

Creating an Educational Roadmap for Engineering Students via an Optimal and Iterative Yearly Regression Tree using Data Mining

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Keywords: Educational Data Mining, Cart, Optimal Regression Tree, Courses Roadmap, GPA, Engineering Schools, Splitting Criteria, Pruning Levels, Precision.

Abstract: Targeting high academic standards is required in engineering studies. Advisors usually play an important role in helping students keeping good records and transcripts along their educational path by helping them choose their courses and keeping track of their grades. However, performance in some courses in the curriculum embodies determining repercussions and might inadvertently jeopardize the overall students' Grade Point Average (GPA) in an irreversible manner. The purpose of this paper is to draw an educational roadmap that helps advisors and students being aware of the turning points that decisively affect their overall cumulative GPA and act upon a current outcome. This roadmap is based on Classification and Regression Trees where nodes and branches denote the aforementioned courses and students' performance, respectively, with the ultimate outcome being the overall student's GPA upon graduation. The tree is constructed based on a relatively large number of records with 10-fold cross-validation and testing. Moreover, the tree is produced on a yearly basis with a twofold objective. The first is to secure a high level of precision by applying it over a short period of time and the second is to allow for injecting each-year computed GPA with the remaining courses as to reflect the actual situation with maximum vraisemblance. This iterative and recursive tree achieves a very close tracking of students' performance and provide a powerful tool to rectify courses' track and grades for each student individually while aiming at a predefined final GPA. Furthermore, the choice of the optimal tree was carefully examined in the light of the relatively elevated number of attributes. In this context, diverse models were created and performance and/or precision were computed in terms of different values of "pruning levels" and "splitting criteria". The choice of the best tree to be adopted for advising is thoroughly explained. Besides, it is shown, in this context, that the structure of the tree remains highly versatile in the sense that it can be revisited at any point for further assessment, adjustment, and expansion. Finally, yet importantly, simulation results were carried out using Matlab CART and demonstrated high efficiency and reasonably precise results.

1 INTRODUCTION

In the last decades, data mining has become an indispensable tool in dealing not only with large databases; it has been adopted as a solution for drawing pertinent information and extracting hidden knowledge in cases where the number of attributes is relatively comparable to the number of records as well (Kovačić and Green, 2010), (Fayyad et al., 1996), (Piatetsky-Shapiro, 1991), (Baker and Yacef, 2009). It is known that patterns can be drafted easier when the number of samples is significantly large but at the cost of computational requirements and sophisticated data mining techniques (Thabtah, 2006). Nonetheless, it has been validated that

exercising data mining in some fields does not require excessive amounts of data at the condition that the data miner demonstrates an advanced level of understanding of the figures he possesses.

Data mining finds innumerable applications in almost all sectors and aspects of our society. Amongst others, data mining is being extensively such as medicine, forensics, banking, jurisdiction, landscaping, astronomy, etc., notably when ad hoc situations arise and when previous and lucid practices have not yet been ruled (Silverstein et al., 2000). Recently, Data Mining has being interchangeably used with what it is referred to as Knowledge Discovery in Databases or KDD (Fayyad et al., 1996).

One interesting application of data mining is the

field of Education. Indeed and in the last few years, data mining has been adopted and validated as one of the primary and foremost scientific methods used in approaching different operational perspectives in Colleges and Higher-Education Institution. Furthermore, a standalone field of data mining has emerged and is referred to as Educational Data Mining or EDM (Sembiring et al., 2011), (Wook, Yahaya et al., 2009), (Chadha and Kumar, 2011), (Domingos, 2007), (<http://www.educationaldatamining.org>), (Baker and Yacef, 2009). As a matter of fact, Education has grown to such an extent where it does not simply embrace teaching and education per se, but it became a full-fledged business with numerous departments requiring experts and professionals from different disciplines to efficiently support its operation. Additionally, data and information that are being treated and/or processed on daily, semestrial, and yearly basis, have drastically increased to a point where traditional methods and practices no more suffice. Academic performance, students' attrition, course offerings, grant management, students' behavior, etc. are amongst many other issues and influential parameters that necessitate innovative and potent techniques for analysis and solutions (Al-Radaideh et al., 2006), (Kovačić, 2010), (Portnoi et al.2011), (Eshghi et al., 2011), (Al-Radaideh et al., 2011), (Dekker et al., 2009), (Bardawaj and Pal, 2011). Finally, dealing with the growth in the number of students and the ever-changing teaching methodologies dictate new prescription with solid ground.

In this paper we will elaborately visit this side of EDM by drafting an educational roadmap for both students and advisors to help sustaining elevated rates of success and more precisely target a desired GPA upon graduation for engineering schools and universities. The customary practice dictates that academicians help their students keeping acceptable performance by advising them during registration, in the light of their previous achievements, on the type and number of courses they need to enroll in (Portno et al., 2011), (Oladokun et al., 2008), (Pal and Baradwaj, 2011). However, performance in some key courses proved to have irreversible repercussions on students' overall GPA. These courses can be directly and/or indirectly prerequisite for more advanced courses' materials or refer to some Physics and/or Math courses, or even language courses, namely when studies are in a foreign language. The situation relies on schools' individual programs and courses' structure and thus, might significantly vary. Consequently, advisors and

students might be misled in many cases at the critical point of registration especially when students advance along their curricula and the tree becomes more complicated thus, involving more parameters to embrace for an appropriate decision.

The purpose of the educational roadmap is to draft a yearly decision tree that lucidly underlines the courses that students should pay special attention to in order to sustain a good performance. Furthermore, the tree not only cites the courses but it clearly indicates the grades that students' have to earn in order to attain their final GPA upon graduation. In this sense, the roadmap creates a scientific and computational direct link between the outlines courses and a "targeted" performance for engineering students upon graduation. The tree is created for every year as to keep the closest possible tracking system and the most likelihood that reflects the actual students' performance as well. Additionally, two types of trees can and will be elaborated with two different approaches. In the first type, the tree exclusively includes the courses taken during the current year of studies with the purpose of emphasizing on the most important courses and their respective grades for the targeted performance, for that specific year. The second type of tree is somehow recursive and individual since the cumulative GPA of the previous year(s) will be injected in the attributes as to indubitably reflect the path of a given student and identify his/her current situation and thus, derive pertinent decisions. The roadmap is built using Data Mining Regression Trees with a 10-fold cross validation. In this sense, trees will be trained, validated then tested for their efficiency.

This paper will be divided into five parts. After the introduction, section II presents a quick overview of data mining algorithms, approaches and applications. In the third section, data is presented and analysed. The innovative approach is comprehensively outlined and explained as well. Simulation results are shown in the frame of the application and elaborate analysis is detailed to demonstrate the effectiveness of the approach. Additionally, section III includes a user manual for the suggested educational roadmap. In section IV, future scope of this study will be shortly presented. Finally, conclusions and perspectives for future work are drawn in section 5.

2 DATA MINING

There exist many definitions for data mining in

books and research papers (Witten et al., 2011), (Han et al., 2011). They all refer to data mining as an interdisciplinary field in computer science which achieves interactive and iterative processes aiming at unveiling hidden, but existing, patterns and/or relationships amidst data using statistical and mathematical procedures with a prime objective of providing decision support systems with information and knowledge. Generally, data mining can be referred to for two different objectives. The first is predicting future values of some variables of interest based on a recorded database of evidences. The second, referred to as description, focuses on finding hidden patterns relating data features. The latter exercise finds its application in Knowledge-Discovery-in-Database (KDD) cases where the former one is an objective in machine learning applications.

2.1 CART for Data Mining

Exercising data mining requires primary steps for a reliable outcome. The collected data is to be subdivided for training, testing, and validation. In our case, we used 70% of the data for training, 15% for cross-validation and 15% for testing. During the training stage, a temporary model is engendered and which simulates rudimentary relationships between the attributes and the targeted output. An important stage follows and which is referred to as validation. This stage reveals crucial and decisive namely when the number of attributes is relatively elevated compared with that of the samples. The outcome will be a general enhancement of the model's accuracy where over-fitting occurrences are reduced and non-existing patterns are avoided. Additionally, typical glitches could be circumvented such as undesirable memory characteristic that results in a false generalization. For instance, assume that in the selected data for training, all students who have passed a certain course have obtained a GPA beyond a certain value; we do not want the model to remember or create this relationship (Silverstein et al., 2000). Furthermore, an overall performance key is the pruning level or more precisely the splitting value. It is a number "n" such that impure nodes must have "n" or more observations to be split. Besides, during the cross-validation stage, the cost of the tree is the sum over all terminal nodes of the estimated probability of that node times the node's cost. If "t" is a regression tree, the cost of a node is the average squared error over the observations in that node. The cost is a vector of cost values for each subtree in the optimal pruning sequence for "t". The

resubstitution cost is based on the same sample that was used to create the original tree, so it underestimates the likely cost of applying the tree to new data (Thabtah, 2006). Once validation is performed, testing is used to evaluate the validated model. In this stage, new or untrained data is applied with the purpose of gauging the model. Here the expertise of the data miner plays a key role; miners should use their savvy to assess the performance and accuracy of the model. In some cases, using different algorithms or revisiting the entire procedure might reveal necessary. Finally, when the model is satisfactory, the data miner shall transform the outcome into information and knowledge to be adopted for future decision-making and analysis.

2.2 Data Mining Tasks

Data mining objectives can be carried out by means of various procedures, frequently called tasks (Wu and Kumar, 2009). Thus a further categorization of data mining is obtained:

Classification: The most frequently used classifiers are Decision Trees, Bayesian and Neural Networks, etc. and which are widely used in Handwriting and Speech Recognition, Web Search Engines, etc. (Quinlan, 1986).

Regression: This task aims at forecasting/calculating the probability or the value of a variable via an iterative minimization of some error functions. Classification And Regression Trees (CART) are primary procedures for this task. It is referred to as classification or regression if the variable to be forecasted is nominal (belongs to a certain defined set) or continuous (assumes infinite number of values), respectively (Quinlan, 1986).

Clustering: K-Means and Fuzzy Clustering are well-known techniques used for this purpose with applications found in typical unsupervised learning cases such as in Image Analysis, Biology and Medicine, Education, etc. (Nock and Nielsen, 2006)

Association Rule Learning or Dependency Modelling: The "Apriori" algorithm is the most famous procedure used to identify frequent itemsets in a database and deriving association rules. It is mainly used in applications involving decisions about marketing activities such as in supermarkets as well as in the fields of Web Mining, Bioinformatics, etc. (Agrawal et al., 1993), (Piatetsky-Shapiro, 1991)

Deviation/Outlier Detection: This is exercised in applications such as Data Security for Fraud and Intrusion Detection. (Denning and Dorothy, 1986).

Link Analysis: This task is practiced when traditional approaches reveal inefficient (Getoor,

2003).

3 PROBLEM STATEMENT AND EDUCATIONAL ROADMAP

As previously mentioned, targeting high academic standards is required in engineering studies. This requirement not only reveals necessary throughout the curriculum but is a prerequisite to entering a highly competitive job market. Moreover, if the engineering curriculum involves a BS followed by a Master degree, this requirement becomes a turning point in a student's academic path. Acquiring certain grades in major and technical courses is also a primary goal for those students and is, in most cases, a necessary condition for graduation. As it is known, advisors usually play an important role in helping their students sustaining good transcripts along their studies; they are responsible for helping them choose their courses based on their performance in order to avoid situations such as falling under probation or suspension. Nonetheless, and due to the fact that engineering curricula involves courses from diversified disciplines, the situation becomes intriguing and complex. More specifically, identifying courses that are a key for success might reveal cumbersome.

Furthermore, a vital educational aspect that haunts the mind of colleges' and higher-education institutions' academicians is the performance of their students throughout their academic path. Curricula have become diversified involving numerous courses from different disciplines that sometimes leave students and academicians not incapable of identifying the turning points and key courses in dealing with some situations such as avoiding probation, suspension, or more precisely target a desired cumulative GPA) upon graduation. This situation becomes more critical when dealing with certain majors such as engineering (Oladokun et al., 2008), (Kabra and Bichkar, 2011). Indeed, when pursuing either a five-year Bachelor-of-Engineering program or a three-year Bachelor-of-Science followed by a two-year Masters-of-Science or Engineering program, high academic standards become a must notably at early stages of studies. It is known that students' transcripts should demonstrate acceptable performance in major and technical courses and a certain GPA is required for graduation. The merciless competition in the job market as well as in the Higher Education sector only adds another burden on the advisors' shoulders making students' performance a vital and decisive

attribute in the final outcome and eligibility.

Additionally, different values of splitting will be comprehensively studied with the objective of choosing the optimal tree for each year. The splitting value in regression trees is a determining factor in the estimation process' precision and efficiency. Additionally, correlation between the actual and estimated values of students' GPA will be studied as to endorse and validate this choice. The correlation analysis will be conducted exclusively on the testing data without involving any trained records as to undoubtedly yield a truthful and reliable choice. The study conducted in this paper involves a little over one thousand samples that were scrupulously analysed, screened and pre-processed before application to the data mining process. Three hundred five samples were retained (Silverstein et al., 2000). Simulation results demonstrated a highly efficient outcome namely in terms of the testing phase.

The objective of our research is to provide both students and advisors a tool that we will call educational roadmap with the primary goal of keeping an accurate track of their academic route. This roadmap is based on regression trees generated on a yearly basis and which offer a straightforward tool for follow up regarding each individual student in choosing a well-defined academic performance upon graduation. More precisely, the inputs to the tree are the grades obtained in selected courses and the output is the estimated final cumulative GPA. The estimated GPA is not only an output but is a choice determined by students upon enrolling in engineering majors. In this sense, this approach is innovative since it does not merely estimate the GPA based on students' grades in early stages but it is rather a bottom-up approach. Students start from the bottom of the tree and draw their path upward while identifying the criteria that should be met for their choice. The aforesaid key courses are determined using regression analysis performed on a relatively large number of engineering students' records. According to the tree, students and advisors can identify the weaknesses and/or strengths that hinder and/or foster their choice. Moreover, the generated trees offer the possibility to revisit the grades of students in each of these courses on a yearly basis and thus, allow them to rectify their path by not only repeating those courses, but in determining the minimum grade they should obtain as well.

Two types of trees are produced on a yearly basis. The first type aims at pinpointing the most important courses whose weights contribute the

GPA upon graduation based on their performance in these courses.

It should be noted that many trees can be produced depending on the splitting values. For a value of one, the tree is cumbersome and contains a very high number of nodes and branches although it engenders a high precision. Nonetheless, and to come up with a readable, yet acceptably reliable tree, a study of the estimation precision error and correlation has been conducted as shown below.

To this purpose and for each splitting value, the difference between the estimated GPA and the actual one are drafted as shown by figure 1 below. This error is not calculated in the phases of training or cross-validation but during the testing phase, i.e. using new/untrained data. Figure 1 shows that most of the errors are trapped between -0.3 and +0.3 for most splitting values.

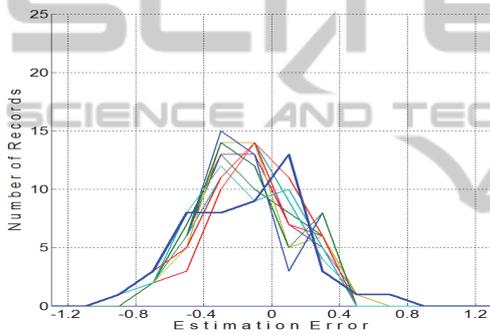


Figure 1: Estimation Error vs. Number of Students' Records for Different Splitting Values (Year 1).

In order to endorse and have a different perspective of this estimation error, Pearson Correlation was applied on the set of the aforementioned GPAs. Figure 2 shows that the correlation is highly acceptable for splitting values up to 50 beyond which, it starts to significantly deteriorate. Hence, we had the choice of a splitting value starting from 1 to 50. However, the number of nodes and branches and thus, the shape and readability of the tree are significantly affected

Correlation

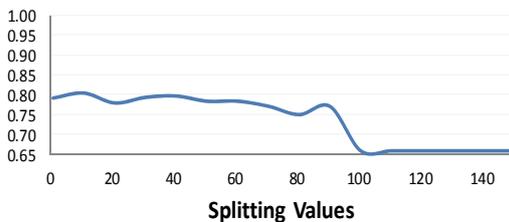


Figure 2: Correlation (Year 1): Estimated GPA vs. Actual GPA for Different Splitting Values.

After some pertinent comparisons, it was found that the value of 41 is the most adequate compromise with a correlation of +0.8 or 80% and Figure 3 below shows the regression tree obtained in this case.

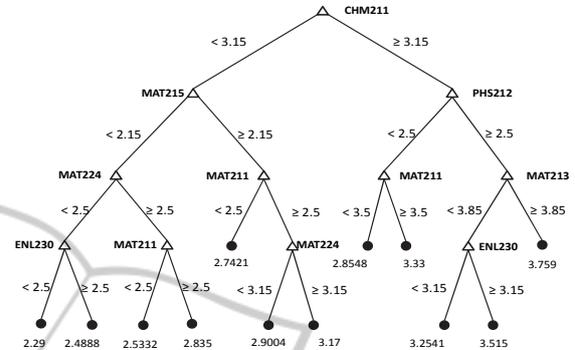


Figure 3: Regression Tree (Year 1) for Splitting Value of 41.

3.2.2 Regression Tree for Year “N”

In this section we will examine creating two types of regression trees for the academic years following the first year of studies. The first type of tree will estimate students' final cumulative GPA (upon graduation) by exclusively encompassing the courses taken by students during that year “n”. In the second type, we will re-inject students' previous cumulative GPA in the attributes' dataset and thus, consider it as an additional input to the aforementioned courses. It is understood that one can create such types of trees of every year of studies. Nevertheless, this process becomes useless after the third year and this for two reasons. First, students would have completed a relatively high number of credits and thus, reversing or rectifying their path would reveal impractical if not impossible. Second, the third year is usually a turning point for MS studies and/or for BE studies where students would have to be screened. Therefore, we choose to demonstrate the results for this particular year knowing that the same procedure has been done for the second year as well but it would not add new information to this text.

Figures 4, 5 and 6 show the same results obtained as for Year 1 but applied to Year 3 for the first type of tree, i.e. without taking into account students' current cumulative GPA. The purpose of the tree obtained is to pinpoint those courses taken in Year 3 and which have greater impact on students' later performance.

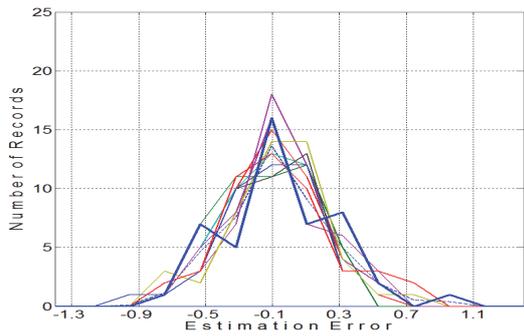


Figure 4: Estimation Error vs. Number of Students' Records for Different Splitting Values (Year 3).

GPA is a ratio with its denominator becoming relatively bigger. Nonetheless, the obtained tree is again a clear roadmap since it allows students and advisors to identify which track (or subtree) they would be following based on previous history. Consequently, students can be advised to repeat some courses in order to increase their current cumulative GPA to start from a different node according to a desired final performance upon graduation. This is a powerful tool for advising and follow-up on all cases.

Figure 7, 8, and 9 below show the results of similar analysis and studies performed as above.

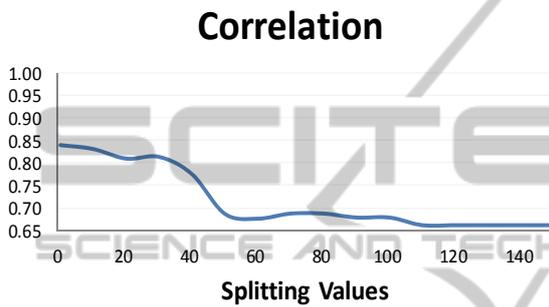


Figure 5: Correlation (Year 3): Estimated GPA vs. Actual GPA for Different Splitting Values.

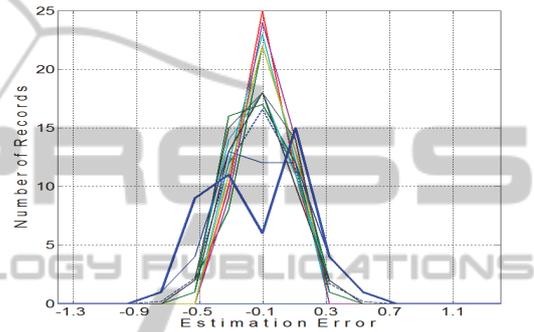


Figure 7: Estimation Error vs. Number of Students' Records with Year-2 Cumulative GPA Injected (Year 3).

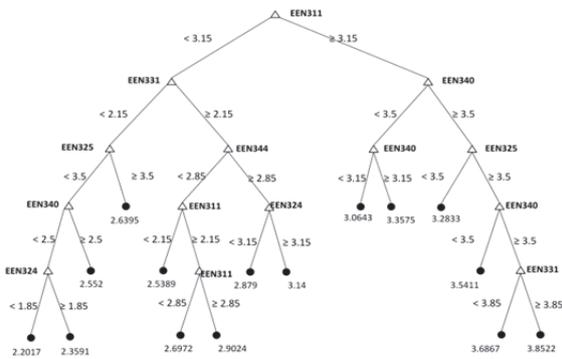


Figure 6: Regression Tree (Year 3) for Splitting Value of 41.

The figures below exhibit the iterative (second) type of trees that take into account students' previous cumulative GPA vs. their GPA upon graduation. As it is expected, the most preponderant determining factor (tree node) is the GPA computed up to Year 2. This greatly endorses the credibility and reliability of the study. Indeed, it clearly proves that after two years of studies and thus, with more than 70 credits accomplished, it would be realistically difficult to modify a cumulative GPA in a significant manner with new courses taken; the

Correlation

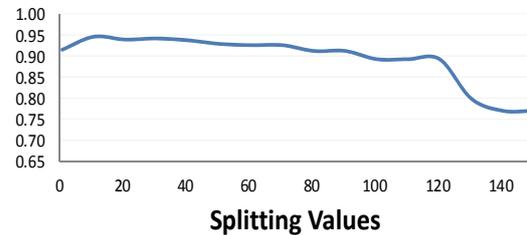


Figure 8: Correlation (Year 3) with Cumulative Year-2 GPA Injected.

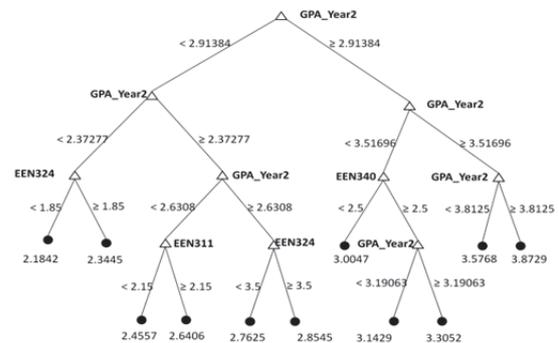


Figure 1: Regression Tree (Year 3) for Splitting Value of 41 with Year-2 Cumulative GPA Injected.

3.2.3 Result Analysis of the Educational Roadmap – Advisors’ and Students’ Manual

Upon enrolling in engineering majors, students are provided with a suggested or recommended first-year curriculum to follow. Moreover, some courses are enforced in the sense that they have to be taken by students because either they are prerequisites for later courses or according to each department’s vision, students should pass these courses for specific academic purposes. In any case, performance in these courses will certainly have an impact on students cumulative GPA as well on their GPA upon graduation. Therefore, according to the suggested tree, students and advisors can identify those courses to be paid attention to and even suggest a new “minimum passing grade” in order to aim at a targeted final GPA. Moreover, the tree can be used in a bottom-up manner in the sense that students can start at the bottom, thus selecting a targeted final GPA and work their way up going through those nodes that decide of this choice and hence, know in advance the performance required in those powerful or key courses which have greater impact on their target. In this manner, the suggested trees constitute an educational roadmap and a manual to be used by advisors and students to keep track of their academic path.

This also applies to the remaining years of engineering curricula. However, the second type of trees which take into account a current cumulative GPA injected in the attributes, allow a further and wider look at the students’ path. It allows advisors to rectify on a yearly basis and before “it is too late” the courses and their respective grades students should accomplish in order to attain their targeted GPA upon graduation. For instance, if a second- or third- year engineering student earn a GPA lower than the one expected, rectifying steps can be advised with lesser damage and efforts and namely within shortest time. Finally, probation, suspension or even keeping grants and scholarships can be handled more efficiently based on enlightened decisions.

The trees can be used in a cooperative manner between the advisors and the students in line with the importance given to the academic advising in the higher educational process (Campell, 2008). As was mentioned “academic advising supports key institutional conditions that have been identified with promoting student success”. In addition, as it was noted by Titno (Titno, 2012) the five conditions which stand out as supportive of retention were

expectation, advice, support, involvement, and learning.

Our regression trees can be considered as a tool used by both the academic advisor and the student to schematize, evaluate and direct the road map of the graduation requirements in an interactive method. The purpose of not implementing the application as an automated one similar to the work performed by Werghi and Kanoun (Werghi and Kanoun, 2010) is the importance we give to the interactive cooperation between the advisor and the student in order to achieve academic excellence. Consequently, the approach presented in this paper, not only emphasises on this trend, but offers a more comprehensive and flexible tool as well.

4 PERSPECTIVE WORK - EVA

Earned Value Analysis (EVA) has proven to be an extremely effective tool for project time and cost management providing actual project completion cost and date. One main contribution of EVA is providing early indicators of problem areas either in cost or in schedule so that corrective management can be initiated (Leu and Lin, 2008), (Henderson, 2004). Other areas were tackled as well in order to extend EVA analysis to fuzzy control or quality evaluation (Noori et al., 2008), (Hong-yuan et al., 2007).

EVA is used in combination with the estimation of the cost and delivery date. In the educational environment, the engineering degree can be considered as a project with a specific estimated time (measured in number of credits successfully accomplished) and an estimated GPA upon graduation.

In our case, the study duration is considered as the five-year comprehensive curriculum (or 10 semesters); cumulative GPA as well as the number of credits passed are computed at the end of each semester. Target values of the aforementioned parameters are specified by students at their enrolment, and evaluation is performed to check whether they are on the right track. In case of deviation, the objective will be to materialize/quantify this deviation from the targets’ starting values.

Pertinent parameters are derived in order to keep track of students’ evolution such as Earned Values, Credit Variance, Scheduled Variance, Performing Index, and Schedule Index, amongst others.

The importance of the aforementioned parameters is that they provide an accurate and

continuous evaluation of the efforts performed by each student and a clear measure of their efficiency in attaining the targeted starting values. More specifically, these parameters can be appropriately used to derive specific suggestion as rectify each student's path when calibration reveals necessary. Finally, it is important to note that EVA analysis, tools and charts would be a significant addition to the educational roadmap developed in this paper.

5 CONCLUSIONS

In this paper we have presented an innovative approach in using and applying Educational Data Mining. An educational roadmap that reliably and greatly endows both advisors and students a straightforward manual for success. This roadmap is built using Regression Trees with different types elaborated in the frame of a continuous and close follow-up of students' performance. Correlation Analysis and study of different splitting values of the regression trees were extensively examined to choose the best readable and useful tree/roadmap while keeping a high rate of precision in the estimation process. In this context, two types of trees were developed and elaborately analyzed. The first type identifies, on a yearly-basis, courses and their respective grades which have greater impact on students' cumulative GPA upon graduation. The second type is an iterative tree that re-injects the current students' GPA into the dataset as an additional attribute. This proved to be a powerful tool for decision making and endorsed the results and the computed precision. The trees were trained, cross-validated and tested. Calculation of the precision and Correlation Analysis were achieved based on untrained data to significantly enhance the reliability of the outcome. Also a detailed perspective of this study was presented under Earned Value Analysis as a future scope of work and an added tool for advising and monitoring students' efforts, performance and evolution throughout their engineering curricula.

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