σ of actions. It includes names (or, labels) for all the events that one wishes to refer to in a specification.

Labeled Partial Orders. We begin with some preliminary definitions. A *labeled partial order (lpo)* is a tuple $l = \langle E, <, \lambda \rangle$, where E is a (possibly infinite) set of events, $< \subseteq (E \times E)$ is a *strict partial order relation* on E (i.e., irreflexive and transitive), $\lambda : E \rightarrow \Sigma$ is a *labeling function*, and, furthermore, for any event $x \in E$, the set $\{y \in E : y \leq x\}$ is finite. The last property is included to restrict the form of lpo's so that they correspond to realizable traces of executions. We write $x \leq y$, iff $x < y$ or $x = y$, for all $x, y \in E$.

Let $l = \langle E, <, \lambda \rangle$ be an lpo. For any $A \subseteq E$, the *restriction* of l to A is defined by $l|_A = \langle A, < \cap (A \times A), \lambda|_A \rangle$, which is again an lpo. Let $l' = \langle E', <', \lambda' \rangle$ be another lpo. $\varphi : E \rightarrow E'$ is an *isomorphism* from l onto l' , denoted $l \cong_{\varphi} l'$, if φ is a one-to-one function from E onto E' , for any events $x, y \in E$ holds $x < y$ iff $\varphi(x) <' \varphi(y)$, and $\lambda'(x) = \lambda(x)$. l is *isomorphic* to l' , denoted $l \cong l'$, if there is φ such that $l \cong_{\varphi} l'$. l is said to be *finite* (resp., *empty*) if E is finite (resp., empty).

We write $\max(l)$ (reps., $\min(l)$) for the maximal (resp., minimal) events in E . Given a finite $A \subseteq E$, we say that A is a *maximal dense set* in l if $\max(l|_A) \subseteq \max(l)$, and for any $x, y \in A$ and $z \in E$, if $x < z < y$ then $z \in A$. Given such A and another lpo $l' = \langle E', <', \lambda' \rangle$ such that $E \cap E' = \emptyset$, the *local concatenation* of l' after A in l , is defined by $l[A] \rightarrow l' = \langle E \cup E', < \cup <' \cup (\{x \in E : \exists y \in A : x \leq y\} \times E'), \lambda \cup \lambda' \rangle$. It is easy to verify that it is again an lpo. As a special case, the (global) concatenation of l' after l is defined by $l \rightarrow l' = l[E] \rightarrow l'$.

Abstract Syntax of Distributed LSC. A *distributed LSC (dLSC)* is a tuple $L = \langle l_p, l_m \rangle$, where l_p and l_m are finite nonempty lpo's. l_p is called the *prechart* of L , and l_m is called the *main chart* of L . As we assume complete synchronization between the main chart and the prechart, we technically separate the chart into two lpo's. A *dLSC specification* consists of a tuple $S = \langle \mathcal{D}, R_0 \rangle$, where \mathcal{D} is a finite set of dLSCs, and R_0 is a finite lpo called the *initial run*.

Semantics of Distributed LSC. Given an lpo $l = \langle E, <, \lambda \rangle$ and a dLSC $L = \langle l_p, l_m \rangle$, we first define the

set of all *continuations* of l according to L , which is denoted by $l \triangleright_L$. Put $l_m = \langle E_m, <_m, \lambda_m \rangle$, and, without loss of generality, assume that $E \cap E_m = \emptyset$ (we may always take $l'_m \cong l_m$ satisfying this constraint). Then, $l \triangleright_L$ is the set of lpo's of the form $l[A] \rightarrow l_m$, where $A \subseteq E$ is a finite maximal dense set in l such that $l|_A \cong l_p$. Moreover, given a set \mathcal{D} of dLSCs, the set of all *continuations* of l according to the charts in \mathcal{D} is defined by $l \triangleright_{\mathcal{D}} = \bigcup_{L \in \mathcal{D}} l \triangleright_L$.

Given a dLSC specification $\mathcal{S} = \langle \mathcal{D}, R_0 \rangle$, a *construction sequence* for \mathcal{S} is a sequence of lpo's ρ with domain $0 < D \leq \mathbb{N}$ (i.e., D is either the set \mathbb{N} of all natural numbers, or a natural number $n > 0$, in the sense that $D = n = \{i \in \mathbb{N} : i < n\}$), satisfying the following: $\rho_0 = R_0$, and for all $i \in D$ such that $i + 1 \in D$ holds $\rho_{i+1} \in \rho_i \triangleright_{\mathcal{D}}$. For each $i \in D$, put $\rho_i = \langle E_i, <_i, \lambda_i \rangle$. Then, the *value* of ρ is defined to be the lpo corresponding to the limit of the sequence, which can be formally defined by $\text{val}(\rho) = \langle \bigcup_{i \in D} E_i, \bigcup_{i \in D} <_i, \bigcup_{i \in D} \lambda_i \rangle$.

It is easy to verify that $\text{val}(\rho)$ is, in turn, a (possibly infinite) lpo, and that if D is finite then $\text{val}(\rho)$ is the last lpo in the sequence. The construction sequence ρ is said to be *final* if also $\text{val}(\rho) \triangleright_{\mathcal{D}} = \emptyset$. The (*denotational*) *semantics* of a dLSC specification \mathcal{S} is an lpo language defined by $\mathcal{L}(\mathcal{S}) = \{\text{val}(\rho) : \rho \text{ is a final construction sequence for } \mathcal{S}\}$.