

# Techniques to Control Robot Action Consisting of Multiple Segmented Motions using Recurrent Neural Network with Butterfly Structure

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**Abstract:** In the field of robot control, there have been several studies on humanoid robots operating in remote areas. We propose a methodology to control a robot using input from an operator with fewer degrees of freedom than the robot. This method is based on the concept that time-continuous actions can be segmented because human intentions are discrete in the time domain. Additionally, machine learning is used to determine components with a high correlation to input data that are often complex or large in quantity. In this study, we implemented a new structure on a conventional neural network to manipulate a robot using a fast Fourier transform. The neural network was expected to acquire robustness for amplitude and phase variations. Thus, our model can reflect a fluctuating operator input to control a robot. We applied the proposed neural network to manipulate a robot and verified the validity and performance compared with traditional models.

## 1 INTRODUCTION

### 1.1 Robot Manipulation

Since humanoid robots with numerous degrees of freedom (DOFs) were first developed (Hirai et al., 1998), a variety of studies on robot control methods have been conducted. To manipulate such robots, we developed the Tsumori system (Niwa et al., 2010).

It is known that the voluntary actions of human beings have discrete time-segmented structures (Yamamoto and Fujinami, 2004). According to our research, the reason for this phenomenon is that human memory has a discrete segmented structure in the time domain, and discrete memory segments have a one-to-one correspondence with motion segments. The Tsumori system is based on this hypothesis. In this system, the time series of data describing a robot's motion and the operator's intentions are segmented. These segmented robot motions and operator intentions are related to each other one-to-one and control sticks are used to generate the input signals. This method was used to control a robot, while maintaining optionality on the layer of intention (Ando et al., 2012).

However, this method has limitations in terms of robot control. One problem is its inability to associate a robot's pose with a particular input because an operator may have multiple simultaneous imitations

and because fixed poses have a one-to-one correspondence with the imitations of the operator. Dancing and operating a smart phone while walking both involve multiple simultaneous motions, as the coordinated movement of the entire body requires a different velocity and displacement at each joint. Another problem is the number of segmented motions. The Tsumori system uses pre-recorded templates to determine a robot's motions; therefore, it cannot deal with motions that have not been templated.

In this paper, we propose a new manipulation method that solves the problems with the Tsumori framework. We chose to perform robot control by using a neural network as a learning machine. This choice was motivated by the fact that machine learning can almost solve the classification problem; however, it was also necessary to address the temporal continuity of the information used for robot control. Our method employs a recurrent neural network (RNN); these networks produce signals that are largely unaffected by variations in time-series data, such as that used to control robot motions based upon operator intentions. The RNN in the proposed system also includes a butterfly structure that uses a fast Fourier transform (FFT) in its hidden layer to detect and discriminate between multiple imitations. By implementing this method, robot control will be possible in more diverse situations.

## 1.2 Tsumori Control

The Tsumori system can be implemented as Figure 1. First, the robot motion intended by the operator is translated into motion segments  $x$ . This transformation makes it possible to cause the robot to automatically perform continuous motion  $z$  by linking motion segments  $x'$ . The operator observes the robot and inputs  $y$ , intending the robot to perform motion  $x$ . This control flow involves changing the operator's input  $y$  into motion segment  $x$  and dividing continuous motion  $z$  of the robot into motion segments ( $x' z x y$ ) (Figure 1). A learning controller is employed to remember the correspondence  $G_c$ . The operator then controls the robot using the learning controller. The operator inputs  $y$  by using the control sticks, intending the robot to perform motion  $x$ . The learning controller changes the operator's input into motion segments  $x'$  and transmits the appropriate motion data to the robot. The robot receives the data and performs motion  $z$  as desired. This flow makes it possible to control the robot as intended. In this research, we used an RNN with a butterfly structure as the learning controller.

In general, the motion trajectory of a robot can be described by the sum of a number of trigonometric functions with different frequencies. If complex movements or movements with multiple intentions are required, it is necessary use a neural network for robot control, as such networks can describe not only spatial-domain information, but also the frequency distribution of the signals.

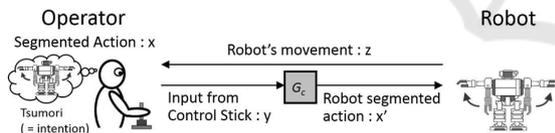


Figure 1: Control flow of Tsumori system.

## 1.3 Neural Network

Neural networks have been studied to obtain new models and structures to solve complex problems. One famous example is deep learning, which is modeled on the structure of the human brain (G. E. Hinton and Teh, 2006). These networks have been reported to be capable of recognizing objects (Le et al., 2012). However, their recognition ability poor at finding the features in the time domain as its structure has no ability to represent the time-varying information.

Against it, recurrent neural networks (RNN) contain layers separate from the general layers that can retain previous network states (Mikolov et al., 2011). This structure allow them to determine the structures

in temporal order. However, RNNs still have limitations with respect to periodicity, because the back-propagation error is erased by cyclical integration and the number of layers are finite.

The Tsumori system must determine the temporal ordering of structures with multiple DOFs to enable robots to be manipulated to perform multiple segmented actions simultaneously. Furthermore, the system must determine the frequency distribution of the inputs. However, a simple RNN is not able to describe the frequency distribution of the operator inputs. Therefore, this function was realized by using an RNN to enable the Tsumori system to determine the frequency features of the operator's multiple action inputs in detail in each time segment.

Thus, it is necessary for a network to be able to identify structures in the input data and reflect them in the output while retaining the frequency information. The multiple operator input segments would thus be associated with multiple robot actions.

The FFT method is an established technique for expressing information in the frequency domain, as FFTs can express all of the information in both the time and frequency domains. Therefore, we expected that an RNN utilizing an FFT strategy would be able to describe both the temporal orders and the frequency distributions of the input signals. In this study, we applied an FFT structure in an RNN and verified the reproducibility of the input frequency distribution by comparing the results with those of other types of neural networks.

## 2 PROPOSED METHODOLOGY

### 2.1 FFT

We employed an FFT butterfly structure in an RNN to describe the frequency domain information. It was believed that if the frequency information was given to the neural network, the system could achieve robustness in spite of phase or amplitude variations.

Figure 2 shows a diagram of the FFT butterfly structure. In this type of structure, a wide window is first applied to the signal. As the flow advances, low-frequency information is integrated, and high-frequency information appears. In this discussion, the operator that transmits the signal from the  $i$ -th butterfly flow to the  $j$ -th butterfly flow is denoted as  $w_{i,j}$ , and the result of each butterfly is represented by  $f_{j,n}$ , where  $n$  is the number of butterfly operations. Then, each  $f$  is given by

$$f_{j,n} = w_{i,j}x_i, \quad (1)$$

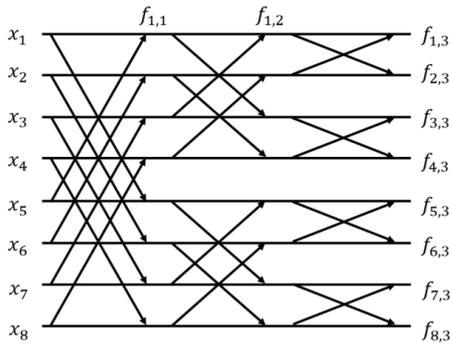


Figure 2: FFT butterfly structure.

where  $f_{j,0}$  has been set equal to  $x_i$ . To extract the features at each frequency, we believed that it would be necessary to use not only the obtained complex Fourier coefficients, but also all of the  $f_{i,n}$  values because it is obvious from Figure 2 that holding  $f_{j,n}$  in the middle layer enables the extraction of features from both low and high frequencies.

In our model, we implemented the FFT butterfly structure in the middle layer, which also had the RNN structure as shown in Figure 3.

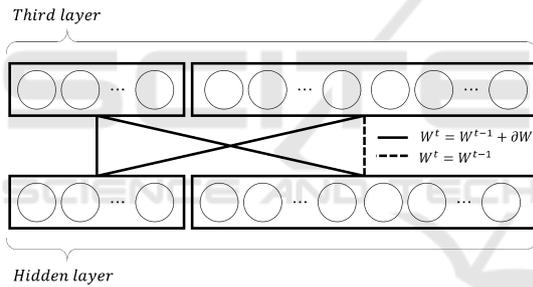


Figure 3: Structure of third layer.

When implementing the FFT in this layer, three points required attention. First, if all of the neurons had FFT structures, it is conceivable that the learning would not converge (the dashed line in Figure 3). Second, even though the RNN had a mechanism to update the weights of the connections between the cells (the solid lines in Figure 3), the weights of the FFT butterfly connections weights were constant. Finally, if the FFT butterfly structure had a feedback path to itself from the RNN, the FFT would not work correctly, as the inputs were time integrals. This composition is expected to enable expression of both time and frequency information without losing the RNN’s nature.

### 2.2 Proposed Model

Our proposed model consists of five layers, with the third layer incorporating both the FFT butterfly and RNN structures. Our proposed neural network was

developed based on the deep belief network (DBN) model (G. E. Hinton and Teh, 2006).

In a DBN, the weights of the network are initialized from the input layer to the output layer by learning without a teacher as pre-processing for learning. After that, the weights are updated by using back-propagation (Hinton and Salakhutdinov, 2006). In this paper, we set the probability  $p$  equal to 0.5 for drop-out. The first and last layers are for the input and output layers, respectively. Thus, this model has three middle layers. The second and fourth layers are normal DBN layers and bind the previous and subsequent layers. The only difference between this structure and that of a normal DBN is in the third layer with the hidden layer for RNN and FFT butterfly structure. The hidden layer separate from the normal layers. At time  $t$ , the RNN stores the current information on the hidden layer after outputting the results. Next, at time  $t+1$ , the hidden layer feeds the information corresponding to the previous time back to the normal layers. Thus, the RNN can learn patterns that vary with time. We used the equation 2 to perform forward propagation:

$$u_j^t = \sum_i w_{ji}^{(in)} x_i^t + \sum_{j'} w_{jj'} z_{j'}^{t-1}. \quad (2)$$

The indices  $i$  and  $j$  correspond to the previous and subsequent layers, respectively, in the RNN;  $u^t$  is the middle layer input at time  $t$ ;  $w^{(in)}$  is the weight between the input and middle layer; and  $w_{jj'}$  is the weight of the RNN feedback path. The initial value of  $w_{jj'}$  was calculated using autocorrelation, and back propagation through time was used. The error  $\delta$  propagated to the previous layer was calculated using the equation 3. Subsequently, the weight of each connection was updated using Equation 4.

$$\delta_j^t = \left( \sum_k w_{kj}^{out} \delta_k^{out,t} + \sum_{j'} w_{jj'} \delta_{j'}^{t+1} \right) \quad (3)$$

$$\frac{\partial E}{\partial w_{jj'}} = \sum_{t=1}^T \frac{\partial E}{\partial u_j^t} \frac{\partial u_j^t}{\partial w_{jj'}} = \sum_{t=1}^T \delta^t u_j^{t-1} \quad (4)$$

## 3 EXPERIMENT

Before controlling a robot with the Tsumori system, it was necessary to verify the performance of our model. We expected it to perform well when supplied with input signals with deviations in their frequency distributions because of the FFT butterfly structure. Therefore, we evaluated the performance of our model when supplied with signals both with and without deviations in their frequency distributions.

### 3.1 Preparation

To verify the feasibility of using our neural network model to control a robot, we compared the performances of four kinds of neural networks: deep learning (A), deep learning with FFT butterfly (B), RNN (C), and RNN with FFT butterfly (D). The third layer of model (B) consisted of FFT parts and ordinal neurons for deep learning. All of them have five layers to construct the neural network model. The output and input layers each contained 32 neurons. The second and fourth layers each had 150 neurons, and the third layer had 402 neurons. The remaining 352 neurons in models (B) and (D) also had FFT butterfly structures. We used 32 points for the FFT, with five butterfly operations. We used two neurons to store  $f_{j,n}$ , which consisted of real and imaginary parts. The weights were updated 20 times during the pre-processing of the Boltzmann machine. In addition, equation 5 was used as the activation function, where  $u$  is the input to each neuron:

$$f(u) = \frac{1}{1 + e^{-u}} \quad (5)$$

### 3.2 Performance Verification

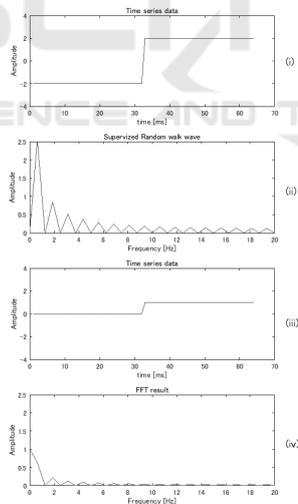


Figure 4: Step function signals.

We verified the above models from the viewpoint of frequency distribution. First, we prepared signals that were uniform in the frequency domain for verification. The signals were step functions whose spectra incorporated all of the frequency bands. Figure 6 shows the waveforms and FFT results for the input and output layers when step function signals with the form of Equation 6 were applied:

$$x(t) = au(t - \tau) - b \quad (6)$$

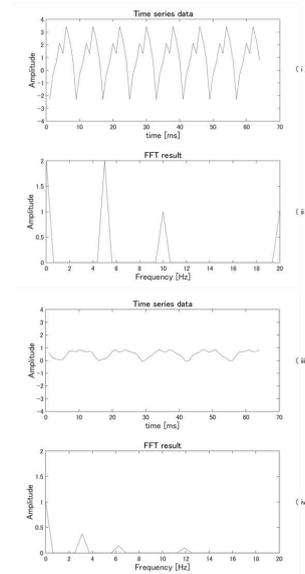


Figure 5: Random walk signals.

In this equation,  $u(t)$  is a step function. The parameters were set as follows:  $a = 4, b = 2, \text{ and } \tau = 32$  for the input signal, and  $a = 1, b = 0, \text{ and } \tau = 32$  for the output signal. The signals were fed to the input layer by shifting them by 1 neuron as time progressed from  $t$  to  $t + 1$ . The output data obtained from each model after 16 steps were used for verification.

As step signals are uniform in the frequency domain, the implemented FFT structure would not find the structure in the frequency domain. Therefore, model (A) was expected to perform well when supplied with a step function signal.

The results are shown in Figure 6. In each graph, the gray lines show the waveforms and frequency distributions of the supervised data (Figure 4, 5). The black lines show the results from each model. Model (A) best reproduced the input data. However, model (B) could not do so since the almost all of the neurons in the third layer were employed for the FFT.

The same can be said about model (C). Though the increases in the signal were identified by both models, in model (C), the temporal information about the signal increases was lost. Model (D) successfully reproduced the supplied signal, although it fluctuated. The frequency distribution generated by model (A) is almost identical to that of the original signal, whereas in the other models, energy leakage is evident. However, the distribution produced by model (D) is better than that of model (C) in the low-frequency band. Therefore, deep learning is the best means of reproducing a pattern that has a spatial structure, and RNNs cannot accurately reproduce rising or falling signals. However, performing an FFT in an RNN could improve its ability to reproduce such waveforms.

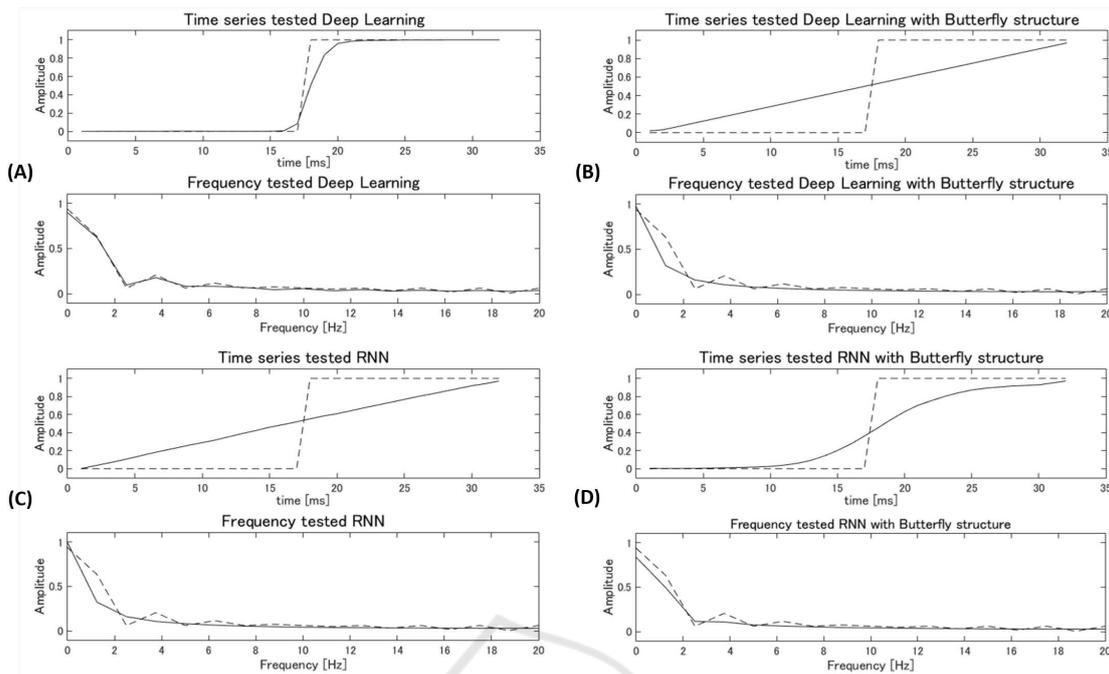


Figure 6: Output from each neural network (step function).

Next, instead of step signals, we used random walk signals; such signals have variations in their frequency distributions. In the real world, signals with uniform frequency distributions, such as step signals, are few. Instead, most signals have biased frequency distributions, like those of random walk signals. Therefore, it was reasonable to use these to verify the abilities to reproduce the signals by using FFTs. The signals had the following form (Tachi, 1993):

$$x(t) = \sum_{k=1}^n (a_0 p^{-k} \sin 2\pi f_{min} p^k t + \phi_k). \quad (7)$$

The parameters were set as follows:  $a_0 = 2$ ,  $p = 2$ ,  $n = 3$ , and  $f_{min} = 5$  for the input signal, and  $a_0 = 20$ ,  $p = 2$ ,  $n = 3$ , and  $f_{min} = 3$  for the output supervised signal. The amplitudes of both the input and output signals were inversely proportional to the frequency from Equation 5. Hence, the energies of the signals at each frequency and the velocity amplitudes were constant, and the sine wave at each frequency was independent of  $\phi_k$  and the sampling frequency was 40 Hz. The waveforms and FFT results for the input and output layers when supplied with random walk signals are shown in Figure 7. Graphs (i) and (ii) correspond to the input signal, and graphs (iii) and (iv) correspond to the supervised.

Figure 7 shows the results. Ideally, the waveforms obtained by the neural network would have peaks in the frequency domain at 3 Hz, 6 Hz, or 12 Hz. Models (A) and (B) show no marked differences, regardless of

the presence of the FFT butterfly. In the FFT results for these two models, the energies of the signals at each frequency were largely lost.

Model (B) failed to reproduce the waveform and frequency distribution of the signal. The reason for this failure is believed to be that, as this model had no recurrent structure, it could not identify the time-varying components of the signal. Although model (C) lost less energy than model (A), the energy leakage occurred across a wide frequency range, and it was very difficult to estimate the original waveform from the measured one. On the other hand, the output from model (D) has peaks at 3 Hz and 6 Hz in the FFT results. Although there is no peak at 12 Hz, the energy is not zero. Therefore, it is said that model (D) best reproduced the original waveform.

### 3.3 Tsumori by RNN with FFT

Next, we used our model to control a robot through the Tsumori framework, as the inputs of the Tsumori system have temporal continuity and contain multi-frequency information. The sampling frequency was 40 Hz, and each segmented robot action was described with 64 units in the Tsumori system. We asked one subject to generate inputs using the control stick based on the robot's action, such as raise its hands" with the intention, "I move this robot". This is a basic operation required to enable a robot to lift an object and is applicable to many situations. The subject repeated this operation 10 times; the ninth data

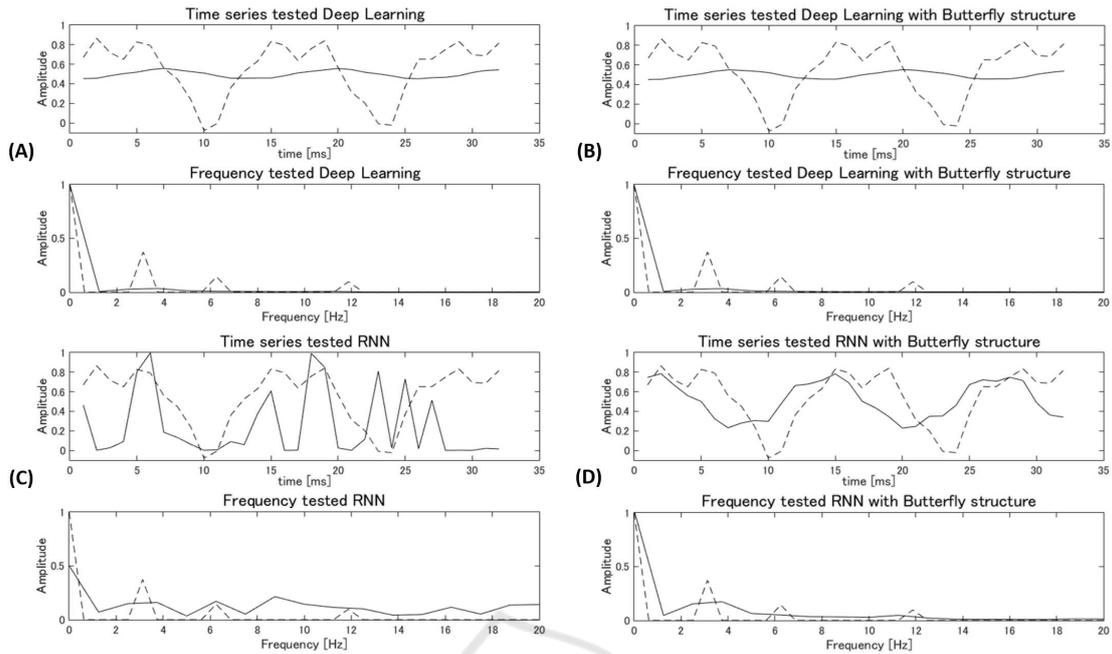


Figure 7: Output from each neural network (random walk).

set was used for learning, and the 10th was used to test the neural network. Figure 8 shows the waveforms and FFT results corresponding to the input by the subject and the actual movement of the robot servo motor.

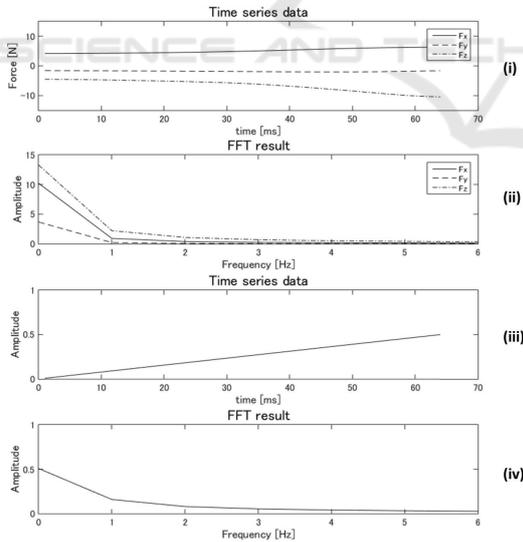


Figure 8: Operator input.

In Figure 8, (i) and (ii) show only three DOFs, the forces along the three axes, and (iii) and (iv) correspond to just the pitch of the right shoulder. In fact, the operator inputs had 12 DOFs, which consisted of forces along and moments about the three Euclidian axes for both hands. In this study, six DOFs were

used in total because the inputs from the operator's two hands were considered to be symmetrical. Furthermore, the number of DOFs of the robot's upper limbs was reduced from six to three: the roll and pitch of the right shoulder and the pitch of the right elbow. In this experiment, a KHR3HV robot was controlled by the Tsumori system.

Some changes were made to the neural network to apply it to the Tsumori system. We prepared 192 neurons for the input layer and 96 for the output layer, because the operator input consisted of six DOFs, while the robot had only three DOFs. A total of 1000 neurons for the second layer, 600 for the fourth layer, and 2262 neurons for third layer were prepared. In the third layer, 2112 neurons had FFT butterfly connections and the remaining 150 neurons were used for the RNN. In this experiment, the neural networks received operator inputs corresponding to two motion segments for the robot. Therefore, 32 pieces of data were input simultaneously, corresponding to a total of 64 with one DOF each, and each piece of data was recorded in a separate time interval. Therefore, the time varied from 0 and 63. As Figure 9 shown, the resulting frequency distribution and waveform are both quite similar to those of the original signal.

## 4 DISCUSSION

For the step function signals, model (A) best reproduced the original signals, because the deep learning

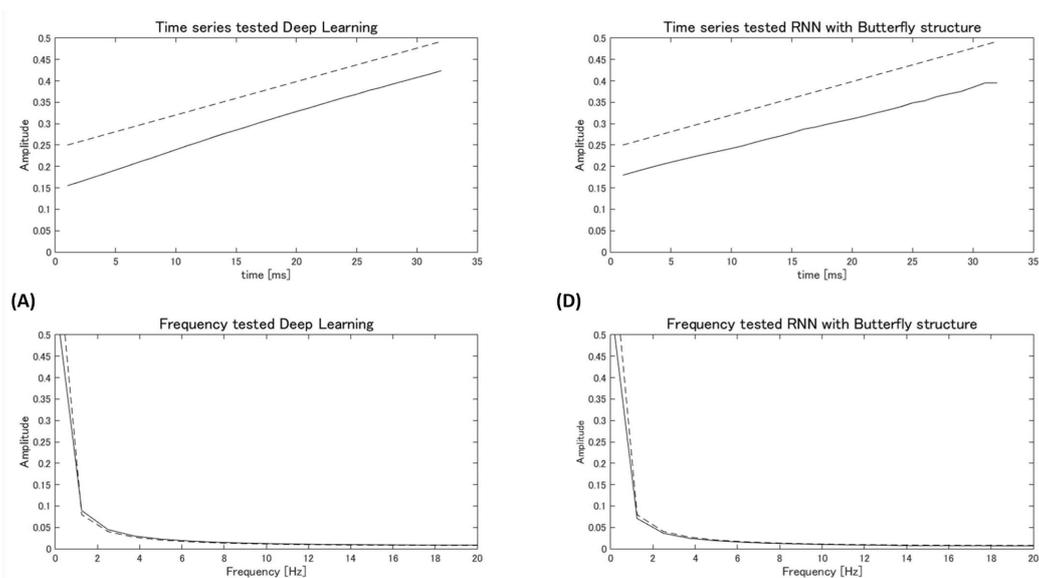


Figure 9: Output Tsumori system output.

method can accurately determine spatial structures. For this reason and because the rising of the signal appeared locally, it was considered that model (A) remembered only that information. On the other hand, although some of the structure of model (B) was the same as that of model (A), the waveform was lost because the FFT result for the step signal contained less information (from Figure 4) and the number of neurons for deep learning was lower than that of model (A). Model (C) also could not reconstruct the waveform. Although an RNN can accurately reproduce time-varying data, the learning process was ineffective, as the change was local. On the other hand, the waveform and frequency distribution were both well reproduced by model (D) by performing an FFT. The FFT did not provide information about the energy, but it did yield information about the phase of each frequency. From this information, the RNN could find the change in the time domain.

Next, we compare the performances of the models when random walk signals were applied. Models (A) and (B) could not reproduce the random walk waveforms, as deep learning cannot identify the features of time-varying data. In model (B), though the FFT provided frequency information, it lacked the information about the temporal variations. The results of model (C) were the worst of all the models, however, upon implementing the FFT structure, model (C) became the best model. Except for the energy leakage at lower frequencies, model (C) maintained the energy of the entire system. Accordingly, RNNs might enable complete reproduction of frequency information if the energy leakage problem can be solved.

Two problems related to energy leakage were considered. First, the recursion times of the RNN were few to converge to the optimal network. In this study, the recursive method of the RNN was employed only once. It is conceivable that the energy leakage would decrease by performing the RNN method repeatedly. Another problem was that the temporally continuous data we used were long for the RNN. Therefore, the RNN might not have been able to remember old information about the weights of the connections. To solve this problem, it might be beneficial to implement a long short-term memory (LSTM) (Hochreiter and Schmidhuber, 1997) structure or more hidden layers than were used in this model.

Finally, we compare the robot control signals of the Tsumori framework. Both models (A) and (D) produced waveforms and frequency distributions similar to those of the original data. It is believed that model (A) could learn the spatial features of the signal since it was monotonous. However, as shown in the results corresponding to the random walk signals, model (A) failed to reproduce the signals if they consisted of multiple frequencies. Our objective in this research was to enable robot control based on input consisting of multiple operator intentions; therefore, it is necessary to verify the performances of robots when given such inputs.

## 5 CONCLUSION

This paper introduced a new deep-learning model for learning signals consisting of multiple frequencies,

and its ability to reproduce the original signals was discussed by comparing its performance with those of the existing models. We also experimentally verified its practicality for robot control by using operator inputs applied through the Tsumori framework. Our approach yielded the optimum results for determining information in the frequency domain when an RNN with an FFT structure was used. The results indicate that a neural network with RNN and FFT butterfly structures could learn data consisting of multiple frequencies. This corresponds to a robot learning an action consisting of multiple segments. Therefore, this neural network can be used to construct learning machines that associate multiple operator intentions with robot actions in the Tsumori framework. In the future, we plan to verify the ability of this model to produce robot movements when presented with multiple segmented operator intentions through the Tsumori architecture. These inputs will resemble the random walk signals used in this study.

## ACKNOWLEDGEMENTS

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