

# A SERVICE-ORIENTED FRAMEWORK FOR MANNED AND UNMANNED SYSTEMS TO SUPPORT NETWORK-CENTRIC OPERATIONS

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**Abstract:** Network-centricity and autonomy are two buzzwords that have found increasing attention since the beginning of this decade in both, the military and civil domain. Although various conceptions exist of which capabilities are required for a system to be considered network-centric or autonomous, there can hardly be found proposals or prototypes that describe concrete transformations for both capabilities into software. The presented paper reviews work accomplished at EADS Military Air Systems driven by the need to develop an infrastructure that supports the realisation of both concepts in software with respect to traditional and modern software engineering principles, e.g., re-use and service-oriented development. This infrastructure is provided in form of a prototypical framework, accompanied by configuration and monitoring tools. Tests in a complex scenario requiring network-centricity and autonomy have shown that a significant technical readiness level can be reached by using the framework for mission software development.

## 1 INTRODUCTION

Network-centricity is a concept that becomes increasingly interesting to both, the military and civil domain. It represents more than just connectivity across systems and nodes, but between people in the information and cognitive domains (NCOIC, 2005). Be it military operations, international peacekeeping missions, large-scale commercial applications or natural disasters, the complexity requires cooperating entities, collaborating to provide sufficient information, resources and services to the collective. As some mission are considered to be “dull, dirty and dangerous” (Freed et al., 2004), the use of unmanned autonomous systems becomes an increasingly popular alternative. Hence, manned and unmanned systems need to be considered within *Network-centric Operations (NCOs)*.

Prerequisite for a fast, efficient and effective collaboration in highly-dynamic missions is the creation of a common understanding based on distributed situ-

ational information by means of an adequate IT infrastructure, comparable to the *Global Information Grid (GIG)* (Alberts, 2003). Participating systems in NCOs do not necessarily form a homogeneous collective but are usually composed of a patchwork of disparate technologies, such as different communication protocols, transport medias, software architectures, processing platforms, or knowledge-based systems. The consolidation of these technologies demands a proper architectural concept for system interconnection and the availability of a corresponding framework implementation. This problem is acknowledged by the DoD Architecture Framework (DoD, 2003a) but lacks an implementation.

The construction of such an architectural framework is a very complex task due to its interdisciplinary nature. Although plenty of applicable software standards (CORBA, FIPA, HLA, WSDL, ...) exist, composition is difficult because standards usually were designed independently of each other but in this context have to work together. A further problem

arises from the fact, that it is partly unclear how technologies will evolve. Up to now, only few correlation efforts have been made, e.g., JTA (DoD, 2003b) and NC3TA (NATO, 2005), but those lack software engineering aspects. Projects which do provide an implementation, e.g., OASIS (OASIS, 2006), COE (SEI, 1997) or JAUS (JAUS, 2006), concentrate on particular aspects but lack a holistic generic approach.

Thus, in this paper we combine NCOs and autonomous systems approaches by presenting a framework capable of constructing and assembling mission software sufficing the needs especially of airborne, but also ground-based or maritime participants, either manned or unmanned in collaborative missions.

## 2 DISTRIBUTED AUTONOMY REFERENCE FRAMEWORK

### 2.1 Design Principles

The conceptual development of a framework is driven by the need to cover two fundamental principles, that is, autonomy and NCOs. The combination of both principles is modelled in the following using a military analogy, but results can easily be mapped to the civil domain. While NCO serves in both domains to gain information superiority, autonomy in military systems is usually associated with unmanned vehicles but can also appear in command and control structures. A typical example is the so called *Auftragstaktik* (von Clausewitz, 1832). The core question is on how to transform these basic principles thoroughly into software.

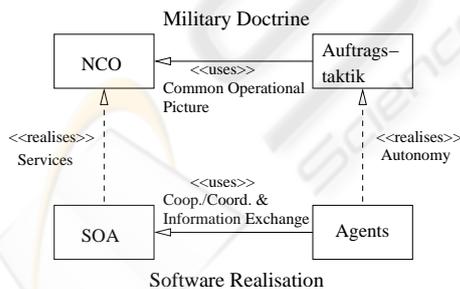


Figure 1: Mapping of military doctrine to realisation in SW.

Figure 1 illustrates our view of the interplay of military concepts transformed into the IT world, and is split into an upper part, the Military Doctrine, and a lower part, the Software Realisation. *Auftragstaktik*, as shown on the upper-right side of the diagram, is a military doctrine to give a sub-commander a goal to achieve and leave a high degree of freedom concerning actions for goal attainment (this equals “executive

autonomy” as defined in (Castelfranchi and Falcone, 2003)). In a dynamic environment, such as a battlefield, *Auftragstaktik* heightens efficiency through adaptability to changing situations. The principle of *Auftragstaktik* is also common in the civil domain as exemplified by company hierarchies, where superiors expect their subordinates to work independently but goal-directed. Information exceeding a local view, through the use of network-centric capabilities (i.e., «uses»), enables participants to better decide on how to achieve their goals.

The lower part of the diagram shows the relevant software concepts which we propose to implement the doctrines. The desired autonomy is realised through agent technology, which in turn, is based on and makes use of a *Service-oriented Architecture (SOA)* e.g.(W3C, 2004) (SCA, 2006). The use of SOA enables units to become part of the NCO context, by transparently providing and requesting services respectively information to and from other vehicles.

The general approach is thus to provide an infrastructure which enables the creation of a service-oriented architecture, supporting aspects to build systems exhibiting autonomous behaviour. The way *how* services are internally structured and implemented (e.g., in form of an agent) and *where* they are located is transparent to the user.

### 2.2 Framework Features

We provide an infrastructure called *Distributed Autonomy Reference Framework (DARF)* based on the following core features:

**Building Blocks:** Providing service containers for functionality to ease development of new services or integration of existing code. The DARF includes a pre-fabricated building block, the Service Broker which is described separately.

**Situational Assessment:** Providing means to build an operational picture composed of shared distributed information, complement the world view and to structure information using ontologies.

**Decision Support:** Providing means for the integration of reasoning and inference mechanisms, usage of declarative knowledge and to assist the decision making process.

**Network Communication:** Providing a middleware capable of communication in heterogeneous networking environments and platforms, adaptation of transport media by IPv6 and service directory service.

**Simulation Connector:** Integrate framework Building Blocks (see Section 2.3) into complex simula-

tion environments using High Level Architecture (IEEE, 2000) standard technology.

This infrastructure forms the basis for the construction of services to be used in network-centric and autonomous scenarios. Furthermore, the framework offers support for the systems engineering process via system configuration, deployment and runtime execution monitoring.

### 2.3 Building Blocks

The DARF provides service containers which support the construction of new services embedding mission functionality. By turning mission functionality into services, it becomes possible to distribute and use services transparently of their location, and thus independently of which platform<sup>1</sup> provides them.

The DARF provides containers for the implementation of *intelligent* and *primitive* services. This distinction is useful, as some services require decision-making capabilities to fulfil their purpose. The “primitive” qualifier does not imply that the logic behind a service is trivial. It might encapsulate a highly complex legacy functionality like, e.g., automatic target recognition. Hence, primitive services, besides newly developed functionality, may pose as a facade to a legacy subsystem for which a standard way of integration has been developed as described in Section 2.3.4.

Figure 2 shows the meta-model of the building blocks. The abbreviations introduced in the figure are explained in the following sections.

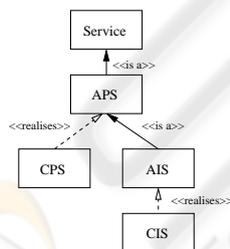


Figure 2: Meta-model of the abstract respectively concrete primitive and intelligent services.

#### 2.3.1 Services

Both, primitive and intelligent services, have in common that they advertise their service description/interface and may request services from others, which first have to be discovered. This baseline functionality is provided by the DARF and the *Service Broker*, a distinctive service registry component, described in Section 2.3.5. Services carry meta-data

<sup>1</sup>In the military domain, the term “platform” is typically correlated with a vehicle.

containing their interface description, configuration and *Quality-of-Service (QoS)* parameters (see (Oswald, 2004)). The DARF uses this meta-data for service start-up, registration and monitoring

At runtime, the DARF provides background management functionality which relieves service developers of writing tedious boilerplate code. That is, for registration, the DARF automatically publishes the service description and continues to asynchronously check whether its publication is still valid. This is necessary as the Service Broker may die and become replaced, in which case the service gets re-registered automatically.

The DARF provides client-side proxy classes (i.e., stubs) for every service. Upon the first invocation, respectively after having lost connection to a service, the proxy asks the Service Broker for a list of services (“lazy resolution”) having a certain type and sufficing a number of criteria prescribed by the client. The returned list of services is then further sorted according to a proprietary metric and results in the actual invocation on the most appropriate service. This decision-theoretic selection process is described in detail in (Oswald, 2004).

#### 2.3.2 Primitive Service

As mentioned before, services come in two flavours. The first, and more basic one, being of the type *Abstract Primitive Service (APS)*. When adding functionality and thus *implementing* a primitive service, it becomes a *Concrete Primitive Service (CPS)*. A CPS is a leaf node component in a hierarchy of services, representing a concrete atomic self-contained functionality not explicitly requiring cognitive abilities. A CPS may be a facade hiding a legacy subsystem.

#### 2.3.3 Intelligent Service

The second type of service is the *Abstract Intelligent Service (AIS)*, having two main characteristics. First of all, they may make use of other services and second of all, the decision on which services to use respectively what actions to take is not hard-coded but determined intelligently. Note that we agree with (Clough, 2002) in that intelligence is not the same as autonomy but will nevertheless equate intelligent services with autonomous entities. Thus, similar to the *Auftragstaktik*, intelligent services are given a goal and are free (and capable) to choose how to attain it. The DARF provides facilities for the implementation of rational agents. Related work in this field can be found in (Karim and Heinze, 2005), employing Boyd’s *Observe Orient Decide Act (OODA)* reasoning cycle, the accepted model of cognition of aircraft

fighter pilots. The OODA loop is also the basis for the operation of intelligent services and can be based on a *Belief Desire Intention (BDI)* (Georgeff et al., 1999) model as they are, in principle, quite similar.

Just as before, an *Abstract Intelligent Service (AIS)* becomes a *Concrete Intelligent Service (CIS)* when implemented.

### 2.3.4 Legacy Wrapper

Development of and for the DARF has to take into account that there exists a large code base of proven-to-work potentially certified code. It can not be assumed that such code is in an easily accessible state. That is, legacy code needs to be seen as something almost entirely opaque. Still, a way of integrating this functionality into the SOA needs to be provided as not all functionality can simply be redeveloped to fit the DARF interface. To tackle this problem, we propose a standardised way of wrapping functionality by using CORBA. Observe Figure 3, illustrating on how this is done. Some legacy functionality  $f(x)$  written in language  $l$  should be included. For that, it is wrapped/adapted and made available by promoting the wrapper's interface as a CORBA server having an OMG IDL interface. The choice of using CORBA stems from the fact that there exist implementations for almost any programming language and platform available. Note that the *Wrapper Server* for  $f(x)$  inherits its operations from the corresponding CPS. This structural pattern is similar to the *Wrapper Facade* described in (Schmidt, 1999) and shares elements with the *Adaptor pattern* from (Gamma et al., 1995). The Wrapper Server is managed by the *Wrapper Manager*. The manager is partly provided by the DARF in form of an abstract super-class, capable of starting up the Wrapper Server and retrieving its CORBA IOR (see (Siegel, 2000)) to instantiate a client stub. The stub is used by the CPS to access the legacy functionality via remote method invocation.

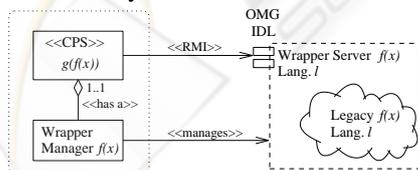


Figure 3: Wrapping legacy functionality  $f(x)$  in language  $l$  using the DARF wrapper concept.

### 2.3.5 Service Broker

The *Service Broker (SB)* is a centralised service registry which itself is implemented as a service. It is known to every service by providing its persistent reference in every service's meta-data. The SB collects

service descriptions from services joining the system and provides look-up facilities to service enquiries. More than that, the SB constantly checks the consistency of its references to services and discards broken ones. Also, as each service may be replicated arbitrarily, it promotes service replicas to service masters (i.e., the only active replica of a service) upon detection of a broken reference to the current master.

It should be noted that the SB is strictly limited to service registration and discovery (it should thus not be confused with the *Broker pattern* in (Buschmann et al., 1996)).

## 2.4 Situational Assessment

A Blackboard as described in (Craig, 1995) is the enabling technology to achieve an operational picture which forms the basis for any kind of potential actions and reactions of a single platform. The DARF provides a distributed version of a Blackboard above a DDS like system (OMG, 2005) to collect and exchange information. From the software architecture point of view a Blackboard is a service used to share information and knowledge between other services. Both types of services might act on the one hand as sources to provide processed data and inferred knowledge to others by publishing it on the Blackboard. On the other hand, services might subscribe for particular information on the Blackboard.

As with service descriptions and naming, one has to make sure during the engineering process, that all represented information on the Blackboard will be suitable for exchange between platforms. The use of an agreed ontology guarantees, that a common language is used. This is a key element in network-centric operations as usually platforms of different vendors with different systems are participating in missions. The DARF intends to support embedding and usage of various ontologies in the framework to better structure the information, which requires national and international agreements, currently being under investigation.

## 2.5 Decision Support

Decision making models like Boyd's well known OODA loop (Karim and Heinze, 2005) have been widely used as the basis for developing Decision Support systems. They are tightly coupled with situational assessment and require, apart from their implementation, a number of infrastructural measurements. The DARF supports the embedding of Decision Support fundamentals with a number of features. Firstly, DARF provides the internal structures of the

AIS building blocks to directly support the OODA phases, including access to the distributed Blackboard for information and knowledge exchange. Secondly, DARF provides means to embed existing reasoning and inference methods based on the legacy wrapper concept. This includes handling of varying representational structures, requiring adaptation to comply with the one internally used for situational assessment. Information and knowledge are explicitly accessible in a declarative manner. The declaratively described rules can be changed interactively during runtime execution, by the human system operator or possibly by a CIS possessing learning algorithms.

The developed mechanism for Decision Support assists the platform in a highly dynamic environment by suggesting appropriate actions and while considering human interaction.

### 2.6 Network Communication

Network communication is based on IPv6 to hide transport media specifics (Reichelt, 2006). IPv6 comes with a number of built-in capabilities which relieve the DARF from having to take care of them. For instance, IPv6 offers encryption, authentication and auto-configuration of network nodes. Using IP(v6) allows the use of various standard IP-enabled technologies. This allows for easy replacement or extension of higher layer protocols or applications to increase the flexibility of the DARF. Driven by the US *Department of Defense (DoD)*, essential technology standards for NCOs and inter-system connectivity, i.e., the *Global Information Grid (GIG)* (Alberts, 2003) and the *Joint Tactical Radio System (JTRS)* (North et al., 2006), are all based on IP(v6). The DARF seeks DoD compliance in that respect.

The current prototypical implementation of the DARF is written in Java and based on a CORBA middleware (Siegel, 2000) for inter-service communication. The decision to base the communication on CORBA originates from its wide support for heterogeneous distributed computing but binding to the middleware is kept as loose as possible.

### 2.7 Simulation Connector

The frameworks capabilities for connecting possibly distributed simulations is handled by an *High Level Architecture (HLA)* connector. HLA is a middleware standard supporting the development of distributed simulations (IEEE, 2000). The HLA's runtime implicitly handles data transport across network connections as well as time synchronisation within a composition of separate simulations.

Within the DARF, HLA is used to validate system functionality for different environments which could not be provided by the experimental environment, e.g., the integration of a flight dynamic model. Furthermore, a HLA model can be used to investigate network or protocol behaviour by using communication simulators to emulate real world system interconnection.

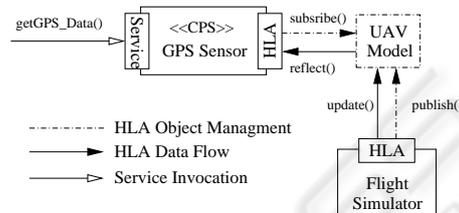


Figure 4: Integration of an HLA-enabled Flight Simulator to provide GPS data via a service interface.

HLA interaction is realised through a CPS making use of a simplified HLA API developed as part of the DARF. Such a CPS provides two different interfaces: A service interface to the framework allowing access to its data and an HLA interface to retrieve the necessary information out of an HLA compatible simulation. Thus, the integration of simulators becomes fully transparent by hiding the HLA interface behind the framework's SOA endpoint (see Fig. 4). This allows easy replacement of the simulated data source by a real-life one (and its appropriate CPS) without any modifications of other system components.

## 3 SYSTEM LIFE CYCLE SUPPORT

### 3.1 System Design Approach

Building industrial strength NCO-capable systems with inherent autonomy requires besides the provision of an adequate runtime framework a well-defined systems engineering process and an adequate tool support for mission-specific system configuration, deployment, and runtime-monitoring.

The system design approach used for DARF Building Blocks follows the methodology defined by the *DoD Architectural Framework (DoDAF)* (Alberts, 2003; DoD, 2003a). Initially the *Concept of Operations (CONOPS)* is modelled which captures the intended operational scenarios of the platform to be built and deployed. This model also reveals the other involved platforms, their operational roles, and the information flows between all of them. Based on this in-

formation, operational requirements for the platform under consideration can be derived and the mission-specific platform functions required for implementing these requirements can be identified. The result of this design step is a function graph, the *systems view*, of the platform. It comprises a set of abstract DARF Building Blocks linked by their service dependencies. In case concrete implementations for the required abstract Building Blocks already exist they can be used immediately. Otherwise the corresponding concrete Building Block software has to be designed, implemented, tested, and integrated into the service pool.

### 3.1.1 System Configuration and Deployment

The *System Configuration Tool (SCT)* assists system developers at design time in composing distributed systems from a given set of existing Building Blocks and framework components. The application of this tool at the system integration stage allows the mission-specific configuration of platforms whilst enforcing both functional (e.g. functional completeness) and non-functional (e.g. Worst Case Execution Times or QoS aspects) system requirements as explained in (Windisch et al., 2002).

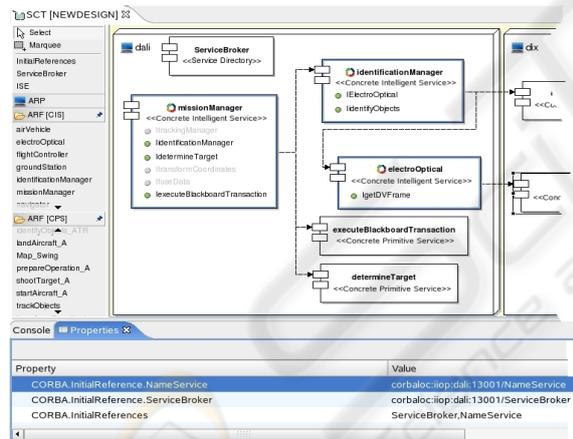


Figure 5: View of the System Configuration Tool.

The SCT, as depicted in Figure 5, is an Eclipse based Integrated Development Environment that comes with a graphical editor for composing distributed systems from a set of Building Blocks. All available CIS and CPS Building Blocks are inferred from the DARF upon tool start-up and displayed by the graphical editor. System integrators can drag and drop required Building Blocks to compose a system.

During this work the tool automatically resolves all service dependencies of the currently used Building Block and graphically indicates any missing services. Service meta-data can be changed by means

of the contained property table editor. Once service composition is completed, i.e., a valid system configuration in terms of fulfilled functional and non-functional system requirements has been found, the SCT tool generates all necessary DARF start-up scripts and distributes them across the involved processing nodes.

### 3.1.2 System Runtime Monitoring

The Mission Monitor tool offers views on both the world scenario and the internal state of all involved platforms. In a sense, the latter view constitutes the runtime counterpart to the SCT tool, as it gains insight into the mission-specific platform configuration including all currently active services. The world scenario view, on the contrary, depicts all involved platforms from the system-of-systems level and provides graphical information on their current state of interconnectivity in the highly-dynamic mission network and currently active cooperations between platforms.

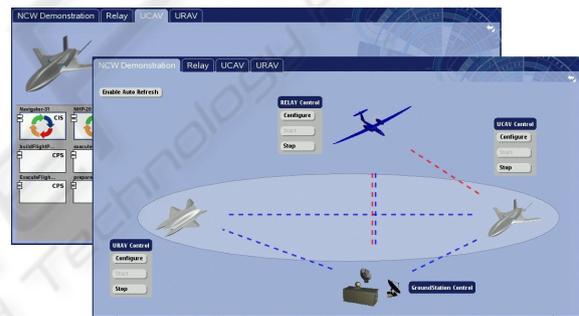


Figure 6: Mission Monitor.

The Mission Monitor is a web-server based extension of the DARF which continuously infers information on service execution and interconnection from the Service Broker components of the involved platforms. This information is subsequently transformed and published by a set of HTML pages which are dynamically created using Java Server Pages. One advantage of this approach is the fact that all information is published in a network transparent manner and, hence, can be obtained by any computing device running a standard web browser.

## 4 EXPERIMENTAL PLATFORM

To validate our approach, we have selected an instance of a *sensor-to-shooter* scenario which is, in its general case, well understood but still poses a challenge (Chapman, 1997).

### 4.1 Scenario

The basic idea is the cooperation between two kinds of unmanned air vehicles, one acting as a reconnaissance unit (URAV<sup>2</sup>), essentially being the “sensor”, and the other one acting as a combat unit (UCAV<sup>3</sup>), representing the “shooter.”

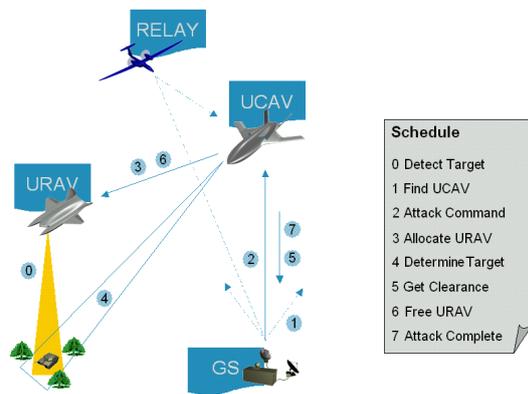


Figure 7: The sensor-to-shooter scenario.

Observe Figure 7, depicting the interaction of the various platforms. Initially, only the URAV is in the mission area, scanning it for potential threats. Upon detection of a target (Step 0) by the URAV, the *Ground Station (GS)*’s operator, who monitors the URAV’s mission progress, locates an idle UCAV (Step 1) and issues an attack command (Step 2). This commands the UCAV to proceed to the mission area, where it makes contact with the URAV (Step 3) to acquire target information (Step 4). Having received this information, the UCAV is ready to shoot but has to wait for clearance from the GS operator (Step 5). Once the clearance has been granted and the threat was neutralised, the URAV may proceed with its reconnaissance mission (Step 6). The UCAV’s mission is complete once it informs the GS of the (successful) outcome (Step 7). Each inter-platform communication within the scenario can potentially be routed through a Relay to increase the communication range.

### 4.2 System Modelling and Execution

This section shows how DARF is used for system development and mission execution for the scenario described above. Also, it is demonstrated how the DARF supports system validation through simulation.

<sup>2</sup>Unmanned Reconnaissance Air Vehicle.

<sup>3</sup>Unmanned Combat Air Vehicle.

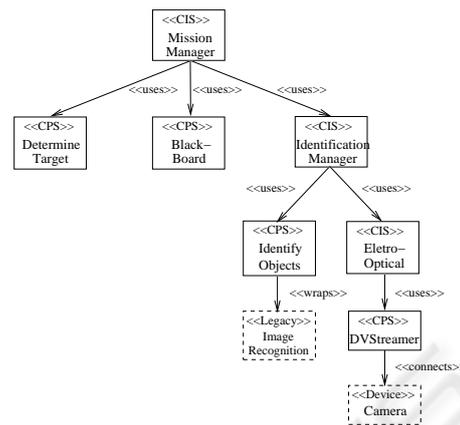


Figure 8: Service Model of target determination.

According to the SOA principles, the desired platform behaviour is broken down into corresponding services. Services are either re-used from a custom pool of existing mission functionality or has to be newly developed by using the approach described in Section 3.1. Figure 8 shows a concrete service decomposition as part of the mission software. One of the *Mission Manager (MM)*’s purposes is to acquire and assess sensor information to determine possible target candidates. For this, it makes use of the *Identification Manager (IM)* which delivers target classifications. Based on the IM’s classification, the MM uses the Determine Target service to select one target out of a potential number of candidates. This target is published on the *Black Board (BB)*, promoting it to system wide knowledge.

Services use the BB to implicitly distribute knowledge which builds the operational picture of a single platform. BBs can be synchronised between different platform, propagating knowledge from one platform to the other. This corresponds to Step 3 and 4 in our scenario, target information is transmitted from the URAV to the UCAV. The actual target determination is performed by the DARF’s reasoning engine using inference rules. Due to the declarative nature of such rules, target prioritisation can be changed during runtime.

As testing would be too risky and costly when performed on real hardware (i.e., real UAVs), simulation has to take its place. Flight controllers of the UAVs interact with simulated flight dynamics models using the DARF’s HLA connectivity. Using the same approach, other mission elements, such as radio links, can be simulated to investigate system performance.

## 5 CONCLUSIONS

Future mission software will have to possess network-centric capabilities. Also, the advent of autonomous systems changes the way missions are executed which in turn influences the way software has to be designed and developed. Complex operations relying on the cooperation of manned and unmanned, possibly autonomous, entities require the possibility of interaction by sharing tasks and exchanging information and knowledge. We developed a framework, the DARF, that uses a combination of SOA and agent technologies to cope with the requirements of NCO-enabledness and autonomy capabilities. That is, each mission functionality is modelled as a service, accessible regardless of platform boundaries. Autonomy related functionality is supported by providing infrastructural means for reasoning and knowledge representation. Additionally, the standardised way of legacy functionality integration allows easy reuse and incremental adoption of the DARF.

To support developers, the DARF comes with a defined engineering process and development as well as maintenance tools. To investigate system performance, the DARF offers a standardised and flexible API to access distributed simulations.

We have validated our approach and shown the applicability of DARF using the well known sensor-to-shooter scenario, exhibiting autonomous cooperative behaviour. Future research on DARF will deal with a unified middleware-independent messaging mechanism, introduction of a general ontology and further extension of the agent-related infrastructure.

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