

# IMAGE UNDERSTANDING USING SELF-SIMILAR SIFT FEATURES

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Abstract: In this paper we present a new method to group self-similar SIFT features in images. The aim is to automatically build groups of all SIFT features with the same semantics in an image. To achieve this a new distance between SIFT feature vectors taking into account their orientation and scale is introduced. The methods are presented in the context of recognition of buildings. A first evaluation shows promising results.

## 1 INTRODUCTION

This work emerged out of the PosE-Project of the University of Koblenz<sup>1</sup>. The aim of PosE is the development of fast algorithms for determination of the pose (position and orientation) of a camera in a known 3d-modelled scene. For this, prominent features are annotated in a 3d-model of the scene and matched against the camera images. A feature is called prominent if it can be easily computed from the image and is significant in the 3d-model. One possibility for prominent features are groups of self-similar SIFT features.

SIFT (Scale Invariant Feature Transform) is an algorithm for extraction of “interesting” image points, the so called SIFT features. SIFT is commonly used for matching objects between spatially (e.g. in stereo vision) or temporally displaced images. In this paper we instead use SIFT for finding groups of self similar features in one image. We will show that there is a connection between feature representation of objects on SIFT data level and their semantics in the image. SIFT data inside, e.g., a natural tree should form a well-defined group of self-similar SIFT features as well as the SIFT data of, e.g., window sills or cross-bars. Those groups with a different semantics shall be distinguishable and some hints on the semantics shall be possible on the data level. To achieve this a simple grouping by the distance in Euclidean space is insufficient and a new topology will be introduced.

SIFT, SIFT features, and variations of SIFT are used in several scientific papers. First of all there is the work of David Lowe who developed SIFT (Lowe, 1999), (Lowe, 2003).

Slot and Kim (Slot and Kim, 2006) use SIFT features for object class detection by clustering of similar features. They use spatial locations, orientations and scales as similarity criteria to cluster the features. The regions in which the clustering takes place (the spatial locations) are selected manually. In those regions clusters are build by a grouping via a “low variance” criteria in “scale-orientation space”. The main difference to our approach is their usage of spatial locations and our usage of distance measures concerning the feature vectors.

There are other works in which variations of SIFT or alternatives are presented. The PCA-SIFT of Ke and Sukthankar (Ke and Sukthankar, 2004) is a method which uses “Principal Components Analysis” to get keypoint descriptors more easily. Bay, Tuytelaars and Van Gool developed SURF (Bay et al., 2006), where features can be computed and compared much faster than in other approaches.

SIFT features or other parts of SIFT are used in other contexts, too. Goshen and Shimshoni (Goshen and Shimshoni, 2006) use SIFT features for the efficient estimation of a matrix. Chum and Matas (Chum and Matas, 2005) employ the *distance ratio* of SIFT features as an example for a measure to advance the assignment in the RANSAC algorithm (Fischler and Bolles, 1987).

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## 2 SIFT

In this section we give a short overview of the SIFT algorithm. SIFT extracts characteristic features out of a greyscale image. These features are described by a 128-dimensional vector, an orientation, and a scale. Using the Euclidean distance between SIFT vectors a feature can be recognized in different images invariant to scale and rotation.

SIFT first scales the input image in a set of different resolutions. For each resolution a set of gaussian smoothings with different variance values is computed. Each of these sets is called an octave. Afterwards a set of difference images is computed for each set of gaussians by subtracting the neighbour images in the smoothing sets resulting in a set of Difference of Gaussian (DOG).

In the next step a minima and maxima search in the difference images is applied by comparing each pixel with its eight neighbours in the image and the nine neighbours in each neighbour image (Fig.1).

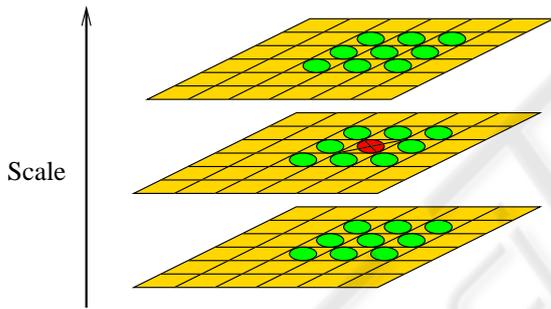


Figure 1: Comparing the neighbour pixels.

From these minima and maxima the keypoints are chosen. Then for each chosen keypoint a SIFT feature is generated consisting of four attributes:

- The x- and y-coordinate in the image.
- The level of scale.
- The main orientation of the feature.
- A 128 dimensional description vector.

The range of the level of scale depends on the size of the image. In our examples of images with a size of 1024x768 it ranges between zero and about 100. The maximum is reached e.g. at features in the center of a square with a side length of nearly 768 pixels. The level of scale holds information about the distance between keypoint and the relevant edges and thusly about the size of the described object. The main orientation ranges between 0 and  $2\pi$ . It is based on the gradients around the keypoint. All further operations

are performed on data transformed relative to this orientation.

The description vector contains information about the gradients in the local keypoint area. In the whole keypoint area sixteen smaller fields are analyzed regarding their gradients. For each the intensity for the eight main directions is computed. Sixteen fields, each with eight gradient intensities results in a vector with 128 entries (simplified construction with four instead of sixteen fields in Fig.2).

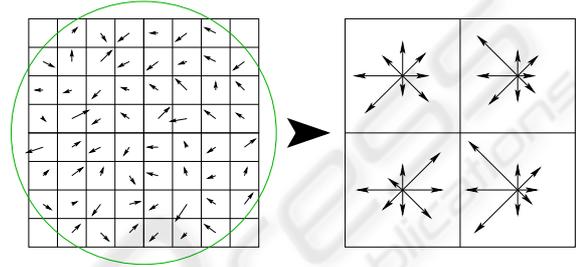


Figure 2: Gradients in the keypoint location.

## 3 SIMILARITY BETWEEN SIFT FEATURES

### 3.1 Introduction

The aim of our algorithm is the automatic grouping of SIFT features with identical semantics in the image.

An obvious idea to group similar SIFT features is to use the Euclidean distance between the vectors describing the features. Here the problem arises that in high dimensions the Euclidean distance becomes inaccurate. This becomes clear if you think about distances in geometry: In a normalized square there is a difference of one between a vertex and its direct neighbour. The distance to its neighbour via the diagonal however is  $\sqrt{2}$ . In a 121-dimensional space the distance of neighbors may become up to 11. This kind of “distortion” gets larger the higher the dimension. Grouping SIFT features only by their Euclidean distances leads to two main problems. The first is the problem of *completeness*. SIFT features with the same semantics are often close with respect to Euclidean distances. However, it also occurs frequently that their Euclidean distance is large. Therefore it is not sufficient to watch for big jumps in Euclidean distance from one feature to the next to form groups.

The second problem is *homogeneity*. SIFT features of very different semantics may be as close as features of the same semantics (Fig.3).

This makes it impossible to group self-similar

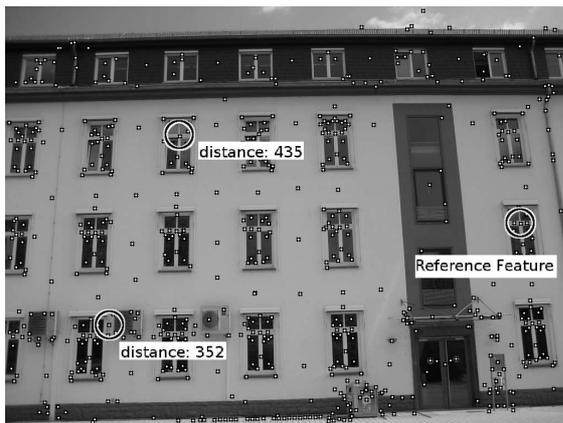


Figure 3: Euclidean distances between appropriate and external features.

SIFT features only by their Euclidean distances. Instead we have to develop other more sophisticated criteria.

### 3.2 Scale and Orientation

SIFT is invariant in rotation and scale. This is essential in many applications with the goal to recognize the same feature across different images which might have been acquired after a change in the camera position. But if only one image is relevant the invariance attributes may become a hindrance.



Figure 4: Two features with a different semantics that are similar in their 128-dimensional feature vector but different in rotation.

Regard, e.g., a roof gutter. The invariance may imply that a SIFT vector in a narrow black horizontal roof gutter becomes similar to a vector in a wide black vertical band on a building wall (compare Fig.4). Both gradient patterns are similar but refer to another scale and are rotated by  $90^\circ$ . So, for group-

ing and distinguishing features correctly it is not sufficient to only compare the feature vector, we also have to compare the feature's level of scale and its orientation.

Watching the scale of a feature it is possible to gain fundamental information. A big and a small quad on a building wall cannot be distinguished by their Euclidean distances. Using their value of scale it is not a problem.

Similar advantages can be gained by using the feature orientation. The vectors of two similar features where one is rotated by  $180^\circ$  are mainly equal. Only comparing their orientation makes it possible to distinguish the features.

However, if we compare all scale and orientation of all features to the scale and orientation of the feature group's start feature, new problems arise due to perspective effects in the image. The following example illustrates this: If one watches a line of windows from a very angular view the windows get smaller in vanishing point direction (Fig.5, the quads describe features with a small Euclidean distance belonging to the group of crossbars). As the windows size decreases the scale of the window describing features also decreases. If we compare each features scale and orientation to the scale and orientation of a larger window as reference feature the smaller windows would be considered dissimilar.

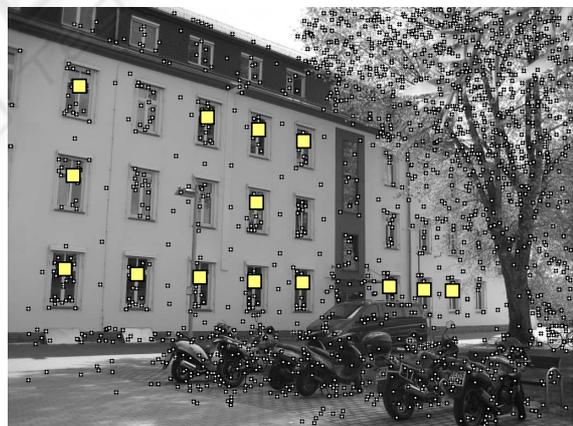


Figure 5: Result of grouping algorithm in an image with high angular perspective.

We approach this problem by averaging the differences in scale and orientation over all processed feature vectors which are already put into one feature group. The threshold which determines whether the difference in scale or orientation to the reference feature is acceptable is based on the respective mean difference. This ensures that the matching can adapt to slightly varying scale or orientation due to e.g. perspective distortion.

### 3.3 A Topology on SIFT Features

Incorporating scale and orientation helps us to distinguish visually dissimilar objects within similar SIFT vectors. An other improvement of feature grouping can be achieved by a more involved calculation of distance between two feature vectors.

Suppose a SIFT vector has in each of the 128 components a distance of 20 to the reference feature resulting in an Euclidean distance of  $\sqrt{128 * 20^2} \simeq 226.3$ . Another one has a distance of 9 in all but ten components and 60 in the other ten resulting in an Euclidean distance of  $\sqrt{118 * 9^2 + 10 * 64^2} \simeq 224.8$ . However, our experiments show that few big differences are a stronger clue to different semantics than many small differences.

We therefor don't calculate the distance between two feature vectors as the sum of all the 128 component differences but as the sum of only the seven largest component differences. We call this a *7-distance*. In the example above we would therefor get the 7-distance  $\sqrt{7 * 20^2} \simeq 52.9$  for the many small differences and  $\sqrt{7 * 64^2} \simeq 169.3$  for the few larger differences.

Mathematically speaking, a SIFT feature  $f$  is a tuple  $f = (s_f, o_f, v_f, l_f)$  of four attributes:  $s_f$  for the scale,  $o_f$  for the orientation,  $v_f$  for the 128-dimensional vector, and  $l_f$  for the location of the feature in x,y-coordinates in the image. The Euclidean distance  $d_E(f_1, f_2)$  and 7-distance  $d_7(f_1, f_2)$  of two SIFT features is the Euclidean and 7-distance between  $v_{f_1}$  and  $v_{f_2}$ . The range of  $o_f$  is  $[0, 2\pi]$ . The range of  $s_f$  depends on the size of the image and is about  $0 \leq i \leq 100$  in our examples.

We introduce a topology on SIFT features. For this we say that  $f' = (s', o', v', l')$  belongs to the  $(t_s, t_o, t_7)$ -neighborhood of  $f = (s, o, v, l)$  if there holds:

- $|s - s'| < t_s$ ,
- $|o - o'| < t_o$ ,
- $d_7(f, f') < t_7$

for three thresholds  $t_s, t_o, t_7$ . Thus, the location plays no role in this topology.

## 4 THE ALGORITHM

We now present the algorithm to build  $G(f)$ , the group of SIFT features generated by start feature  $f$ .

Let  $m_s(G)$  and  $m_o(G)$  be the mean differences in scale and orientation of all SIFT features in a set  $G$  with respect to  $f$ . Let  $f_i$  denote the  $i$ -th closest SIFT

feature in the image to  $f$  with respect to  $d_E$ .

```

1: G(f):={f}; i:=0; fault:=0;
2: t_s := 3.5; t_o := 1.0; t_7 := 550
3: repeat
4:   i:=i+1;
5:   if f_i belongs to the (t_s,t_o,t_7)-neighborhood of f
   and
   (m_s(G(f)) ≤ 0.5 or |s_f - s_{f_i}| ≤ 4 · m_s(G(f)))
   and
   (m_o(G(f)) ≤ 0.01 or |o_f - o_{f_i}| ≤ 10 · m_o(G(f)))
   then
6:     G(f) := G(f) ∪ {f_i};
7:     update m_s(G(f));
8:     update m_o(G(f))
9:   else
10:    fault:=fault+1
11:  end if
12: until fault=3
    
```

The chosen parameter  $t_s$  depends on the size of the image. It should be noted that the Euclidean distance gives the candidates  $f_i$  for  $G(f)$  while the 7-distance  $d_7$  excludes some of them. Both distances are thus used.

## 5 RESULTS

For an evaluation we use examples from a database of about 200 images of the campus of our University. These are 8 bit greyscale images of dimension 1024x768. The images have been acquired in different weather conditions.

SIFT features which describe building characteristics were chosen for analysis. The characteristics analyzed in this paper are crossbars in windows (compare Fig.6) and narrow windows (compare Fig.7).

Of course, what is essential is not a built group  $G$  of features but their locations  $loc(G)$  in the image. For a further image analysis the positions of similar semantics in an image are important, not the features used to find those positions. Due to rounding errors the position of a feature in the discrete image may vary. If we speak about the same position we just mean a spatial distance of  $\leq 1$  pixel. There may be in some rare cases two features  $f, f'$  at the same position with a very close Euclidean and 7-distance that describe the same semantic object on different scales. For such objects only that one with the larger group is regarded in our evaluation analysis.

For all analyzed images the locations of those two characteristics (crossbar, narrow window) have been annotated by hand as ground truth. Only with such a ground truth an quantitative evaluation becomes pos-

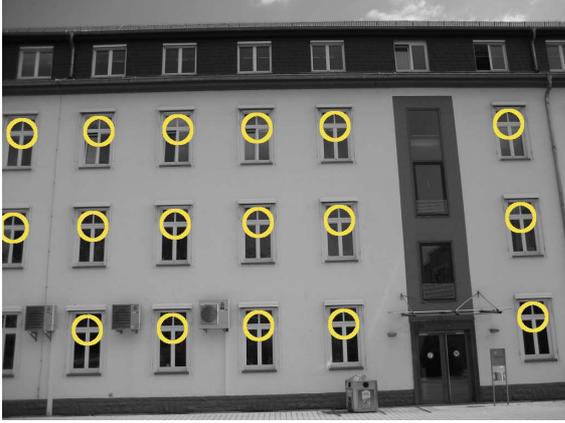


Figure 6: The characteristic crossbars are marked with circles.



Figure 7: The characteristic windows are marked with points.

sible. Let  $G$  be a group resulting from our algorithm in an image with a known ground truth  $GT$ . We measure the coverability rate  $CR(G, GT)$  and error rate  $ER(G; GT)$ :

$$CR(G, GT) := \frac{|loc(G) \cap GT|}{|GT|},$$

$$ER(G, GT) := \frac{|loc(G) - GT|}{|G|}.$$

The coverability rate states how good the ground truth locations were covered by  $G$ . The error rate states how much of  $G$  is outside the ground truth.

The grouping results differ in their quality depending on the chosen start feature. As a consequence, we run the algorithm with every feature of the ground truth as start feature. We then compute the mean CR and ER of all computed groups.

## 5.1 Crossbar Analysis

We have analyzed 25 images for the crossbars. Those images show buildings in different distances and angles.

Table 1: Evaluation: Crossbars.

ID	GT	CR			ER			
		min	max	mean	min	max	mean	
1	12	0.08	0.67	0.43	0.00	0.40	0.09	
2	20	1.00	1.00	1.00	0.00	0.00	0.00	
3	12	1.00	1.00	1.00	0.00	0.00	0.00	
4	9	0.11	0.56	0.37	0.00	0.33	0.06	
5	15	0.07	0.73	0.49	0.00	0.00	0.00	
6	14	0.07	0.86	0.71	0.00	0.00	0.00	
7	16	0.06	0.94	0.65	0.00	0.00	0.00	
8	9	0.11	0.44	0.27	0.00	0.50	0.05	
9	21	0.05	0.86	0.43	0.00	0.50	0.07	
10	14	0.14	0.71	0.56	0.00	0.14	0.02	
11	20	0.05	0.90	0.63	0.00	0.50	0.05	
12	17	0.06	0.88	0.75	0.00	0.00	0.00	
13	6	0.17	0.83	0.72	0.00	0.00	0.00	
14	12	0.08	0.58	0.32	0.00	0.00	0.00	
15	8	0.13	0.88	0.58	0.00	0.00	0.00	
16	12	0.08	0.92	0.79	0.00	0.08	0.04	
17	10	0.10	0.90	0.67	0.00	0.38	0.04	
18	12	0.09	0.82	0.48	0.00	0.50	0.05	
19	4	0.25	0.75	0.63	0.00	0.40	0.10	
20	6	0.67	1.00	0.89	0.00	0.00	0.00	
21	9	0.11	1.00	0.71	0.00	0.00	0.00	
22	10	0.10	0.70	0.51	0.00	0.00	0.00	
23	3	1.00	1.00	1.00	0.00	0.00	0.00	
24	2	1.00	1.00	1.00	0.00	0.00	0.00	
25	8	0.13	0.88	0.58	0.00	0.00	0.00	
mean:		0.64			mean:			0.02

Table 1 shows that the average coverability rate for all the images lies at 64% with a mean error rate of 2%. Column  $GT$  tells how many feature positions of that image belong to the ground truth. Only nearly two-thirds of all the wanted features got grouped correctly by the algorithm. But there is high variance in the results. The quality depends on the used start feature. For every start feature we get a fragment of the correct feature group (See Fig.8 where we represent the computed groups by quads). Interesting are images 2, 3, 23, and 24. In image 2 there are 20 feature location in the ground truth. No matter with which feature we start our algorithm always finds all locations correctly without any mistake.

In many images there is a maximum CR of 0.8 or higher. That means that there is the challenge to identify and to use these maximum values correctly.

Extreme examples for different qualities are shown in the figure 9, due to different start features.



Figure 8: Two feature groups "crossbar" with different start features marked by a encircled star.



Figure 9: A good (16 members) and a very bad (just one member) group due to different start features.

## 5.2 Narrow Window Analysis

We have evaluated 10 images for the narrow window analysis. The images show the building in different angles and under different weather conditions.

Table 2: Evaluation: Narrow windows.

ID	GT	CR			ER		
		min	max	mean	min	max	mean
1	27	0.59	1.00	0.95	0.00	0.00	0.00
2	28	0.04	0.96	0.84	0.00	0.50	0.01
3	23	0.04	0.91	0.73	0.00	0.06	0.00
4	28	0.04	1.00	0.80	0.00	0.00	0.00
5	14	0.07	1.00	0.76	0.00	0.00	0.00
6	25	0.08	0.96	0.85	0.00	0.00	0.00
7	19	0.16	1.00	0.83	0.00	0.57	0.06
8	11	0.09	0.90	0.62	0.00	0.00	0.00
9	26	0.04	0.88	0.72	0.00	0.33	0.02
10	28	0.04	0.96	0.84	0.00	0.50	0.15
mean:		0.79			0.03		

The evaluation shows even better results than the crossbar evaluation. The number of tested images is not as big as the number of crossbar images but the number of relevant features in the narrow window images is larger. The mean coverability rate of 79% with an error rate of 3% is an acceptable result.

## 6 CONCLUSIONS

We have presented a new approach to identify semantically similar objects by grouping SIFT features. As SIFT was intended for matching between different

images we cannot just group SIFT features by their Euclidean distance but have to considerate scale and orientation differently.

Work on this approach is by no means completed. On contrary, we see this as a start for an automatic grouping of semantics. Besides obvious variants of this algorithm worth to be investigated it is an interesting task to compute a semantic group independent of the chosen start feature. We will try to do this by merging overlapping feature groups with different start features.

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