

FUZZY ANP

A Analytical Network Model for Result Merging for Metasearch using Fuzzy Linguistic Quantifiers

Arijit De

TCS Innovation Labs-Mumbai, Tata Consultancy Services, Pokhran Road, Thane (W), Mumbai, 400601, India

Elizabeth Diaz

Department of Math and Computer Science, University of Texas- Permian Basin, Texas, U.S.A.

Keywords: Information Retrieval, Fuzzy Sets, Soft Computing, Multi-criteria Decision Making.

Abstract: Search Engines are tools for searching the World Wide Web or any other large data collection. Search engines typically accept a user query and returns a list of relevant documents. These documents are generally returned as a result list for the user to see. A metasearch engine is a tool that allows an information seeker to search information on the world wide web through multiple search engines. A key function of a metasearch engine is to aggregate search results returned by many search engines. Result aggregation is an important task for a metasearch engine. In this paper we propose a model for result aggregation for metasearch, Fuzzy ANP, that employs fuzzy linguistic quantifier guided approach to result merging using Saty's Analytical Network Process. We compare our model to two existing result merging models, the Borda Fuse model and the OWA model for metasearch. Our results show that our model outperforms the OWA model and Borda-Fuse model significantly.

1 INTRODUCTION

A metasearch engine expands the scope of web search by using multiple search engines to search for information in parallel in response to a user query. Search engines return web documents relevant to a query as a ranked result list of documents. The metasearch engine then aggregates the ranks obtained by documents from various search engines to create a merged list of web documents. The result aggregation problem for metasearch can be modelled as multi criteria decision making (MCDM) problem with search systems being the judges and documents being the alternatives to be ranked by them.

In this paper we propose a model for result merging, Fuzzy ANP, which is based on Saty's Analytical Network Process (ANP) (Saty, 1996) and employs Fuzzy Linguistic Quantifiers proposed by Zadeh (Zadeh, 1983) and Yager (Yager, 1986) in conjunction with ANP. We compare the performance of our model with two well established

models for result merging. The first of these models is the fuzzy result merging model OWA proposed by Diaz (Diaz, 2004) based on Yager's (Yager, 1983) OWA operator and the second is the Borda-Fuse model proposed by Aslam and Montague (Aslam and Montague, 2001) based on Borda Count (Borda 1781). In subsequent sections of this paper we review existing result merging models and then discussing the proposed Fuzzy ANP model, our experiments, and results of them and finally summarize our discussions in a conclusion.

2 PREVIOUS WORK

The most popular model for result aggregation was the Borda-Fuse model proposed by Aslam and Montague (Aslam and Montague, 2001). Diaz (Diaz, 2004) applied Yager's (Yager, 1983) OWA operator to create a result aggregation model for metasearch.

The Borda-Fuse model was proposed by Aslam and Montague (Aslam and Montague, 2001) based

on the Borda-Count (Borda, 1781). The model assigns a specific number of “Borda” points, let us say d , to the top document in each list to be merged. The next document is assigned $d-1$ Borda points and so on. Remaining points are distributed amongst documents that exist in some result lists but are missing in others. The documents are ranked in descending order according to the total number of points accumulated in these lists.

Diaz (Diaz, 2004) applies the OWA operator for result aggregation in a metasearch model. The OWA model uses a measure similar to Borda points, called positional values. The positional value (PV) of a document d_i in the result list l_k returned by a search engine s_k is defined as $(n - r_{ik} + 1)$ where, r_{ik} is the rank of d_i in search engine s_k and n is the total number of documents in the result. Thus, the top ranked document in a result list has the highest positional value. One shortcoming of the Borda-Fuse model is that it handles missing documents by distributing the remaining points available to them uniformly without considering individual document popularities. Reasons for missing documents are obvious as coverage of search systems vary. Diaz (Diaz, De, and Raghavan, 2005) addresses this issue by proposing two simple heuristics for handling missing documents by calculating a virtual positional value of the document from its positional value in other lists where it appears

Let us now look at the OWA operator proposed by Yager (Yager, 1983). The OWA operator was original proposed by Yager as multi-criteria decision making (MCDM) approach. Let A_1, A_2, \dots, A_n be n criteria of concern in a multi-criteria decision making problem and x be a alternative, being rated by/against these criteria. $A_j(x) \in [0, 1]$ indicates the degree to which x satisfies the j^{th} criteria. Yager (Yager, 1983) comes up with a decision function F to combine these criteria and evaluate the degree to which the alternative x satisfies the criteria. Let $a_1=A_1(x)$, $a_2=A_2(x)$, and $a_n=A_n(x)$. The OWA decision function is $F(a_1, a_2, a_3, \dots, a_n) = \sum w_j \cdot b_j$ for all j , $1 \leq j \leq n$. Here b_j is the j^{th} greatest a_i . Here w_j is the ordered weight vector attached to the j^{th} criteria and such that the ordered weight vector $W = [w_1, w_2, \dots, w_n]$ associated with the OWA operator is key to determining the “orness” of the aggregation.

In the OWA model for metasearch, Diaz (Diaz, 2004) uses the Yager (Yager, 1986) approach to computing OWA weights using linguistic quantifiers. The weight associated with the i^{th} criterion (positional value associated with a search engine) is given by $w_i = Q(i/n) - Q((i-1)/n)$. Here, Q is a Regular Increasing Monotone quantifier of the

form $Q(r) = r^\alpha$. The orness associated with the quantifier, $\text{orness}(Q) = 1/(1+\alpha)$. In the OWA model, each search engine is a criteria, each document an alternative and the positional value of the document in a search engine result list corresponds to the extent to which a document (alternative) satisfies a search engine (criteria) for a specific query. Documents are ranked in descending order of F computed by the OWA operator.

The OWA model for metasearch assigns weights to the positional values of documents based on the order. While it is comprehensive in handling missing documents, it does not explore the relationship between documents and search engines in pair wise comparisons. Saty (Saty, 2007) highlights the advantages of pair wise comparisons in MCDM problems. To create a model that explores the relationship between documents and search engines, we came with the Fuzzy ANP model for metasearch.

3 PROPOSED MODEL

Our main motivation was to build a model that analyzed the close relationship between documents and search engines in a pair wise comparison. While Saty’s Analytical Hierarchy Process (AHP) is a more popular MCDM approach, we chose to build our model on the more generic Analytical Network Process (ANP) as the core structure of the metasearch problem is not hierarchical in nature. Let us describe the Analytical Network Process, before proceeding to give an overview of Fuzzy Linguistic Quantifiers developed by Yager (Yager, 1986) which is used in transforming the ANP super matrix to a weighted (column stochastic) super matrix.

3.1 Analytical Network Process

Saty proposed two MCDM techniques, the Analytical Hierarchy Process (AHP) (Saty, 1980) and the Analytical Network Process (ANP) (Saty, 1996). While the AHP is considered the technique of choice for most hierarchical MCDM problems, the ANP is used when the problem cannot be structured hierarchically because the problem involves the interaction and dependence of higher level elements on a lower level element (Saty, 1996). Moreover, when the problem is not hierarchical in nature the Analytical Network Process (ANP) is more appropriate.

The first step in the ANP process is model construction and problem structuring. In this step the

key components in the model, alternatives and criteria need to be clearly identified and their relationships captured through the creation of a network. The structure can be obtained by the opinion of decision makers through brainstorming or other appropriate methods.

The second step is the creation of pair wise comparison matrices and priority vectors. In ANP decision elements at each component are compared pair wise with respect to their importance towards their control criterion, and the components themselves are also compared pair wise with respect to their contribution to the goal. Pair wise comparisons where two alternatives or two criteria at a time can be done quantitatively or by discussing with experts. In addition, if there are interdependencies among elements of a component, pair wise comparisons also need to be created, and an eigenvector can be obtained for each element to show the influence of other elements on it. The relative importance values are determined with Saaty's 1-9 scale where a score of 1 represents equal importance between the two elements and a score of 9 indicates the extreme importance of one element (row component in the matrix) compared to the other one (column component in the matrix).

Let us formalize the notion of pair wise comparisons and construction of the super matrix. Let us say we have a set of alternatives $A = \{a_1, \dots, a_p\}$ and a set of criterion $C = \{c_1, \dots, c_q\}$. Using the 9 point scale we can compare alternatives pair wise for each criterion, based on the degree to which the alternative satisfies the criterion. Thus for each alternative a_i in A we can obtain a pair wise matrix M . Each element of the matrix M , m_{jk} represents a quantified result of pair wise comparison of alternatives a_j and a_k . Here $1/9 \leq m_{jk} \leq 9$ as per the 9 point scale. In the 9 point scale, the values m_{jk} is 1,3,5,7 and 9 if a_j is equally, weakly, strongly, very strongly and absolutely more important than a_k respectively. The values m_{jk} is 1/3, 1/5, 1/7 and 1/9 if a_k is weakly, strongly, very strongly and absolutely more important than a_j . To obtain the priority vectors we divide each element of the matrix M by the sum of the column and then average out the values. Thus we can obtain for each criteria c_i a priority vector $V = \{V_j, \text{ where } 1 \leq j \leq p\}$ and each V_i represents the alternative a_j . Thus for each (c_i, a_j) we get a value V_{ij} .

Similarly, criteria can also be compared pair wise with reference to alternatives, depending on how each pair of criteria (c_i, c_j) measure up with respect to an alternative, for all c_i, c_j in C . Similarly priority vectors can be created for each alternative a_k

such that we obtain a priority value V_{ki} for (a_k, c_i) .

The third step in the process is to create a super matrix. The super matrix concept is similar to the Markov chain process. To obtain global priorities in a system with interdependent influences, the local priority vectors are entered in the appropriate columns of a matrix. As a result, a super matrix is actually a partitioned matrix, where each matrix segment represents a relationship between two nodes (components or clusters) in a system.

To put it simply the super matrix is a matrix that contains each priority vector corresponding to criteria and alternatives. The super matrix is a square matrix with each alternative and each criteria being a row element and as well as a column element. Each priority vector for an alternative and criterion is placed in the column for that alternative or criterion in the super matrix.

The super matrix created must be raised to a higher power till it converges to a limiting super matrix. Convergence occurs when each column of the super matrix contain identical values. Thus final scores are obtained for each alternative from their corresponding row values in the limiting super matrix. However for the initial super matrix created to converge it needs to be column stochastic. This means that all column values need sum up to 1. Thus prior to creating a limiting super matrix, each element in every column of the super matrix needs to be weighted such the sum of elements in the column need to sum up to unity. This intermediate step results in the creation of a weighted super matrix.

3.2 Linguistic Quantifiers

Our model for result merging, Fuzzy ANP is based on the Analytical Network Process of ANP. While the backbone of the model is the Analytical Network Process, we use a Fuzzy Linguistic Quantifier Guided approach to transforming the super matrix into the column stochastic weighted super-matrix. Linguistic quantifiers have been used to generate ordered weights for aggregation in the OWA operator (Yager, 1986). Zadeh (Zadeh, 1983) introduced linguistic quantifiers as way to mathematically model linguistic terms such as *at most*, *many*, *at least half*, *some* and *few* and suggested a formal representation of these linguistic quantifiers using fuzzy sets. In classical logic, only two fundamental quantifiers are used. These quantifiers are "there exists" a certain number and "all". Zadeh breaks up quantifiers into two types: absolute and relative. Absolute quantifiers can be represented as zero or positive real numbers, such as

“about 5,” “greater than 10.” Relative quantifiers are terms such as “most,” “few,” or “about half.” Yager (Yager, 1986) distinguished three categories of these relative quantifiers. Of these the most popular quantifier is the Regular Increasing Monotone (RIM) quantifier of the form $Q(r) = r^\alpha$, mentioned earlier. Yager (Yager, 1986) shows how to model these quantifiers, to obtain weights for his OWA operator as described earlier. When criteria/alternative importances are available Yager uses equation 1 to compute weights.

$$w_j(x) = Q\left(\frac{\sum_{k=1}^j u_k}{T}\right) - Q\left(\frac{\sum_{k=1}^{j-1} u_k}{T}\right), \quad (1)$$

Here u_k is the weights of the k^{th} criteria to be merged. One property of the weights so generated is that they always add up to unity. We exploit this feature in the construction of the weighted super matrix.

In our Fuzzy ANP model for metasearch we borrow this notion of linguistic quantifier guided weights in transforming the constructed super matrix to the weighted (column stochastic) super matrix. Let us illustrate the working with the help of an example. Let us say that a column of our super matrix constructed is of the form $[0, 0, 0, 0.8, 0.6, 0.4]^T$. Clearly these values do not add up to unity and therefore the column is not stochastic. To transform this column into a column stochastic matrix we compute Fuzzy Linguistic Weights using the equation 3. Here u_1, u_2 and u_3 are 0 while $u_4 = 0.8, u_5 = 0.6$ and $u_6 = 0.4$. Let us say we apply a weight of $\alpha = 1$ (for simplicity). Weights w_1, w_2 and w_3 are 0. Weight $w_4 = 0.44, w_5 = 0.337$ and $w_6 = 0.222$. Now our column becomes $[0, 0, 0, 0.44, 0.337, 0.222]$.

3.3 Proposed Model

Our proposed model Fuzzy ANP is based on Saty’s (Saty, 1996) Analytical Network Process (ANP). In our model in order to apply the Analytical Network Process, we treat our search engines (criteria) and documents (alternative) as nodes in a network. The steps are outlined below.

Step 1 Modelling document and Search Engines relationships in a network. Each document and search engine appear as nodes in the network. If a document is retrieved and ranked/scored by a search engine then we model it by creating an edge between the search engine and the document. If a document does not appear in the result list of a search engine then there is no edge created between the document

and the search engine. In all subsequent pair wise comparison, involving the document and the search engine the appropriate element in the matrix is assigned a value of 0. Thus missing documents are factored in without the employment of heuristics.

Step 2 Pair Wise Comparison of Documents and Search Engines. With this creation of a network of nodes, we can proceed to do pair wise comparison of documents based on their ranks/scores obtained from different search engines. Let us say we have two documents D_i and D_j . A search engine SE_k returns a relevance score of SC_i and SC_j for them respectively. The pair-wise comparison value $P(SE_k, D_i, D_j) = ((SC_i - SC_j) / (SC_{MAX} - SC_{MIN})) * 9$. If only ranks are available, then we replace ranks R_i and R_j are used for documents D_i and D_j respectively. Here SC_{MAX} and SC_{MIN} are the maximum scores obtained by any document in the list. These pair wise comparison values are stored in a matrix, which can be normalized by dividing each column by a sum of all elements in the column and then by taking the average of each row. Similarly search engines can be compared pair-wise based on ranks/scores they give documents. Using the results of pair-wise comparison we can construct pair-wise comparison matrices and compute priority vectors for documents specific to the search engine and search engines specific to a document. The priority vector specific to document D_i would be $Vector_{D_i} = [S_{SE1}, \dots, S_{SEn}]$. Here we assume n search engines. Similarly a vector can be created for every search engine whose results are being merged.

Step 3 Constructing the Super Matrix. Next we create super matrix that holds all search engine and document priority vectors as columns. The super matrix is created with each search engine and document being a row as well as a column element. Each document priority vector is placed in a column for the corresponding document with values in the priority vector representing each search engine going into the row for each search engine. Similarly search engine priority vectors can be placed in columns for their specific search engines.

Step 4 Transforming the Super Matrix to form a Weighted Super Matrix. For the ANP to converge we need to transform the super matrix to a column stochastic super matrix. This is done by applying weights to elements in each column such that all column values add up to unity. We take the column values and use them as inputs in computing linguistic fuzzy weights as developed by Yager (Yager, 1986) and described in equation 3 and the subsequent example (section 3.2). This makes the

matrix column stochastic as the linguistic fuzzy weights add up to unity.

Step 5 Computing Limiting Super Matrix. This is done raising the weighted super matrix to a higher power to achieve column convergence. The rows corresponding to the documents contain the final scores for the documents. The documents can be sorted by scores obtained in the merged result list.

4 EXPERIMENTS AND RESULTS

The focus of our experiments is to study the performance of our Fuzzy ANP model for result merging and compare it with the performance of the Borda-Fuse and OWA models. We do this performance comparison for score-based result merging when document scores from search engines are available.

We use the OHSUMED collection compiled by Hersh (Hersh, Buckley, Leone, and Hickam, 1994) constituted in LETOR 2 (Learning TO Rank) (Liu, Xu, Qin, Xiong, and Li, 2007) dataset. The collection consists of 106 queries. The degree of relevance for each query-document pair is pre-judged and categorized as 0 (non relevant), 1 (possibly relevant) and 2 (definitely relevant). There are a total of 16,140 query-document pairs with relevance judgments. There are 25 features for each document and relevance scores between 0 and 1, based on these features are provided for each query. For our experiments features are treated as search systems and the result list of documents returned by them along with document scores for the 106 queries in the OHSUMED dataset are treated as result lists for merging.

The objective of our experiments is to gauge the performance of our model in terms of RB precision of the aggregated result list and compare it with the performance of the Borda-Fuse and OWA models. In our experiments we vary the number of result lists being merged from 2 and 12. Search systems and queries are picked at random. We merge these result lists using the OWA, Borda-fuse and Fuzzy ANP models. For our Fuzzy ANP model and the OWA model, we vary the Linguistic Quantifier parameter α , from 0.25 to 2, that is used to compute ordered weights in the OWA model and column stochastic weights in our Fuzzy ANP model. We calculate the RB-precision of the merged list from each of the models based on relevance judgements provided as part of the dataset for standard recall levels of 0.25, 0.5, 0.75 and 1 and compute the average. Over 1000

iterations of experiments are performed.

Figure 1 shows the variation in average precision when the number of search engines being varied (N). The benefits of metasearch are illustrated by the results as the overall average precision of the merged result list goes up when merging more number of search engines. Clearly the OWA model outperforms the Borda-Fuse. Also, our Fuzzy ANP model outperforms the Borda-Fuse model and the OWA model as demonstrated by Table 1.

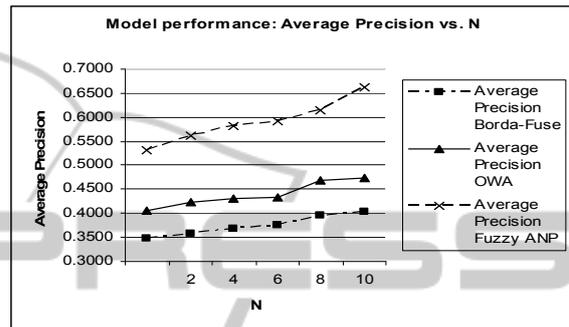


Figure 1: Model Performance over variation of N

Figure 2 shows the variation in average precision when the Linguistic Quantifier parameter α used to compute weights is varied from 0.25 through to 2. Consistent with the findings of Diaz (Diaz, 2004), the performance of the OWA model is best when $\alpha = 0.25$ and goes down to a lowest value when $\alpha = 1$. When α increases beyond that value the performance in terms of RB-precision goes up. However, this is not the case for our Fuzzy ANP model. The performance of the OWA model is poorest when 'orness' of aggregation is balanced i.e., under simple averaging conditions. Under conditions of high orness when $\alpha \leq 1$ and under high andness conditions when $\alpha \geq 1$ the model performance of the OWA model is higher. However, the performance of the Fuzzy ANP model gradually goes up when orness aggregation goes down i.e., as α progresses from 0.25 towards 2. The Fuzzy ANP model improves significantly in terms of average Recall Based (RB) precision by over the OWA and Borda-Fuse models. Table 2 shows the percentage improvements of the Fuzzy ANP model over the OWA and the Borda-Fuse models when Linguistic Quantifier parameter α is varied from 0.25 to 2.5.

5 CONCLUSIONS

In this paper we have proposed a model for result merging for metasearch that is based on the

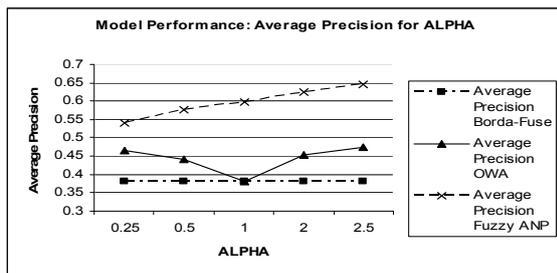


Figure 2: Model Performance over variation of α .

Table 1: % Improvement of Fuzzy ANP over OWA and Borda Fuse when N is varied.

	Over OWA	Over Borda-Fuse
2	23.7387	97.7623
4	24.6219	97.7170
6	26.2190	97.7787
8	26.7376	97.7885
10	23.7000	97.4114
12	28.4656	97.6761

Table 2: % Improvement of Fuzzy ANP over OWA and Borda Fuse when α is varied.

α	Over OWA	Over Borda-Fuse
0.25	14.1722	96.1813
0.5	23.4784	97.5437
1	36.1246	98.3471
2	27.5829	97.7366
2.5	26.8654	97.5906

Analytical Network Process that employs Fuzzy Linguistic Quantifiers to construct a column stochastic weighted super matrix for the convergence of the ANP process. We compare our model to two existing models for the result aggregation. The first of these is the non fuzzy result merging model called Borda Fuse. The second model is the OWA model based on the Ordered Weighted Average operator. In our experiments we try to maximize the average precision of the merged list coming out of these merging models. Using this metric we demonstrate that our model improves upon the OWA model for metasearch by 25% on the average and by 97% over the Borda-Fuse model.

REFERENCES

Aslam, J., and Montague, M., 2001. Models for metasearch. In *Proceedings of the 24th annual*

international ACM SIGIR Conference on Research and Development in Information Retrieval, New Orleans, LA, USA. ACM.

Bollmann, P., Raghavan, V. V., Jung, G. S., Shu, L. C., 1992. On probabilistic notions of precision as a function of recall. *Information Processing and Management.*

Borda, J. C., 1781. *Memoire sur les elections au scrutiny*, Paris: Histoire de l'Academie Royale des Sciences.

Diaz, E. D., De, A., Raghavan, V.V., 2005. A comprehensive OWA-based framework for result merging in metasearch. In *Rough Sets, Fuzzy Sets, Data Mining, and Granular-Soft Computing, Regina, SK, Canada.* Springer.

Diaz, E. D., 2004. *Selective Merging of Retrieval Results for Metasearch Environments*, University of Louisiana Press, Lafayette, LA.

Hersh, W., Buckley, C., Leone, T. J., and Hickam, D., 1994. OHSUMED: An interactive retrieval evaluation and new large test collection for research", *Proceedings of the 17th annual international ACM SIGIR Conference on Research and Development in Information Retrieval.* ACM/Springer.

Liu, T., Xu, J., Qin, T., Xiong, W., Li, H., 2007. LETOR: Benchmark dataset for re-search on learning to rank for information retrieval, *LR4IR 2007, in conjunction with SIGIR 2007.* ACM/Springer.

Saaty, T. L., 1980. *The Analytic Hierarchy Process*, McGraw-Hill, New York.

Saaty, T.L., 2007. Relative Measurement and its Generalization in Decision Making: Why Pair wise Comparisons are Central in Mathematics for the Measurement of Intangible Factors - The Analytic Hierarchy/Network Process. *Review of the Royal Spanish Academy of Sciences, Series A, Mathematics.*

Saaty, T.L., 1996. Decision Making with Dependence and Feedback: The Analytic Network Process. *RWS Publications, Pittsburgh.*

Yager, R. R., 1983. On ordered weighted averaging aggregation operators in multi-criteria decision making, *Fuzzy Sets and Systems.*

Yager, R. R., 1986. Quantifier guided Aggregating using OWA operators, *International Journal of Intelligent Systems.*

Zadeh, L. A., 1983. A computational approach to fuzzy quantifiers in natural languages, *Computational Mathematics Application.*