# PARISIAN APPROACH Reducing Computational Effort to Improve SMT Performance by setting Resizable Caches

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Keywords: Genetic algorithms, Simultaneous multithreading, Optimization, Parisian approach.

Abstract:

Evolutionay Algorithm are techniques widely used in the resolution of complex problems. On the other hand, Simultaneous Multithreading improves the throughput of the processor core taking advantage of Instruction Level Parallelism and Thread Level Parallelism. In this environment adaptation the cache configuration, at runtime according to workloads settings will be improved the processor performance. This improvement is achieved by using resizable caches. In a previous work, we proposed a Genetic Algorithm to find the better cache configurations according to the needs and characteristics of the workloads. However the computational cost needed for the evaluation process is very high. In this paper we propose the use of the Parisian Evolution Approach to improve dynamically reconfigurable cache designs, and reduce the computational cost associated. We study the behavior of a set of benchmarks, taking into account their needs over cache memory hierarchy in each phase of execution, in order to adapt the cache configuration and to increase the number of instructions per cycle. Experimental results show a large saving in computing time and some improvement on the instructions per cycle achieved in previous approaches.

## **1 INTRODUCTION**

Simultaneous Multithreading (SMT) (Tullsen et al., 1996) is a hardware technique which allows multiple threads to issue instructions in the same clock cycle. In an environment where hardware resources are shared between multiple threads, optimal control of resources is one of the main objectives to improve the performance. SMT has the ability to provide hardware resources to a thread, when the current thread is not using them, due to long latency operations as memory access, control and data dependencies, etc., hiding latencies produced due to these operations. However, the impact produced by long latency operations, over some workloads and under certain circumstances, can lead to threads starvation. One of the techniques proposed to prevent this problem was the design of (López et al., 2007) by using a Globally Asynchronous, Locally Synchronous (GALS) SMT design, where hardware resources are distributed in several independent clock domains. These domains can change its clock frequency and structures sizes (specifically, cache size) according to the needs of the running workloads and different phases of the workload. Therefore, selecting the appropriate cache sizes for the running workloads is an important factor that will allow to increase the thoughput of the system. In that work, an on-line control algorithm evaluates the behavior of the cache memory in the previous interval and changes the cache size for the next interval if it is needed.

In a preliminary study (Díaz et al., 2009), we proposed Evolutionay Algorithms to find how the cache size should change with time in order to improve overall performance of the SMT processor. We used Instructions per Cycle (IPC) as the metric to decide when to select a new cache size and we do not predict but do explore the space of possible cache sizes we are working with. However, exploring all possible cache sizes, for a workload given, suppose a high computacional cost and new approaches are necessary to reduce it.

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PARISIAN APPROACH - Reducing Computational Effort to Improve SMT Performance by setting Resizable Caches. DOI: 10.5220/0003113702750280

In Proceedings of the International Conference on Evolutionary Computation (ICEC-2010), pages 275-280 ISBN: 978-989-8425-31-7

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In this work, we study Parisian Evolution (PA) paradigm (Collet et al., 2000) to explore the set of configurations that optimizes cache memory performance for a given workload according to their needs in each phase of the execution, and improve the processor throughput by increasing the IPC. Experimental results show a small improvement in the IPC obtained, but the main contribution is the reduction of computation time.

The rest of the paper is organized as follows. Section 2 is devoted to present the background of our work: the adaptive SMT microarchitecture and resizable caches. Section 3 presents the evolutionary algorithm techniques implemented and the simulation environment used. Section 4 describes our results and finally in Section 5 we present some conclusions and future work.

# **2 SMT MICROARCHITECTURE**

# 2.1 Multiple Clock Domain (MCD) -GALS Design

As we have mentioned, in this paper we use the SMT-MCD architecture proposed in (López et al., 2007). This design has five independent clock domains where each domain can work at a different frequency and is able to adapt the key hardware structures in order to improve the processor performance. In this work we focus on the load/store domain and within it in the Level 1 Data Cache (L1 DCache) and shared Level 2 Cache (L2 Cache). Only load/store domain changes its frequency, the rest of domains work at a fixed frequency. L1 DCache and L2 Cache are implemented using reconfigurable caches and are changed together with the clock frequency of load/store domain.

### 2.2 Resizable Caches

The memory access latency is closely related to its size: the larger the memory the higher the access latency and, the smaller the memory the lower the latency. Moreover the need of a larger capacity or higher access speed depends on the current workloads in the system and their execution phase. Achieving a trade-off between memory size and access speed is a key factor to improve the throughput of a SMT processor.

L1 DCache and L2 Cache placed in the load/store domain are implemented as dynamically resizable caches using the Accounting Cache (Dropsho et al., 2002). The Accounting Cache is a n-way associative memory divided in two different partitions: the primary, A, and the secondary, B. The configuration of the Accounting Cache is defined by the number of ways assigned to the primary A partition. First, k ways are assigned to the primary A partition, the remainder n - k ways are assigned to the secondary B partition. We configure L1 Dcache and L2 Cache as 8-ways associative Accounting Caches and we evaluate four configurations in order to reduce the size of the exploration space. Hence, the configurations are D0(1/7), D1(2/6), D2(4/4) and D3(8/0) as shown in Figure 1, and both caches are modified in tandem with the frequency of the load/store domain.



Figure 1: Ways assigned to partitions A and B, by each configuration. Yellow, partition A. Green partition B.

The algorithm used in (López et al., 2007) analyzed the cache misses and hits during the last 15K instructions in order to decide the cache configuration for the next interval. In this way they used the cost of a set of cache references as an indirect indicator of the processor performance. In a preliminary work (Díaz et al., 2009), we apply GAs to find good configurations for resizable L1 Dcache and L2 Cache of a SMT processor and we used the IPC as an indicator the processor performance. However, the higher the instruction window size of an application, the higher the computational effort needed.

In this work, we propose to apply the PA Paradigm (Collet et al., 2000) to explore the better set of configurations for L1 DCache and L2 Cache of a SMT processor with a higher instruction window size and we use the IPC as an indicator the goodness of a set configurations (a problem solution). In the next section we explain the methodology used.

# 3 Methodology

#### 3.1 GAs Background

Genetic algorithms (GA) (Holland, 1975) are widely used to solve search and optimization problems. Several researchers have already considered the convenience of applying GAs to face problems in the Computer Architecture field. In (Díaz et al., 2009), we used a simple GA whose outline can be seen in Figure 2 and where each candidate solution was composed by a set of configurations to be applied at specific intervals when a given benchmarck is running. The fitness function includes the execution of the SMT simulator with all customized configurations to be applied in each interval and returns the result of the simulation process: IPC. Although, results were not conclusive, we were optimistic with the methodology used. However, we need to test with a higher instruc-



Figure 2: Previous approach used in (Díaz et al., 2009). GA is fed with a set of initial solutions and the returned solution is an individual with n = 100 genes, each gene describing the configuration applied in each interval during the simulation of the processor.

tion window size, but the simulation time for each individual is quite large and the computational cost grows too. The overall simulation time of a candidate solution may range from several minutes to several hours depending on the benchmark. In summary, the computational cost for a small population size of 30 individuals with 500 \* 106 insts. to execute is thus very high.

In this work, we present the application of PA Paradigm that could help us to reduce this computa-

tional cost, thus allowing a wider exploration of the space of posible solutions, in order to find good configurations for L1 DCache and L2 Cache placed in de load/store domain on a SMT processor. We use this technique to try to improve the IPC results obtained with previous approaches. In the next section, we explain this methodology in more details.

#### **3.2** Parisian Evolution

As it is well known in the Evolutionary Computation Community, the evaluation process of the candidate solutions (individuals) is usually the most time consuming task of an EA. A good idea is to divide a possible solution in an appropriate number of subcomponents that depending on the problem can be independent or highly dependent on each other.

Classic evolutionary techniques consider an individual as a complete solution to solve a given problem. In contrast PA paradigm (Collet et al., 2000) defines an individual as a part of solution, similar to Michigan approach for Classifier Systems (Holland, 1975) which obtained a base rule from a subset of individual rules evolved. Thus, a complete solution is obtained by aggregation of multiple individuals. This approach reduces computational effort at both, individual and population levels (Olague et al., 2008).

In order to apply PA we need to meet two key conditions: (i) the problem at hand can be set apart into separate components by PA; (ii) the local fitness of a single subcomponent can be calculated. Our problem satisfies both conditions, as we will see below. Therefore, the main decisions within PA are:

- 1. Partial Encoding: number of suitable subcomponents, the individuals aggregation process to create a complete solution and the individuals's contribution.
- 2. Environment: Designing the environment where partial solutions interact to achieve better aggregate solutions.
- 3. Local and Global Fitness: Defining the fitness function to partial solution.
- 4. Population Diversity Preservation: Diversity preservation techniques need to be implemented in order to promote the diversity.

Therefore, a complete solution (population) is divided into subcomponents of the same size (individuals). The partial fitness of an individual, will be the IPC obtained in the interval of execution. The global fitness is calculated based on the contribution of each and every one of the individuals. This feature is the main difference of our approach with the original PA implementation, since in our problem the different subcomponents are highly dependent on each other and, one change in an individual affects individuals' results subsequently evaluated. The execution of a set of instructions leaves the memory cache in a given state, this state has a direct influence on the results to the execution of following instructions. This interdependence is what leads us to propose that all individuals contribute equally to global fitness of the complete solution. Parameters used in the PA paradigm are specified below and Figure 3 illustrates the algorithm used in our PA:

- Instruction window size 105 \* 106 instructions.
- Population is a complete solution composed by a series of configurations between four available configurations (D0, D1, D2 and D3) and codified by the alphabet Ω = {0,1,2,3}.
- A configuration is applied every 15K insts. executed and every subcomponents defines an individual that will execute 1,5 \* 106 instructions. The number of genes for an individual is 100 and we have a population size of 70 individuals.
- The fitness function includes the execution of the simulator with all customized configurations and returns the individuals' IPC, based of them the IPC of complete solution (global fitness), which tries to be maximized, it is calculated.
- Tournament selection method is employed with 2 individuals per tournament and standard one-point random crossover is employed, with a probability of 0.8.
- A random one point mutation, with a probability 0.01, is applied for changing a specific configuration for a time interval within the chromosome.

#### **3.3 Fitness Function Evaluation**

A simulator for SMT architectures is needed to evaluate candidate solutions. We use a simulation environment based on the SimpleScalar toolset with MCD processor extensions and extended to support a SMT core (López et al., 2007) and ICOUNT2.8 from (Tullsen et al., 1996) as fetch policy.

In (Díaz et al., 2009) the simulator load the configurations from files and applies them sequentially for intervals of 15K insts. Every simulation finishes when all the configuration lines have been applied. In this work, the simulator has been modified to calculate the fitness value of an individual and return it during the parisian evolutionary process.

Similarly as in previous work, we have performed some experiments to evaluate the methodology and in order to speed up the algorithm we work with two key



Figure 3: Workflow of our approach. PA is fed with a complete solution and the returned solution is other complete solution composed by a set of individuals where each one has n = 100 genes, each gene describing the configuration applied in each interval.

ideas: (1) we run the algorithm on a parallel computer, so that several individuals or several benchmarks experiments are evaluated simultaneously and (2) we use a complete solution composed by good configurations obtained in previous methodologies to be injected in the initial population. Therefore the algorithm begin the search with a good value as the starting point for the search process.

### 3.4 Experiments

In this work, we have performed two experiments using PA, where we use all individuals with their local fitness to calculate global fitness: (i) Normal evolution (PA-1) with selection, crossover and mutation operations and (ii) creating a new generation based on the lastest generation and modifying some individuals by a "local search" between using the best individuals until that generation (PA-2). This second approach arises because we keep the ten best complete solutions (the global fitnes and the local fitness of all individuals). Therefore, a good solution could come from those solutions that have been considered as the best solutions. So every 15 generations, we create a new generation of individuals, originally composed of the previous generation and change 20% of individuals that are replaced by the best individual, between those which occupy the same position in the ten best solutions saved until that time. The new generation is evaluated and if the global fitness is better than previous generation, we continue with it, otherwise we recover the previous generation.

### **4 EXPERIMENTAL RESULTS**

In this work, we use master/worker as parallel programming paradigm, and our approach has been implemented following this model. Hence, all the experiments were run on a cluster with 22 nodes, with the main process (running on the front-end processor) in charge of the whole PA algorithm -keeps the population, performs selection, crossover and mutation operations-, and worker processes (running in worker processors) are in charge of computing fitness functions (by far the most computing intensive task). In this approach, each benchmark simulated only need a worker processor to evaluate all individuals (a complete solution), therefore all bechmarks are simulated simultaneously using a master/worker model. In this work, our workload is composed of twelve programs from the SPEC2000 suite.

In the cases we have seen in section 3.4 we left to run the algorithm during 150 generations and we classify the results based on two points of view: (i) Quality and (ii) Performance. We show results obtained in the next subsections and we compared it with those obtained in (López et al., 2007).

#### 4.1 Quality Results

We firstly analyzed quality of result where the objective is to maximize the IPC, comparing the IPC obtained in (López et al., 2007) with our results: Table 1 compared results obtained for integer bechmarks and Table 2 shows results obtained for floating point benchmarks with both approaches.

Table 1: Comparison integer benchmarks IPC in both two PA versus (López et al., 2007b).

Benchmark	Prev. Approach	PA(1)	PA(2)
gzip	2.0480	2.0713	2.0693
vpr	1.8621	1.9475	1.9448
cc1	1.2273	1.2314	1.2286
mcf	3.5278	3.5319	3.5302
crafty	2.0196	2.0218	2.0176
twolf	3.8961	3.8993	3.8958

These results were obtained after running 3 executions during 150 generations and the improvement

Table 2:	Comparison	1 Floating	g-Point	Benchr	narks	in	both
two PA ap	oproach imp	lemented	versus	[López	et al.,	200	)7b].

Benchmark	Prev. Approach	PA (1)	PA(2)
swim	3.4854	3.4855	3.4855
applu	1.8941	1.9240	1.9238
galgel	3.7409	3.7510	3.7480
art	0.8634	0.9024	0.9073
hline equake	3.4105	3.4130	3.4101
lucas	3.1293	3.1293	3.1294

achieved are not conclusive. The overall average improvement to the first approach is 0,8237% and for the second is 0,7229%. The most important improvement is seen in *vpr* with 4,5862% for the first approximation and 4,4412% for the second, and *gzip* with 1,1377% and 1,0400% respectively. The rest of bechmarks did not improve or the improvement achieved is not relevant.

Table 2 shows the results of floating-point benchmarks for both implementations compared with previous approach in (López et al., 2007). For the same number of execution (3) and during 150 generations, the improvement achieved is not conclusive and their behaviors are similar to integer benchmarks. The overall average improvement is 0,4938%and 0,4872% and only *applu* and *art* achieve the most important improvement with 1,5786% - 1,5680% and 4,5170% - 5,0845% for each approach implemented respectively. As integer benchmarks, the rest did not achieve a conclusive improvement or this improvement is not relevant.

However, the second approach obtains worse performance than the first, in most of the benchmarks. *Vpr* is the only one that improves over the previous approach. In our PA implementation we are actually using only one global individual. It is possible that the Local Search process stops the normal evolution of the algorithm, since when a local search solution is generated we could be climbing to a far point of the search space. Hence, we should improve in future works the generation of local search solutions by (i) assuring that we are studying real neighbor solutions and (ii) increasing the number of global solutions.

#### 4.2 Performance Results

In this subsection performance's results are shown. We must bear in mind that the only difference with the previous approach (Díaz et al., 2009) is the PA paradigm employed. Both the master/worker approach and the blade system were also used before in the same conditions. We can see how the reduction of time is very important using PA paradigm and the IPC obtained with this approach is similar or greater

Benchmark	Previous Approach (GA)	PA
gzip	4025,00	115.75
vpr	7875,00	115.61
cc1	3500,00	140.35
mcf	3675,00	94,42
crafty	1225,00	110.25
twolf	2975,00	94.19
swim	2450,00	98.08
applu	5250,00	131.59
galgel	3500,00	109.84
art	10150,00	196.68
equake	3675,00	93.25
lucas	5600,00	115.26

Table 3: Comparison computational effort (in hours) with PA paradigm and the previous approach (GA).

than whose obtained with previous approaches.

The time specified to the previous approach with GA is an estimation based on the evaluation time of a complete solution as individual and if we had to evaluate a population size of 70 individuals for 150 generations

## **5** CONCLUSIONS

In this paper, the Parisian Evolution paradigm has been used to improve the performance of a SMT processor by selecting the optimal configuration of resizable cache memories, while reducing associated computational cost. In previous works, Resizable cache memories have demonstrated their efficiency to improve processor performance by adapting, at runtime, their configurations according to workload requirements. Some authors have used an indirect approach to both estimate processor performance at run time and select the best cache configuration. In a previous work, we use GA with a small instruction window size, to select the set of cache configurations that optimizes processor performance for a given workload, however when we increase the instruction window size the computational effort necesary is very high.

Parisian Evolution paradigm allow us to work with greater instruction window size by dividing a complete solution into subcomponents of the same size, each one of them is an individual with a local fitness. Through the cooperative collaboration between them gives the global fitness value associated with the complete solution. However, the improvement obtained is not conclusive, since a few benchmarks improve and this improvement is small, performance's results obtained allow us to be vey optimistic. We think this way can lead us to obtain good results by searching techniques that allow us to optimize the workloads' performance. As future work we will improve local search techniques and do new experiments to complete the study. We cannot forget, the final goal is to find a set of rules that dynamically determines the best cache configuration for a workload features.

### ACKNOWLEDGEMENTS

This work has been partially supported by projects: CICYT TIN 2008-00508, MEC Consolider Ingenio 2010 2007/2011; Spanish Ministry of Education and Science under Project TIN2008-06681-C06-01 and regional government Junta de Extremadura under projects PDT-08A09, GRU-09105 and FEDER

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