

MULTI-STAGE DECISION BASED APPROACH FOR BALANCING BI-OBJECTIVE U-SHAPED ASSEMBLY LINES WITH ALTERNATIVE SUBASSEMBLY GRAPHS

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Abstract: The purpose of this study is to propose an efficient way to balance the u-shaped assembly lines with alternative subassembly graphs while minimizing the number of stations and maximizing the line efficiency. U-shaped assembly line balancing models with alternative subassembly graphs (uALB/sb) under consideration contains two kinds of special issues, i.e. the selection of a suitable subassembly graph among alternatives and the balancing according to the operational precedence constrained among the tasks in the selected subassembly graph. To deal with the multiple objectives and the special issues, first we designed this uALB/sb as a network problem and then proposed a multi-stage decision based genetic algorithm (mdGA). Additionally, in order to improve the performance of mdGA, we use fuzzy logic controller for fine-tuning of genetic parameters. Finally, uALB/sb problem has been solved using the proposed solution approach to highlight the applicability and performance of the proposed solution approach.

1 INTRODUCTION

Traditionally, an assembly line is organized as a serial line, where stations are arranged along a conveyor belt serially. In modern production lines with the implementation of just-in-time (JIT) production principles, u-shaped lines are being more preferred among other line layouts, where serial lines are rather inflexible and have other disadvantages which might be overcome by a u-shaped assembly line. The u-shaped line complements the JIT principle by providing more alternatives. Namely, u-shaped lines provide more alternatives for assigning tasks to station (operators) than comparable serial lines because operators can handle not only adjacent tasks, but also tasks on both side of the u-shaped line. Further as more advantages, u-shaped line is crowded with work places and space is needed few. Operators work together in u-shaped line and it can make communication easier and trust each other.

In u-shaped lines, the stations are arranged along a rather narrow U, where both legs are closely together, and the entrance and the exit of the line are on the same position. Stations in between those legs may work at two segments of the line facing each

other simultaneously. This means that the workpieces can revisit the same station at a later stage in the production process without changing the flow direction of the line. This can result in better balance of station loads due to larger number of task-station combinations where operators can handle adjacent tasks as well as tasks on both sides of the u-shaped line. Another advantage of u-shaped lines is that they simultaneously maximize both the use of operational workspace and operator communication and trust, such that machines take up less space and workers are closer to one another. Besides improvements with respect to job enrichment and enlargement strategies, a u-shaped line design might result in a better balance of station loads due to the larger number of task-station combinations (Miltenburg & Wijngaard, 1994; Monden, 1998; Scholl & Klein, 1999). Usually, in a u-shaped assembly line balancing model, researchers deal with the allocation of the tasks among stations so that the precedence relations are not violated and given objective functions is optimized. Additionally at the same time, there mostly exists alternative ways of doing these tasks, e.g., there may be two alternative ways to perform a cable assembly task. This kind of disjunctive assembly line balancing

problem has been receiving attention of researchers since it has been first identified by Capacho & Pastor (2005). However, all of the researchers considered only single model with serial lines such as Capacho & Pastor (2006, 2008), and Scholl et al. (2009). In this study, we considered U-shaped assembly line balancing models with alternative subassembly graphs (uALB/sb). The problem under consideration contains two kinds of special issues, i.e. the selection of a suitable subassembly graph among alternatives and the balancing according to the operational precedence constrained among the tasks in the selected subassembly graph.

Traditionally to solve these kinds of u-ALB/sb problems, researchers first tries to identify the best alternative in the form of one precedence diagram and finally balance this problem using only this precedence diagram. However, most of the time, researchers are giving the utmost importance to the balancing rather than the selection of alternatives. Particularly, the disjunctive relationship between these two problems is often neglected and they are solved separately in a hierarchical manner. Nevertheless, by using the traditional hierarchical approach, the u-ALB/sb problem can lose its integrity since the researchers are eliminating some features of the whole problem before balancing procedure.

To maintain the integrity of the u-ALB/sb problem, in this study, an integrated monolithic approach, which considers selection together with balancing, is proposed. Particularly, a multi-stage decision based genetic algorithm (mdGA) approach is constructed in order to consider it as an exclusive problem while using a specific decoding procedure. The rest of the paper is organized as follows; In Section 2, the background information on the main features of u-ALB/sb are introduced. In Section 3, overall methodology of the proposed mdGA approach and its general features are discussed in detail. In Section 4, in order to evaluate the performance, the proposed mdGA approach is demonstrated on some problem instances, and the experimental results are analyzed. Finally, the concluding remarks and future research directions are given in the last section.

2 BALANCING U-SHAPED ASSEMBLY LINES WITH ALTERNATIVE SUBASSEMBLY GRAPHS

The assembly line balancing problems are usually

represented with precedence relations, which can be transformed into a more visual form as precedence diagrams. Precedence diagrams only model conjunctions (AND relations), not disjunctions (OR relations). However, in real-life, we usually come across assembly line balancing problems with alternative subassembly graphs. In this section, we considered uALB models with alternative subassembly graphs (uALB/sb). The uALB is a generalization of assembly line balancing problems and hence belongs to the class of NP-hard problems. Hence its decision version uALB/sb is NP-complete. As a disjunctive network optimization problem, in uALB, each subassembly graph represents subassembly, which is the alternative way for performing a subset of task or tasks and each node represents the tasks of a subassembly. The uALB/sb model consists of $i=0,1,\dots, I+1$ subassemblies and the precedence relations between each subassembly are taken into consideration according to the network structure. In each subassembly i , there are $k=0,1,\dots, K+1$ alternative ways to perform that subassembly. Fig.1 illustrates the conceptual network for assembly line balancing problem with alternative subassembly graphs.

In each alternative subassembly k , there are $j=0,1,\dots, J+1$ tasks with precedence relations, where p_{ikj} denotes the variable processing time of task j in alternative k of subassembly i . Particularly, the detailed concepts of subassembly graphs and task are given in Fig.2. In this model, the activities are interrelated by two kinds of constraints; the precedence constraints which are known from traditional uALB, force a task no to be started before all its predecessors have been finished and the new constraints force a subassembly no to be started before all its predecessors have been finished.

Specifically, the uALB/sb model considered in this study can be defined by the following assumptions:

- A1. The problem consists of multiple subassemblies.
- A2. There exists alternative ways to perform each subassembly.
- A3. Each subassembly alternative contains number of tasks with known processing time
- A4. The assembly line is used to assemble one homogeneous product in mass quantities.
- A5. The line is U-shaped, paced line with fixed cycle time and there are no feeder lines.

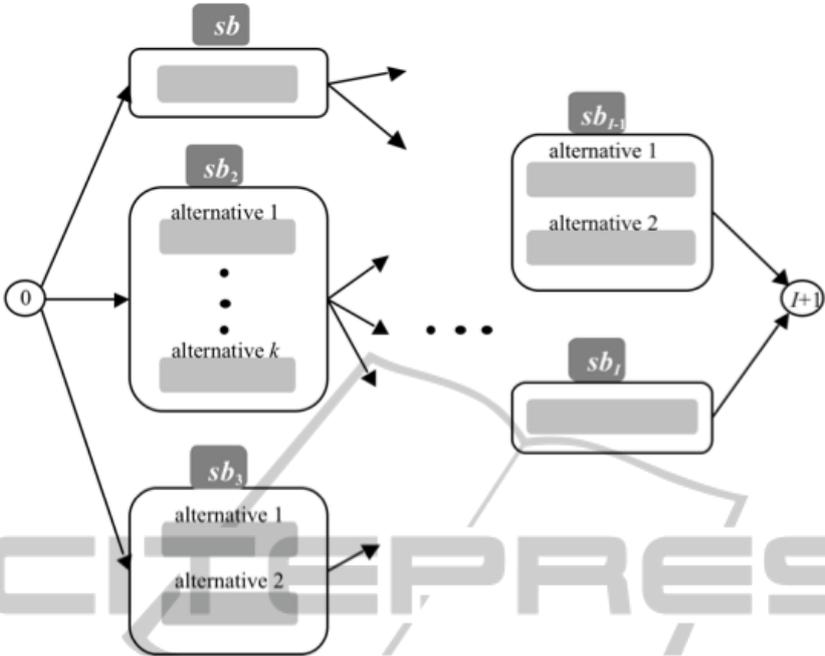


Figure 1: General concept of an assembly line balancing problem with alternative subgraphs.

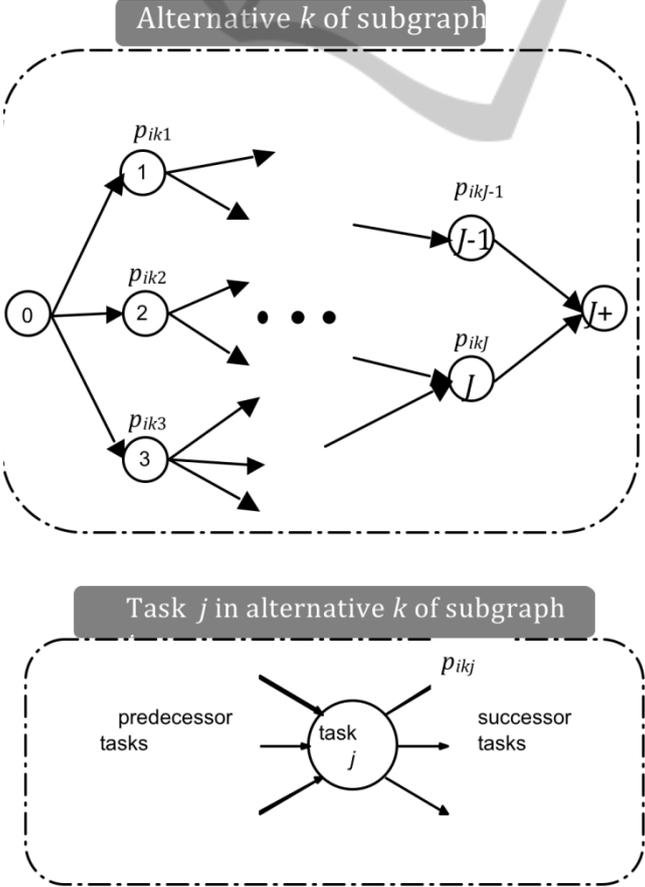


Figure 2: Subgraph and task concepts.

- A6. The processing times of tasks are deterministic.
- A7. All stations are equally equipped with respect to machines and workers.
- A8. A task cannot be split among two or more stations.
- A9. There are no assignment restrictions besides the precedence constraints.
- A10. All stations can process any one of the tasks and all have the same associated costs.
- A11. The processing time of a task is independent of the station and furthermore, they are not sequence dependent.
- A12. The problem model allows for the forward and backward assignment of tasks to stations; for example, the first and last tasks of an assembly can be placed in the same station on a u-shaped line, but not on a serial line system.
- A13. The objectives are to minimize the number of stations and maximize the line efficiency.

For network complexity of alternative k of subassembly graph i , the following normalized complexity measure, C_{ik} over $[0,1]$ is adapted from Browning & Yassine (2010);

$$C_{ik} = \frac{4A'_{\max} - 4N_{\max} + 4}{(N_{\max} - 2)^2} \quad (1)$$

where A'_{\max} represents the number of precedence relationships (non-redundant arcs), and N_{\max} represents the number of nodes. The network complexity of alternatives for subassembly graph i is defined by $C_i = (C_{i1}, C_{i2}, C_{i3}, \dots, C_{iK_i})$. In this study, instead of using a composite network complexity such as using subassembly graph complexity averages, a vector of subassembly graph complexity measures, $C = (C_1, C_2, C_3, \dots, C_I)$ will be used as the disjunctive network complexity measure.

3 MULTI-STAGE DECISION BASED GA APPROACH

The foundation of mdGA lies in the multi-stage decision making problem (mdmP) described as one process, which can be divided into several stages. At each stage, there exist a set of similar decision to be

made that is called state. In the past decade this problem has captured the interest of researchers, which resulted in formation of various solution approaches such as mdGA. In the original mdGA approach, first the problem is constructed; second this problem is divided into several stages; third corresponding states are assigned to these stages; and finally an optimum state for each stage is found using genetic search procedure. For more information about the original mdGA, readers may refer to Osman et al. (2005), Gen & Zhang (2006) and Gen et al. (2008). In this research, a GA approach will be constructed in order to solve the disjunctive network problems efficiently. Since there exist two sub-problems, i.e., selection and balancing, mdGA is going to be developed to reflect the sub-problems together in the exclusive problem.

However, since the u-ALB/sb problems consist of two sub-problems, a new kind of mdGA has been proposed. In the proposed mdGA approach, first the selection sub-problem is divided into the stages; second the corresponding states are assigned to these stages; third the scheduling sub-problem is constructed states; and finally the newly constructed scheduling sub-problems is solved by using genetic search procedure. The overall procedure of the proposed mdGA approach is planned as follows:

overall procedure: mdGA for u-ALB/sb problems

input: problem data, GA parameters

output: the best balance

begin

$t \leftarrow 0$;

initialize $P(t)$ by *multistage-based and priority-based encoding routines*;

evaluate $P(t)$ by *priority-based decoding routine*;

while (**not** terminating condition) **do**

create $C(t)$ from $P(t)$ by *crossover routine*;

create $C(t)$ from $P(t)$ by *mutation routines*;

evaluate $C(t)$ by *priority-based decoding routine*;

if $t > u$ **then**

regulate adaptive GA parameters p_C and p_M by using *fuzzy logic parameter tuning routine*;

select $P(t+1)$ from $P(t)$ and $C(t)$ by *selection routine*;

$t \leftarrow t + 1$;

end

output the best balance;

end

* $P(t)$ and $C(t)$ represents parent and offspring in current generation t , respectively.

* u represents the number of generations needed to warm-up the genetic search procedure.

3.1 Genetic Representation and Initialization

In the proposed mdGA, an individual, which is composed of two chromosomes, i.e. multistage-based chromosome for representation of subassembly graph alternatives and priority-based chromosome for representing node sequences, is constructed (see Figure 3). The multistage-based chromosome is a fixed-length direct chromosome representation. However, the priority-based chromosome is variable-length indirect chromosome representation. To develop a genetic representation, there are three main phases, i.e. creating stages, creating a feasible disjunctive network, designing the schedule.

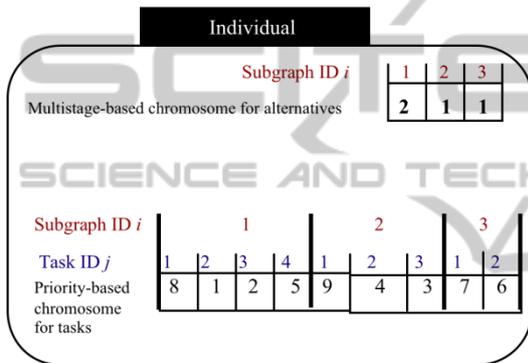


Figure 3: Genetic representation for a u-ALB/sb problem.

Phase 1: Creating stages

step 1.1: Generate a random number to each alternative subassembly graph using multistage-based encoding procedure

In order to create multistage-based chromosome, permutation encoding given in Figure 4 is used. For each subassembly graph, a random integer number between $[1, K_i)$ is generated. In the multistage-based chromosome, the position is used to denote a subassembly graph ID and the content of the gene $v_1()$ is used to denote the selected alternative way to perform this subassembly graph K_i (see Figure 3).

```

procedure: permutation encoding routine
input: number of subgraphs  $I$ , number of alternatives for subgraphs  $i, K_i$ 
output: multistage-based chromosome  $v_1()$ 
begin
  for  $i=1$  to  $I$ 
     $v_1(i) \leftarrow 0$ ;
  for  $i=1$  to  $I$ 
     $v_1(i) \leftarrow \text{random}[1, K_i]$ ;
  output multistage-based chromosome  $v_1()$ 
end

```

Figure 4: Permutation encoding procedure for creating a multistage-based chromosome.

Phase 2: Creating a feasible precedence network

step 2.1: Generate a random priority to every node in selected alternative subassembly graph using priority-based encoding procedure

Using the multistage-based chromosome, a network model can be constructed using priority-based chromosome. In order to create a priority-based chromosome, an indirect representation scheme called priority-based encoding method is used. Figure 5 presents the procedure for the priority-based encoding procedure where $v_2()$ is a priority value and m is number of total nodes in all subassembly graphs. In the priority-based chromosome, the position is used to denote a node ID and the priority value $v_2()$ is used to denote the priority associated with the node. The value of a gene is an integer exclusively within $[1, m)$. The larger the integer value is the higher the priority becomes (see Figure 3).

```

procedure: priority-based encoding routine
input: number of subgraphs  $I$ , multistage-based chromosome  $v_1()$ 
output: priority-based chromosome  $v_2()$ 
begin
  for  $i=1$  to  $I$ 
    for  $j=1$  to  $v_1(i)$ 
       $v_2(j) \leftarrow 0$ ;
       $\rho \leftarrow 1$ ;
      while ( $\rho \leq v_1(i)$ ) do
         $j \leftarrow \text{random}(1, v_1(i))$ ;
        if ( $v_2(j) = 0$ ) then
           $v_2(j) \leftarrow \rho$ ;
           $\rho \leftarrow \rho + 1$ ;
      end
    end
  output priority-based chromosome  $v_2()$ 
end

```

Figure 5: Priority-based encoding procedure for creating a priority-based chromosome.

Phase 3: Designing the balance

step 3.1: Decode a feasible node sequence that satisfies the precedence constraints using priority-based decoding procedure.

In order to decode the priority-based chromosome generated by encoding procedure in step 2.1, a special two-step priority-based decoding procedure is used for accommodating the characteristics of uALB/sb. In this procedure, first the priorities of each task are used to create a feasible task sequence that satisfies the precedence constraints in the uALB/sb model. Later, using the feasible task sequence found in the first step, tasks are assigned to stations. Figure 6 presents the two-step priority-based decoding procedure.

step 3.2: Draw a Gantt chart for this balance

Using the balance found in step 3.1, the Gantt chart for the balance can be easily designed.

3.2 Genetic Operators

Since an individual is composed of two parts and also the priority-based chromosome is variable in length, the usage of genetic operators, i.e. crossover, mutation and selection, becomes more complicated. The objective of applying crossover operator, which generates new solutions (offsprings) using parts contained in different solutions of the current population (parents), is to guide the search toward promising regions of the feasible domain while maintaining some level of diversity in the population. Crossover operator was used only for the priority-based chromosome representation. The position-based crossover (PMX) was adopted (Syswerda, 1991). Essentially, it takes some tasks from one parent at random and fills vacuum position with tasks from the other parent by a left-to-right scan. Since priority-based chromosome is variable in length, the parents with different lengths are used in PMX operation, which may result in offsprings with different lengths.

As a mutation operator, for multistage-based chromosome random mutation is used and after that for priority-based chromosome swap mutation (SM) is used (Syswerda, 1991). In random mutation, a random gene is randomly selected and the content of this gene is randomly generated between the integer values of $[1, K_i]$ are used. In swap mutation two positions are selected at random and their contents are swapped is used.

To eliminate the drawback of classic selection operators, diversity preserving selection (DPS) operator is used in this research (Bosman & Thierens, 2003).

Like elitist selection, DPS preserves the best chromosome in the next generation and overcome the stochastic errors of sampling. With the elitist selection, if the best individual in the current generation is not reproduced into the new generation, one individual is randomly removed from the new population and the best one is added to the population. However, DPS dynamically adjust itself to be more elitist when population's diversity is high and less aggressive (less elitist) when the population includes solutions that are increasingly similar. In DPS procedure, the population diversity measure is represented by D where $D \in [0,1]$ increases with the diversity of the population.

procedure 1: priority-based decoding routine (step 1: creating task sequence)

input: number of tasks n , chromosome $v(j)$

output: a task sequence T_S

begin

$\bar{s} \leftarrow \emptyset, T_S \leftarrow \emptyset;$

$n \leftarrow 0, j \leftarrow 0;$

while ($j \leq n$) **do**

$\bar{s} \leftarrow \text{Suc}(j);$

$\bar{s} \leftarrow \text{Prec}(j);$

$j^* \leftarrow \arg \max \{v(j) | j \in \bar{s}\};$

$\bar{s} \leftarrow \bar{s} \setminus j^*;$

$T_S \leftarrow T_S \cup j^*;$

$j \leftarrow j^*;$

end

output a task sequence T_S

end

procedure 2: priority-based decoding routine (step 2: task to station assignment)

input: processing time t_j , chromosome $v(j)$, the task sequence T_S

output: number of stations m , efficiency E , schedule S

begin

$t_j \leftarrow 0, j=1, 2, \dots, n;$

$S_i \leftarrow 0, i=1, 2, \dots, m;$

$j \leftarrow 0, m \leftarrow 0, E \leftarrow 0, V \leftarrow 0, EV \leftarrow 0;$

for $j = 1$ to n

while ($t(S_j) \leq c_T$) **do**

$T_{S_j} \leftarrow T_S;$

$S_i \leftarrow T_{S_j};$

$t(S_i) \leftarrow t(S_i) + t_j;$

$T_S \leftarrow T_S \setminus T_{S_j};$

$m \leftarrow 1++;$

$t_{\text{SUM}} \leftarrow T_{S_j};$

$E \leftarrow t_{\text{SUM}} / (m c_T);$

$u_T \leftarrow u_i ++;$

$\bar{u} \leftarrow u_T / m;$

end

end

output number of stations m , efficiency E ,

end

Figure 6: Two-step priority-based decoding procedure for designing a balance.

3.3 Parameter Tuning Strategy by FLC

In this research, a fuzzy logic controller (FLC) concept of Wang et al. (1997) is used to regulate the

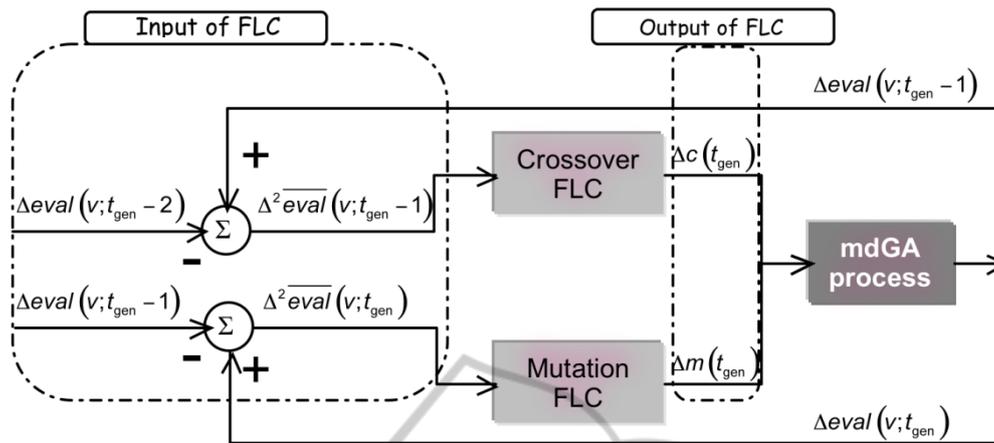


Figure 7: Coordinated strategies of FLC and mdGA.

parameter values as a auto-tuning strategy in the proposed mdGA. At the beginning of the genetic search procedure of mdGA, the parameter values for crossover rate (p_C) and mutation rate (p_M) are kept constant for a predetermined period of time (u), which represents the number of generations needed to warm-up the genetic search procedure before starting the FLC. After u^{th} generation, FLC auto-tuning strategy recalculates the values of p_C and p_M while considering the changes in the average fitness value in each generation. Thus by fine-tuning of these parameters, much computational time can be saved and the search ability of mdGA in finding global optimum can be improved more than the conventional mdGA without FLC. The main idea of the concept used in this research consists of two FLCs; crossover FLC and mutation FLC that are implemented independently to adaptively regulate the crossover ratio and mutation ratio during the genetic search process (see Figure 7). The inputs of mutation fuzzy controller are the same as the crossover fuzzy controller, and the output of which is changed by the mutation ratio.

4 COMPUTATIONAL EXPERIMENTS

To investigate the performance of the proposed mdGA approach for solving uALB/sb, computational experiments have been performed on a set of problems, which consist of different number of subassemblies, different disjunctive network complexity measures and different cycle times. Since there exists no benchmark problem set in literature, five problems were randomly constructed to form the uALB/sb instances. The first two

problems have subassemblies with low network complexity ($C_{ik} < 0.6$) and the following three problems have subassemblies with high network complexity ($C_{ik} \geq 0.6$). Table 1 summarizes the information about problem instances.

In the computational experiments, the proposed mdGA is compared to two traditional hierarchic methods, which solve the uALB/sb in two steps. The first step includes the selection of alternative subassemblies with minimum number tasks in order to form one precedence diagram and the second step includes solving this precedence diagram. After the selection of subassemblies, to solve the u-shaped assembly line balancing problem, the first method uses IP and the second method uses priority-based GA proposed by Gen et al. (2008).

For the computational experiments, the following values for mdGA parameters are used: Population size: $popSize = 1000$, Crossover probability: $p_C = 0.75$, Mutation probability: $p_M = 0.2$, and Terminating condition: Maximum number of generations: $maxGen = 100$ or Convergence limit: $conLim = 40$. In mdGA, the values of p_M and p_C are adaptively regulated by FLC during overall procedure. For each problem set, the traditional hierarchic method with priority-based GA and the proposed mdGA approaches were run 10 times with parameters mentioned and compared with the traditional hierarchic method with IP solved in Lindo. The results are summarized in Table 2.

Based on the computational experiments while considering minimization of the number of stations and maximization of the line efficiency as objectives, it can be clearly seen that for uALB/sb with shorter cycle times (i.e. 14 and 33), lower number of subassemblies (i.e. 2 and 3) and lower disjunctive complexities, all methods performed

Table 1: Summary of uALB/sb instances.

Prob. no	Number of subassembly	Cycle time	Disjunctive network complexity (C)
1	2	14	{(0.34,0.49)}
2	3	33	{(0.41,0.23),(0.56,0.49,0.35),(0.27,0.40)}
3	5	79	{(0.66,0.76),(0.82,0.75,0.68),(0.93,0.88,0.65),(0.75,0.86), (0.82,0.75,0.68,0.65)}
4	7	92	{(0.91,0.68),(0.72,0.89),(0.66,0.81),(0.82,0.61),(0.60,0.84,0.60),(0.71,0.60),(0.62,0.63)}
5	9	176	{(0.70,0.72), (0.65,0.77),(0.60,0.61),(0.73,0.92,0.77),(0.60,0.60),(0.88,0.61),(0.81,0.82),(0.88,0.92),(0.73,0.77)}

Table 2: Computational results of uALB/sb.

Prob. No	Number of subassembly	Cycle time	Complexity	Traditional hierarchic methods				Proposed method	
				with IP		with priority-based GA		mdGA	
				number of stations	line efficiency	number of stations	line efficiency	number of stations	line efficiency
1	2	14	Low	8	100%	8	100%	8	100%
2	3	33	Low	10	97.2%	10	97.2%	10	97.2%
3	5	79	High	7	96.4%	7	96.4%	6	98.3%
4	7	92	High	6	87.7%	7	85.1%	5	100%
5	9	176	High	21	88.1%	22	76.2%	19	93.4%

similarly. However, in other problem instances with longer cycle times and higher disjunctive complexities, the proposed mdGA methods outperformed the other traditional hierarchic methods for both objectives. Particularly, this is due to the increased number of possible task-workstation assignments during mdGA while considering alternative subassemblies simultaneously.

Overall, the proposed mdGA performs well for all uALB/sb instances.

5 CONCLUSIONS

In a highly competitive environment with rapidly changing requirements, researchers have to consider several alternatives when dealing with assembly line balancing problems. In these problems, it is expected that alternative networks under a single disjunctive network umbrella will deliver benefit, which is not achievable, if the alternative networks were solved independently. Particularly, most of the time, researchers are giving the utmost importance to the balancing rather than the selection of the alternatives. However, the relationship between these two problems is often neglected and they are solved separately in a hierarchical manner, which usually result in the loss of network integrity. Therefore, to maintain the integrity, there is a need for a solution method that can effectively handle and solve this kind of problems.

For this purpose, the contribution of this research is threefold. First, the u-ALB/sb problem, which consists of alternative selection and balancing sub-problems, were defined in detail. Second as a contribution to solution method, a new mdGA approach was proposed to handle and solve this kind of problems, while maintaining the integrity. In the proposed mdGA, first, two chromosomes, i.e. fixed-length multistage-based chromosome for representation of subassembly graph alternatives and variable-length priority-based chromosome for representing task sequence, were introduced in order to form an individual that is representing a problem solution. Following, advanced genetic operators adapted to the specific individual structure and the characteristics of the u-ALB/sb problem were used. Besides, FLC based auto-tuning strategy was used to regulate the genetic parameters during the genetic search process of mdGA. Third as a contribution to problem area, in order to accommodate the characteristics of uALB/sb, a new two-step priority-based decoding procedure was used. Furthermore, in order to illustrate the performance of the proposed mdGA approach, a set of problems for u-ALB/sb were generated and the results found by the proposed mdGA approach were compared with two traditional hierarchic methods. From the solution performance perspective, computational experiments showed that the proposed mdGA approach is effective in finding good solutions for both problem types, especially for problems with high disjunctive

network complexities, while maintaining structural integrity of the problem by considering alternative subassembly graphs simultaneously. There are various future research directions related to this research. In order to illustrate performance of the proposed solution approach, new, adapted and adopted solution approaches can be constructed to solve the u-ALB/sb problems and their performances can be compared with the proposed mdGA. Furthermore, the proposed approach can be applied to real world problems that are usually complex and large.

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