

Direct Estimation of the Backward Flow

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Abstract: The aim of this work is to propose a new method for estimating the backward flow directly from the optical flow. We assume that the optical flow has already been computed and we need to estimate the inverse mapping. This mapping is not bijective due to the presence of occlusions and disocclusions, therefore it is not possible to estimate the inverse function in the whole domain. Values in these regions have to be guessed from the available information. We propose an accurate algorithm to calculate the backward flow uniquely from the optical flow, using a simple relation. Occlusions are filled by selecting the maximum motion and disocclusions are filled with two different strategies: a min-fill strategy, which fills each disoccluded region with the minimum value around the region; and a restricted min-fill approach that selects the minimum value in a close neighborhood. In the experimental results, we show the accuracy of the method and compare the results using these two strategies.

1 INTRODUCTION

In this article we address the problem of estimating the backward flow. The optical flow is calculated from the source to the target image and the backward flow is the inverse mapping from the target to the source image. If we know the forward flow, then it is possible to estimate the backward correspondence with some limitations.

This is important in problems where it is necessary to find the correspondences back in time. This is the case, for instance, in symmetric optical flow methods, e.g. (Christensen and Johnson, 2001), (Álvarez et al., 2007b), (Ashburner, 2007) or (Yang et al., 2008). These methods introduce the inverse optical flow to improve the coherence between the forward and backward flows.

In (Christensen and Johnson, 2001), for instance, the authors present a method for computing the image registration of medical images, which relies explicitly in the computation of the inverse mapping. It works for diffeomorphic transformations, where the relation is bijective and differentiable. The backward flow is calculated using an iterative algorithm, nevertheless, this iterative process may slow down the method and it does not work in occluded or disoccluded regions.

In the symmetric method presented in (Cachier

and Rey, 2000), the inverse optical flow is computed using a Newton scheme. This solution is similar to the previous iterative process, so it presents the same drawbacks, providing poor results in occluded and disoccluded regions. Another interesting symmetric model is proposed in (Álvarez et al., 2007a). In this case, the optical flow is computed as a function in the middle position between two frames, so it does not compute the inverse optical flow explicitly.

The backward flow has also been used in spatio-temporal optical flow methods, e.g. (Salgado and Sánchez, 2006) or (Sánchez et al., 2013). The objective is to preserve the temporal coherence of the optical flows with the previous estimated flows: the inverse optical flow is used to find the correspondences back in time and impose some kind of temporal continuity.

Another example of the use of the backward flow is given in (Lieb et al., 2005) and (Lookingbill et al., 2007). In this case, the authors propose a method that relies on the reverse optical flow to automatically follow the road in autonomous cars. It tracks features from the current position to a past position, so the robot may identify similar shapes at different scales.

We propose a new method for estimating the backward flow directly from the optical flow. We are given the forward flow and we are interested in computing

the inverse optical flow with high precision. Normally, this is not an inverse function because there are regions, like occlusions and disocclusions, where it is not possible to establish a correspondence.

The proposed algorithm is simple, fast and accurate. It automatically handles occlusions, whereas disocclusions are filled using two distinct approaches: on the one hand, we use a min-fill strategy, that associates the minimum value around the region; on the other hand, we propose a restricted min-fill approach, that fills disocclusions with the minimum flow value at a given distance.

In the experimental results, we study the precision of our method using synthetic standard sequences from the Middlebury benchmark database (Baker et al., 2007). The results show that the accuracy of this method is high. We compare between the solutions obtained by the two filling strategies. The restricted min-fill approach provides better level of accuracies when disoccluded regions are large.

In Section 2, we explain the basis for estimating the backward flow. The algorithm is designed in Section 3 and the strategies to fill disocclusions are explained in Section 4. In the experimental results, Section 5, we evaluate the algorithm using several sequences from the Middlebury benchmark datasets. Finally, the conclusions in Section 6.

2 ESTIMATING THE BACKWARD FLOW

The optical flow is the apparent motion of the objects in a sequence of images. This is given by a vector field, $\mathbf{h}(\mathbf{x}) = (u(\mathbf{x}), v(\mathbf{x}))$, that puts in correspondence the pixels of a source and a target image. The backward flow, $\mathbf{h}^*(\mathbf{x}) = (u^*(\mathbf{x}), v^*(\mathbf{x}))$, is the inverse mapping from the target to the source image. The relation between the backward and forward optical flows is given by

$$\mathbf{h}(\mathbf{x}) = -\mathbf{h}^*(\mathbf{x} + \mathbf{h}(\mathbf{x})). \quad (1)$$

This relation can be intuitively derived from the graphic depicted in Fig. 1: if we follow the path of the forward and backward flows, we should arrive to the initial position. This is true except in occluded and disoccluded regions.

As depicted in Fig. 2, disocclusions appear in the back side of the moving objects, produced by empty regions where no correspondences can be established. Occlusions appear in the front side of the moving objects, where several correspondences arrive to the same position. These two problems are easy to detect

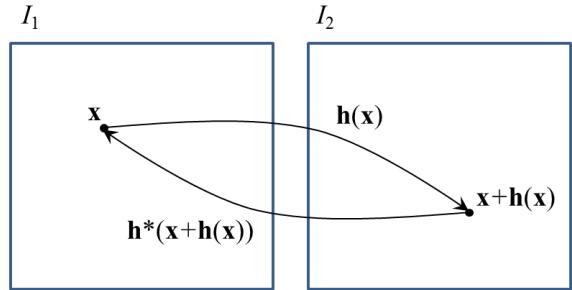


Figure 1: Backward flow.

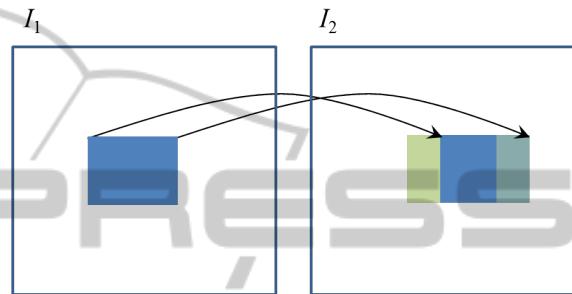


Figure 2: Occlusions and disocclusions. When the blue square moves horizontally, it creates a disocclusion and occlusion before and after the square, respectively.

but their solution have to be overcome from different perspectives.

The disocclusion problem can be addressed as a filling procedure, since the information is only available from the neighbors. Occlusions can be regarded as a selection problem, where several values are assigned to the same position, and we need to select the appropriate one. Occlusions occur because an object moves in front of or behind other objects. In this work we deal with occlusions due to objects moving in front of other objects. This is a simpler case that only depends on the vector field. The case, in where an object moves behind other objects, is not so simple and should rely on the image intensities as well.

3 ALGORITHM

Algorithm 1 shows the steps to compute the backward flow. This algorithm is simple and very fast: only one pass over the image is necessary to compute the flow. The input is the forward flow. At the beginning, we initialize the backward flow to a high number. In each pixel, $\mathbf{x} = (x, y)$, we compute the corresponding position in the other image, $\mathbf{x} + \mathbf{h}(\mathbf{x})$.

We obtain the four neighbors around this position, given by $(x^{+/-}, y^{+/-})$, and the interpolation weights, w_1, w_2, w_3, w_4 . These variables are shown in Fig. 3.

These weights represent the proportional area of the pixel that corresponds to each neighbor. Then, we estimate the magnitude of the forward flow and compare it with the magnitude of the backward flows in the neighborhood.

Algorithm 1: Backward flow estimation.

```

Input:  $u, v$ 
Output:  $u^*, v^*$ 

Initialize  $u^*, v^*$  to a big number
for  $i \leftarrow 1$  to  $size_y$  do
    for  $j \leftarrow 1$  to  $size_x$  do
         $x \leftarrow j + u(j, i)$ 
         $y \leftarrow i + v(j, i)$ 
        Find the four neighbors of
         $(x, y) : (x^{+/-}, y^{+/-})$ 
        Compute the bilinear interpolation
        weights:  $w_1, w_2, w_3, w_4$ 
         $d \leftarrow u(j, i)^2 + v(j, i)^2$ 
         $d_1 \leftarrow u^*(x^-, y^-)^2 + v^*(x^-, y^-)^2$ 
         $d_2 \leftarrow u^*(x^+, y^-)^2 + v^*(x^+, y^-)^2$ 
         $d_3 \leftarrow u^*(x^-, y^+)^2 + v^*(x^-, y^+)^2$ 
         $d_4 \leftarrow u^*(x^+, y^+)^2 + v^*(x^+, y^+)^2$ 
        if  $w_1 \geq 0,25$  and  $d \geq d_1$  then
             $u^*(x^-, y^-) \leftarrow -u(j, i)$ 
             $v^*(x^-, y^-) \leftarrow -v(j, i)$ 
        end
        if  $w_2 \geq 0,25$  and  $d \geq d_2$  then
             $u^*(x^+, y^-) \leftarrow -u(j, i)$ 
             $v^*(x^+, y^-) \leftarrow -v(j, i)$ 
        end
        if  $w_3 \geq 0,25$  and  $d \geq d_3$  then
             $u^*(x^-, y^+) \leftarrow -u(j, i)$ 
             $v^*(x^-, y^+) \leftarrow -v(j, i)$ 
        end
        if  $w_4 \geq 0,25$  and  $d \geq d_4$  then
             $u^*(x^+, y^+) \leftarrow -u(j, i)$ 
             $v^*(x^+, y^+) \leftarrow -v(j, i)$ 
        end
    end
end
Fill disocclusions

```

If the value of the forward magnitude is bigger than the previous stored backward flow, and the corresponding weight is bigger than a given threshold, then we keep the negative value of the flow at that position. This threshold has been set to 0,25 because it represents the situation where the correspondence falls in the middle of the pixel.

In this way, the occlusions are automatically han-

dled by the algorithm: if there are collisions in one position, we retain the flow with higher magnitude. This is suitable when the occlusions are produced by the fastest moving objects.

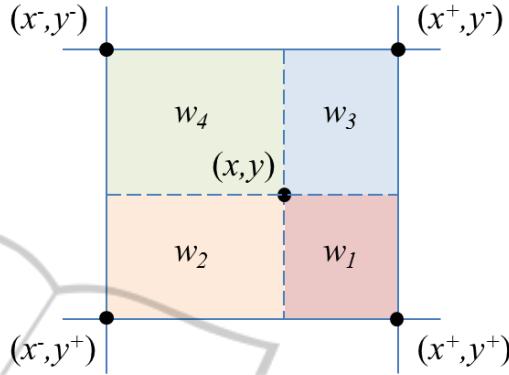


Figure 3: Notation.

Although this is not the most general case, it is interesting because there are many sequences in which this assumption holds. Also note that this algorithm is very simple and fast, and the storage requirements are very low, since all the information is stored in the same output arrays. In the last step, the algorithm fills disocclusions. These occur where no correspondences have been found, thus it is the opposite situation to the occlusions.

4 FILLING DISOCCLUSIONS

In order to fill disocclusions, we have to look for the values around the region. Normally, disocclusions happen because a moving object let us see the background. The motion in this region cannot be discovered, unless we make some simple assumptions. The *minimum flow* assumption establishes that a good guess is the minimum value around the disocclusion. In this sense, we propose two strategies.

4.1 Min-fill Strategy

This strategy tries to fill disocclusions with the minimum value around the regions. This can be accomplished in the following steps: first, disocclusions are grouped in regions by means of a connected component labeling process (Suzuki et al., 2003).

The secod step consists in associating a minimum value to each label: we go over the image and, any time we find a disocclusion, we search for the minimum value in its neigborhood and compare with the accumulated minimum for its corresponding label.

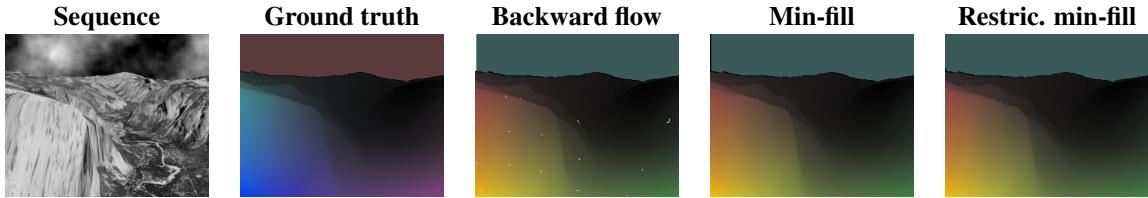


Figure 4: Results for the Yosemite sequence. First column, the source image; second column, the ground truth; third column, the computed backward flow without filling disocclusions (white color); fourth column, the backward flow with the min-fill strategy; and, fifth column, the backward flow with the restricted min-fill strategy.

Once we have obtained the minimum value for each label, we go over the image again and assign the corresponding value to each disocclusion. This strategy is simple and fast. It works correctly if the size of the regions are small.

4.2 Restricted Min-fill Strategy

A simple strategy that works properly when regions are very large, is the restricted min-fill strategy. The idea is to find the minimum value that is near the current position. For each disocclusion, we select the minimum value in a window.

This process is carried out iteratively until every disocclusion is filled: we go over the image and try to fill disocclusions using a fix-sized window. The size of the window may not be large enough to attain values outside the region. In this case, the process is run again to fill the remaining disocclusions. The default window radius is 5 in the experimental results.

5 EXPERIMENTAL RESULTS

In the first experiment, Fig. 4, we use the Yosemite sequence. Fig. 5 shows the color scheme used to represent the orientation and magnitude of the vector fields.

The average running times for the experiments is about 0.020 seconds in a PC Intel Core i5 with two processors and 8,00 GB RAM. These average times include the time to read the input data and write the output to disk.

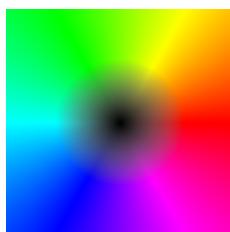


Figure 5: Color scheme.

Table 1: EPE and AAE for the Yosemite sequence.

Sequence	Min-fill		Restr. min-fill	
	EPE	AAE	EPE	AAE
Yosemite	0.084	0.529°	0.012	0.307°

Table 2: EPE and AAE for the Middlebury sequences.

Sequence	Min-fill		Restr. min-fill	
	EPE	AAE	EPE	AAE
Grove2	0.035	0.560°	0.026	0.417°
Grove3	0.295	3.258°	0.170	1.466°
Urban2	0.294	1.547°	0.086	0.345°
Urban3	0.371	2.465°	0.151	1.068°
Venus	0.069	0.793°	0.026	0.371°

In order to evaluate the method, we compute the backward flow twice, $(\mathbf{h}^*)^*$, so that we arrive to the original ground truth. Then, we compare with the original ground truth using the average end-point (EPE) and angular (AAE) errors (Baker et al., 2007), for both strategies. Note that the estimated error measure may be divided by two to account for the actual backward flow error. These results are shown in Table 1. We observe that the restricted min-fill strategy provides much better results than the min-fill strategy.

In Fig. 6, we show several results using the Middlebury benchmark database. We have used several test sequences for which the ground truths are known.

In the third column of Fig. 6, we show the computed backward flow with disocclusions highlighted in white. The forth and fifth columns contain the solutions for the min-fill and the restricted min-fill strategies, respectively.

We observe that disoccluded regions are very large in some examples. For instance, in the last sequence, there is a large disocclusion in the border of the paper. When this region is filled with the minimum value – min-fill strategy – we observe a low motion (black color), which is not consistent with the computed backward flow around the region. The restricted min-fill strategy seems to provide better results for this sequence.

In Table 2, we show the EPE and AAE for the Middlebury sequences. We observe again that the re-

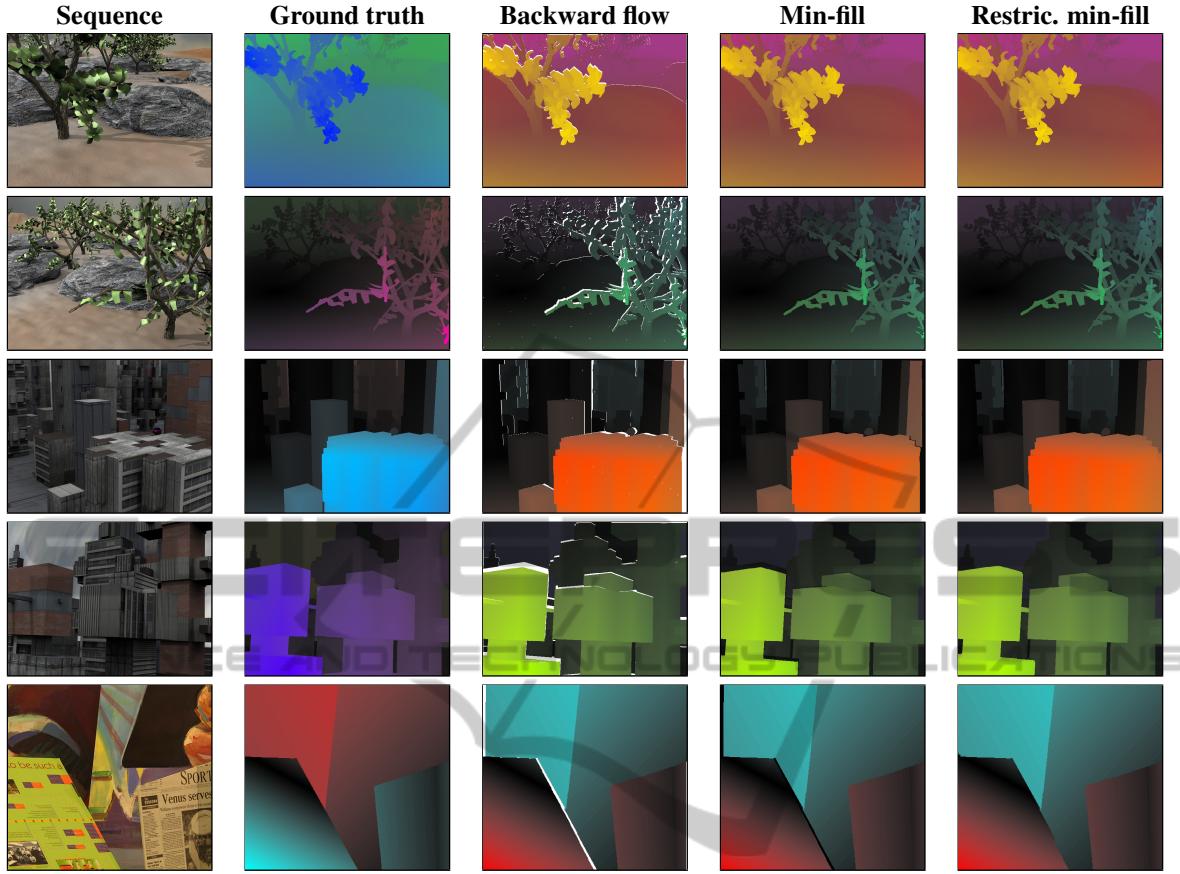


Figure 6: Results for the Middlebury test sequences. First column, the source image; second column, the ground truth optical flow; third column, the computed backward flow without filling disocclusions; fourth column, the backward flow with the min-fill strategy; and, fifth column, the backward flow with the restricted min-fill strategy.

stricted min-fill strategy attains better results than the basic min-fill strategy.

6 CONCLUSIONS

In this work, we have presented a very accurate method for estimating the backward flow. This method only relies on the optical flow and can directly deal with occlusions. On the other hand, in order to fill disocclusions, we have proposed two alternatives, based on the minimum flow.

The algorithm is very fast, since it is only necessary to carry out one pass over the image. This allows us to reach real-time processing in current computers, without the need to introduce any code parallelization.

In the experimental results, we have numerically evaluated the new method and compared between the two filling approaches. These results show that the method is very accurate and that the restricted min-fill approach provides better results.

The algorithm does not take into account occlusions due to the movement of objects behind other static objects. In this case, the information of the optical flow is not sufficient and more information from the images is necessary. This will be addressed in a future work.

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