

SEMANTIC ALIGNMENT OF BUSINESS PROCESSES

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Abstract: This paper presents a method for semantically aligning business processes. We provide a representation of Petri nets in the ontology language OWL, to semantically enrich the business process models. On top of this, we propose a technique for semantically aligning business processes to support (semi)automatic interconnectivity of business processes. This semantic alignment is improved by a background ontology modeled with a specific UML Profile allowing to visually model it. The different parts of our proposal, which reduces communication efforts and solves interconnectivity problems, are discussed.

1 INTRODUCTION

Inter-organizational business collaborations bring up synergy effects and can reduce enterprise risks. However, the insufficient integration of enterprises hampers collaborations because of different interpretations of terms' meanings. Furthermore, the integration of collaborating business partners into one single value creation chain requires flexible business processes to reduce cost and shorten time caused by the integration. The interconnectivity of business processes can fail due to company specific vocabularies even if business partners share similar demands.

For modeling business processes, we are utilizing Petri nets (Reisig and Rozenberg, 1998), which are suitable for modeling, simulating, and analysing business processes. Due to the formal foundation of Petri nets, the syntactical interconnectivity problems can be solved. However, the missing semantic representation renders the integration of business processes. Misunderstandings appear due to homonyms, synonyms or different abstraction levels. So far, semantic representation of business objects and activities remains a challenge and has to be addressed by research.

The aim of our work is to provide semantic interconnectivity of interorganizational business processes, even if there exist ambiguity issues caused by different interpretations of data. For this, we propose an approach that enables semantic alignment (defining involved objects in some mutual relationship) of Petri nets by translating Petri nets into an ontology defined with the Web Ontology Language (OWL (Dean and Schreiber, 2003)). Further, to improve the align-

ment, we model a background ontology using the Unified Modeling Language (UML) (Fowler, 2004), where the UML-elements can be translated to OWL elements. By extending existing ontology alignment techniques we show that it is possible to achieve our goal. An overview of the process described in this paper is depicted in Figure 1.

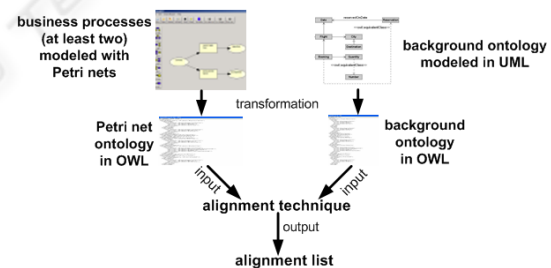


Figure 1: Semantic Alignment of Business Processes.

Firstly, in this paper we will recall the notions of Petri nets, ontologies, UML and alignment. Secondly, we will describe an approach for representing Petri nets with OWL, on how to model ontologies using UML, and an ontology alignment technique to overcome ambiguity issues. Finally, we will give a conclusion and an outlook on future work.

2 FOUNDATIONS

In this section Petri nets, ontologies and UML2 will be introduced. Further, we define the term *alignment*.

2.1 Petri Nets

Petri nets are a accepted graphical language for the specification and simulation of information system behaviour (Reisig and Rozenberg, 1998). Formally, a Petri net is a directed bipartite graph with nodes (places and transitions represented as circles and as boxes) and arcs (flow relations as directed arcs between places and transitions) and can be described by the triple $N = (P, T, F)$, where P is a set of Places, T a set of Transitions and $F \subseteq (P \times T) \cup (T \times P)$.

In contrast to elementary Petri nets, in high-level Petri nets (e.g. Predicate/Transition nets (Genrich and Lautenbach, 1981)) tokens are distinguishable and allow to describe objects with individual properties. Figure 2 illustrates two Predicate/Transition nets (Pr/T nets) for flight reservation processes. After sending a *request*, the request will be checked (Process I) and in Process II *request* and *flight* data are required to *accept* or *reject* the flight request. In Figure 2, the business partners use different terms with the same meaning. Business partner I, e.g., utilizes "Quantity" and business partner II "Number".

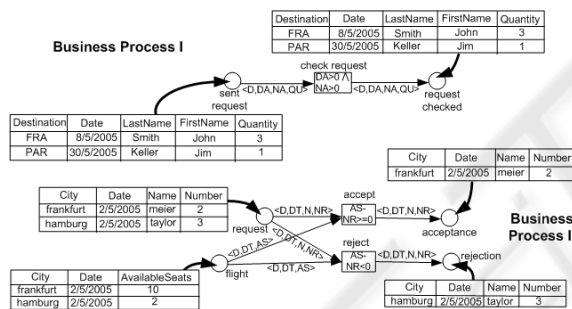


Figure 2: Modeling business processes with Pr/T nets.

A strict Predicate/Transition net¹ is a tuple $PrT = (P, T, F, \Psi, AI, TI, M^0)$ satisfying the following conditions: (i) (P, T, F) is a net where P is a set of predicates, T a set of transitions and F a set of arcs; (ii) $\Psi = (D, FT, PR)$ is a structure consisting of a set of individuals D , a set of functions FT defined for D and a set PR of predicates over D ; (iii) the arc inscription AI assigns to all elements of F a formal sum of n -tuples of variables where n is the arity of the predicate connected to the arc; (iv) TI assigns to elements of T a transition inscription where variables occurring in a transition box have to occur at an adjacent arc; (v) M^0 is a marking of the predicates with sets of constant individual tuples, where the arity must correspond to the arity of the respective predicate. The interconnectivity of business processes depicted in Figure 2 requires a common interpretation of

¹In strict Pr/T nets, duplicate tuples in a predicate are not allowed.

data represented in places and of labeled transitions. Furthermore, in order to enable (semi-) automated semantic interconnectivity, a structured and well-understood format of Petri nets would be useful.

2.2 Ontologies

Terms and descriptions used in business processes may differ from company to company. By formal descriptions in a shared ontology, all business partners would have a common understanding of the terms' domain. An ontology is defined through the domains concepts and properties, both arranged in a subsumption hierarchy, instances of specific concepts and properties and axioms to infer new knowledge from already existing one. In 2004, the World Wide Web Consortium (W3C) finished its standardization work on the Web Ontology Language (OWL), thus laying the foundations for a wide-spread use of ontologies in business. With OWL, relevant concepts of the domain (its terminology), their properties and instances (the world description) can be defined.

2.3 Unified Modeling Language

The Unified Modeling Language (UML) is a family of graphical notations, backed by a single meta-model, that help in expressing domain models. The UML defines a notation and a meta-model where the meta-model specifies the concepts of the language. The UML is a well-established and popular language standardized and driven by the Object Management Group. One characteristic of UML2, which is an extension of UML, is that it is independent of the methodology which is used for analysis and design. Regardless of the methodology that one uses, one can use UML2 to express the results. UML2 defines thirteen types of diagrams, of which one, the Class Diagram, is the most relevant for our work. A Class diagram gives an overview of a domain by showing its concepts and their attributes as well as the relationships among them. Class diagrams display which elements interact but not what happens when an interaction takes place.

2.4 Alignment

Given two structures (e.g., ontologies or Petri nets), aligning one structure with another one means that for each entity (e.g., concepts and relations, or places and transitions) in the first structure, one tries to find a corresponding entity, which has the same intended meaning, in the second structure (Klein, 2001). An alignment therefore represents one-to-one equality. We define an alignment function, *align*, based on the vocabulary, E , of all entities $e \in E$ and based

on the set of possible structures, S , as a function: $align : E \times S \times S \rightarrow E$. We leave out S when it is evident and write $align(e) = f$ instead. For some entities, no corresponding entity might exist.

3 SEMANTIC ALIGNMENT OF PETRI NETS

In business relationships, a commonly agreed vocabulary can usually not be postulated. To solve ambiguity issues caused by the use of different terms and to support (semi-)automated system cooperation, we start by describing an ontology based annotation for Petri nets. We will further show that ontologies can be modeled visually using UML tools. Finally, we will make use of adapted ontology alignment techniques to solve ambiguity issues of Petri nets.

3.1 Modeling Petri Nets in OWL

By combining Petri nets with OWL, our approach provides flexibility and interoperability for business processes in order to enable semantical information exchange.

Our Pr/T net ontology consists of the concepts *PetriNet* (for all possible Petri nets $N = (P, T, F)$), *Transition* (for all Transitions of T), *Place* (for all Places of P), *FromPlace* and *ToPlace* (for all arcs of F_r and F_w), *LogicalConcept* (for all transition inscriptions of TI), *IndividualDataItem* (for all predicates PR), *Delete* and *Insert* (for arc inscriptions AI with sets of variable tuples). Furthermore, our Pr/T net ontology contains the classes *Attribute* (for all functions of FT), *Value* (for all subsets of $D_1 \times \dots \times D_n$), *Condition* (for occurrence condition) and *Operation* (for occurrence operation).

With these concepts, we specify properties between concepts as defined in the ontology of Figure 3.

For example, the concept *PetriNet* is defined by a property *hasNode* with cardinality ≥ 1 and range transition or place ($Transition \sqcup Place$) and the property *hasArc* with the cardinality ≥ 0 and range FromPlace or ToPlace ($FromPlace \sqcup ToPlace$).

The extraction of descriptions of business processes and the mapping to the Pr/T net ontology is being carried out automatically and is not directly visible to the modeler. After modeling business processes, the models can be exported to OWL syntax and afterwards be sent to the respective business partners. The excerpted OWL serialisation of Business Process II of Figure 2 is depicted in Figure 4 where e.g. label of the place *flight* is bordered by the element $\langle petri : Place \rangle$ with an URI pointing to namespace of the Pr/T net ontology.

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PetriNet  $\equiv \geq 1hasNode.(Transition \sqcup Place)$ 
 $\sqcap hasArc.(FromPlace \sqcup ToPlace)$ 

Transition  $\equiv$ 
placeRef.Place  $\sqcap = 1hasLogicalConcept.LogicalConcept$ 

Place  $\equiv$ 
transRef.Transition  $\sqcap = 1hasMarking.IndividualDataItem$ 

FromPlace  $\equiv \geq 1hasInscription.Delete \sqcap \exists hasNode.Place$ 

ToPlace  $\equiv \geq 1hasInscription.Insert \sqcap \exists hasNode.Transition$ 

LogicalConcept  $\equiv$ 
 $= 1hasCondition.Condition \sqcup = 1has.Operation.Operation \sqcap$ 
 $\exists hasAttribute.IndividualDataItem$ 

IndividualDataItem  $\equiv \geq 1hasAttribute.Attribute$ 

Delete  $\equiv \forall hasAttribute.IndividualDataItem$ 

Insert  $\equiv \exists hasAttribute.IndividualDataItem$ 

Attribute  $\equiv \leq 1hasValue.Value$ 

Value  $\sqsubseteq hasRef.Value$ 

Condition  $\equiv forall(string) \sqcup exists(string) \sqcup and(string)$ 

Operation  $\equiv function(string)$ 

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Figure 3: Pr/T net ontology.

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<petri:Place rdf:ID="#flight">
<petri:hasMarking>
  <petri:IndividualDataItem rdf:ID="R_flight">
    <petri:hasAttribute rdf:resource="#City"/>
    <petri:hasAttribute rdf:resource="#Date"/>
    <petri:Attribute rdf:resource="#AvailableSeats"/>
  </petri:hasAttribute>
</petri:IndividualDataItem>
</petri:hasMarking>
</petri:Place>

```

Figure 4: Part of the OWL serialization of Process II.

The OWL serialisation of several Pr/T nets can be interconnected afterwards. However, before interconnection correspondences by utilizing alignment techniques have to be found. A background ontology improves the semantic alignment.

3.2 Visually Modeling the Background Ontology

As part of our approach, we provide a background ontology to improve the semantic alignment of business processes. However, for modeling the ontologies, we will provide a visual syntax, namely using UML2. A tool provides an automatic translation from the visual model to the OWL syntax.

It has been shown (Schnotz, 2002) that manual modeling causes a lot of errors, including typing mistakes as well as structural mistakes. Visual syntaxes are shown to bring many benefits that simplify conceptual modeling. Like as for other modeling purposes, visual modeling of ontologies decreases syntactic errors and increases readability. It makes modeling and use of ontologies easier and faster, espe-

cially if tools are user friendly and appropriate modeling languages are applied. Currently, visual modeling of ontologies is predominantly done with form- or tree-based tools, which unfortunately have shortcomings as not easily showing relationships.

We use UML2 Class Diagrams for visually modeling the background ontology. Since UML2 is a well-known language, it provides an agreed format and covers a broad community, we can benefit a lot from, e.g., reusing existing tools. The chance that someone modeling business processes is familiar with UML2 is much higher than with logic for manually writing an OWL-ontology.

Our means to define the background ontology, as well as other possible ontologies, using UML2, has two main components: an Ontology Definition Metamodel and a UML Ontology Profile. The Ontology Definition Metamodel defines a metamodel for ontologies. This metamodel is built on the Meta Object Facility (OMG, 2002), a model driven integration framework for defining, manipulating as well as integrating metadata and data in a platform independent way. Shortly, it allows to define modeling languages. We defined an Ontology Definition Metamodel for OWL using an intuitive notation, both for users of UML2 and OWL. A metamodel for a language that allows the definition of ontologies naturally follows from the modeling primitives offered by the ontology language.

The second component we need, is a UML profile, determining a visual UML syntax to model ontologies. We provide a UML profile that is faithful to both UML2 and OWL, with a maximal reuse of UML2 features and OWL features. Since the UML profile mechanism supports a restricted form of metamodeling, our proposal contains a set of extensions and constraints to the UML metamodel. This tailors UML2 such that models instantiating the Ontology Definition Metamodel can be defined. (Brockmans et al., 2004). Figure 5 shows an excerpt of the background ontology for the domain of flight booking, modeled using a UML tool.

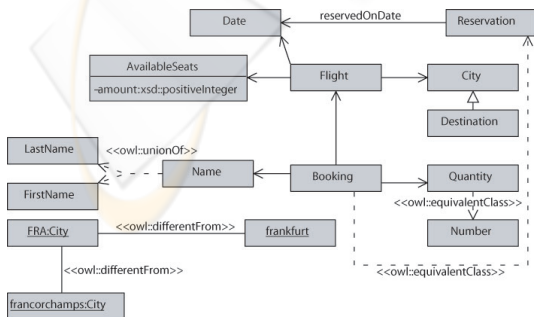


Figure 5: Part of the background ontology modeled in UML.

Due to this easy-to-use creation of ontologies, we expect business to make use of ontologies to a higher degree than today. This is especially the case since an immediate benefit can be seen when integrating existing business processes models in one organization with business process models in another organization. We explain this integration of business processes in the following section.

3.3 Aligning Petri Nets

In this section, we show that it is possible to semantically align Petri nets by making use of existing technology for ontology alignment (Ehrig and Staab, 2004) based on the similarity of the individual elements and their structure. Using semantic features, we expect our approach to be superior to existing, only syntax-based, approaches for alignment of Petri nets. Further, we seamlessly add the created domain ontology as background knowledge. Below, we describe the different steps of the process (Figure 6).

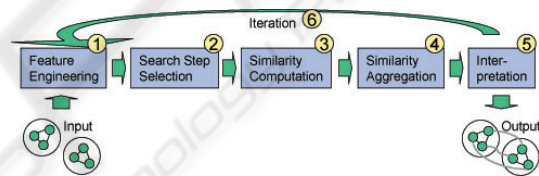


Figure 6: Ontology alignment process.

Input: With the common OWL representation of Petri nets and background ontology, we can focus on semantic alignment, thus only need to change the semantic basis of the already existing process.

1. Feature Engineering: As a first step, we need to identify those features which may be used to compare Petri net elements and determine whether the elements are the same. We refer to Table 1 for a list of relevant features as determined by an expert.

2. Search Step Selection: Next, we need to decide which elements to compare. The standard approach is to use a complete approach, i.e., each element of the one ontology is compared with each element of the same type in the other ontology.

3. Similarity Computation: The similarity computation is straightforward. Table 1 shows for each entity type a list of corresponding features and the similarity measures that are needed to compare them. One can rely on existing measures for comparisons of strings, objects, or sets of objects (Ehrig et al., 2005).

4. Similarity Aggregation: The mentioned table of features and similarities is extended by a weight for similarity aggregation. Only a basic linear weighting scheme has been applied at this point, but more complex aggregations are conceivable.

Table 1: Features and similarity measures for Petri nets.

Comparing	Feature	Measure	Weight
Places	name	string sim.	1
	Attribute/Value	set sim.	1
	predecessor	set sim.	0.5
	successor	set sim.	0.5
Attributes	name	string sim.	1
	sibling Attribute	set sim.	1
	Values	set sim.	1
	Place	object sim.	0.5
Values	name	string sim.	1
	Attribute	object sim.	1
	Value reference	object sim.	1
Transitions	name	string sim.	1
	ToPlace	set sim.	1
	FromPlace	set sim.	1

5. Interpretation: We apply a fixed threshold to the aggregated similarities. Every value above the threshold is interpreted as an alignment of the Petri net elements, every one below does not lead to an alignment. Further, we allow user interaction to increase the quality of these alignment results by presenting the most uncertain alignments.

6. Iteration: The alignment of element pairs affects the similarity of neighboring pairs. This is propagated through iteration.

Output: The output is a list of aligned places, attributes, values and transitions with their corresponding confidence (the aggregated similarity values).

We illustrate this process along the Petri nets in Figure 2. Possible candidate alignments would be the pairs of places, transitions, attributes, or values, e.g., (sent request, request) or (frankfurt, FRA). We will now exemplarily do the comparison for the attribute pair (Quantity, Number). The names are obviously different, thus resulting in $sim_{name} = 0.0$. However, their sibling attributes (Destination, Date, LastName and FirstName) and values (3,1 and 2,3) are very similar ($sim_{siblings} = 1.0$, $sim_{values} = 0.5$), and also the linked places (sent request and request) are similar ($sim_{place} = 0.5$). In fact, the background ontology helps us to determine that the City attributes actually mean the same, despite the different values (frankfurt, paris and FRA, PAR). All these similarities lead to an aggregation of $sim_{agg} = 0.5$. With a given threshold of $t = 0.5$, we can infer $align(Quantity) = Number$; Quantity in Petri net 1 is aligned with Number of Petri net 2. An excerpt of further results is depicted in Table 2. These results have directly been taken from the implementation of the approach described in this paper.

A good result would include most correct alignments and only return a low number of false alignments. Meeting the current lack of semantic alignment approaches for Petri nets, a good approach is

Table 2: Results of Petri net alignment.

Petri net 1	Petri net 2	Similarity	Alignment
Destination	City	1.0	yes
frankfurt	FRA	1.0	yes
send request	request	0.8	yes
Quantity	Number	0.5	yes
check request	accept flight	0.4	no
Smith	meier	0.2	no

any approach providing more alignments of entities than those which have identical labels. Our approach provides this and thus releases the human user from strenuously manually searching the Petri nets for alignments. Despite incorrect alignments such an approach might also produce, it can strongly support users in creating alignments between Petri nets.

The semantic alignment method presented in this paper has been tested on several business processes (Petri nets with more than 20 places and transitions). Currently, we still have to manually verify the automated proposition of alignment candidates. However, we are working to automate this, too.

4 RELATED WORK

For modeling business processes, several languages have been published such as BPMN, BPEL (Andrews et al., 2003) and EPC (Scheer, 1998). All those methods do not provide integrated concepts to model, analyze and simulate inter-organizational business processes. For modeling complex dynamic systems, high-level Petri nets proved to be suitable. In (Lenz and Oberweis, 2003) syntactic composition problems of inter-organizational business processes with Petri nets are discussed. However, the semantic alignment and composition problems of Petri nets have not been addressed by research, yet.

Visual syntaxes, as we use for the background ontology, are shown to bring many benefits that simplify conceptual modeling. Several examples exist in practice, like the Entity Relationship Model (Chen, 1976) for database modeling or the Unified Modeling Language (Fowler, 2004) for software design. Also in the field of Knowledge Representation, several formalisms already exist, e.g. Conceptual Graphs (Sowa, 1992), Topic Maps (ISO/IEC, 1999) or the particular visual notation for CLASSIC Description Logic. For the moment, visual modeling of ontologies is predominantly done with form or tree based tools. Although this is already a good progress, it is still not as visual as it could be or as one would like to have it.

The problem of ontology alignment has been discussed in the Semantic Web community for several years. The PROMPT-suite (Noy and Musen, 2003)

uses labels and to a certain extent the structure of ontologies. (Doan et al., 2003) use a general learning approach in their tool GLUE which requires a big base of similar instances. In contrast to most existing (quality-focused) approaches (Ehrig and Staab, 2004) present an efficiency-optimized approach. Whereas related work on individual aspects of this work exists, we are not aware of efforts making use of ontologies and their alignment techniques for Petri nets.

5 CONCLUSION AND OUTLOOK

The rapid growth of electronic markets' activities demands flexibility and automation of involved systems in order to facilitate the interconnectivity of business processes and to reduce communication efforts. In this paper we described an approach of aligning OWL serializations of Petri nets by (semi-) automatically finding mutual relationships. To improve this alignment, we utilized a background ontology modeled using UML.

The combination of Petri nets with OWL provides a basis for further research work. As business processes may be modeled coarse grained or fine grained with a lot of hierarchy levels, we are developing algorithms to compute the semantic similarity of business processes as a whole to identify their overlap. Finally, to interconnect disjoint business processes, we have to define a suitable connection point for coupling several business processes.

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