

# Relational Database Anonymization

## *A Model-driven Guiding Approach*

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**Abstract:** Personal data anonymization requires complex algorithms aiming at avoiding disclosure risk without compromising data utility. In this paper, we describe a model-driven approach guiding the data owner during the anonymization process. Depending on the step, the guidance is informative or suggestive. It helps in choosing the most relevant algorithm given the data characteristics and the future usage of anonymized data. It also helps in defining the best input values for the chosen algorithm. The contribution is twofold: a meta-model describing the anonymization process and components and an approach based on this meta-model. In this paper, we focus on microdata generalization algorithms. Both theoretical and experimental knowledge regarding anonymization is stored in an ontology. An experiment, conducted with sixteen participants allowing us to check the usability of the approach, is described.

## 1 INTRODUCTION

The advent of the Internet, combined with the constant growth of the technology has made data shareable out of the boundaries of organizations. The countries' commitment to openness and sharing of public data, better known as "open data", has accentuated this phenomenon. This raises the issue of disclosure risk of sensitive data, namely personal data for which the anonymization is identified as a solution. The ISO/TS 25237:2008 defines the latter as the process that removes the association between the identifying data set and the data subject. It is a complex process, especially since it attempts to satisfy two contradictory objectives: the usefulness of the data (i.e. their quality) and their security (i.e. their confidentiality). Therefore, data publishers are always looking for a solution that best meets the confidentiality and the usefulness of their data. Performing an anonymization process requires making decisions at different stages. In particular, they have to select an appropriate anonymization algorithm, to choose an adequate parameterization of this algorithm and to judge the quality of the rendering after execution of the process. Therefore, they are engaged in a decision-making process based on their domain knowledge. On the other hand, the existing tools, due to their opacity and their lack of

guidance in the choice and parameterization of algorithms, do not sufficiently assist professionals with a low expertise in the field. Finally, the scientific literature on anonymization is abundant. However, it concentrates on proposing and/or improving algorithms. Thus, we have noticed the lack of guiding approaches assisting in conducting the anonymization process. These observations motivated us to design a domain ontology (BenFredj and al., 2015), named OPAM, for the anonymization of microdata (i.e. atomic data describing individual objects) as well as a guiding approach, called MAGGO (a French acronym for "Méthodologie pour une Anonymisation par Généralisation Guidée par une Ontologie") based on this ontology. The latter capitalizes the anonymization domain knowledge. In its current state, it has been instantiated only by the knowledge gathered for the generalization technique. Thus, MAGGO serves as a guide for a professional in its decision-making during anonymization of microdata by generalization. Nevertheless, MAGGO is a generic approach since it can be instantiated for another technique. We developed a prototype to support the approach.

After a brief state of the art (Section 2), we describe the general approach (Section 3) and its detailed steps (Sections 4 and 5). In Section 6, we illustrate the approach through an example. Section 7

briefly reports on the evaluation conducted with the MAGGO tool. Finally, we conclude in Section 8 and present some research avenues.

## 2 STATE OF THE ART

Several anonymization techniques exist. They differ from each other in respect of their reliability degree and applicability context. The reliability degree is directly related to the re-identification risk of anonymous data. Facing the information technology evolution that makes possible linking data from different sources, it is almost impossible to carry out anonymization whilst guaranteeing a zero-re-identification risk. The applicability context is characterized, among other things, by the intended use (e.g. software test or data publishing for analysis purposes) and by the type of the original data (micro or macro data, images, texts, etc.).

Microdata anonymization includes a wide variety of techniques that could be classified into two categories: non-perturbative and perturbative techniques (Patel and Gupta, 2013). The first category represents procedures in which the resulting data are not denatured, that is, the data is true but may lack details. Although they are inaccurate, they could be, for instance, used for testing or statistical purposes. This is not the case for the second category of techniques. As examples of perturbative techniques, we can mention (1) data swapping which switches the values of one attribute between pairs of records (Fienberg and McIntyre, 2004), (2) adding noise (Brand, 2002) that consists in adding a random value to a data to hide the exact value, (3) micro-aggregation (Defays and Nanopoulos, 1993) which divides the original data into homogeneous groups and replaces some original values by a central measure (e.g. the mean or the median) of the group to which they belong. The suppression is a non-perturbative technique consisting in removing data from the table to avoid disclosure. The generalization (Samarati, 2001) on which we focus on this paper is also non-perturbative. It replaces effective values with more general ones (a date is truncated into a month, a city is generalized into its related region, etc.) leading, hence, to true data but less precise one. Several algorithms combine generalization and suppression.

Let a quasi-identifier (QI) be an attribute set which, when linked to external information, may enable re-identifying individuals whose explicit identifiers (EI) (e.g. social security number) were removed. The set {sex, zip code, and birthdate} is a

well-known quasi-identifier in many microdata sets. Microdata generalization technique applies to a quasi-identifier (QI), of a microdata set where explicit identifiers (EI) have been removed. Its goal is to reinforce k-anonymity on anonymized microdata. K-anonymity is one of privacy models that techniques implement to avoid re-identification. A microdata set satisfies k-anonymity if each data release is such that every combination of values of quasi-identifiers can be indistinctly matched to at least k individuals (Sweeney, 2002). Thus, each individual is identical with k-1 other individuals sharing the values of the quasi-identifiers after generalization. To perform the transformation of QI values, the generalization technique relies on predefined generalization hierarchies (one hierarchy per attribute of the QI). Each hierarchy contains at least two levels. The root is the most general value. It represents the highest level. The leaves correspond to the original microdata values and constitute the lowest level. Generalizing a value of QI attribute will consist in replacing this value by one of its ancestors in the generalization hierarchy. For instance, a value of age can be generalized to increasingly wide value interval until the hierarchy root.

Each anonymization technique may be implemented through different algorithms. For example, dozens of algorithms have been proposed for the generalization technique. Thus, there is a wide variety of anonymization techniques and even more algorithms that implement them. Comparisons of techniques are proposed in the literature (e.g. (Ilavarasi, Sathiyabhama and Poorani, 2013), (Fung and al., 2010)). Some are certainly usage-oriented but remain not accessible to data publishers with low skills in the field. Moreover, algorithms associated with techniques are only accessible through research publications. Their specification is close to the programming code. They are, most often, partially illustrated with examples. Their basic principles are textually described. Therefore, only computer scientists or professionals with programming skills can understand them.

Anonymization software are available (e.g. (Poulis and al., 2015), (Dai and al., 2009) and (Xiao and al., 2009)). However, they are rather opaque. Even if they propose several techniques, they generally implement a single algorithm per technique without mentioning its details. Most of these tools do not provide guidance in the choice of a technique and algorithm. They do not offer any help in the parameterization of the proposed algorithms. Guidance is limited to the application of metrics on anonymized data which allow the data publisher to

assess, in particular, the residual risk and the degradation due to anonymization.

Furthermore, the state of the art also includes numerous metrics to assess the quality of anonymized data, in terms of loss of information and/or precision, and preservation of a given usage (Ilavarasi, Sathiyabhama and Poorani, 2013). Finally, to the best of our knowledge, with the exception of our OPAM ontology (BenFredj and al., 2015), there is no knowledge base where a data publisher can seek the knowledge guiding him/her to useful anonymization while at best preserving privacy. There is also no approach that can carry out the process of anonymizing data while offering decision-making aids. Thus, in this paper, we propose an ontology-based decision support method allowing to guide the data publisher in the choice of an algorithm and in its parameterization. One main characteristic of MAGGO is its underlying meta-model. The next sections present our approach, detailing its main steps.

### 3 A GENERAL OVERVIEW OF MAGGO

Data anonymization is one of the security solutions that can be advocated in the context of privacy protection. Once this measure decided, the person in charge of anonymization (PIA) must design and execute a masking process. For this purpose, he (or she) must firstly detect identifying (EI), quasi-identifying (QI) and sensitive data (i.e. data that individuals generally do not want to disclose, such as medical data or salaries). Then he (or she) selects appropriate techniques with adequate orchestration. For each technique, he (or she) must also choose the most relevant algorithm, define a parameterization that reflects its usage needs, and evaluate the quality of the anonymized data in terms of both utility and safety with respect to the anonymization requirements. This process includes several key decisions points with potentially high impact on the anonymization quality. Without cognitive help, the PIA must have a great mastery of the domain. Providing assistance over the entire process requires considerable effort given the variety of data susceptible to be masked (microdata, linked data, geographic data, etc.) and the diversity of existing techniques and algorithms. In our research, we contribute in the anonymization process of relational databases (microdata) using the generalization technique. More precisely, we propose a guiding

approach that allows the PIA, given an anonymization context (defined in a specification), to choose and to execute the microdata generalization algorithm that best meets the anonymization specification. The chosen algorithm is one that offers the best trade-off between the two contradictory requirements: security and utility. More precisely, the best trade-off will be achieved after evaluating several algorithms with several possible combinations of parameters. As described at Figure 1, MAGGO encompasses five steps. The first step allows specifying the anonymization to be carried out. The context is then de-scribed. This task is performed in conjunction with the user who provides his/her microdata set and describes his/her expectations. The second step provides the user with some assistance in the choice and the parameterization of generalization algorithms. It suggests, given a specification, a signature set for candidate algorithms (i.e. candidate algorithms with, for each one, a set of input parameter values).

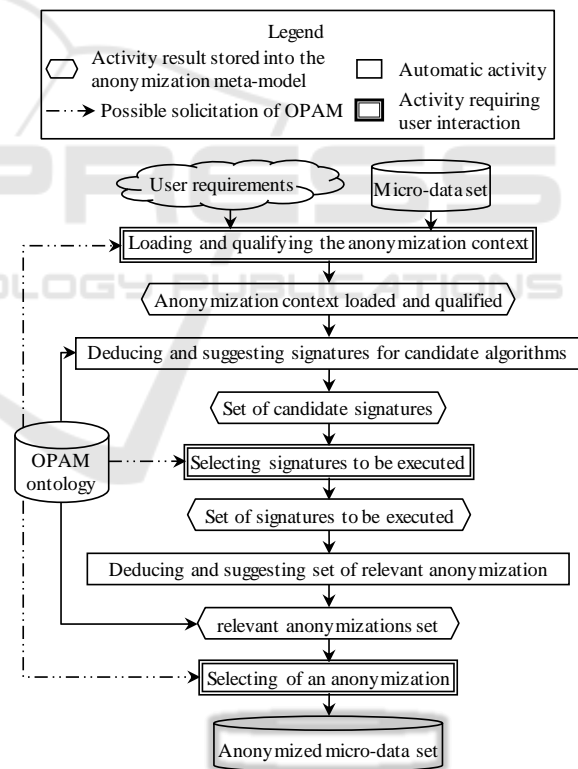


Figure 1: MAGGO steps.

During the third step, among all these signatures, the user selects a sub-set. MAGGO executes them on the microdata set in the fourth step. The latter also includes an evaluation of the different anonymized microdata sets. The assessment is made from both

safety and quality points of view, by means of metrics extracted from OPAM. MAGGO provides the user with necessary knowledge, making him/her capable of deciding while specifying the context and selecting anonymization solutions. This knowledge is made available through OPAM. Thereby, at each of its steps, MAGGO involves expert knowledge enabling suggestive or informative guidance (Silver, 2006). The first one guides the user in his/her choices while the second one provides him/her with information that can enlighten his choice. In our context, the suggestive guidance helps the PIA in the selection of the appropriate algorithm while the informative guidance provides him information to facilitate his choice regarding an algorithm or a technique. Thus, MAGGO offers suggestive guidance in its Step 2 and 4 and informative one in its other steps.

The underlying meta-model plays a significant role in our approach. Indeed, while OPAM provides the required knowledge for anonymization, the meta-model gathers the conceptual abstractions of MAGGO sources and target artefacts. Figure 2 describes this meta-model.

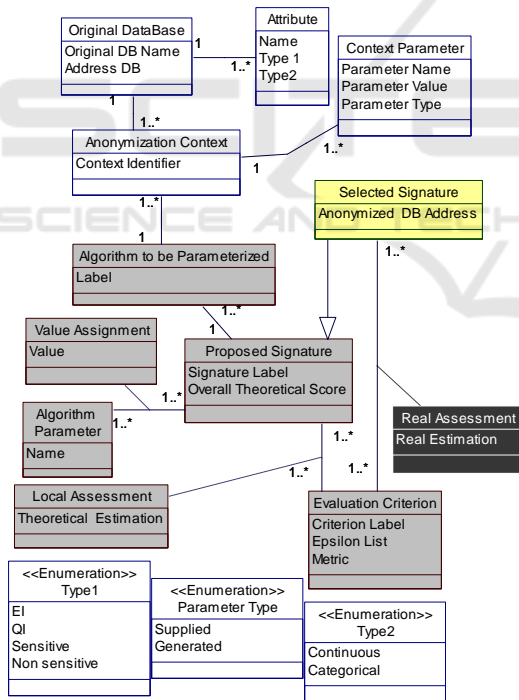


Figure 2: The meta-model of MAGGO.

In this figure, the concepts involved in a same step of MAGGO are represented by the same colour. An attribute comes from an original relational database. It can be sensitive, not sensitive, part of a QI or of an EI (Type 1). It can also be continuous or categorical

(Type 2). The definition of the anonymization context associated to an original database involves parameters provided by the PIA as well as others generated by MAGGO. The deduced signatures (step 2 of MAGGO) and, among them, those selected by the PIA are, respectively, stored in the classes "Proposed Signature" and "Selected Signature". The result of theoretical (i.e. deduced from similar cases) and real evaluations conducted by MAGGO are stored respectively in the association classes "Local Assessment" and "Real Assessment".

Thus, the execution of the first step of MAGGO instantiates our meta-model with data describing the anonymization context as well as its qualification. The following steps carry out an incremental enrichment of the model with complementary data.

MAGGO is based on the OPAM ontology (Ben Fredj and al. 2015). To facilitate the understanding of its different steps, presented above, we recall in Figure 3 the main concepts of the meta-model of OPAM.

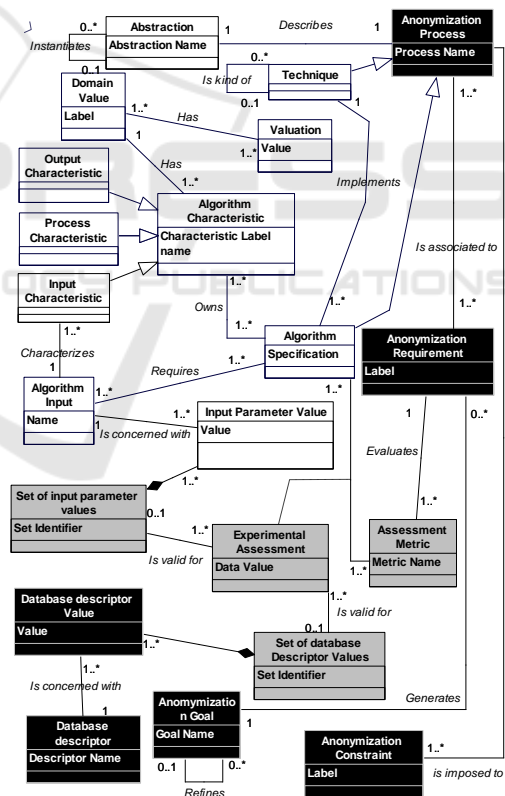


Figure 3: An extract of the conceptual schema of OPAM.

Classes with a white background are those that represent the "theoretical" knowledge related to anonymization techniques and algorithms. The grey background classes describe the concepts that



contribute to the description of the context. Finally, the classes with dark background represent the empirical knowledge collected from the experiments published in the literature.

The following sections describe each step of MAGGO.

#### 4 LOADING AND QUALIFYING THE ANONYMIZATION CONTEXT (STEP 1)

Anonymization aims at preventing potential privacy attacks. Consequently, the anonymization requires first the selection of one technique (or several) that implements the privacy model intended to counter these attacks. Then, given a privacy model and one anonymization technique, we must find out the algorithms that meet the expectations of the PIA. These expectations constitute a requirement set that anonymization must satisfy. Two categories of requirements must be considered. In the first one, the requirements are independent of the technique, namely the usage of the anonymous data, the re-identification risk threshold, the acceptable suppression rate and the required quality for anonymized data. This quality is difficult to measure. It can be expressed as the relative importance of the quality criteria to be checked by anonymous data. In the second category, the requirements depend on the anonymization technique and impact the choice of algorithm. In the case of the generalization technique, the desired type of generalization can constitute a specific requirement. For instance, anonymization by generalization is compatible with data classification. It requires a risk of re-identification below 10% and a suppression rate of more than 5%. The PIA can also indicate that he/she prefers the preservation of privacy rather than the completeness of anonymous data. Finally, he/she could opt for a multidimensional generalization (i.e. two identical data in the original table can be generalized differently while respecting the generalization hierarchy). Even if this information is available, it is not sufficient to select suitable algorithms. Indeed, as we have mentioned in our state of the art on anonymization by generalization (Benfredj and al., 2014), the choice of algorithms is also based on metadata (descriptive data of the database). The latter can be computed automatically or provided by the PIA. An example of metadata is the nature of the attributes (EI / QI / sensitive / non-sensitive, categorical / continuous) and the dataset distribution type. Moreover, some of

these descriptors are required regardless of the technique. Others are specific to a technique. For instance, the list of attributes constituting the QI is necessary for all anonymization techniques. However, the information regarding the dataset distribution type can help selecting the algorithms related to certain techniques, including the generalization.

To summarize, for the sake of genericity, the anonymization context requested by a user for his/her microdata is built in two stages (Figure 4). First, MAGGO constructs the context to be qualified, by retrieving in the ontology, its parameters, i.e. the kinds of user requirements to be met as well as the metadata, associated to the solicited anonymization type. The sub-schema of OPAM (Figure 3) queried by MAGGO is the one with dark background. As an example, in the case of anonymization by generalization, our MAGGO approach, after querying the OPAM ontology, will construct the context of anonymization by generalization. This context consists of the parameters described in Table 1.

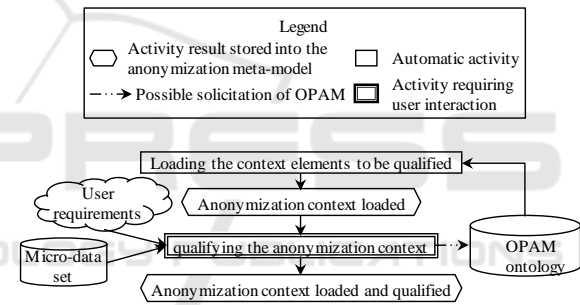


Figure 4: Step 1: Loading and qualifying the anonymization context.

Table 1: Context parameters for microdata generalization technique.

QI and EI
Sensitive attributes
Micro-data set size
Expected generalization type
Type of the QI: categorical or continuous
Tolerated re-identification risk threshold
Allowable deletion rate
Usage requirement
Original micro-data set
k
MaxSup

The two last ones are deduced by MAGGO. The rest of the parameters are supplied by the user. Although currently provided, the first five ones are deductibles. The user assigns a value to some of these context parameters, stored in the anonymization meta-model,

since they correspond to his/her requirements. This assignment is performed in the second phase of this first step. Except  $k$  and  $MaxSup$ , all parameters are deduced from the analysis of the datasets. In the current version, MAGGO does not offer this functionality. In the future, we intend to integrate components to automatically perform this type of extraction. Thus, in MAGGO,  $MaxSup$  is calculated from the size of the dataset and the user-authorized suppression rate by applying Formula (1). To compute  $k$  which refers to  $k$ -anonymity, MAGGO uses Formula (2). This formula is the same as that used by PARAT tool. It expresses the fact that the re-identification risk rate is inversely proportional to  $k$ . In other words, the smaller  $k$  is, the greater the re-identification risk.

$$MaxSup = Microdata\ size * Allowable\ deletion\ rate \quad (1)$$

$$k = 100 / re-identification\ risk\ rate \quad (2)$$

Once the context of anonymization filled, MAGGO suggests to the user, in the second step, in the form of signatures, a potential set of parameterized algorithms capable of satisfying his/her requirements.

## 5 DEDUCING AND SUGGESTING SIGNATURES FOR CANDIDATE ALGORITHMS (STEP 2 AND FOLLOWING STEPS)

The second step of MAGGO aims at building, evaluating, and submitting signatures meeting as far as possible quality requirements of the PIA (Figure 5). Its first phase consists in building relevant signatures. First, MAGGO extracts the algorithms in accordance with the anonymization context and provides them with parameter values within the constraints specified in the context. Then, among the

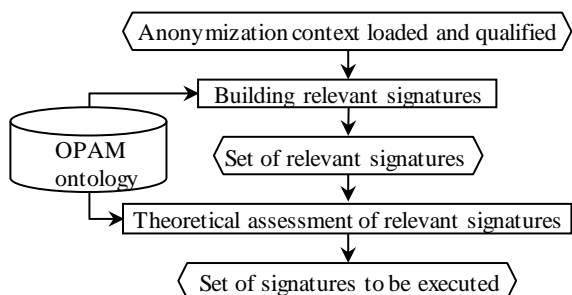


Figure 5: Step 2: Deducing and suggesting signatures for candidate algorithms.

relevant signatures, MAGGO proposes those offering the best score in terms of accordance with the quality requirements. The following paragraphs give details regarding each of these phases.

### 5.1 Building Relevant Signatures

There are several forms of generalizations. As an example, multidimensional generalization is such that, in the resulting dataset, the data are not necessarily at the same level of generality. Thus, one can imagine that an age range may be more or less wide according to individuals. The advantage is that we can refine the generalization level depending on data and thus avoid too much generalization, which would restrict their utility. Thus, in our approach, the type of generalization is a context parameter impacting the choice of algorithms. MAGGO takes them into account before eliciting parameter values for these algorithms. For instance, regarding anonymization by generalization, if the user has not specified a requirement defining the type of generalization to be obtained, at this step, all generalization algorithms are eligible. On the other hand, if his/her requirement is to obtain multidimensional generalizations, then this set is limited to the algorithms providing this type of generalization such as Median Mondrian. This filtering of algorithms according to an anonymization context relies on the OPAM ontology which contains the knowledge used to confront the characteristics of the algorithms with the requirements of the anonymization. This knowledge is represented thanks to the part of OPAM subschema with white background at Figure 3.

The selection of algorithms results in the instantiation of the anonymization meta-model (some classes with grey background of the meta-model at Figure 2). This instantiation also contains, for each algorithm, the set of possible combinations of parameter values that can be assigned to it. Each algorithm coupled with each combination of possible parameter values constitutes a relevant signature. The parameters may be considered as anonymization constraints. Thus, we grant to the parameter of the algorithm the value of the context parameter in accordance with the anonymization constraints imposed by the user. For instance, in the case of anonymization by generalization, the user expresses these two constraints: the tolerated re-identification risk threshold, and the allowable suppression rate. These two constraints generate, in the anonymization context, a value for  $k$  and  $MaxSup$ . These two values combined with each algorithm constitute a relevant signature.

## 5.2 Theoretical Assessment of Relevant Signatures

This phase aims to provide the user with the signatures that are closest to his/her quality and security requirements. It is a multi-criteria decision making (MCDM) process for which we apply the AHP (Analytical Hierarchy Process) technique (Saaty and Sodenkamp, 2008). The latter, on the basis of pairwise comparisons of evaluation criteria, determines the overall score of each of the signatures in order to retain the best ranked ones. It is thus possible to provide the user with the three relevant signatures having the highest score. To compute the score of each signature, MAGGO provides AHP with a hierarchy. The first level of this hierarchy represents the objective of this step. The intermediate levels correspond to the hierarchy of requirements stored in OPAM (the class “Anonymization Requirement” and the class “Anonymization Goal”). Its last level (the leaves of the tree) gathers the relevant signatures to be evaluated. For example, the anonymization of data that we want to use for classification may be represented by the hierarchy of Figure 6.

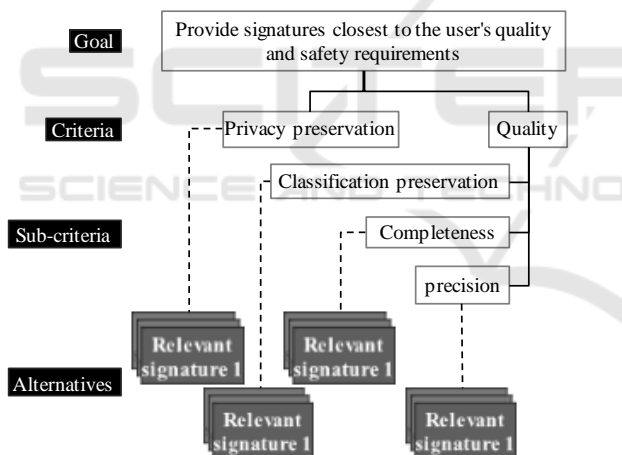


Figure 6: Example of AHP hierarchy for anonymization.

Once the hierarchy has been built, the process defines the judgments about the relative importance of the elements of this hierarchy. The judgments between the elements of the intermediate level of the hierarchy (i.e. criteria and sub-criteria) are expressed by the user and stored in the anonymization context. Then, MAGGO automatically computes the judgments on the relative importance of signatures (overall theoretical score) after an evaluation of each signature according to a given criterion. This approximate evaluation, called "local assessment", results from the experiments performed by the anonymization experts

and stored in OPAM (white background classes at Figure 3). The relative importance of each signature is also computed automatically, based on their local assessments and on a comparison scale available in MAGGO. The following paragraphs describe these local and global assessment processes.

### 5.2.1 Local Assessment of Relevant Signatures

Several assessments of microdata anonymization algorithms are available in the literature. Each of them measures the quality of an anonymous dataset with respect to a criterion (security, precision, completeness, etc.) given an algorithm signature and the specific characteristics of the original dataset. Metrics are used to compute these qualities. OPAM stores evaluations found in the literature (white background classes at Figure 3). In the case where there is no theoretical assessment for a signature (i.e. no measures found in the literature that we can adapt) and for the characteristics of the dataset at hand, MAGGO executes a supervised learning technique to predict the quality of this dataset when anonymized. To this end, we use the regression tree technique since it lends itself to the type of the predictor and target variables. We also opted for this technique given the small size of the training sample (Loh, 2011). The target variable is the criterion to be measured. The predictor variables are the different context elements influencing the target variable. The training dataset is extracted from the OPAM ontology (i.e. the association class “Experimental assessment”). Thus, for example, for anonymization by generalization serving classification purposes, we need four training datasets: one per sub-criterion i.e. per leaf of the intermediate level of the AHP hierarchy described at Figure 6. All datasets contain the same information: a value for "k", a value for "number of attributes of the QI", and a value for "the original microdata set distribution". The output is the measurement of the target criterion for each training example. Once each signature is evaluated, the meta-model is enriched by these new estimations (instantiating the association class “Local assessment”).

### 5.2.2 Global Assessment of Signatures

Once the local evaluations of the various signatures have been carried out, it is necessary to make pairwise comparisons to deduce the relative importance of the signatures with respect to each criterion. This comparison leads to the construction of a matrix of comparisons that AHP exploits for deriving scores.

The automatic deduction of the matrix is based on the semantic scale defined at Table 2.

Table 2: Semantic scales of relative importance for signatures.

Intensity	Meaning with respect to criterion $C_i$	Formal interpretation
1	$S_j$ and $S_{j'}$ are of equal quality	$E_{S_j}^{C_i} - E_{S_{j'}}^{C_i} \leq \epsilon_1$
2	$S_j$ has a quality slightly better than $S_{j'}$	$\epsilon_1 < E_{S_j}^{C_i} - E_{S_{j'}}^{C_i} \leq \epsilon_2$
3	$S_j$ has a better quality than $S_{j'}$	$\epsilon_2 < E_{S_j}^{C_i} - E_{S_{j'}}^{C_i} \leq \epsilon_3$
4	Quality of $S_j$ is much better than quality of $S_{j'}$	$\epsilon_3 < E_{S_j}^{C_i} - E_{S_{j'}}^{C_i} \leq \epsilon_4$
5	Quality of $S_j$ is extremely better than that of $S_{j'}$	$\epsilon_4 < E_{S_j}^{C_i} - E_{S_{j'}}^{C_i} \leq \epsilon_4$

This scale is inspired by the semantic scale of (Saaty and Sodenkamp, 2008). The first column of this table is a number that indicates how many times is  $S_j$  is over  $S_{j'}$  with respect to the criterion  $C_i$ .  $E_{S_j}^{C_i}$  (respectively  $E_{S_{j'}}^{C_i}$ ) represents the local assessment of the signature  $S_j$  (respectively  $S_{j'}$ ) for the criterion  $C_i$ . We also have:  $\epsilon_1 < \epsilon_2 < \epsilon_3 < \epsilon_4 < \epsilon_5$ . These values are predefined by MAGGO for each quality criterion (see the class “Evaluation Criterion” of the meta-model).

### 5.3 Steps 3, 4 and 5 of MAGGO

Once the pairwise comparisons have been performed, AHP provides the global score of each relevant signature, which allows to prioritize these signatures and to propose those having the best score to the user, during the third step of MAGGO. The user has the possibility to choose one or more signatures that will be executed on the data set. The execution of these signatures is the aim of step 4. During this step, an anonymous dataset is delivered for all relevant, highest-score, user-selected signatures. To guide the user in the choice of anonymous datasets, different real evaluations are carried out according to the anonymization context. These evaluations are also carried out using AHP. Each of them consists in evaluating each anonymous dataset according to each expected quality requirement.

## 6 ILLUSTRATIVE EXAMPLE

To illustrate our approach, let us suppose that we have an anonymization context characterized as follows. The table to be anonymized has a large size (e.g. 1000 records) with a uniform distribution of microdata. We assume that the threshold tolerated for the re-identification risk is 10%. Similarly, no more than 20% of the tuple can be deleted. The QI includes three attributes. The future usage of the anonymized data is classification. The PIA attaches as much importance to the data usefulness as to their protection from disclosure. The data precision of the produced data is slightly more important for him/her than the usage requirement (which is in this case the classification) but very strongly more important than the data completeness. However, the classification is of greater importance to him/her than the data completeness. In the first step of MAGGO, the user must enter its context. Some context elements (table size, data distribution, QI size) are calculated automatically after loading the table. MAGGO also computes  $k$  and  $MaxSup$ . For this context, the parameters  $k$  and  $MaxSup$  are respectively 10 and 200. Algorithm signatures can also be defined for  $k = 12$  and  $MaxSup = 150$ . In its second step, MAGGO deduces a set of candidate signatures. MAGGO exploits OPAM to find algorithms that fulfill the constraints enunciated in the anonymization context. Let us assume that only Datafly, Median Mondrian and TDS algorithms fulfill these constraints. Therefore, the generated signatures are summarized in the first four columns of Table 3.

Table 3: The generated signatures.

Signature	Algorithm	$k$	$MaxSup$
Sig 1	Datafly	10	150
Sig 2	Datafly	10	150
Sig 3	Datafly	12	200
Sig 4	Datafly	12	200
Sig 5	Mondrian	10	0
Sig 6	Mondrian	12	0
Sig 7	TDS	10	0
Sig 8	TDS	12	0

They are evaluated per each AHP hierarchy sub-criterion of Figure 6. The local evaluations corresponding to the criteria “privacy preservation” and “completeness” have been deduced according to  $k$  and  $MaxSup$ . Those related to the criteria “classification preservation” and “precision” have been learned, using the regression tree technique applied on the experimental evaluation stored in OPAM. The “Discernability Metric” (DM) (Fung,



and al., 2010) has been used for the precision criterion. The overall evaluation, computed by MAGGO, for each signature, using AHP, appears in the last column of Table 4. This global score is based on the relative importance of each criterion that the user has expressed before. This score allows the user to choose to execute, on the original data set, the signatures (for example the last four) that offer the best trade-off between the four criteria.

Table 4: Local and global assessment of signatures.

Signature	Local Evaluations				Global Score
	PP	C	P	CP	
Sig 1	0.9	0.85	50000	0.54	0.1
Sig 2	0.9	0.85	50000	0.54	0.05
Sig 3	0.92	0.8	60000	0.61	0.04
Sig 4	0.92	0.8	60000	0.61	0.05
Sig 5	0.9	1	15000	0.65	0.27
Sig 6	0.92	1	20000	0.63	0.18
Sig 7	0.9	1	35000	0.79	0.19
Sig 8	0.92	1	40000	0.71	0.12

PP: Privacy Preservation      C: Completeness  
CP: Classification Preservation      P: Precision

## 7 MAGGO VALIDATION

After prototyping MAGGO, we carried out an experiment to evaluate the effect of its decision-making aid on the user. For this purpose, we have first defined a usability model, inspired by those found in the literature (Madan and Dubey, 2012), to assess each type of guidance (informative and suggestive). Our model comprises the effectiveness, efficiency, learnability, and satisfaction attributes. According to ISO 9241-11 (1998), effectiveness is the performance measure of a system to complete task or goal successfully within time. Efficiency is the successful completion of the task by a system. The satisfaction is acceptability of a system by the users. The learnability attribute is defined, in ISO9126 (2001), as the capability of the software product to enable the user to learn its application. We also have considered four kinds of guidance and thus built four tool versions. The first kind of guidance is a predefined informative one. It is similar to the one found in the current tools. It consists of a tutorial and aids throughout research papers. The second kind of guidance is an on-demand informative guidance appearing over the course of the anonymization steps. The third kind is the suggestive guidance proposed in MAGGO. The last one combines both the second and third types of guidance. Sixteen participants have been recruited to perform the same decision task in a

controlled environment. They were all either doctoral students or researchers, in computer science, with neither experience nor knowledge in anonymization. Therefore, we have considered that they have the same profiles in both the computer science and anonymization fields. To avoid any biased interpretation of the results, the same anonymization context was given to each participant. Each tool version was run by four participants randomly assigned to it.

Before running the tool, each participant has received a brief oral presentation of the microdata anonymization with an emphasis on the generalization technique. He (or she) has been invited to use the tool for anonymizing the provided original data (given the predefined context) and to choose the “best” one among the resulting sets of anonymized data. Once the anonymization process has been finalized, the participant was invited to fill a multiple-choice questionnaire (MCQ) consisting of fifteen questions. This MCQ has been designed to evaluate the participant’s learnability. The participant had also to evaluate his/her satisfaction level, for the provided guidance, on a scale of 1 to 10. To avoid erroneous results, we presented him the other three versions before he/she evaluated his/her satisfaction. The efficiency of a version has been measured by considering the quality of the decisions made by the participants. The effectiveness of the version has been defined from a user’s view point. Therefore, it corresponds to the efficiency of participants in carrying out the anonymization divided by the time it took them to complete this task. For lack of space, we resume our analysis of all the obtained measures. The latter have confirmed the non-negligible contribution of simultaneously suggestive and informative guidance in the proper accomplishment of anonymization. It also confirmed the requirement of suggestive guidance for users having little or no skills in anonymization.

## 8 CONCLUSION

Data publishers face two major challenges during an anonymization process. The first one is the choice of the appropriate algorithm. The second one is related to the parameterization of the algorithm so that it delivers secure and useful data. Our MAGGO approach guides the PIA through these two tasks using an ontology named OPAM. Its guidance can be qualified as both incremental and interactive. It is incremental in the sense that it is introduced at various points of key decisions throughout the process. It is

interactive since it involves the user in the decision-making process. The latter can also query the ontology to obtain the necessary knowledge. Securing data by anonymization and preserving an intended quality are usually contradictory objectives. Therefore, the anonymization process, implemented in MAGGO, aims at a trade-off between these objectives, depending on the usage requirement of the anonymized data. Our approach is currently limited to anonymization of microdata sets by generalization. However, we have endeavored to make it as generic as possible so that it can be applied to other microdata anonymization techniques. Finally, to promote its evolution and its incremental implementation, we opted for a model driven approach. OPAM was published in a previous paper. The contribution of this paper is twofold: i) a meta-model to describe the different components of the approach, ii) the methodology MAGGO which performs the whole anonymization process. Moreover, we illustrate the contributions with an example and describe a controlled experiment conducted to validate the added value of the approach. There are two main avenues for future work. First, we want to conduct an experiment on a larger scale including users that have low skills in computer science in order to obtain a stronger evaluation of MAGGO. This will allow us to confirm the usability of our approach and tool. Second, we want to perform the same effort to extend MAGGO to other micro-data anonymization techniques.

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