

RANDOM BUILDING BLOCK OPERATOR FOR GENETIC ALGORITHMS

Ghodrat Moghadampour

VAMK, University of Applied Sciences, Technology and Communication, Wolffintie 30, 65200, Vaasa, Finland

Keywords: Evolutionary algorithm, Genetic algorithm, Function optimization, Mutation operator, Multipoint mutation operator, Random building block operator, Fitness evaluation and analysis.

Abstract: Genetic algorithms work on randomly generated populations, which are refined toward the desired optima. The refinement process is carried out mainly by genetic operators. Most typical genetic operators are crossover and mutation. However, experience has proved that these operators in their classical form are not capable of refining the population efficiently enough. Moreover, due to lack of sufficient variation in the population, the genetic algorithm might stagnate at local optimum points. In this work a new dynamic mutation operator with variable mutation rate is proposed. This operator does not require any pre-fixed parameter. It dynamically takes into account the size (number of bits) of the individual during runtime and replaces a randomly selected section of the individual by a randomly generated bit string of the same size. All the bits of the randomly generated string are not necessarily different from bits of the selected section from the individual. Experimentation with 17 test functions, 34 test cases and 1020 test runs proved the superiority of the proposed dynamic mutation operator over single-point mutation operator with 1%, 5% and 8% mutation rates and the multipoint mutation operator with 5%, 8% and 15% mutation rates.

1 INTRODUCTION

Evolutionary algorithms are heuristic algorithms, which imitate the natural evolutionary process and try to build better solutions by gradually improving present solution candidates. It is generally accepted that any evolutionary algorithm must have five basic components: 1) a genetic representation of a number of solutions to the problem, 2) a way to create an initial population of solutions, 3) an evaluation function for rating solutions in terms of their “fitness”, 4) “genetic” operators that alter the genetic composition of offspring during reproduction, 5) values for the parameters, e.g. population size, probabilities of applying genetic operators (Michalewicz, 1996).

A genetic algorithm is an evolutionary algorithm, which starts the solution process by randomly generating the initial population and then refining the present solutions through natural like operators, like crossover and mutation. The behaviour of the genetic algorithm can be adjusted by parameters, like the size of the initial population, the number of times genetic operators are applied and how these genetic operators are implemented. Deciding on the

best possible parameter values over the genetic run is a challenging task, which has made researchers busy with developing even better and efficient techniques than the existing ones.

2 GENETIC ALGORITHM

Most often genetic algorithms (GAs) have at least the following elements in common: populations of chromosomes, selection according to fitness, crossover to produce new offspring, and random mutation of new offspring.

A simple GA works as follows: 1) A population of n l -bit strings (chromosomes) is randomly generated, 2) the fitness $f(x)$ of each chromosome x in the population is calculated, 3) chromosomes are selected to go through crossover and mutation operators with p_c and p_m probabilities respectively, 4) the old population is replaced by the new one, 5) the process is continued until the termination conditions are met.

However, more sophisticated genetic algorithms typically include other intelligent operators, which

apply to the specific problem. In addition, the whole algorithm is normally implemented in a novel way with user-defined features while for instance measuring and controlling parameters, which affect the behaviour of the algorithm.

2.1 Genetic Operators

For any evolutionary computation technique, the representation of an individual in the population and the set of operators used to alter its genetic code constitute probably the two most important components of the system. Therefore, an appropriate representation (encoding) of problem variables must be chosen along with the appropriate evolutionary computation operators. The reverse is also true; operators must match the representation. Data might be represented in different formats: binary strings, real-valued vectors, permutations, finite-state machines, parse trees and so on. Decision on what genetic operators to use greatly depends on the encoding strategy of the GA. For each representation, several operators might be employed (Michalewicz, 2000). The most commonly used genetic operators are crossover and mutation. These operators are implemented in different ways for binary and real-valued representations. In the following, these operators are described in more details.

2.1.1 Crossover

Crossover is the main distinguishing feature of a GA. The simplest form of crossover is single-point: a single crossover position is chosen randomly and the parts of the two parents after the crossover position are exchanged to form two new individuals (offspring). The idea is to recombine building blocks (schemas) on different strings. However, single-point crossover has some shortcomings. For instance, segments exchanged in the single-point crossover always contain the endpoints of the strings; it treats endpoints preferentially, and cannot combine all possible schemas. For example, it cannot combine instances of $11^{*****}1$ and $****11^{**}$ to form an instance of $11^{***}11^{*}$ (Mitchell, 1998). Moreover, the single-point crossover suffers from “positional bias” (Mitchell, 1998): the location of the bits in the chromosome determines the schemas that can be created or destroyed by crossover.

Consequently, schemas with long defining lengths are likely to be destroyed under single-point crossover. The assumption in single-point crossover

is that short, low-order schemas are the functional building blocks of strings, but the problem is that the optimal ordering of bits is not known in advance (Mitchell, 1998). Moreover, there may not be any way to put all functionally related bits close together on a string, since some particular bits might be crucial in more than one schema. This might happen if for instance in one schema the bit value of a locus is 0 and in the other schema the bit value of the same locus is 1. Furthermore, the tendency of single-point crossover to keep short schemas intact can lead to the preservation of so-called hitchhiker bits. These are bits that are not part of a desired schema, but by being close on the string, hitchhike along with the reproduced beneficial schema (Mitchell, 1998).

In two-point crossover, two positions are chosen at random and the segments between them are exchanged. Two-point crossover reduces positional bias and endpoint effect, it is less likely to disrupt schemas with large defining lengths, and it can combine more schemas than single-point crossover (Mitchell, 1998). Two-point crossover has also its own shortcomings; it cannot combine all schemas.

Multipoint-crossover has also been implemented, e.g. in one method, the number of crossover points for each parent is chosen from a Poisson distribution whose mean is a function of the length of the chromosome. Another method of implementing multipoint-crossover is the “parameterized uniform crossover” in which each bit is exchanged with probability p , typically $0.5 \leq p \leq 0.8$ (Mitchell, 1998). In parameterized uniform crossover, any schemas contained at different positions in the parents can potentially be recombined in the offspring; there is no positional bias. This implies that uniform crossover can be highly disruptive of any schema and may prevent coadapted alleles from ever forming in the population (Mitchell, 1998).

There has been some successful experimentation with a crossover method, which adapts the distribution of its crossover points by the same process of survival of the fittest and recombination (Michalewicz, 1996). This was done by inserting into the string representation special marks, which keep track of the sites in the string where crossover occurred. The hope was that if a particular site produces poor offspring, the site dies off and vice versa.

The one-point and uniform crossover methods have been combined by some researchers through extending a chromosomal representation by an additional bit. There has also been some experimentation with other crossovers: segmented crossover and shuffle crossover (Eshelman et al.,

1991; Michalewicz, 1996). Segmented crossover, a variant of the multipoint, allows the number of crossover points to vary. The fixed number of crossover points and segments (obtained after dividing a chromosome into pieces on crossover points) are replaced by a segment switch rate, which specifies the probability that a segment will end at any point in the string. The shuffle crossover is an auxiliary mechanism, which is independent of the number of the crossover points. It 1) randomly shuffles the bit positions of the two strings in tandem, 2) exchanges segments between crossover points, and 3) unshuffles the string (Michalewicz, 1996). In gene pool recombination, genes are randomly picked from the gene pool defined by the selected parents.

There is no definite guidance on when to use which variant of crossover. The success or failure of a particular crossover operator depends on the particular fitness function, encoding, and other details of GA. Actually, it is a very important open problem to fully understand interactions between particular fitness function, encoding, crossover and other details of a GA. Commonly, either two-point crossover or parameterized uniform crossover has been used with the probability of occurrence $p \approx 0.7-0.8$ (Mitchell, 1998).

Generally, it is assumed that crossover is able to recombine highly fit schemas. However, there is even some doubt on the usefulness of crossover, e.g. in schema analysis of GA, crossover might be considered as a “macro-mutation” operator that simply allows for large jumps in the search space (Mitchell, 1998).

2.1.2 Mutation

The common mutation operator used in canonical genetic algorithms to manipulate binary strings $a = (a_1, \dots, a_\ell) \in I = \{0,1\}^\ell$ of fixed length ℓ was originally introduced by Holland (Holland, 1975) for general finite individual spaces $I = A_1 \times \dots \times A_\ell$, where $A_i = \{\alpha_{i_1}, \dots, \alpha_{i_{k_i}}\}$. By this definition, the mutation operator proceeds by:

- i. determining the position i_1, \dots, i_h ($i_j \in \{1, \dots, \ell\}$) to undergo mutation by a uniform random choice, where each position has the same small probability p_m of undergoing mutation, independently of what happens at other position

- ii. forming the new vector

$$a'_i = (a_1, \dots, a_{i_1-1}, a'_{i_1}, a_{i_1+1}, \dots, a_{i_h-1}, a'_{i_h}, a_{i_h+1}, \dots, a_\ell),$$

where $a'_i \in A_i$ is drawn uniformly at random from the set of admissible values at position i .

The original value a_i at a position undergoing mutation is not excluded from the random choice of $a'_i \in A_i$. This implies that although the position is chosen for mutation, the corresponding value might not change at all (Bäck et al., 2000).

Mutation rate is usually very small, like 0.001 (Mitchell, 1998). A good starting point for the bit-flip mutation operation in binary encoding is $P_m = 1/L$, where L is the length of the chromosome (Mühlenbein, 1992). Since $1/L$ corresponds to flipping one bit per genome on average, it is used as a lower bound for mutation rate. A mutation rate of range $P_m \in [0.005, 0.01]$ is recommended for binary encoding (Ursem, 2003). For real-value encoding the mutation rate is usually $P_m \in [0.6, 0.9]$ and the crossover rate is $P_m \in [0.7, 1.0]$ (Ursem, 2003).

Crossover is commonly viewed as the major instrument of variation and innovation in GAs, with mutation, playing a background role, insuring the population against permanent fixation at any particular locus (Mitchell, 1998; Bäck et al., 2000). Mutation and crossover have the same ability for “disruption” of existing schemas, but crossover is a more robust constructor of new schemas (Spears, 1993; Mitchell, 1998). The power of mutation is claimed to be underestimated in traditional GA, since experimentation has shown that in many cases a hill-climbing strategy works better than a GA with crossover (Mühlenbein, 1992; Mitchell, 1998).

While recombination involves more than one parent, mutation generally refers to the creation of a new solution from one and only one parent. Given a real-valued representation where each element in a population is an n -dimensional vector $x \in \mathbb{R}^n$, there are many methods for creating new offspring using mutation. The general form of mutation can be written as:

$$x' = m(x) \quad (1)$$

where x is the parent vector, m is the mutation function and x' is the resulting offspring vector. The more common form of mutation generated offspring vector:

$$x' = x + M \quad (2)$$

where the mutation M is a random variable. M has often zero mean such that

$$E(x') = x \quad (3)$$

the expected difference between the real values of a parent and its offspring is zero (Bäck et al., 2000).

Some forms of evolutionary algorithms apply mutation operators to a population of strings without using recombination, while other algorithms may combine the use of mutation with recombination. Any form of mutation applied to a permutation must yield a string, which also presents a permutation. Most mutation operators for permutations are related to operators, which have also been used in neighbourhood local search strategies (Whitley, 2000). Some other variations of the mutation operator for more specific problems have been introduced in (Bäck et al., 2000). Some new methods and techniques for applying crossover and mutation operators have also been presented in (Moghadampour, 2006).

It is not a choice between crossover and mutation but rather the balance among crossover, mutation, selection, details of fitness function and the encoding. Moreover, the relative usefulness of crossover and mutation change over the course of a run. However, all these remain to be elucidated precisely (Mitchell, 1998).

2.1.3 Other Operators and Mating Strategies

In addition to common crossover and mutation there are some other operators used in GAs including inversion, gene doubling and several operators for preserving diversity in the population. For instance, a “crowding” operator has been used in (De Jong, 1975; Mitchell, 1998) to prevent too many similar individuals (“crowds”) from being in the population at the same time. This operator replaces an existing individual by a newly formed and most similar offspring. In (Mengshoel et al., 2008) a probabilistic crowding niching algorithm in which subpopulations are maintained reliably, is presented. It is argued that like the closely related deterministic crowding approach, probabilistic crowding is fast, simple, and requires no parameters beyond those of classical genetic algorithms.

The same result can be accomplished by using an explicit “fitness sharing” function (Mitchell, 1998), whose idea is to decrease each individual’s fitness by an explicit increasing function of the presence of other similar population members. In some cases,

this operator induces appropriate “speciation”, allowing the population members to converge on several peaks in the fitness landscape (Mitchell, 1998). However, the same effect could be obtained without the presence of an explicit sharing function (Smith et al., 1993; Mitchell, 1998).

Diversity in the population can also be promoted by putting restrictions on mating. For instance, distinct “species” tend to be formed if only sufficiently similar individuals are allowed to mate (Mitchell, 1998). Another attempt to keep the entire population as diverse as possible is disallowing mating between too similar individuals, “incest” (Eshelman et al., 1991; Mitchell, 1998). Another solution is to use a “sexual selection” procedure; allowing mating only between individuals having the same “mating tags” (parts of the chromosome that identify prospective mates to one another). These tags, in principle, would also evolve to implement appropriate restrictions on new prospective mates (Holland, 1975).

Another solution is to restrict mating spatially. The population evolves on a spatial lattice, and individuals are likely to mate only with individuals in their spatial neighborhoods. Such a scheme would help preserve diversity by maintaining spatially isolated species, with innovations largely occurring at the boundaries between species (Mitchell, 1998).

The efficiency of genetic algorithms has also been tried by imposing adaptively, where the algorithm operators are controlled dynamically during runtime (Eiben et al. 2008). These methods can be categorized as deterministic, adaptive, and self-adaptive methods (Eiben & Smitt, 2007; Eiben et al. 2008). Adaptive methods adjust the parameters’ values during runtime based on feedback from the algorithm (Eiben et al. 2008), which are mostly based on the quality of the solutions or speed of the algorithm (Smit et al., 2009).

3 THE RANDOM BUILDING BLOCK OPERATOR

The *random building block* (RBB) operator is a new self-adaptive operator proposed here. During the classical crossover operation, building blocks of two or more individuals of the population are exchanged in the hope that a better building block from one individual will replace a worse building block in the other individual and improve the individual’s fitness value. However, the random building block operator involves only one individual. The random building

block operator resembles more the multipoint mutation operator, but it lacks the frustrating complexity of such an operator. The reason for this is that the random building block operator does not require any pre-defined parameter value and it automatically takes into account the size (number of bits) of the individual at hand. In practice, the random building block operator selects a section of random length from the individual at hand and replaces it with a randomly produced building block of the same length.

This operator can help breaking the possible deadlock when the classic crossover operator fails to improve individuals. It can also refresh the population by injecting better building blocks into individuals, which are not currently found from the population. Figure 1 describes the random building block operator.

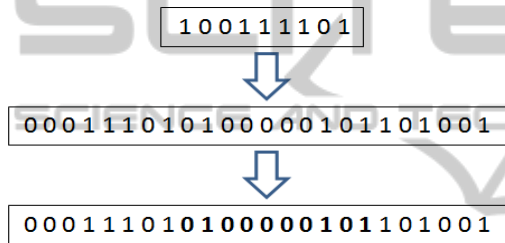


Figure 1: The random building block operator. A random building block is generated and copied to an individual to produce a new offspring.

This operation is implemented in the following order: 1) for each individual *ind* two crossover points cp_1 and cp_2 are randomly selected, 2) a random bit string *bstr* of length $l = |cp_2 - cp_1|$ is generated, and 3) bits between the crossover points on the individual *ind* are replaced by the bit string *bstr*. The following is the pseudo code for the random building block operator.

```

procedure RandomBuildingBlock
begin
  select individual from the population
  select crossover point
   $cp_1 \in [0, \text{length}(\textit{individual})]$ 
  select crossover point
   $cp_2 \in [0, \text{length}(\textit{individual})]$  so that  $cp_2 \neq cp_1$  and
   $cp_2 > cp_1$ 
  generate random bit string rbs of
  length  $l = |cp_2 - cp_1|$ 
  replace bits between  $cp_1$  and  $cp_2$  on
  the individual with rbs

```

Figure 2: The pseudo code for the random building block (RBB) operator.

3.1 Survivor Selection

After each operator application, new offspring are evaluated and compared to the population individuals. Newly generated offspring will replace the worst individual in the population if they are better than the worst individual. Therefore, the algorithm is a steady state genetic algorithm.

4 EXPERIMENTATION

The random building block operator was applied as part of a genetic algorithm to solve the following minimization problems:

The Ackley's function:

$$20 + e - 20 \exp \left(-0.2 \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2} \right) - \exp \left(\frac{1}{n} \sum_{i=1}^n \cos(2\pi x_i) \right),$$

where $-32.768 \leq x_i \leq 32.768$.

The Colville's function:

$$100(x_2 - x_1^2)^2 + (1 - x_1)^2 + 90(x_4 - x_3^2)^2 + (1 - x_3)^2 + 10.1((x_2 - 1)^2 + (x_4 - 1)^2) + 19.8(x_2 - 1)(x_4 - 1), \text{ where } -10 \leq x_i \leq 10 \text{ for } i=1, \dots, 4.$$

The Griewank's function F1:

$$\frac{1}{4000} \sum_{i=1}^n (x_i - 100)^2 - \prod_{i=1}^n \cos\left(\frac{x_i - 100}{\sqrt{i}}\right) + 1,$$

where $-600 \leq x_i \leq 600$.

The Rastrigin's function:

$$\sum_{i=1}^n (x_i^2 - 10 \cos(2\pi x_i) + 10),$$

where $-5.12 \leq x_i \leq 5.12$.

The Schaffer's function F6:

$$0.5 + \frac{\sin^2 \sqrt{x_1^2 + x_2^2} - 0.5}{\left[1.0 + 0.001(x_1^2 + x_2^2)\right]^2},$$

where $-100 \leq x_i \leq 100$.

For multidimensional problems with optional number of dimensions (n), the algorithm was tested for $n = 1, 2, 3, 5, 10, 50$.

The efficiency of the random building block operator and three versions of single-point mutation operator (with 1%, 5% and 8% mutation rates) and three versions of multipoint mutation operator (with 5%, 8% and 15% mutation rates) in generating better fitness values were tested separately 30 times for each test case. The population size was set to 12 and the maximum number of function evaluations for

each run was set to 10,000. During each run the best fitness value achieved during each generation was recorded. This made it possible to figure out when the best fitness value of the run was actually found. Later at the end of 30 runs for each test case the average of best fitness values and required function evaluations were calculated for comparison. In the following test results for comparing the random building block operator with different versions of mutation operator are reported.

Table 1 summarizes test results for comparing single-point mutation operator with the random building block operator on Ackley's and Colville's functions. In the table the average of best fitness values and required function evaluations by single-point mutation operator (with 1%, 5% and 8% mutation rates) and the building block operator are reported.

Table 1: Comparison of the average of the best fitness values achieved for Ackley's (A1-A50) and Colville's (C4) functions by single-point mutation (SPM) operator with 1%, 5% and 8% mutation rates and the random building block (RBB) operator. In the table *Fn.* stands for function, *F.* for fitness and *FE.* for function evaluations.

Fn.	Operator							
	SPM (1%)		SPM (5%)		SPM (8%)		RBB	
	F.	FE.	F.	FE.	F.	FE.	F.	FE.
A1	0.5	2097	0.2	1410	0.5	1927	0.0	889
A2	0.8	4399	1.5	5992	0.4	2896	0.0	4278
A3	1.5	6809	1.2	6275	1.2	6326	0.0	9400
A5	1.8	9127	1.5	8393	1.8	8863	0.0	10008
A10	2.3	10020	2.5	10146	2.0	10034	2.7	10008
A50	8.0	10146	7.6	10833	6.4	11550	16.9	10008
C4	103.9	10004	35.9	9793	26.7	9648	2.8	7225

Comparing results in Table 1 indicates that the random building block operator has produced better results than different versions of the single-point mutation operator in 71% of test cases. In 14% of test cases for Ackley's function with 10 variables the results were almost equal. But, the random building block operator outperformed overwhelmingly on Colville's function. Figure 3 demonstrates differences between average fitness values achieved by different operators.

In many cases it took the random building block operator less function evaluations to achieve better fitness values and in some other cases it achieved better fitness values than different versions of the single-point mutation operator although more function evaluations were required on average. Figure 4 illustrates differences in the average numbers of function evaluations.

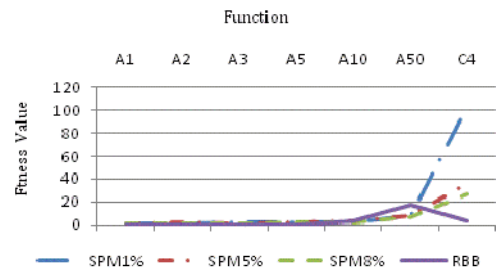


Figure 3: Comparison of average fitness values achieved for Ackley's (A1-A50) and Colville's (C4) functions by the single-point mutation operator (with 1%, 5% and 8% mutation rates) and the random building block operator. The optimal fitness values for all functions are zero.

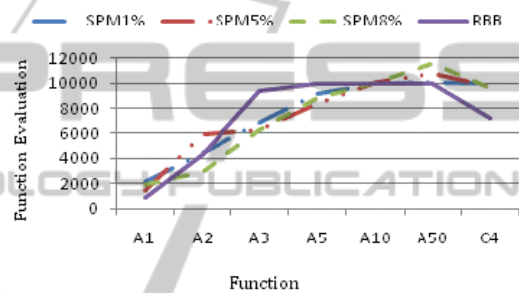


Figure 4: Comparison of the average numbers of function evaluations needed by each operator to achieve the best fitness values for Ackley's (A1-A50) and Colville's (C4) functions.

The performance of the random building block operator against the single-point mutation operator was also tested on the Griewank's, Rastrigin's and Schaffer's F6 functions. Table 2 summarizes the test results.

Table 2: Comparison of the average of the best fitness values achieved for Griewank's (G1-G50), Rastrigin's (R1-R50) and Schaffer's F6 (S2 functions by single-point mutation (SPM) operator with 1%, 5% and 8% mutation rates and the random building block (RBB) operator. In the table *Fn.* stands for function, *F.* for fitness and *FE.* for function evaluations.

Fn	Operator							
	SPM (1%)		SPM (5%)		SPM (8%)		RBB	
	F.	FE.	F.	FE.	F.	FE.	F.	FE.
G1	0.0	7566	0.0	6514	0.0	7552.4	0.0	2273
G2	0.6	10005	0.7	10028	0.7	9757	0.0	8771
G3	2.1	10008	2.2	10028	2.0	10015	0.0	10008
G5	3.8	10020	4.2	10015	3.8	10130	0.1	10008
G10	10.2	10028	10.4	10069	7.1	10008	1.5	10008
G50	62	10069	63	10234	56	11455	237	10008
R1	0.8	6570	1.3	7754	0.7	6200	0.0	431
R2	3.2	9732	3.4	9765	3.7	9473	0.0	2506
R3	7.7	10003	6.3	10029	6.2	9513	0.0	6500
R5	11.4	10000	11.8	10029	10.4	10188	0.0	10008
R10	24.4	10056	25.3	10139	24.6	10166	5.4	10008
R50	156	10139	157	10111	141	11549	313	10008
S2	0.2	8874	0.1	9218	0.2	9209	0.0	9222

Studying data presented in Table 2 proves that the random building block operator has been able to produce significantly better results and in 85% of test cases. Figure 5 illustrates the same results.

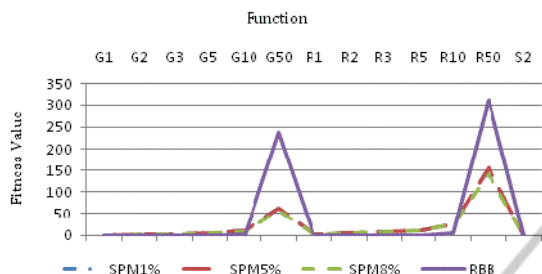


Figure 5: Comparison of the average of the best fitness values achieved for Griewank’s (G1-G50) and Rastrigin’s (R1-R50) functions with different variable numbers and Schaffer’s F6 (S2) function by the single-point mutation operator with 1%, 5% and 8% mutation rates (SPM1%, SPM5% and SPM8%) and the random building block (RBB) operator. The optimal fitness values for all functions are zero.

The random building block operator achieved better results in less function evaluations on average. Figure 6 demonstrates differences in the average numbers of function evaluations.



Figure 6: Comparison of the average numbers of function evaluations needed on average by each operator to achieve the best fitness value for Griewank’s (G1-G50), Rastrigin’s (R1-R50) and Schaffer’s F6 (S2) functions.

The performance of the random building block operator was also compared against the multipoint mutation operator in which several points of the individual were mutated during each mutation operation. The number of points to be mutated during each mutation operation was set to 2 times the number of variables in the problem. This means that if a problem had 5 variables, during each mutation cycle, 10 points of the individual were randomly selected to be mutated. Clearly, the total number of mutation points was determined by the mutation rate, which was 5%, 8% and 15% for different experimentations.

Table 3: Comparison of best fitness values achieved for Ackley’s (A1-A50) and Colville’s (C4) functions by multipoint mutation (MPM) operator with 5%, 8% and 15% mutation rates and the random building block (RBB) operator. In the table Fn. stands for function, F. for fitness and FE. for function evaluations.

Fn.	Operator							
	MPM (5%)		MPM (8%)		MPM (15%)		RBB	
	F.	FE.	F.	FE.	F.	FE.	F.	FE.
A1	0.0	6505	0.0	5249	0.0	4966	0.0	889
A2	0.0	10008	0.0	9817	0.0	9929	0.0	4278
A3	0.0	10008	0.0	10004	0.0	10032	0.0	9400
A5	1.1	10008	1.1	10004	1.3	10032	0.0	10008
A10	7.2	10008	6.9	10004	7.1	10032	2.7	10008
A50	19.2	10008	19.2	10004	19.2	10032	16.9	10008
C4	69.9	10000	43.1	9793	30.6	9648	2.8	7225

Comparing results reported in Table 3 proves that the fitness values achieved by the building block operator have been clearly better than the ones achieved by different versions of the multipoint mutation operator in all cases. Differences between the average fitness values achieved for Colville function by the random building block and different versions of multipoint mutation operator are even more substantial. The random building block operator achieved an average fitness value of 2.7833 within average 7225 function evaluations, while the multipoint mutation operator with 15% mutation rate has achieved its best average fitness value of 26.66 within up to 9648 function evaluations. Figure 7 demonstrates differences between average fitness values achieved by different operators.

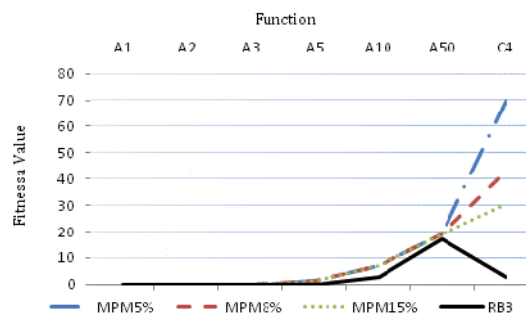


Figure 7: Comparison of average fitness values achieved for Ackley’s (A1-A50) and Colville’s (C4) functions by the multipoint mutation (with 5%, 8% and 15% mutation rates) and the random building block operator. The optimal fitness values for all functions are zero.

Moreover, the best fitness values have been achieved within less function evaluations with the random building operator. Figure 8 depicts the summary of differences in the number of function

evaluations.

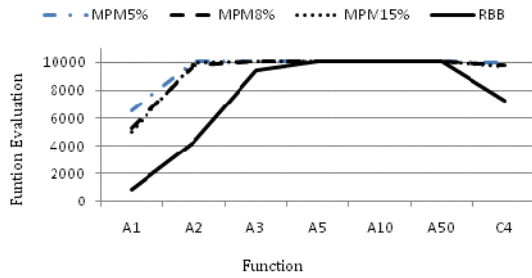


Figure 8: Comparison of the average numbers of function evaluations needed by each operator to achieve the best fitness values for Ackley’s (A1-A50) and Colville’s (C4) functions.

As it can clearly be seen from Figure 8, the random building block operator has in many cases needed much less function evaluations to achieve the best fitness values. The performance of the random building block operator against the multipoint mutation operator was also tested on Griewank’s, Rastrigin’s and Schaffer’s F6 functions. Table 4 summarizes the test results.

Table 4: Comparison of the average of best fitness values achieved for Griewank’s (G1-G50), Rastrigin’s (R1-R50) and Schaffer’s F6 (S2) functions by multipoint mutation (MPM) operator with 5%, 8% and 15% mutation rates and the random building block (RBB) operator. In the table *Fn.* stands for function, *F.* for fitness and *FE.* for function evaluations.

Fn.	Operator							
	MPM (5%)		MPM (8%)		MPM (15%)		RBB	
	F.	FE.	F.	FE.	F.	FE.	F.	FE.
G1	0.0	8490	0.0	7002	0.0	8253	0.0	2273
G2	0.0	10004	0.0	10008	0.0	9759	0.0	8771
G3	0.2	10004	0.1	10008	0.1	10008	0.0	10008
G5	1.0	10008	1.1	10014	0.9	10008	0.1	10008
G10	8.1	10008	6.1	10014	7.1	10008	1.5	10008
G50	479	10008	475	10014	468	10008	237	10008
R1	0.1	1768	0.2	2861	0.3	2973	0.0	431
R2	0.2	7341	0.2	6652	0.2	6630	0.0	2506
R3	0.3	10008	0.3	10008	0.3	10012	0.0	6500
R5	2.1	10008	2.3	10008	2.5	10012	0.0	10008
R10	22	10008	22	10008	22	10012	5	10008
R50	485	10008	485	1008	475	10012	313	10008
S2	0.0	9518	0.0	9383	0.0	9478	0.0	9222

Studying results presented in Table 4 proves that compared to different versions of the multipoint mutation operator, the random building block operator has achieved better fitness values within less function evaluations on average. These results can also be observed from Figure 9.

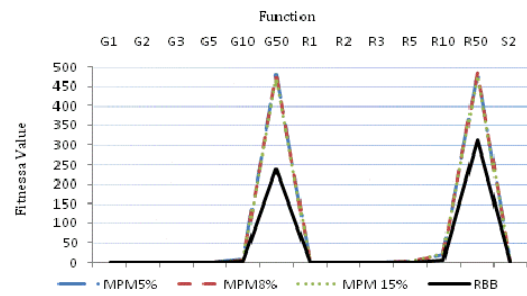


Figure 9: Comparison of average fitness values achieved for Griewank’s (G1-G50), Rastrigin’s (R1-50) and Schaffer’s F6 (S2) functions by the multipoint mutation operator with 5%, 8% and 15% mutation rates (MPM5%, MPM8% and MPM15%) and the random building block (RBB) operator. The optimal fitness values for all functions are zero.

Figure 10 depicts the summary of differences in the number of function evaluations.

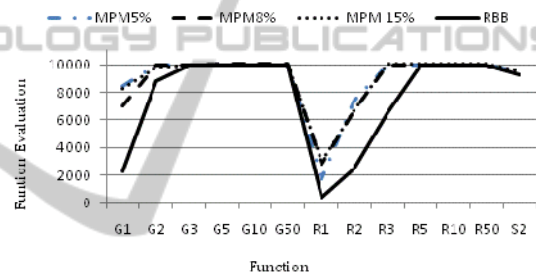


Figure 10: Comparison of the average numbers of function evaluations needed by each operator to achieve the best fitness value for Griewank’s (G1-G50), Rastrigin’s (R1-R50) and Schaffer’s F6 (S2) functions.

Figure 10 clearly indicates that compared to different versions of multipoint mutation operator, the random building block operator has needed less function evaluations to achieve the best fitness values on average.

5 CONCLUSIONS

In this paper a dynamic mutation operator; random building block operator for genetic algorithms was proposed. The operator was described and utilized in solving five well-known test problems. The operator was tested in 1020 runs for 34 test cases. For each test case, the performance of the random building block operator was tested against single-point mutation operator with 1%, 5% and 8% mutation rates and multipoint mutation operator with 5%, 8% and 15% mutation rates. The maximum limit of the

function evaluations was set to around 10,000. Each test case was repeated 30 times and the average of the best fitness values and the average numbers of function evaluations required for achieving the best fitness value were calculated. Comparing test results revealed that the random building block operator was capable of achieving better fitness values within less function evaluations compared to different versions of single-point and multipoint mutation operators. The fascinating feature of random building block is that it is dynamic and therefore does not require any parameterization. However, for mutation operators the mutation rate and the number of mutation points should be set in advance. The random building block can be used straight off the shelf without needing to know its best recommended rate. Hence, it lacks frustrating complexity, which is typical for different versions of the mutation operator. Therefore, it can be claimed that the random building block is superior to the mutation operator and capable of improving individuals in the population more efficiently.

5.1 Future Research

The proposed operator can be combined with other operators and applied to new problems and its efficiency in helping the search process can be evaluated more thoroughly with new functions. Moreover, the random building block operator can be adopted as part of the genetic algorithm to compete with other state-of-the-art algorithms on solving more problems.

REFERENCES

- Eiben, A. and J. Smith, 2007. *Introduction to Evolutionary Computing*. Natural Computing Series. Springer, 2nd edition.
- Bäck, Thomas, David B. Fogel, Darrell Whitley & Peter J. Angeline, 2000. Mutation operators. In: *Evolutionary Computation I, Basic Algorithms and Operators*. Eds T. Bäck, D.B. Fogel & Z. Michalewicz. United Kingdom: Institute of Physics Publishing Ltd, Bristol and Philadelphia. ISBN 0750306645.
- De Jong, K. A., 1975. *An Analysis of the Behavior of a Class of Genetic Adaptive Systems*. Ph.D. thesis, University of Michigan. Michigan: Ann Arbor.
- Eshelman, L. J. & J.D. Schaffer, 1991. Preventing premature convergence in genetic algorithms by preventing incest. In *Proceedings of the Fourth International Conference on Genetic Algorithms*. Eds R. K. Belew & L. B. Booker. San Mateo, CA : Morgan Kaufmann Publishers.
- Eiben, G. and M. C. Schut, 2008. *New Ways To Calibrate Evolutionary Algorithms*. In *Advances in Metaheuristics for Hard Optimization*, pages 153–177.
- Holland, J. H., 1975. *Adaptation in Natural and Artificial Systems*. Ann Arbor: MI: University of Michigan Press.
- Mengshoel, Ole J. & Goldberg, David E., 2008. *The crowding approach to niching in genetic algorithms*. *Evolutionary Computation*, Volume 16 , Issue 3 (Fall 2008). ISSN:1063-6560.
- Michalewicz, Zbigniew (1996). *Genetic Algorithms + Data Structures = Evolution Programs*. Third, Revised and Extended Edition. USA: Springer. ISBN 3-540-60676-9.
- Michalewicz, Zbigniew, 2000. Introduction to search operators. In *Evolutionary Computation I, Basic Algorithms and Operators*. Eds T. Bäck, D.B. Fogel & Z. Michalewicz. United Kingdom: Institute of Physics Publishing Ltd, Bristol and Philadelphia. ISBN 0750306645.
- Mitchell, Melanie, 1998. *An Introduction to Genetic Algorithms*. United States of America: A Bradford Book. First MIT Press Paperback Edition.
- Moghadampour, Ghodrat, 2006. *Genetic Algorithms, Parameter Control and Function Optimization: A New Approach*. PhD dissertation. ACTA WASAENSIA 160, Vaasa, Finland. ISBN 952-476-140-8.
- Mühlenbein, H., 1992. How genetic algorithms really work: 1. mutation and hill-climbing. In: *Parallel Problem Solving from Nature 2*. Eds R. Männer & B. Manderick. North-Holland.
- Smit, S. K. and Eiben, A. E., 2009. *Comparing Parameter Tuning Methods for Evolutionary Algorithms*. In *IEEE Congress on Evolutionary Computation (CEC)*, pages 399–406, May 2009.
- Smith, R. E., S. Forrest & A.S. Perelson, 1993. Population diversity in an immune system model: implications for genetic search. In *Foundations of Genetic Algorithms 2*. Ed. L.D. Whitley. Morgan Kaufmann.
- Spears, W. M., 1993. Crossover or mutation? In: *Foundations of Genetic Algorithms 2*. Ed. L. D. Whitley. Morgan Kaufmann.
- Ursem, Rasmus K., 2003. *Models for Evolutionary Algorithms and Their Applications in System Identification and Control Optimization (PhD Dissertation)*. A Dissertation Presented to the Faculty of Science of the University of Aarhus in Partial Fulfillment of the Requirements for the PhD Degree. Department of Computer Science, University of Aarhus, Denmark.
- Whitley, Darrell, 2000. Permutations. In *Evolutionary Computation I, Basic Algorithms and Operators*. Eds T. Bäck, D. B. Fogel & Z. Michalewicz. United Kingdom: Institute of Physics Publishing Ltd, Bristol and Philadelphia. ISBN 0750306645.