

# A VIRTUAL MACHINES PLACEMENT MODEL FOR ENERGY AWARE CLOUD COMPUTING

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**Keywords:** Cloud, Green Computing, Virtual Machines Placement, Service Level Agreements, Resources Allocation, TCO, Genetic Algorithm, Bin Packing.

**Abstract:** We present an energy aware model for virtual machines placement in cloud computing systems. Our model manages resources of different kind (like CPU and memory) and energy costs that are depending on the kind and amount of deployed resources, incorporating capital expenses (costs of infrastructure and amortizations), operational expenses (electricity costs) and data center energy parameters as PUE, also with possibly different service levels for virtual machines. We show that the resulting model could be solved via a genetic algorithm, and we perform some sensitivity analysis on the model energy parameters.

## 1 INTRODUCTION

Server farms consume a significant portion of the total electricity, with an annual cost of several billions. The explosive growth of the cloud computing paradigm, where the economies of scale are one of the main economic driving forces, suggests that any general strategy to reduce energy consumption should take into account these immense cloud data centers, with the typical usage scenarios characterizing this kind of infrastructures.

In this article we present a model that connects the energy consumption of a cloud architecture to the fees requested by the Cloud Service Provider (CSP) and paid by the Cloud Service Customer (CSC). The model extends some previous works, (Campegiani and LoPresti, 2009) and (Campegiani, 2009), where the problem of virtual machine placement was considered in a most general way. We build on this generality to express and capture a fine-grain accounting of energy consumption.

We made the following key contributions: a) we develop an energy model consumption for cloud architectures that takes into account different kinds of resources consumption; b) we connect this model (that is more oriented towards operational expenses control) to a model that is more focused on capital expenses, resulting in a general model that accounts for global Total Cost of Ownership (TCO) of a cloud computing infrastructure, then performing some initial sensitivity analysis of the energy related parameters.

ters.

This paper is organized as follows: on section 2 we present some energy models relating energy consumption to resources usage, focusing first on single systems and then on cloud systems; on section 3, we present some models for resources allocation in cloud computing architectures; on section 4 we extend one of these model to include energy consumption into it, defining an optimization problem that considers both capital expenses (i.e., hardware procurement, data center setup) and operational expenses (i.e. electricity bill) extending a previously defined heuristic and a genetic algorithm (GA) to deal with this new optimization problem, that happens to be NP-hard; on section 5 we present a specific instance of the problem, based on our own experience of real cloud architectures; on section 6 we present the results, also performing some sensitivity analysis on the energy related parameters of the model. We then briefly conclude on section 7.

## 2 SYSTEM ENERGY MODELS

We briefly present some energy consumption models, both for single and distributed systems. (Singh et al., 2009) has a linear power model based on the hardware performance counters of the processor. Performance counters for the model are chosen considering the actual physical implementation of the processor die, and

the power estimation error is between 0 and 15% for many different benchmarks, including the SPEC 2006 suite. (Economou et al., 2006) models the energy consumption of a server as a linear model of CPU, memory, disk and network utilization. The prediction error is almost below 5% for all the validation benchmarks. (Rivoire et al., 2008) compares different full-system power models, with the key observation that multi-dimensional models (disk and performance counter based) performs better than models based only on CPU usage. (McCulloch et al., 2010) evaluates the effectiveness of some power models. As the complexity of current processors increases, linear models fits poorly, but the article itself notes that the 2-6% error made from linear models is well within the accuracy for tasks like data center server consolidation.

Many models for allocating resources for cloud computing have been developed to be energy aware. Almost all consider only CPU as the resource to be allocated, and the power model is typically linear, with a server idle power around 50-70% of the peak power. Some of these models take into account the critical Power Usage Effectiveness (PUE) parameter, that defines the total amount of electricity required by a data center, which is made up of what's required for cooling, general operations, lost on the transmission lines or by the AC/DC conversion. It's widely known that the lowest PUE is on Google data centers, and is around 1.2 (which means that for each 1 kW required to power on the computing resources, only additional 0.2 kW are required for cooling and everything else), where a typical PUE for a standard data center is around 1.4-1.7, and for an enterprise data center could climb up to 2.0-3.0.

(Cardosa et al., 2009) considers only CPU as the resources to be allocated in a cloud environment, with a fixed cost for each server turned on. With such assumptions, the optimization model tries to reduce the number of servers to be allocated. (Gandhi et al., 2009) relates the CPU power to the frequency, with a fixed minimum to account for idle systems. Even if a cubic curve fits better the empirical data, a linear fit is also deemed as sufficiently accurate. (Urgaonkar et al., 2010) considers a quadratic model that relates the CPU usage to the system power, considering an offset accounting for the idle power of the system around 65% of the peak power. (Mazzucco and Dumas, 2011) considers the power drained of the CPU as a linear function of the load, with an idle power of about 65%. (Srikanthaiah et al., 2008) develops an empirical model that relates the system's overall energy consumption to both CPU and disk utilization, finding that the optimal combination that minimizes the energy for computed transaction is around 70%

CPU and 50% disk utilization. From this on it develops an optimization problem as a multi-dimensional bin-packing problem.

### 3 RESOURCE ALLOCATION FOR CLOUD SYSTEMS

We briefly recall some strategies for resource allocation on cloud computing platforms. At this level, resource allocation is defined as a virtual machine placement problem: considering a set of virtual machines, what is the best way to place them into some powerful physical hosts? This consolidation process aims to achieve operational efficiency, increasing the usage of physical resources: each physical host typically allows for some virtual machines to be placed into it. Even if this could result in contention of physical resources (usually mitigated by the Virtual Machine Monitor), the savings are economically sounding for the CSP, which could offer a competitive price for the use of its resources, usually with an hour granularity for the rent and without upfront costs for the CSP. The CSP has also operational costs, including the electricity bill, that on the contrary are affected by this consolidation process: a physical hosts offering computing power to fewer virtual machines consumes less power than an almost fully loaded hosts. This means that the CSP must carefully balance between this somehow conflicting goals. (Beloglazov and Buyya, 2010) considers only CPU, and models the problem as a bin packing optimization, where the different physical servers use Dynamic Voltage Frequency Scaling (DVFS) to change their CPU frequencies according to the amount of virtual machines allocated over them. (Lu and Gu, 2011) has a multi-dimensional model of resources allocations, and optimizes it using an ant-colony algorithm. (Chang et al., 2010) considers that the available virtual machines from a CSP are fixed in size, so the problem is to map these allowed capacities into a set of virtual machines requirements, avoiding unnecessary over-provisioning and reducing migration overhead. The lack of available dataset forces the authors to compare the different algorithms only in relative terms.

### 4 FORMAL MODEL

We consider the point of view of the CSP: the CSP has submitted a lists of virtual machines requirements (in terms of CPUs, memory, I/O and network guaranteed bandwidth). Some (or all) of these virtual

machines have different and increasing Service Level Agreements (SLAs) for them, where the CSP is willing to pay more for more resources (as an example, more processors for an application server, or more I/O bandwidth for the web server). This list would change, as an example on an hourly basis, so the CSP must react determining both the level of provided services (more or less powerful virtual machines) and where to allocate them, minimizing both the number of systems and the energy consumption.

The model proposed is an extension of the multi-dimensional model presented in (Campegiani and LoPresti, 2009) and (Campegiani, 2009). We extend the model to allow for an objective function (which is the profit for the CSP) that takes into account the energy consumption of the allocated virtual machines. In the original model, the objective function was defined as:

$$P = \sum_{i=1}^G \sum_{j=1}^{g_i} \sum_{m=1}^M x_m^{ij} P^{ia} - C * \sum_{m=1}^M u_m \quad (1)$$

In this model, virtual machines are arranged in tiers, labeled from 1 to  $g$ . A solution of the problem must allocate all machines from each tier, but could choose a different SLA for each single different machine (virtual machines from tier  $i$  have  $g_i$  different SLAs); in the context of this paper we have classes of virtual machines (see table 1) instead of tiers, but the allocation problem is similar and it will be extended to include the energy related costs.

In eq. 1 we have that:

- $P$  is the total profit for the CSP;
- $G$  is the number of different classes of virtual machines;
- $M$  is the number of different physical servers;
- $x_m^{ij}$  is a decision variable that maps if the  $i$ -th virtual machines with the SLA  $j$ -th is allocated on the physical server  $m$ ;
- $u_m$  is an auxiliary variable that maps whether the  $m$  server is used or not;
- $C$  is the (amortized) hourly cost of a single physical server.

The constraints are omitted for brevity: they define the problem as a bin packing problem (we want to minimize the number of servers), that is also multi-dimensional (we deal with different kind of resources) and also multiple-choice (we want one and one only SLA for each virtual machine to be hosted on the physical servers); these constraints are further discussed in (Campegiani and LoPresti, 2009) and (Campegiani, 2009).

To model energy costs, we start defining these three elements:

- $IDLE_{total}$  defined as the idle power of all the  $M$  servers (if a server is not used, it could be easily turned off, reducing the number of physical hosts to  $M - 1$ );
- $CPU_{total}$  defined as the power required to power up all the CPUs required by all the allocated virtual machines;
- $MEM_{total}$  defined as the power required to power up all the memory required by all the allocated virtual machines.

For a virtual machine ( $ij$ ) (i.e., the  $i$ -th virtual machine with  $j$ -th SLA) we explicitly define  $CPU_{ij}$  and  $MEM_{ij}$  as the requested amount of CPUs and memory, respectively. Also we express  $CPU$  and  $MEM$  as the energy costs of one unit of CPU and memory, respectively. These costs are on average all over the infrastructure; our assumption is that each virtual machine increases the consumption of energy proportionally to the amount of demanded virtual resources. Taken all into account, we have that:

$$IDLE_{total} = IDLE * \sum_{m=1}^M u_m \quad (2)$$

$$CPU_{total} = CPU * \sum_{i=1}^G \sum_{j=1}^{g_i} CPU_{ij} * \sum_{m=1}^M x_m^{ij} \quad (3)$$

$$MEM_{total} = MEM * \sum_{i=1}^G \sum_{j=1}^{g_i} MEM_{ij} * \sum_{m=1}^M x_m^{ij} \quad (4)$$

Eq. 3 and 4 are a bit tricky; the last product term is intended to nullify the index  $m$ , as in the context of these equations we are only interested in evaluating if  $CPU_{ij}$  (or  $MEM_{ij}$ ) is allocated or not in the solution, because the energy consumption model is in fact the same for each server. The total energy cost are the sum of  $IDLE_{total}$ ,  $CPU_{total}$  and  $MEM_{total}$  times the PUE times the cost of kWh (we are considering, for simplicity, that each allocation slot lasts for one hour):

$$EnergyCost = PUE * kWh * (IDLE_{total} + CPU_{total} + MEM_{total}) \quad (5)$$

and finally the objective function that we have to maximize is defined as:

$$P' = P - EnergyCost \quad (6)$$

Eq. 6 allows the CSP to consider both capital expenses (the cost  $C$  of each server) and operational expenses (how much energy is required to power up and cool the systems). The parameters of these equations are discussed in the following section, and

they could change accordingly to market price fluctuations. Other operational expenses (like personnel costs) are omitted, but they are usually proportional to other costs.

In order to solve this maximization problem, we consider two energy-aware extensions of previously developed strategies, adapting and extending the heuristic presented in (Campegiani and LoPresti, 2009) and the genetic algorithm (GA), presented in (Campegiani and LoPresti, 2009), with some variations to account for the energy consumption and electricity costs.

## 5 DATASET

To the best of our knowledge, there aren't shared and publicly available datasets characterizing a cloud computing architecture, so we have chosen to analyze our model considering an hypothetical dataset (presented in tables 1, 2 and 3) that draws its origins from authors' on-field experience on real SME (Small and Medium Enterprise) setups.

In table 1 each row captures the (possible) different SLAs, modeled in term of CPU and memory requirements, for a different kind of virtual machine. A significant share of the total are virtualized desktops, with different flavors for different kind of users (i.e. a low level desktop would suffice for some clerical work, whilst an high level desktop is better suited for some engineering work). Other systems are business systems alike as application servers, email systems and so on. Some of these systems have different possible SLAs (as an example, a low level desktop could have 1 CPU and 1 GB of memory or 2 CPU and 2 GB of memory). We have omitted disk resources, as in a cloud computing environment they are usually centralized on a NAS/SAN system, for which we have not been able to find any sufficiently accurate power consumption model. Network resources are also omitted as they account for a very small part of the energy consumption. In table 2 there are the fees that the CSP earns when it allocates one virtual machine of a specific kind with a specific SLA (i.e., the CSP earns 0.25 units of currency when it allocates resources for a low level desktop with 1 CPU and 1 GB of RAM, but earns 0.5 units of currency when allocates resources for a low level desktop with 2 CPUs and 2 GB of RAM). It is important to observe that these fees are monotone non decreasing in each class of virtual machines but not necessarily all over the classes, and any linear relation between fees and the number of CPUs or memory footprint is generally applicable but not always true. We have chosen these

fees considering some typical market prices from big cloud vendors. Table 3 shows some parameters for a medium blade system, comprised of 2 CPUs of 8 cores each, with each CPU absorbing at full power 90 W. The memory (64 GB) absorbs up to 20 W, and with an idle power of 100 W the blade at full usage drains 300 W. PUE is set to 1.5 and 1 kWh costs 0.12 units of currency. In our model we have 32 of these blades to host virtual machines. As we are considering kWh as the unit of energy cost, we are implying that the optimization problem is evaluated on an hourly basis. On each of these allocation slots we could have a change of some of the model parameters, as the price of electricity during off-peak hours is quite lower than during peak hours. We note that this instance of an NP-hard problem as an excess of 11,000 decision variables.

Table 1: Types, numerosity and different SLAs for CPU and memory requirements for the experimental testbed.

Class	Num.	CPUs	Mem. (GB)
Low Desktop	70	1/2	1/2
Medium Desktop	50	2/4	2/4
High Desktop	20	4/4	4/8
App Server	8	2/4/6	4/8/8
DB Server	2	2/4/4	4/8/8
Web Proxy	1	4/8	8/16
DSS	1	4	8
Web Server	10	2/4	2/4
File Server	1	1/1	2/4
Knowledge Management System	1	2/4	2/4
Intranet	1	1/2	1/2
Software Distribution	1	2/4	2/8
Email system	4	2/4	2/4

Table 2: Scenario 1: Fees for each class and SLAs.

Low Desktop	0.25/0.5
Med. Desktop	0.50/0.75
High Desktop	1/1.5
App Server	0.50/1.5/2
DB Server	0.5/1.5/1.5
Web Proxy	1.5/2.0
DSS	1.5
Web Server	0.25/0.5
File Server	0.25/0.5
Know. Mgt.	0.5/0.75
Intranet	0.2/0.5
Sw. Dist.	0.5/1.0
Email system	0.5/0.75

Table 3: Parameters for the server. The hourly cost of a server is the procurement cost amortized over 5 years.

Parameter	Value
Physical Host CPUs	16
Physical Host Memory	64 GB
Server Cost	15,000
Server Hourly Cost	0.34
Peak power	300 W
Idle power	100 W
CPU drained power	180 W
Memory drained power	20 W

## 6 SIMULATION RESULTS

We start observing that the heuristic is heavily dependent on the order of the virtual machines in the problem, as the basic algorithms producing the initial solutions to improve upon (Next Fit, First Fit, Best Fit) are such. These algorithms aren't suited for multiple-choice knapsack optimization problems, so they find a solution considering only the lowest SLA for each virtual machine. Also, these algorithms doesn't offer any possible tuning, and each one of them produces just a single solution to the problem. We then perform a permutation of the virtual machines in the dataset, because these algorithms are all particularly sensitive to the ordering (as their names suggest) while changing it doesn't produce a new problem but only a possible different solution. For the heuristic, we have done 20 random permutations for each initial algorithm, seeing that the resulting differences in the profits are quite narrow. The heuristic is quite fast, with a computation time of about 2 seconds on a low level desktop system.

Figure 1 shows the results when the kWh varies from 0.1 to 1, for PUE=1.5 and C=0.34. If we look back at table 1, we see that the lowest number of CPUs to be allocated is 312, requiring a minimum of 341 GBs of RAM. The allocations on figure 1 results in 383, 399 or 400 CPUs (the number is dependent on the permutations of initial data and the specific basic algorithm), with respectively 494, 506 or 507 GBs of RAM. The combined resources from the 32 servers are of 512 CPUs and 1024 GBs of RAM. So the heuristic is better than a simple First/Next/Best Fit algorithm, as it does find some improvements, but at some point is unable to progress, and it almost finds a plateau.

Figure 2 shows the results for different values of kWh and PUE, for C fixed at 0.34. Clearly, increase in kWh cost or in PUE results in less profits, and the surface is almost regular, confirming our analysis on

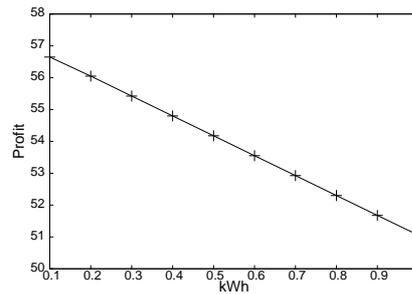


Figure 1: Heuristic results with PUE=1.2 and C=0.34.

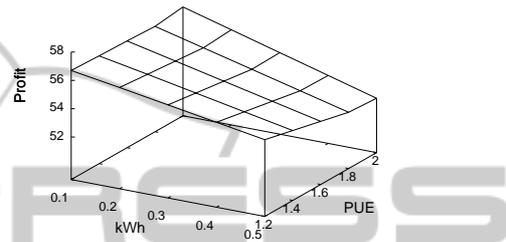


Figure 2: Heuristic results with C=0.34.

the limits of this solution technique.

For the GA, we have considered only 600 generations for each problem instance; a single generation requires about 3 seconds of computation on a medium level desktop system, with a code that is not optimized for speed; as with every genetic algorithm, these computations are massively parallelizable, so we don't consider the time scale as a critical factor. The GA starts with an initial population (i.e. a set of solutions) constructed as for the heuristic, i.e. applying the First Fit, Best Fit and Next Fit algorithms to the associated bin packing optimization problem after some random permutations. Then, this population is fed to the GA, that starts its optimization phase. By looking at the population's average fitness, we see how the optimization is quite steep at the beginning, then it almost reaches a plateau around 300 generations. The average fitness (which is the sum of the objective function in eq. 6 and of an evaluation of the slackness of the proposed allocation) starts at about 120, then increase linearly as more better solutions are found and enter in the populations removing worse ones; finally around 300 generations the local optimum is found, and so the average fitness of the population starts to stabilize.

Figure 3 shows the results for different values of kWh, for a fixed value of PUE set at 1.5. Although the curve isn't smooth, it clearly shows a trend: when the price of kWh increases the profit decreases. This could be explained both by the effect of the fixed part of costs (idle power of servers) and by the reduced economic convenience in allocating resources

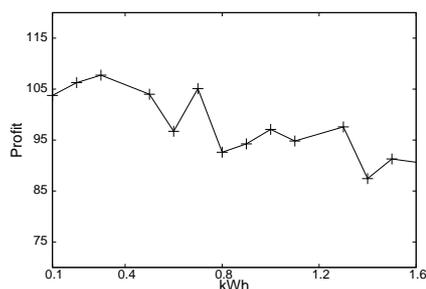


Figure 3: Profits for different values of kWh (PUE=1.5).

for more demanding virtual machines. We see that the GA outperforms the heuristic almost by a factor of 2.

We lack a way to show the solutions to these different instances in a readable way, but by analytically looking at them we see that the allocations change when a model parameter changes. This means, at first, that the GA has successfully been made energy-aware, incorporating all the energy metrics in the search for a local optimum (which could or could not be the global optimum, but either way is a significant improvement over the initial solution). The rough edges that we see could be explained considering the general problem is composed of a linear part (energy costs are almost linear with respect to the amount of resources) but also of a non-linear part (allocation of resources does not allow for fractional allocations), and these two different parts of the model interacts in an way that appears unintuitive. Also, we have defined the fees as an almost linear relation of the resources consumption, and by doing this we have significantly reduced the GA's ability to leverage on prices to find a more economically convenient allocation of resources. We don't see this for the heuristic because it simply fails to aggressively optimize the allocations.

## 7 CONCLUSIONS

We have developed a model that deals with both operational expenses and capital expenses of a cloud computing system. The Cloud Service Provider has the economic incentive to maximize its revenues. To do so, it must take into account all the costs related to the infrastructure provisioning and day to day operations, with a major part of them made by electricity costs. On the other side, the Cloud Service Customer is interested in reducing the fees it has to pay for the cloud deployment of its infrastructure, but also wants the biggest flexibility in choosing the right size of its systems. To successfully manage and compose these two conflicting interests, we have to deploy comprehen-

sive model of resources allocation for a cloud architecture, where we cannot consider only CPU requirements to define both virtual machines properties, allocation schema and energy power consumption. The model should allow for more detailed negotiations between the two parties, where one or the other could offer (or ask) for different level of services, also keeping in mind the capacity of the cloud architecture to accommodate for this and the resulting different operational expenses. The resulting model that we have developed in this paper offers all of this kind of generality, and we have developed approximate algorithms to solve it. Results show that the heuristic fails to find a good solution, while the genetic algorithm performs better. Also, we consider that a GA is particularly fitted to this kind of problems, as genetic algorithms are both easily parallelizable (and cloud computing has vast and scalable amount of resources) and evolutionary (and cloud computing architectures offers the ability to change the current allocation of virtual machines via live migration of them). A first analysis of the model shows that the non-linear part (resources allocation) interacts in complex ways with the linear part (energy model), suggesting that more researches and characterizations of cloud architectures should be investigated to further analyze this problem which is of capital importance for the economics of green and cloud computing.

## ACKNOWLEDGEMENTS

We would like to thank Emiliano Casalicchio for his suggestions and support during the development of this work. Ancitel SpA generously provided a travel grant.

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