

# LUCAS-KANADE INVERSE COMPOSITIONAL USING MULTIPLE BRIGHTNESS AND GRADIENT CONSTRAINTS

Ahmed Fahad and Tim Morris

*School of Computer Science, The University of Manchester, Kilburn Building, Oxford Road, Manchester, M13 9PL, UK*

Keywords: Lucas-Kanade, Inverse compositional, Motion, Warping.

Abstract: A recently proposed fast image alignment algorithm is the inverse compositional algorithm based on Lucas-Kanade. In this paper, we present an overview of different brightness and gradient constraints used with the inverse compositional algorithm. We also propose an efficient and robust data constraint for the estimation of global motion from image sequences. The constraint combines brightness and gradient constraints under multiple quadratic errors. The method can accommodate various motion models. We concentrate on the global efficiency of the constraint in capturing the global motion for image alignment. We have applied the algorithm to various test sequences with ground truth. From the experimental results we conclude that the new constraint provides reduced motion error at the expense of extra computations.

## 1 INTRODUCTION

Since the problem of motion computation is under-constrained, additional constraints are required for the estimation techniques. Techniques for estimating 2D camera motion constrain the motion explicitly by parameterization of the camera motion over the whole image using different motion models (translational, affine, pseudo projective, projective). In numerous dynamic scene analysis and video compression methods, it is useful to first recover the camera motion and then to detect and track moving objects in the scene. Parameter motion estimation methods can be classified into three categories:

- Error minimization with respect to motion parameters using differential methods.
- Error minimization with respect to motion parameters using matching techniques.
- Two-step methods consisting of local motion estimation followed by global motion estimation.

A comprehensive comparative survey by Barron et al. (Barron et al., 1994) found that gradient-based motion estimation methods (GMs) to perform well especially Lucas-Kanade (Lucas and Kanade, 1981). The usual approach of Lucas-Kanade is a gradient descent approach to estimate the parameters vector  $p$  associated with the parametric image registration. It

aligns a template image  $T(x)$  to an input image  $I(x)$ , where  $x=(x,y)$  is a column vector of pixel coordinates. The method searches for the best parametric transform that minimizes the summed square of differences between image intensities (SSD) over the whole image by an additive increment to the motion parameters. Other approaches estimate an incremental warp that is composed with the current parameter estimate (Baker and Matthews, 2004). Minimizing the SSD error using the Gradient descent approach (non-linear optimization) requires the partial derivatives of the equation with respect to  $\Delta p$ , which involves computing the inverse of the Hessian matrix that depends on the parameters  $p$ . The Hessian must be re-evaluated at each iteration of the Lucas-Kanade algorithm at a huge computational cost, but if the Hessian is constant it could be precomputed and reused. The Hager-Belhumeur algorithm (Hager and Belhumeur, 1998) addresses this difficulty by switching the role of the template and the image producing a Hessian that is independent of  $p$ . Baker and Matthews (Baker and Matthews, 2004) also switch the roles of the template and image but used composition to update the warps and called their algorithm the inverse compositional. The advantage of the inverse compositional algorithm is that it can be applied to any set of warps. Other approaches propose to address divergence problems of the iterative warping nature of Lucas-Kanade (Le

Besnerais and Champagnat, 2005). Other computational reduction approaches use a sampled set of pixels for parameter estimation and avoid computing interpolation at each iteration (Keller and Averbuch, 2003). In (Keller and Averbuch, 2004) a bidirectional formulation is introduced that speeds up the convergence properties for large motions. Recently, Thomas et al. (Brox et al., 2004), introduced a robust method to compute the optical flow by adding to the brightness data constraint of the energy functional another constraint: the gradient constraint. The new energy functional produced one of the best optical flow results in the current literature. Therefore, a comparison is needed to show the benefits of combining the gradient constraint with the brightness constraint for estimating the global motion.

Over-constraining the optical flow problem allows more precise determination of a solution. The use of redundant information enforces robustness with respect to measurement noise. Constraints can be obtained using several approaches by either applying the same equation to multiple points or defining multiple constraints for each image point. The later can be obtained by applying a set of differential equations (Bimbo et al., 1996) or applying the same set of equations to different functions which are related to image brightness. When the image motion conforms to the model assumptions it produces accurate flow estimates. However, the problem is that parametric motion models applied over the entire image are rarely valid due to varying depths, transparency or independent motion. Therefore, it is useful to use robust statistics to estimate a dominant motion in the scene and then fit additional motions to outlying measurements (Black and Jepson, 1996, Irani et al., 1994). The outlying measurements which are grouped together and segmented correspond to independently moving objects and their motion is estimated independently. It is also well-known that the use of multiresolution methods improves the estimation for large motions (Odobez and Bouthemy, 1995). Spatiotemporal information gives better results than spatial information (Barron et al., 1994), and specifically, spatiotemporal neighbourhood information assists in obtaining better estimates for the motion vectors (Namuduri, 2004).

In this paper, we begin in section 2 by reviewing the inverse compositional Lucas-Kanade algorithm using only the brightness constancy. We proceed in section 3 to elaborate on the constancy assumptions by using the gradient constancy alone or combined with the brightness constancy. In section 4 we

propose a new data constraint that combines the brightness constancy with the gradient constancy using multiple quadratic error functions. We compare empirically the different data constraints in section 5 both in terms of performance and speed. We conclude in section 6.

## 2 INVERSE COMPOSITIONAL IMAGE ALIGNMENT

Let  $W(x,p)$  denote a warping function that takes the pixel  $x$  and maps it to subpixel location  $W(x,p)$  where  $p=(p_1, \dots, p_n)^T$  is a vector of motion parameters. The goal of the inverse compositional (Baker and Matthews, 2004) is to align a template image  $T(x)$  to an input image  $I(x)$ , where  $x=(x,y)^T$  is a vector of pixel coordinates. The inverse compositional minimizes the sum of the squared differences (SSD) between the current frame  $T$  and the motion compensated frame  $I$

$$E_{BC}(p) = \sum_x [T(W(x;\Delta p)) - I(W(x;p))]^2 \quad (1)$$

with respect to  $\Delta p$ , where  $\Delta p$  is the incremental update to the motion parameters  $p$  by updating the warp:

$$W(x;p) \leftarrow W(x;p) \circ W(x;\Delta p)^{-1} \quad (2)$$

Computing the backward warp of the image  $I(W(x;p))$  requires interpolating the image at subpixel locations. Before deriving the solution, a first order Taylor expansion is performed on (1):

$$\sum_x \left[ T(W(x;0)) + \nabla T \frac{\partial W}{\partial p} \Delta p - I(W(x;p)) \right]^2 \quad (3)$$

where  $W(x;0)$  is the identity warp. Solving the least squares equation for  $\Delta p$  gives:

$$\Delta p = H^{-1} \sum_x \left[ \nabla T \frac{\partial W}{\partial p} \right]^T [I(W(x;p)) - T(x)] \quad (4)$$

where  $H$  is the Hessian matrix:

$$H = \sum_x \left[ \nabla T \frac{\partial W}{\partial p} \right]^T \left[ \nabla T \frac{\partial W}{\partial p} \right] \quad (5)$$

Assuming affine warp  $p=(p_1,p_2,p_3,p_4,p_5,p_6)$ ,

$$W(x;p) = \begin{pmatrix} 1+p_1 & p_3 & p_5 \\ p_2 & 1+p_4 & p_6 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} \quad (6)$$

and the Jacobian of the warp  $\partial W / \partial p$  is then:

$$\begin{pmatrix} \frac{\partial W_x}{\partial p_1} & \frac{\partial W_x}{\partial p_2} & \dots & \frac{\partial W_x}{\partial p_n} \\ \frac{\partial W_y}{\partial p_1} & \frac{\partial W_y}{\partial p_2} & \dots & \frac{\partial W_y}{\partial p_n} \end{pmatrix} = \begin{pmatrix} x & 0 & y & 0 & 1 & 0 \\ 0 & x & 0 & y & 0 & 1 \end{pmatrix} \quad (7)$$

The inverse compositional algorithm using the brightness constancy (BC) then consists of iteratively applying (4) and (2). In Summary:

Precompute:

- (1) Evaluate the gradient  $\nabla T$  of the template  $T(x)$
- (2) Evaluate the Jacobian  $\partial W / \partial p$  at  $(x;0)$
- (3) Compute the steepest descent images  $\nabla T (\partial W / \partial p)$
- (4) Compute the Hessian matrix using (5).

Iterate:

- (5) Warp  $I$  with  $W(x;p)$  to compute  $I(W(x;p))$
- (6) Compute the error image  $I(W(x;p))-T(x)$

$$(7) \text{ Compute } \sum_x \left[ \nabla T \frac{\partial W}{\partial p} \right]^T [I(W(x;p))-T(x)]$$

- (8) Compute  $\Delta p$  using (4)
- (9) Update the warp using (2)

Until  $\|\Delta p\| \leq \epsilon$ .

### 3 VARIANT CONSTANCY ASSUMPTIONS

Although the brightness constancy (BC) assumption works well, it cannot deal with either local or global changes in illumination. Other constancy assumptions such as the gradient constancy assumption (which assumes the spatial gradients of an image sequence to be constant during motion) are applied. A global change in illumination affects the brightness values of an image by either shifting or scaling or both. Shifting the brightness will not change the gradient; scaling affects the magnitude of the gradient vector but not its direction.

The inverse compositional using the gradient constancy (GC) minimizes the sum of the squared differences (SSD) between the gradient of the current frame  $\nabla T$  and the gradient of motion compensated frame  $\nabla I$

$$E_{GC}(p) = \sum_x \left[ \nabla T (W(x;\Delta p)) - \nabla I (W(x;p)) \right]^2 \quad (8)$$

with respect to  $\Delta p$  then updates the warp using (2). Performing a first order Taylor expansion:

$$\sum_x \left[ \nabla T (W(x;0)) + \nabla(\nabla T) \frac{\partial W}{\partial p} \Delta p - \nabla I (W(x;p)) \right]^2 \quad (9)$$

Solving the least squares equation for  $\Delta p$  gives:

$$\Delta p = H^{-1} \sum_x \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]^T [\nabla I (W(x;p)) - \nabla T(x)] \quad (10)$$

where  $H$  is the Hessian matrix:

$$H = \sum_x \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]^T \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right] \quad (11)$$

The GC inverse compositional algorithm using the gradient constraint then consists of iteratively applying (10) and (2).

Not all constancy assumptions based on derivatives perform equally well, neither are they well-suited to estimate different types of motion. Over-constraining the problem and using redundant information allows for estimation robust against noise. Using the brightness constraint and the gradient constraint in the inverse compositional (BC GC) minimizes the sum of the squared differences (SSD) between the current frame  $T$  and the motion compensated frame  $I$  and also minimizes the sum of the squared differences (SSD) between the gradient of the current frame  $\nabla T$  and the gradient of motion compensated frame  $\nabla I$

$$E_{BC\_GC}(p) = \sum_x \left( [T(W(x;\Delta p)) - I(W(x;p))]^2 + [\nabla T (W(x;\Delta p)) - \nabla I (W(x;p))]^2 \right) \quad (12)$$

with respect to  $\Delta p$  then updates the warp using (2). Performing a first order Taylor expansion and solving the least squares equation for  $\Delta p$  gives:

$$\Delta p = H^{-1} \sum_x \left[ \nabla T \frac{\partial W}{\partial p} \right]^T [I(W(x;p))-T(x)] + H^{-1} \sum_x \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]^T [\nabla I (W(x;p)) - \nabla T(x)] \quad (13)$$

where  $H$  is the Hessian matrix:

$$H = \sum_x \left[ \nabla T \frac{\partial W}{\partial p} \right]^T \left[ \nabla T \frac{\partial W}{\partial p} \right] + \sum_x \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]^T \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right] \quad (14)$$

The BC GC inverse compositional algorithm using the brightness and gradient constraint then consists of iteratively applying (13) and (2).

While the previous approach allows both the brightness constraint and the gradient constraint to compete for minimizing the error, another approach would combine the brightness and the gradient constraints using one quadratic error. The combined inverse compositional (BC+GC) minimizes the sum of the squared differences (SSD) between the brightness of the current frame  $T$  and the motion compensated frame  $I$  plus the gradient of the current frame  $\nabla T$  and the gradient of motion compensated frame  $\nabla I$

$$E_{BC+GC}(p) = \sum_x [T(W(x; \Delta p)) - I(W(x; p)) + \gamma(\nabla T(W(x; \Delta p)) - \nabla I(W(x; p)))]^2 \quad (15)$$

with respect to  $\Delta p$  then updates the warp using (2).  $\gamma$  is a balancing constant. Performing a first order Taylor expansion and solving the least squares equation for  $\Delta p$  gives:

$$\Delta p = H^{-1} \sum_x \left[ [\nabla T + \gamma \nabla(\nabla T)] \frac{\partial W}{\partial p} \right]^T [I(W(x; p)) - T(x) + \gamma(\nabla I(W(x; p)) - \nabla T(x))] \quad (16)$$

where  $H$  is the Hessian matrix:

$$H = \sum_x \left[ [\nabla T + \gamma \nabla(\nabla T)] \frac{\partial W}{\partial p} \right]^T \left[ [\nabla T + \gamma \nabla(\nabla T)] \frac{\partial W}{\partial p} \right] \quad (17)$$

The BC+GC inverse compositional algorithm using the combined brightness and gradient constraint then consists of iteratively applying (16) and (2).

#### 4 MULTIPLE COMBINED BRIGHTNESS AND GRADIENT CONSTRAINTS

The previous data constraints produced different results for each frame and some data constraints achieved better results for some frames while failing to compete at other frames. Therefore, a better data constraint would exploit the advantages of each data constraint in a combined constraint to yield better results. Consequently, we propose to combine the brightness constraint combined with the gradient

constraint in equation (15) to compete with the gradient constraint in equation (8). The new proposed constraint multiple combined (CBG) achieved better results over all sequences applied when compared to ground truth. The new inverse compositional algorithm minimizes the sum of the squared differences (SSD) between the brightness  $T$  and the gradient  $\nabla T$  of the current frame and the motion compensated frame  $I$  and its gradient  $\nabla I$  and also minimizes the sum of the squared differences between the gradient  $\nabla T$  and the motion compensated frame gradient  $\nabla I$

$$E_{CBG}(p) = \sum_x [T(W(x; \Delta p)) - I(W(x; p)) + \gamma(\nabla T(W(x; \Delta p)) - \nabla I(W(x; p)))]^2 + \sum_x \alpha [\nabla T(W(x; \Delta p)) - \nabla I(W(x; p))]^2 \quad (18)$$

with respect to  $\Delta p$  then updates the warp using (2). Performing a first order Taylor expansion solving the least squares equation for  $\Delta p$  gives:

$$\Delta p = (H_1 + H_2)^{-1} \sum_x \left[ [\nabla T + \gamma \nabla(\nabla T)] \frac{\partial W}{\partial p} \right]^T [I(W(x; p)) - T(x) + \gamma(\nabla I(W(x; p)) - \nabla T(x))] + \alpha (H_1 + H_2)^{-1} \sum_x \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]^T [\nabla I(W(x; p)) - \nabla T(x)] \quad (19)$$

where  $H_1$  and  $H_2$  are:

$$H_1 = \sum_x \left[ [\nabla T + \gamma \nabla(\nabla T)] \frac{\partial W}{\partial p} \right]^T \left[ [\nabla T + \gamma \nabla(\nabla T)] \frac{\partial W}{\partial p} \right] \quad (20)$$

$$H_2 = \sum_x \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]^T \left[ \nabla(\nabla T) \frac{\partial W}{\partial p} \right]$$

The CBG inverse compositional algorithm using the multiple combined brightness and gradient constraint then consists of iteratively applying (19) and (2).

#### 5 EXPERIMENTS

We performed our experiments on three synthetic image sequences that have ground truth: Yosemite sequence, Office sequence and Street sequence ([www.katipo.otago.ac.nz/research/vision/](http://www.katipo.otago.ac.nz/research/vision/)). The algorithms have been implemented in Matlab on a 1.5 GHz Intel Centrino. The moving objects

considered are the sky in the Yosemite sequence, the car in the Street sequence. The Office sequence does not have any moving objects. The balancing factor between the brightness and gradient  $\gamma$  is fixed for all sequences and all equations at 5 or 10. All the algorithms require between 10 and 25 iterations to converge. Most importantly, the algorithms all converge equally fast. We only include the results using the affine motion. In this experiment we estimate the global motion of each scene using the five data constraints. Figure 1 shows the mean square error between the estimated global motion and the correct one using the five data constraints. A direct comparison between the angular errors of the method using the multiple combined constraints and the other constraints respectively, quantifies the improvement achieved with our technique. The Iterative reweighted least squares method is sufficient to reject outliers because the moving objects are small compared to the global motion in each sequence. Figures 1,2 and 3 shows that our method is globally the best compared to other approaches, however other constraints perform better in some frames. Therefore, in the future, we intend to investigate different robust functions that respect occlusion and other anomalies.

Table 1 shows a comparison of the average angular error (AAE) of the estimated flow compared to the correct motion for each sequence using the five data constraints. The results are only for affine warp. The first thing to notice in Table 1 is that the best performing data constraint is the Multiple combined CBG. The second thing to notice is that the brightness constraint performs fairly compared to other constraints. In general, we expect the multiple combined data constraint to perform better because it uses a more sophisticated estimate of the Hessian. That expectation, however, relies on the assumption that the estimate of the Hessian is noiseless.

In the next experiment we tested our data constraints on a real sequence. The sequence represents zooming by a walking person. Effectively, the brightness constraint gives the lowest mean intensity error among all other approaches. However, this small error does not reflect better estimation. Figure 4 shows the RMS intensity error for the Yosemite sequence with the BC having the smallest intensity error.

Figure 5 shows the average time taken when processing each data constraint and we notice that our new data constraint is more complicated and requires more computation compared to the simple

brightness constraint. This trade off between quality and time favours the brightness constraint.

In the last experiment, we test the data constraints using different robust approximations to the inverse compositional algorithm. Dividing the frame into blocks and estimating the Hessian on each block of pixels allows for a robust inverse compositional without iterative computation to the Hessian. The final Hessian equals the sum of all block Hessians then the motion parameters are estimated. This local estimation to the Hessian reflects the strength of the data constraint globally. Figure 6 shows the result on the Yosemite sequence.

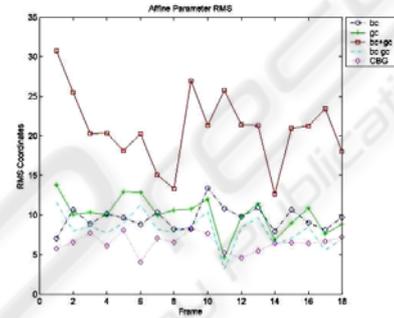


Figure 1: Street sequence average RMS coordinates error.

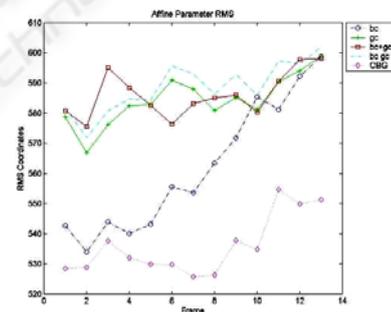


Figure 2: Yosemite sequence average RMS coordinates error.

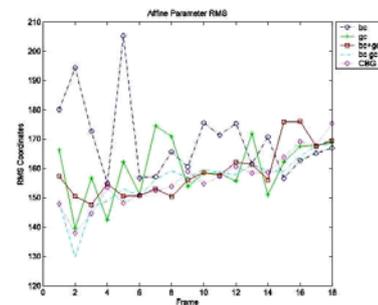


Figure 3: Office sequence average RMS coordinates error.

## 6 CONCLUSIONS

We have described two data constraints for image alignment using the inverse compositional algorithm. When applied to synthesized image sequences, the method is capable of delivering smaller error rates compared to known data constraints.

## REFERENCES

Baker, S. and I. Matthews (2004). Lucas-Kanade 20 Years On: A Unifying Framework. *International J. of Computer Vision* 56(3): 221-255.

Barron, J. L., D. J. Fleet, et al. (1994). Performance of Optical Flow Techniques. *International J. of Computer Vision*, 12(1): 43-77.

Bimbo, A. D., P. Nesi, et al. (1996). Optical Flow Computation Using Extended Constraints. *IEEE Trans. on Image Processing* 5(5): 720-732.

Black, M. J. and A. Jepson (1996). Estimating Optical Flow in Segmented Images using Variable-order Parametric Models with Local Deformations. *IEEE Trans on PAMI*, 18(10): 972 - 986.

Brox, T., A. e. Bruhn, et al. (2004). High Accuracy Optical Flow Estimation Based on a Theory for Warping. *8th European Conf. on Computer Vision*. Springer.

Hager, G. D. and P. N. Belhumeur (1998). Efficient region tracking with parametric models of geometry and illumination. *IEEE Trans. PAMI*, 20(10): 1025-1039.

Irani, M., B. Rousso, et al. (1994). Computing Occluding and Transparent Motions. *International Journal of Computer Vision* 12(1): 5-16.

Keller, Y. and A. Averbuch (2003). Fast Gradient Methods Based on Global Motion Estimation for Video Compression. *IEEE Transactions on circuits and systems for video technology* 13(4): 300-309.

Keller, Y. and A. Averbuch (2004). Fast Motion Estimation Using Bidirectional Gradient Methods. *IEEE Trans. on Image Processing* 13(8): 1042-1052.

Le Besnerais, G. and F. Champagnat (2005). Dense optical flow by iterative local window registration. *IEEE International Conference on Image Processing*.

Lucas, B. D. and T. Kanade (1981). An Iterative Image Registration Technique with an Application to Stereo Vision. *Proceedings of Seventh International Joint Conference on Artificial Intelligence*, Canada.

Namuduri, K. R. (2004). Motion estimation using spatio-temporal contextual information. *IEEE Trans. on circuits systems for video technology* 14(8): 1111-1115.

Odobez, J. M. and P. Bouthemy (1995). Robust Multiresolution estimation of parametric motion models. *Inter. J. of Visual Communication and Image Representation* 6(4): 348-365.

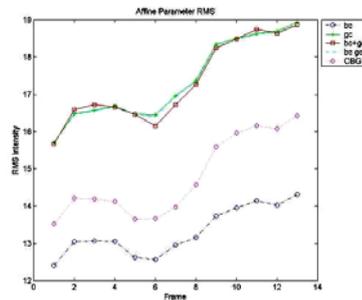


Figure 4: Yosemite sequence average RMS intensity error. The small intensity error for the BC data constraint does not reflect correct motion compared to ground truth.

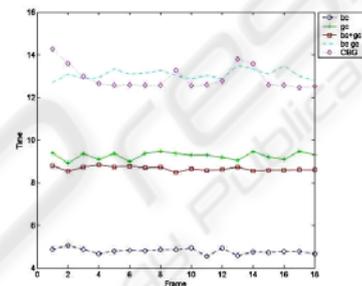


Figure 5: Timing results computed for each data constraint.

Table 1: RMS mean error for the five data constraints applied to street, yosemite and office sequence.

Average RMS for	Street	Yosemite	Office
BC	9.59	561.97	169.64
GC	10.14	584.34	159.99
BC+GC	20.96	586.14	158.69
BC_GC	8.18	588.66	155.88
CBG	6.48	535.92	156.34

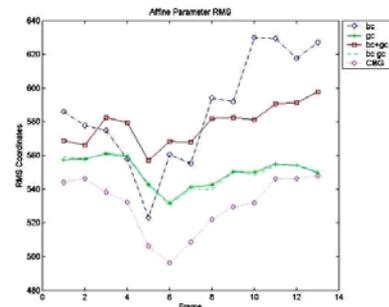


Figure 6: Yosemite sequence average RMS coordinates error by local estimation of the Hessian on each block.