EFFICIENT MOTION COMPENSATION ARCHITECTURE WITH RATE-DISTORTION OPTIMIZATION FOR H.264/AVC

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Abstract: In this paper, a novel motion compensation architecture is proposed to support the Rate-Distortion Optimization(RDO) in H.264/AVC. First, the scope of the motion compensation in this work is defined not only including the half and quarter pixel motion compensation but also the deblocking filter and rate-distortion optimazation. Then, base on the new concept of motion compensation an efficient architecture for H.264/AVC codec is constructed. Proposed architecture could select the best mode for INTRA macroblocks using the lagrange function by calculating the distortion and the generated bits. It could also calculate the lagrange function for INTER macroblocks by receiving the motion vector information and the interpolation data from the ME(Motion Estimation) module to construct a complete rate distortion optimization architecture. Pipelined processing structure is designed for sub-block mode selection to achieve real-time processing for up to HDTV resolution inputs. Implementation result shows that proposed architecture could be realized with only 42,280 gates and 48,320 bits SRAM.

1 INTRODUCTION

H.264/AVC inherits a so-called MC-DCT based structure with several new features by which can achieve higher coding efficiency. The basic coding tools are still the motion compensation, DCT-based transform, and variable length coding. However, besides the traditional algorithms recommended in the traditional coding standards H.264/AVC introduced some new features by which it achieved about 50% bit saving. For the INTER macroblocks half and quarter pixel precision motion estimation and up to 16 reference frames motion estimation are available. On the other hand, for the INTRA macroblocks several new types prediction mode are able to be used to decrease spatial coding redundancy. An improved 5-tap filter is also introduced to decrease subjective block noise. Base on the trade off of the implementation complexity and the coding efficiency H.264/AVC defines a set of coding tools that can be used to generate a compliant bitstream and group it into 3 profiles, baseline profile, extended profile and main profile. Another novel high profile is proposed recently to addict some new coding tools for high resolution applications. To all of these profiles, the motion compensation including the half and quarter pixel and multi-frame motion searching, intra block coding, deblocking filter are basic coding tools and necessary to be implemented.

Together with the achieved high coding efficiency these new coding tools also increased the computational complexity. Another novel Rate-Distortion Optimization(RDO) is also introduced to always keep the optimal trade off between the coding efficiency and the image quality. The RDO process combining the INTRA mode selection, INTER mode selection, and the bit generating process to select the optimal coding mode. However, it induces drastic computation complexity increasing due to the multiple times exhausted pre-coding process. There are several reports concerning the complexity reduction(C. S. Kim and Kuo, 2003)-(Y. L. Xi and Hu, 2006), especially the complexity reduction for motion estimation(C. S. Kim and Kuo, 2003)-(C. S. Kim and Kuo, 2006) and the mode selection algorithms for the IN-TRA macroblocks (Y. K. Tu and Tsai, 2005)-(Y. L. Xi and Hu, 2006) are proposed. However, all of these proposals are not concerning the hardware implementation of the total rate distortion optimization.

There are also some works about the implementation of the H.264/AVC codec(U. J. Kapasi and Owens, 2003)-(Y. Song and Ikenaga, 2006). There are some single CPU or multi-CPU solutions are proposed(U. J. Kapasi and Owens, 2003)-(Y. W. Huang and Chen, 2005). However, to fulfill the full coding efficiency of the H.264/AVC, CPU with hardware accelerator or an ASIC solution are considered as the reasonable solution for real-time applications especially for those HDTV resolution implementations. There are some architectures(Y. Song and Ikenaga, 2006)-(Y. W. Huang and Chen, 2003) proposed to decrease the computation complexity with parallel processing method. However, all of these proposals so far are concerning the mode selection for INTRA and INTER macroblocks. For example, Chen's architecture(Y. W. Huang and Chen, 2005), Huang's architecture(Y. W. Huang and Chen, 2003) for INTRA frame and Song's architecture (Y. Song and Ikenaga, 2006) for motion estimation are designed individually without consideration of the RDO. From the viewpoint of the system implementation of H.264/AVC the mode selection for INTRA and INTER macroblocks, the Integer DCT transform, Quantization and CAVLC coding are not able to be considered separately.

In this paper, we firstly construct a new concept of the motion compensation with some coding tools embedded to achieve high performance hardware implementation for high resolution applications. Then an efficient total architecture with RDO implementation will be proposed. After the discussion on the architecture the details of the proposed MC architecture will be described. Section 2 introduces the concept and the scope of the proposed motion compensation and the proposed RDO architecture. In section 3 the proposed architecture of MC is outlined with several subsections to discuss the proposed INTRA mode selection methods and the detail of proposed architecture. In section 4 the implementation results are shown and in section 5 the conclusion remarks are addressed.

2 PROPOSED ARCHITECTURE FOR RDO

To fulfill the efficient architecture of motion compensation we expend the concept of motion compensation which make it not only include half and quarter concise compensation but also include deblocking filter, INTRA mode selection and a RDO calculation function. Fig. 1 shows the proposed total codec architecture to perform MB-based pipeline real-time encoding.

As shown in Fig. 1, there are INTRA mode optimization loop and INTER mode optimization loop individually. For INTER macroblock the process start from the motion estimation process. After calculating each mode the ME(Motion Estimation) module



Figure 1: The concept of proposed architecture.

generates motion vector and the interpolation data for the sub-pixel motion compensation, then the distortion is calculated by the following local decode process which includes the MC-(Motion Compensation differential), DCT(Discrete Cosine Transform), Q(Quantization), IQ(Inverse Quantization) and the MC+(Motion Compensation construction) process. Together with the generated bits information the RDO module could calculate the coding cost for this mode. After repeating this process for each mode, the best coding mode will be selected. On the other hand, for the INTRA mode selection loop, it starts from the calculation of the distortion for each I16x16 and I4x4 mode by the same local decoding process. The generated bits information which is generated by the VLC(Variable Length Coding) is also sent to the RDO module to select the best mode for all INTRA modes. In the end the coding cost is compared with the best mode for INTRA and INTER mode to decide the final mode for one macroblock. After the mode selection and the motion compensation process, the deblocking filter process is performed. This proposed architecture extremely balances the ME and the RDO process at the computation complexity. In this paper, our design interest emphasizes on the design of an efficient MC(Motion Compensation) module to realize high performance coding.

3 PROPOSED ARCHITECTURE OF MC

MC is one of the important processes in the MB pipelined codec. Carefully considering the cooperation with the ME and the DQ modules, we proposed an efficient MC architecture. Fig. 2 shows the details of the proposed architecture.

As described in the Fig. 2, proposed architecture consists of a arithmetic logic unit(ALU), a register



Figure 2: Proposed MC architecture.

files and data buffers. The ALU makes the role of the calculation of INTRA interpolation, deblocking filter and RDO cost. The register files are used as a temporary data buffer for calculating and memory access. The data buffers include a Current data buffer which is provided to keep the pixel data of current macroblock. The Reference buffer is to save the reference pixel data which is used to make the INTRA interpolation data. To alternately keep the differential and the residual data, a double buffer is used. Another Inter interpolation buffer is embedded to receive the interpolation data from the sub-pixel motion compensation for the INTER macroblocks. Based on the proposed architecture, the MC process timing could be concluded by Fig. 3.

As described in the Fig. 3, proposed architecture could accomplish the coding and decoding process. The motion compensation process starts from the data input of the current coding macroblock and the reference data and ends at the completion of the data output after the deblocking filtering process. The majority processes are the mode selection loop for both IN-TRA and INTER macroblocks. For the INTRA type macroblocks there are 9 times pre-codings for the 4x4 blocks and 4 times pre-coding for the 16x16 block. Depending on the ME processing, there are maximum 16 times pre-coding for INTER type macroblocks with differential block size. For both INTER and IN-TRA type macroblocks, the pre-coding for the RDO process includes MC-(Motion Compensation differential), DQ(DCT, Q, IQ and IDCT), MC+(Motion Compensation reconstruction) and COST(Cost calculation for RDO) steps. Therefore, it is obvious that to realize high throughput motion compensation the implementation key point is on how to decrease the coding mode for both INTER and INTRA type macroblocks and the design of the pipeline processing architecture.

Because the strong correlation between the adja-

cent macrobloks and sub-blocks, it is difficult to realize sub-MB pipelined architecture. Proposed architecture designs a pipeline architecture for the memory input/output, Intra interpolation calculation and the MC routine process. Together with this pipeline architecture, the candidate modes reduction method and the high performance DQ module are proposed and it will be described in the next subsection.

3.1 MC Encoding Routine Process

The mode selection process is mainly a loop for the MC-, DQ, MC+ and the cost calculation. Fig. 4 shows the core of the MC process includes a MC-, DQ, MC+ and Cost calculation for each mode.



Figure 4: Motion compensation routine process.

As the Fig. 4 shows, before the MC process, the INTRA or INTER interpolation data has to be prepared by the INTRA Interpolation module and the ME module. The current MB data is pre-prepared in the current data buffer which is constructed by four distributed SRAM with the size of 64 words individually. This four distributed SRAMs ensure the 16 pixel data could be read out in the same cycle. After the MC- process, the residuals and the interpolation data are saved into the Differential and Reference buffers individually. Two sets of the Differential and Reference buffers are available to be alternately used accomplish the sub-block pipeline encoding. Each Differential and Reference buffer is also consisted of 4 SRAMs to ensure the parallel data access. Then the residuals of MC are sent to DQ module for the local decoding process. The reconstructed residuals are added back to the interpolation data to reconstruct the decoded pixel data by the MC+ process and the coding distortions are calculated as well. At the last stage the RDO module gathers the distortion and the generated bits to select the best coding mode.



Figure 3: The pipeline timing of the proposed architecture.

3.2 Intra Mode Selection

In this paper a new mode selection algorithm is proposed included with a 3-step mode selection method and a adaptive compensation process. Proposed method first focuses on the features of the 9 modes for the 4x4 blocks. The 9 modes for the 4x4 blocks could be classified into two groups, one group includes the mode0, mode7, mode5 and mode3 representing the vertical direction components and the other group includes the mode1, mode 4, mode6 and mode8 representing the horizontal direction components. The proposed 3-step mode selection method is described in Fig. 5.

As described in Fig. 5, proposed method first calculates the coding cost of the mode0 and mode1. Based on the comparison of these two coding costs, the next possible coding mode is selected in the next step. At the third step another selection of possible mode is performed to choose the best mode. As a result the coding cost for mode0, mode1 and mode2 is always calculated. However, only the coding costs of the 2 modes in the left 6 modes are calculated.

However, sometimes the possible coding mode estimation in the step2 and step3 could make mistake. To overcome this mis-estimation another compensation process is proposed. The flow-chart of this process is described in Fig. 6.



Figure 5: Proposed 3-step mode selection algorithm.

As the Fig. 6 shows, proposed method sets a threshold value SH which is the average of the minimum cost of the top and the left blocks. Then after calculating the best mode, using the proposed 3-step algorithm the minimum $cost(cost_{min})$ is compared with the TH. If the $cost_{min}$ is bigger than the TH, the left mode will be selected as the candidate coding mode again to search a better mode.

After the mode decision for all the 4x4 blocks, 16 best modes are available. By using this data the best mode for 16x16 is also predictable. Simulation results show that if most of the 4x4 blocks select the same mode, there is high possibility to select the same



Figure 6: Proposed mode selection algorithm for 4x4 blocks.

mode for 16x16 block. Utilizing this feature an IN-TRA mode prediction method for the 16x16 blocks is proposed. The detail of this method is described in Fig. 7.



Figure 7: Proposed mode selection algorithm for 16x16 blocks.

If the selected mode for 4x4 blocks is concentrated in mode0 or mode1, there is a high possibility for the mode0 or mode1 to be the best mode for the 16x16 block too. In proposed method, if the number of the mode0 or mode1 is over 12, it is selected as the final mode without other mode selection processings. When the number of the same mode0 or mode1 is smaller than 12 but bigger than 9, it is set to be an candidate. Otherwise, it isn't thought to be estimated. Then all the modes have to be calculated as an candidate.

3.3 Dq Architecture

The DQ module is constructed by DCT, Q, IDCT and IQ module. There are some works concerning the effi-

cient DCT and Quantization architectures(H. S. Malvar and Kerofsky, 2003)-(Q. Wang and Ma, 2005). (H. S. Malvar and Kerofsky, 2003) gives the concept of the low complxity DCT and the Quantization process for H.264/AVC and the (K. H. Chen and Wang, 2006) gives the most high throughput performance DCT architecture which make the 4x4 DCT could be performed in two cycles. (D. M. Zhang and Yu, 2007) proposes a complexity reduction method for DCT. Observing that many blocks obtain all-zero coefficient after the quantization process, (H. L. Wang and Kok, 2006) proposes an all-zero check method to omit the DCT-Q-IQ-IDCT routine. This work adopt these excellent methods and architectures which make the DQ process accomplished within 2 cycles for one 4x4 sub-block.

3.4 Deblocking Filter Architecture

There are several architectures proposed to process the deblocking filter faster with efficient memory access and small register arrays(Y. W. Huang and Chen, 2003)-(T. M. Liu and Lee, 2005). In the latest proposal Shih's architecture achieves the DF in about 200 cycles for one macrobock(S. Y. Shih and Lin, 2006). Similar processing method as described in Shih's work is adopted in the architecture.

4 SIMULATION RESULTS

First, we evaluate the proposed INTRA mode selection method from the viewpoint of the complexity decrease and the image quality. Simulation results show that the average hit rate is about 90%. Then, the improved 3-step algorithm is also evaluated. Several simulation results show that the parameter β gives the best performance when it is equal to 1. When using this β average 54% of the computation complexity could be reduced with almost no PSNR decrease.

4.1 Cycle Consumption

The proposed architecture is evaluated from the viewpoint of the cycle consumption and the implementation cost. The cycle consumption for one macroblock is concluded in the Tab. 1

This simulation results show that even at the worst case the architecture could fulfill the real-time encoding for HDTV(720p) applications.

Functions	cycles
INTRA mode selection(4x4)	288
INTRA mode selection(16x16)	332
INTER mode calculation	97
For Cb,Cr	230
Deblocking Filter	200
Total	1137

Table 1: Cycle consumption.

4.2 Hardware Cost

Proposed architecture is described by Verilog-HDL and synthesized using synopsis CAD tools. The simulation results are shown in Table 2.

Table 2: Implementation results.

#Gates	42,280
#SRAM(bits)	48,320
#Max Clock Freq.	128MHz

From these simulation results, it is obvious that the proposed architecture could be obtained with acceptable hardware cost.

5 CONCLUSION

In this paper, a novel motion compensation architecture with the embedded RDO for H.264/AVC is proposed. Proposed method can be realized by only about 42,280 gates and 48,320 bits SRAM.

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