Bidirectional Transformations with QVT-R: A Case Study in Round-trip Engineering UML Class Models and Java Source Code

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Abstract: Model-driven software engineering has become more and more important during the last few years. Model transformations constitute the core essence of model-driven development. Throughout the years, the concept of unidirectional model transformations and corresponding tool support has become mature and usable. Transformations of this kind are widely used in model-driven development, for forward or reverse engineering or mainly for code generation. Bidirectional transformations, on the other hand, aim to provide support for (incrementally) transforming one or more source models to one or more target models and vice versa from only one transformation description. However, they seem to be rarely used in model-driven software development although modelers need round-trip support between the different stages of development models. In this paper we present a QVT implementation of a bidirectional model transformation. Our case study keeps UML class diagrams consistent with a Java model during round-trip engineering and thereby shows a real world application. The results and experiences gained in this case study are discussed in detail.

1 INTRODUCTION

Model-driven software engineering is a discipline which puts strong emphasis on the development of higher-level models rather than on source code. Over the years, UML (OMG, 2011c) has been established as the standard modeling language for model-driven development.

The basic idea behind UML is providing a standardized modeling language for the *Model-Driven Architecture (MDA)* (Mellor et al., 2004) approach propagated by the Object Management Group (OMG). MDA is the result of a standardization process for core concepts in model-driven software engineering focusing on interoperability and portability. Thus, the MDA approach uses both platform independent (PIM) and platform specific (PSM) models and it uses UML to describe both of them. UML itself consists of several parts: (1) The *Infrastructure* (OMG, 2011b) defines the core of the meta language which serves as the base for the architecture while the (2) *Meta Object Facility (MOF)* (OMG, 2011a) defines a meta-modeling language which uses and extends the abstract syntax defined in the *Infrastructure*. (3) The *UML Superstructure* (OMG, 2011c) defines all kinds of UML diagrams and serves as the metamodel specification for all UML modeling tools. (4) *XMI*

(XML Metadata Interchange) is intended to serve as an interchange mechanism between UML tools and as an input format for code generators or interpreters. (5) Finally, the *Object Constraint Language (OCL)* (OMG, 2012) provides a formal textual syntax based on concepts of set theory and predicate logic to refine models with queries and constraints.

Model-driven software engineering is supported by *model transformations*. A wide range of languages and tools have been developed (Czarnecki and Helsen, 2006), including e.g. QVT (QVT, 2015) and ATL (Jouault et al., 2008). At present, the technology for defining and executing *unidirectional batch transformations* seems to be fairly well developed. However, in many scenarios transformations of this kind do not suffice: After transforming a source model into a target model, extensions and changes of the target model may still be required. As a consequence, changes to the source model need to be propagated such that manual modifications of the target model are retained. Change propagations call for *incremental* rather than batch transformations. Furthermore, changes to the target model may have to be reflected in the source model; then, transformations need to be *bidirectional*. Altogether, this results in a *round-trip engineering process* in which source and target models may be edited independently and changes need

15

to be propagated in both directions. While several languages and tools have been proposed for bidirectional and incremental transformations, there are still a number of unresolved issues concerning both the languages for defining transformations and the respective supporting tools (Stevens, 2007).

In this paper, we provide a case study dealing with incremental round-trip engineering of UML class models and Java source code. We use the MoDisco (Bruneliere et al., 2010) framework to parse the Java source code into a model representation. QVT-R is used to formalize a bidirectional model-to-model transformation between the UML model and the Java model. This aspect is an interesting feature of QVT-R, as a transformation developer may provide a single relational specification which may be executed in both directions, rather than writing two unidirectional transformations separately. Moreover, QVT-R is chosen because of its declarative nature where the developer is supposed to focus on relations and dependencies between the metamodels rather than on single execution steps. Triple graph grammars (Schürr, 1994) also provide powerful means to specify bidirectional transformations. While there exist several tools supporting TGGs (Leblebici et al., 2014), the TGG approach is not standardized and therefore we decided to use QVT instead.

The usage scenario serves as a real-world case study to evaluate the benefits and drawbacks of the QVT-R standard in general and the provided tool support in particular.

The paper is structured as follows: In Section 2 we discuss related work. Section 3 presents the meta-models used in our approach while excerpts of our implementations and the results are shown in Section 4. The results are further discussed in Section 5 while Section 6 draws a conclusion.

2 RELATED WORK

Common approaches that are used to transform text (e.g. source code) to models are based on parsers for the specific text languages. Usually, these approaches work on the resulting parse trees and map the tree items to corresponding model elements. Typical limitations are maintenance problems when the underlying M2T templates are changed.

In (Bork et al., 2008), Bork et al. describe an approach towards model and source code round-trip engineering, which is based on reverse engineering of M2T transformation templates. The idea behind this approach is to use (customizable) code generation templates as a grammar to parse the generated

(and later modified) code. The benefit of this approach compared to other approaches using plain Java parsers and the resulting parse tree as a source for the code to model transformation is that changes to the templates are automatically taken into account during reverse engineering. While the approach described in (Bork et al., 2008) requires considerable implementation effort since a template parser, reasoner and token creator have to be implemented, our approach just required the specification of QVT-R rules that relate two elements of the respective meta-models. Since MoDisco is able to parse source code which even contains syntax or compile errors into a corresponding Java model, our approach is also independent of the style of the generated code and it also does not depend on a (usually) fine grained parse tree. Furthermore, Javadoc tags can be used to add additional meta-information to the code. While the approach presented in (Bork et al., 2008) is able to round-trip engineer only code that has been generated with the corresponding templates, our approach is able to handle any code which complies to Java language specification version 3. In addition, the approach by Bork et al. requires bijective reversible templates. E.g. the approach will fail if an attribute name in a class contains the class name.

Angyal et al. present in (Angyal et al., 2008) an approach for model and code round-trip engineering based on differencing and merging of abstract syntax trees (AST). In this approach, the AST is regarded to be the platform-specific model (PSM) according to the taxonomy of models in MDA (Mellor et al., 2004). Nevertheless, in this approach the AST model has a very low level of abstraction because it exactly represents the code. Contrastingly, the discovered Java model which is used in our approach is on a higher level of abstraction. The round-trip engineering approach comprises two different round-trip tasks: one between PIM and PSM, and one between PSM and code. The approach tries to prevent information loss during round-trip engineering by using a so called trace model which is used to synchronize the PIM and the PSM (the AST). Furthermore, the AST and the source code are updated using a fine grained bidirectional incremental merge based on three-way differencing. In our approach, information loss is prevented by using Javadoc tags as annotations. In case model and code are changed simultaneously and the changes are contradicting, one transformation direction has to be chosen, which causes that some changes might get lost.

There are also approaches that are dedicated to model-to-model round-trip engineering. This task involves synchronizing models and keeping

them consistent. Antkiewicz and Czarnecki propose an approach towards round-trip engineering for *framework-specific modeling languages* (FSML) (Antkiewicz and Czarnecki, 2006). FSMLs are a special category of DSLs which are defined on top of object-oriented application frameworks. In contrast to general round-trip engineering approaches, the approach presented in (Antkiewicz and Czarnecki, 2006) does not have to deal with non-isomorphic mappings between the artifacts, as the problem domain is much smaller and only code for a specific framework is generated by the code generators of the FSML. The synchronization of the involved implementation model is based on a comparison inspired by CVS and reconciliation. In a last step, conflict resolution has to be carried out interactively by the user.

Hettel et al. (Hettel et al., 2009) propose an approach towards model round-trip engineering based on abductive logic programming. In particular, this approach does not place restrictions such as injective behavior on the underlying transformations. A reference implementation is given which can be used to reverse unidirectional transformations based on the Tefkat language. It is a general approach, which could also be applied to other model transformation languages, like QVT. However, since the source transformation does not necessarily need to be injective, ambiguities have to be solved when reversing the transformation. At the end, the "best" solution has to be picked by the user or it has to be determined using some kind of heuristics.

Recently, Macedo et al. proposed an idea on how to circumvent some problems that are related with a QVT-R script by using the language Alloy with their tool Echo (Macedo and Cunha, 2014). In order to find a proper model in the backward direction, they apply the "principle of least-change". However, they present an erroneous transformation that might not work with an arbitrary tool, like medini QVT. This leads to their decision to use Alloy instead, which offers more structural aspects and neglects the basic declarative idea of QVT-R.

3 OVERVIEW

In this section, we provide an overview on our approach and how it could be integrated into existing UML CASE tools. Figure 1 depicts the different building blocks. The user of the tool may work on the UML model level or on the Java source code level and perform changes. These changes are then automatically propagated to the other direction with the help of QVT-R. In the following we only focus
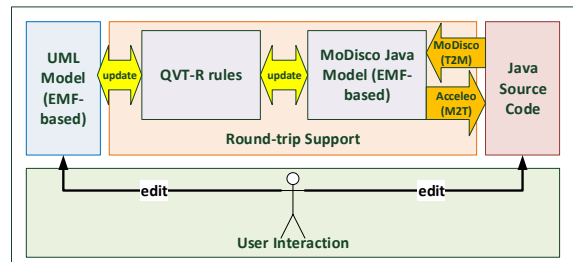

Figure 1: Round-trip support for UML CASE Tools.

on the involved metamodels: The UML2 metamodel and the MoDisco Java metamodel. Discovering the Java model from Java source code and generating Java source code from the Java model are out of scope of this paper, since this is performed by the MoDisco framework. Before we discuss the relevant parts of the involved metamodels, we describe key concepts of QVT-R and show which tools support its execution.

3.1 QVT-R

In order to keep this article self-contained, we shortly mention important features of the QVT-R language. For detailed information we refer to the official QVT-R specification (QVT, 2015).

In a `transformation` elements of the corresponding metamodels are regarded in a `relation`. A `relation` declares at least two `domains` which can be marked as `checkonly`, `enforce` or `primitive` where the first keyword hints that a consistency check must find corresponding elements otherwise it reports an error. Contrastingly, if the domain is marked as `enforce`, it will create the missing element and delete inconsistent ones whereas `primitive` domains might be used as variables. A `relation` can also be decorated with the keyword `top`, i.e., it is executed automatically. Otherwise, it must be called explicitly. With `when` and `where` clauses, further pre- and postconditions for a relation may be specified. A `key` can indicate values that uniquely identify an element. In general, every script is executable in many ways. In both transformation directions the developer can choose between `checkonly` and `enforce` mode and thereby determine the respective semantics.

3.2 QVT-R Tools

Different tools implement (parts of) the QVT-R specification. Unfortunately, mostly they are not developed any longer or do not implement the full standard.

Medini QVT[1], that was chosen for the implemen-

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[1]http://projects.ikv.de/qvt

tation, is only available for slightly outdated Eclipse versions[2] and is restricted to basic concepts of QVT-R. For instance, the check-before-enforce semantics of QVT-R is not realized. **ModelMorf**[3] seems to realize most of the standard's concepts but needs a license that is unavailable. It was used, e.g., in (Bradfield and Stevens, 2013). The proposal of the Eclipse Modeling Community, **QVT-d**[4], is not yet able to execute bidirectional relational transformations. A further tool, **QVT-XSLT** (Li et al., 2011), allows to specify a transformation with the graphical notation of QVT-R. Although this tool took part in a contest, it is still prototypic and unavailable.

3.3 Used Metamodels

In the following subsection, we briefly discuss the metamodels involved in our transformation. Both metamodels are based on Ecore (Steinberg et al., 2009), i.e. they share the same meta-metamodel. Since most UML diagrams lack a precise and formal semantics, we restrict the case study on the structural features of both models. Behavioral aspects, like the body of method declarations, are not regarded in the implementation.

3.3.1 Eclipse UML2

Eclipse UML2 is part of the *Eclipse Modeling Project*[5]. It provides an Ecore-based implementation of the OMG UML2 specification (OMG, 2011c). Eclipse UML2 only constitutes the abstract syntax of UML2. Tool integrators may contribute their own diagram editors, code generators, and additional tooling, as has been done, for example, in our Valkyrie (Buchmann, 2012) toolchain. Figure 2 depicts a simplified overview of the most relevant metaclasses involved in the transformation.

The root element, `Model`, which is a specialization of a `Package`, constitutes a hierarchy of packages. A `Package` may contain `nestedPackage`s that are derived from its `packagedElements`. The latter ones subsume – in our use case – classes, interfaces or enumerations besides the packages. Classes and interfaces are container elements for `Operations` that may have `Parameters`, where the `direction` attribute specifies if a parameter is used as a return type or as an input or output parameter. Attributes belonging to a `Class` are expressed by the metaclass

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[2]We tested it with Eclipse Indigo.

[3]http://www.tcs-trddc.com/trddc_website/ModelMorf/ModelMorf.htm

[4]https://wiki.eclipse.org/MMT/QVT_Declarative_(QVTd)

[5]http://www.eclipse.org/modeling/

`Property`.

Properties and parameters have a multiplicity and a type. Primitive types may be declared in the model or they may be imported (`importedElements`). Please note that in our case study we always import the pre-defined primitive types supplied with the Eclipse UML2 metamodel. An `Association` links two classes and might be unidirectional or bidirectional depending on whether both ends are navigable or only one end. A class may also extend another class or implement an interface whereas interfaces can inherit other interfaces. The modeling details for the latter relationships are omitted in Figure 2 for simplification reasons.

3.3.2 Java (MoDisco)

MoDisco (Bruneliere et al., 2010) is an extensible framework for developing model-driven tools to support use cases of software modernization. It provides an Ecore-compliant metamodel for Java which resembles the AST of the Java language. Furthermore, it provides a discovery mechanism, that allows to parse existing Java source code into instances of the Java metamodel. The relevant cutouts of the MoDisco Java metamodel are shown in Figure 3.

The `Model` contains a hierarchy of `Packages` but is – in contrast to the UML metamodel – no specialization of a `Package`. It stores primitive types as `orphanTypes` together with `ParameterizedTypes` and `ArrayTypes` that represent multi-valued types.

Besides `ownedPackages`, a `Package` contains further `ownedElements` that summarize classes, interfaces and enumerations. Attributes (`FieldDeclaration`) and operations (`MethodDeclaration`) are added as `bodyDeclarations`. Both are typed elements where the type of the operation represents the returned type. Instead of accessing this type directly, the metaclass `TypeAccess` provides access to the respective type. This common pattern of the Java metamodel records which two types are related and provides indirect access to the actual type. For example, the `superClass` and `superInterfaces` are modeled in this way, too.

Input parameters for a method are modeled differently as `ownedParameters` with the metaclass `SingleVariableDeclaration`. Moreover, the name of an attribute is placed in the `VariableDeclarationFragment`. To generate source code, the Java model regards every classifier as separate unit and records which elements belong to it. `CompilationUnits` constitute the abstraction of the Java files which contain type declarations like classes, interfaces or enumerations. In order to

Figure 2: Some relevant parts of the Eclipse UML2 metamodel.

Figure 3: Some relevant aspects of the MoDisco Java metamodel.

generate Java source code from the Java model, it is neccessary, that the Java model contains the appropriate compilations units, as the M2T transformation supplied by the MoDisco framework needs these elements as anchor points for the code generation templates.

4 BIDIRECTIONAL TRANSFORMATION WITH QVT-R

This section introduces the implementation of the transformation. Beforehand, we show conceptual ap-

proaches towards implementing QVT-R rules. At the end of the section, we present the results that are obtained from testing the transformation script.

4.1 Transformation Approach

In the following, we focus on different possibilities to declare a transformation with their benefits and drawbacks. A transformation may traverse the containment hierarchy top-down or bottom-up by strictly ordering the relation calls. Eventually, it turns out that a hybrid approach is the most declarative form to specify the transformation.

4.1.1 Top-down

In a top-down approach we only need to specify one `top` relation that transforms the root element, the `Model`. Every other element will be considered in called rules. They are included as postconditions in the `where` clauses. This process traverses the spanning containment tree from its root element to the leaves. Although it is a straightforward way to declare the transformation, some drawbacks exist: The treatment of cross-referencing elements is not obvious when they are declared in different rule patterns. A mechanism must ensure that a single element is created only once and otherwise only referenced. Additionally, applying a step-wise transformation with a given order contradicts the declarative nature, because the developer is more concerned about ordering the calls instead of focusing on the relationships between the metamodel elements.

4.1.2 Bottom-up

The opposite conceptual approach inverts the idea of the top-down process and starts at the bottom, the leaves of the spanning-tree. Accordingly, this includes several different `top` relations. For example, the creation of the parameters could initiate the transformation of its containing operation and the latter, on the other hand, the creation of the owning class. Obviously, in this approach it is as well necessary to take care that the same elements are only created once. Another problem of this approach are circular dependencies. For instance, a class might be the type of a parameter. At the creation time of the parameter the class might, however, not exist yet. Therefore, its creation must either be anticipated as precondition in the `when` clause of the relation or a template for the class must be used. Moreover, this approach relies heavily on the fact that single elements are linked the right way. Sometimes an element might not be located at the lowest level and might not contain an element of

the lowest level. In this approach, it might not be transformed at all as no element is there to commence its creation. While the domain patterns might be written more intuitively at first glance, we found these drawbacks: Backward links must be modeled and contain proper values. Secondly, there might be circular dependencies and unresolvable cross-referencing elements.

4.1.3 Hybrid

As we have seen from the previous descriptions, the strict ordering of relation calls in `when` and `where` clauses contradicts the declarative nature of QVT-R and is an unsatisfying solution. Consequently, we focus on a hybrid strategy: We try to declare as many `top` relations as possible. Relations are only called in where clauses when they are directly linked with the calling concept, e.g. the addition of parameters to an operation, or when they simplify the transformation significantly. The latter reason holds, for instance, when anticipating the creation of primitive types in the `Model`, which is the first rule we present in the next section. Other dependencies should be resolved by the execution engine. Thereby, we may write rules more intuitively but are dependent on the execution engine to properly resolve dependencies.

4.2 Implementation

In this section, we present some example rules of our implementation which demonstrate, on the one hand, simple rules and, on the other hand, pitfalls that might occur when declaring a QVT-R script.

We start with transforming the root element as a fairly straightforward example for a `relation`. A rule to transform the `Model` metaclass, as shown in Listing 1, can be designed fairly easy. Both metaclasses define a `name` attribute that must match.

Listing 1: Simplified transformation rule for the root, the `Model`.

```
1  top relation Model2Model{
2    name  : String;
3    enforce domain uml uRoot : uml::Model {
4      name = name
5    };
6    enforce domain java jRoot : java::Model {
7      name = name
8    };
9    where {
10     ElementImport2PrimitiveT(uRoot, jRoot);
11     CreateVoidType(uRoot, jRoot);
12     CreateStringClass(uRoot, jRoot);
13     Package2PackageTop(uRoot, jRoot);
14   }
15 }
```

However, every `Model` stores different kinds of types and is composed of packages. Since primitive types are needed in many relations, their creation is anticipated in the `where` clause of the `Model2Model` relation (Listing 1, line 10). In the listing, we have simplified this rule call due to space restrictions. In the actual transformation, we have written eight different rules where the letter `T` is replaced by one of the actual primitive types that both metamodels share[6]. The problem of this increasing amount of rules is discussed in the following paragraphs. As a consequence of the rule calls, it can be assumed that primitive types are created completely and accessible in other relations afterwards. Other types, like Strings, that are treated differently in the metamodels need extra consideration in the rule (Listing 1, lines 11-12). In a last step, Listing 1 initiates the creation of packages in line 13 as they are basic parts of the `Model`[7].

Taking a closer look at the integration of primitive types into `Model`s (Listing 2), reveals one drawback of QVT-R and the medini QVT implementation.

Listing 2: Simplified transformation rule for primitive types.

```
1  relation ElementImport2PrimitiveT {
2    typeName : String;
3    enforce domain uml uRoot : uml::Model {
4      elementImport = uImport : uml::
             ElementImport {
5        importedElement = uImported : uml::
                PrimitiveType {
6          name = typeName
7        }
8      }
9    };
10   enforce domain java jRoot : java::Model {
11     orphanTypes = jOrphan : java::
             PrimitiveTypeT {
12       name = typeName
13     }
14   };
15   when {  typeName = 'T'; }
16 }
```

Depending on the name of the primitive type, a different metaclass must be picked in the Java domain (Listing 2, line 11). In total, this results in eight different rules in our transformation that only differ in the name of the type (Listing 2, line 15) and the corresponding metaclass. As the parameter `T` hints, a template mechanism or rule inheritance would be beneficial. Thus, one relation would suffice and simplify the transfor-

mation significantly. Although the standard at least defines rule inheritance in the QVT-R textual syntax[8], this functionality is missing in medini QVT. Accordingly, we need to specify the same number of rules as existing corresponding model elements when there is a trivial difference in the metamodels.

The increase of rules becomes especially evident, for instance, when treating parameters of an operation. The Java metamodel makes a difference between return and input parameters whereas the UML metamodel only adapts the `direction` property of the `Parameter` metaclass. In the Java model a returned parameter is always present and might be – in case it is empty – the type `Void`. It is modeled as an instance of the metaclass `TypeAccess`. The list of input parameters might really be empty. In contrast to the returned type, these elements are instances of the `SingleVariableDeclaration` metaclass of the Java metamodel. Additionally, there is a difference between single- and multi-valued types since in the source code the multiplicity cannot be simply expressed by numbers, as is the case in the UML class model. To this end, this results in separate rules for single- and multi-valued, returned and input parameters that must be further distinguished by their types. Only when every possible combination is declared as relation, the script is generally applicable.

Despite the combinatorial explosion of rules because of minor differences in the metamodels, in other situations, we have found a way to circumvent the missing capability of medini QVT to define relation inheritance. For example, it is possible to define the general components of a class in a "super" relation, as shown in Listing 3, and add specific details in "sub" relations, like the fact that the class inherits from another class or realizes an interface.

Listing 3: Base transformation rule for classes.

```
1  top relation Class2Class{
2    /*variable declarations*/
3    enforce domain uml uPack : uml::Package{
4      packagedElement = uClass : uml::Class{
5        /*set basic properties:
6        name, visibility, abstract, package */
7      }
8    };
9    enforce domain java jPack : java::Package{
10     ownedElements = jClass :java::
            ClassDeclaration{
11       /*set basic properties:
12       name, visibility, abstract, package
13       and CompilationUnit */
14     }
15   };
```

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[6]The shared primitive types are: Bool, Byte, Char, Double, Float, Int, Long and Short.

[7]Two different rules for packages are required because they are added to the `Model` with a different reference than to other packages in the Java metamodel.

[8]There are no details given on the semantics of inheritance.

```
16     when{
17       AuxPack2Pack(uPack, jPack);
18       /*retrieve visibilities and abstract
             property*/
19     }
20     where{ AuxClass2Class(uClass, jClass); }
21   }
```

This is possible by employing an auxiliary relation that links both instances in the where clause (Listing 3, line 20) after their basic properties have been transformed. The auxiliary relation is kept quite simple and solely defines two empty classes as domains. To add special properties, this auxiliary relation is called as precondition in the when clause of relations that extend the basic values, like in Listing 4. This rule adds an optional super class to the basic properties of the class. The call in line 15 ensures that the super classes (Listing 4, lines 5 and 11) are added to the proper class instances.

Listing 4: Transformation rule to add a 'super' class.

```
1  top relation Class2Class_generalized{
2    enforce domain uml uPack : uml::Package{
3      packagedElement = uClass : uml::Class{
4        generalization = uGen : uml::
               Generalization{
5          general = uSuper : uml::Class{} }
6      }
7    };
8    enforce domain java jPack: java::Package{
9      ownedElements = jClass :java::
               ClassDeclaration{
10       superClass = jAccess : java::
               TypeAccess{
11         type = jSuper :java::
                 ClassDeclaration{} }
12     }
13   };
14   when{ AuxPack2Pack(uPack, jPack);
15         AuxClass2Class(uClass, jClass);
16         AuxClass2Class(uSuper, jSuper); }
17 }
```

This pattern is applicable to all elements which are referenced in different relations and should be extended by additional properties. A similar result might be achieved by using key declarations for the component that should be extended. However, with keys, their features must always be mentioned in every domain pattern. Thus, it is necessary that all unique properties are available in the respective relation. In general, this is not always the case.

So far, we have only discussed situations in which both metamodels possess matching elements, even so they might not be 1:1 mappings. This is not the case

for UML associations. An Association is a concept that is part of UML but unknown in Java. When generating Java source code, the ends of an association are normally represented as attributes of the class of the opposite end and receive corresponding accessor methods. This, however, is only true when the association is bidirectional and the ends are owned by the class[9]. Still, the non-navigable end of a unidirectional association has to be stored in the Association. Figure 4 depicts the abstract syntax for a simple example of a unidirectional association.
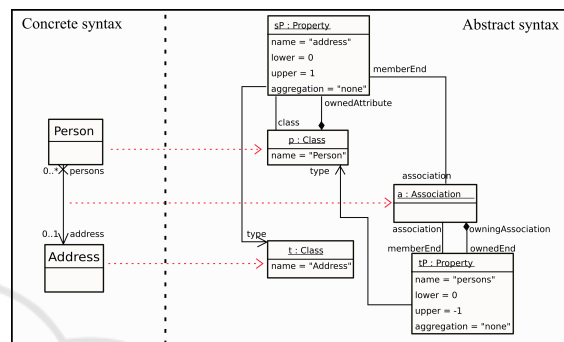

Figure 4: Representation of a unidirectional association in abstract syntax.

As a comparable concept is missing in the Java metamodel, the information about a non-navigable end is a priori lost and not recoverable from source code. Generally, the same is true for the name attribute used for the Association. In order to declare a proper bidirectional rule, we either have to use special naming conventions or extract the information from different sources. Since we do not want to restrict the user, we chose to attach Javadoc comments to the source code. Accordingly, the user is free to model and name the association ends as desired. The comment style distinguishes between uni- and bidirectional comments and regards the multiplicity of the ends. A bidirectional comment, as shown in Listing 5, stores the name of the association in the first line (Listing 5, line 2) and the name of the end and the name of the end's type in the following line.

Listing 5: Pattern for a bidirectional comment.

```
1  '/**
2  @bidirectionalAssociation : <assocName>
3  @ownEnd <memberEndName> : <memberEndTypeName
     >
4  @aggregation : <aggregationKind>
5  */'
```

[9]In our implementation we assume that navigable association ends always belong to classes and not to the association itself.

Furthermore, it includes the information of the aggregation kind (Listing 5, line 4), which would also be lost in the source code in case no conventions are applied. For the unidirectional comment this style is extended by one line. After the second comment line (Listing 5, line 3) with the '@ownEnd' tag, the non-navigable end that is part of the Association itself, is recorded in the same way as the 'ownEnd'.

Listing 6 shows a simplified version of how to relate a unidirectional association with the corresponding FieldDeclaration. This rule reveals that as soon as the mapping cannot be accomplished 1:1, the rules might become really complicated. In the UML domain, it declares the owned- (Listing 6, lines 5-12) and the opposite memberEnd (Listing 6, lines 13-22) of the Association, which should not be the same (Listing 6, line 51). In the forward direction, the Association is mapped to a single FieldDeclaration of the non-navigable class and receives, most importantly, a comment (Listing 6, lines 42-45) that summarizes the necessary information to build the Association in the backward direction. Note that the types are not mandatory in the comment because in the forward direction they are known from the given types of the member ends (Listing 6, lines 9-11 and 17-19). In the backward direction, the types are accessible with the help of auxiliary relations that link the class instances that are already created and thus, retrieve the proper class types for the navigable and non-navigable end. In this way, the Association is built as well as the Property that is added to the non-navigable class (uOwnedType). The naming (Listing 6, lines 54-56) and the aggregation kind (Listing 6, line 53) are extracted from the given comment with queries.

Listing 6: Simplified transformation for unidirectional associations.

```
1  top relation UniAssociation2Property{
2    /* variable declarations... */
3    enforce domain uml  uPack : uml::Package{
4      packagedElement = uAssoc : uml::
           Association{
5        name = aName,
6        ownedEnd = uOwnedEnd : uml::Property{
7          name = notNavName,
8          lower = 0, upper = 1,
9          type = uOwnedType : uml::Class{
10           name = notNavType
11         },
12         association = uAssoc
13       }
14       memberEnd = uMemEnd : uml::Property{
15         name = secName,
16         lower = 0, upper = 1,
17         type = uMemType : uml::Class{
18           name = memTypeName
```

```
19         },
20         association = uAssoc,
21         class = uOwnedType,
22         aggregation = uAggr
23       },
24       _package = uPack
25     }
26   };
27   enforce domain java jPack : java::Package{
28     ownedElements = jClass : java::
           ClassDeclaration{
29       bodyDeclarations =  jField : java::
           FieldDeclaration{
30         type = jAccess : java::TypeAccess{
31           type = jType : java::
               ClassDeclaration {
32             name = memTypeName
33           }
34         },
35         /*fragment, modifier,
               compilationUnit features... */
36         comments = jComment : java::Javadoc{
37           content = ann,
38           originalCompilationUnit = jClass.
               originalCompilationUnit
39         }
40       }
41     }
42   };
43   when{
44     not(uMemType = uOwnedType);
45     not(ann.indexOf('@bidirectional') > 0);
46     -- bind types:
47     AuxClass2Class(uOwnedType, jClass);
48     AuxClass2Class(uMemType, jType);
49
50     ann = getUniDirComment(aName, secName,
           memTypeName, notNavName, notNavType
           , aggr);
51     aggr = getStringFromAggr(uAggr); --FW
52     aggr = getAggrFromCommentUni(ann); --BW
53     uAggr = getAggrFromString(aggr);
54     notNavName = getNotNavName(ann);
55     notNavType = getNotNavType(ann);
56     aName = getAssocName(ann);
57   }
58 }
```

The relation for bidirectional associations can be declared with less effort and is only mentioned for completeness. It is sufficient to regard only one Property as UML domain and to define the Association as nested template with unique values. The Property is related with a FieldDeclaration in the Java domain quite like it is declared for the memberEnd of a unidirectional association. In contrast to the unidirectional rule, these two instances suffice because pattern matching will match both ends as a Property and the Association is created only once because of a key declaration.

The concept of `keys` embraces another problem. Conceptually, a key should declare unique properties of an element, like a primary key in Relational Databases. However, sometimes key properties are mutually exclusive or not always available. For instance, a `Property` may belong exclusively to a `class` or to an `association` but sometimes may be found in one of both. If we declare both references as keys of the `Property`, the medini QVT engine will search both values in every pattern of a `Property`. If only one key attribute is set in the domain pattern, it will lead to misbehavior, e.g. the `Property` is not unique anymore when it only declares a class in its pattern.

4.3 Results

The transformation described in the previous subsection was tested with a set of examples. The obtained results show the expected behavior. The transformation may be executed without restrictions in both directions. On the one hand, a forward transformation, from UML to Java, followed by a backward transformation preserves the original state of the source model.

Figure 5 depicts one of the example scenarios. The example contains a unidirectional association be-
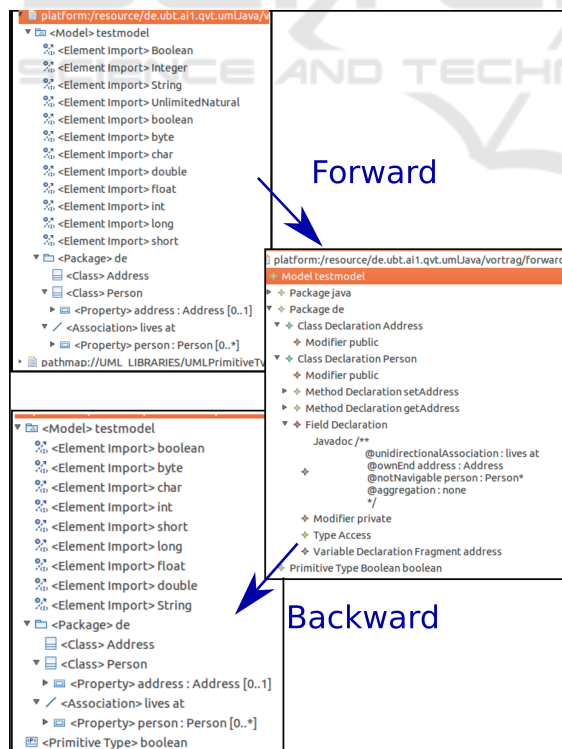
tween a class `Person` and a class `Address` where many `persons` may `live at` one `address`. The `persons` association end, however, is not navigable. The figure presents cutouts of the UML abstract syntax in the Ecore tree representation as well as the tree representation of the MoDisco Java model which result from applying the transformation. As shown in the figure, the non-navigable end `persons` and the `Association` itself are not available in the Java representation anymore except for the artificially added comment of the navigable end `address`. Nevertheless, by using this comment and the auxiliary relations the association can be restored completely in the backward transformation as the second arrow indicates. Thus, a non-bijective element can be transformed by exploiting additional information that is stored in the models.

On the other hand, the result of the forward transformation corresponds to the discovered Java source code model except for the elements that are not considered by our transformation, e.g. the method bodies.

Moreover, we have examined the incremental behavior. A sample round-trip process is depicted in Figure 6. We start with a UML model from which we have already generated Java source code. In a first step, we change the UML model (blue color) the following way: We delete a class (1) and a method (2) and add a class (3) and an input parameter to a method (4). Afterwards, we perform an incremental forward transformation that integrates the changes in the Java model in the expected way.

In a second step, we adapt the Java model with the subsequent changes (red color) in the source code: We delete a method (5) and a class (6) which are not referenced from other elements. Additionally, we add a new class (7) and further change the signature of the method (8). After we execute our script incrementally in the backward direction, these adaptations are reflected in the UML model. Here we can observe that, for example, the return type of the `Operation run()` which was previously empty has changed to type `boolean` and that this parameter was added to the corresponding method in the UML model.

These modifications are picked as exemplary use cases. Due to space restrictions, we cannot provide the whole set of the tests which can be obtained from the website provided in the Resources section. They show that the same well-defined behavior can be observed for the rest of the implemented features.

Yet, we have not examined simultaneous updates. They might lead to lost updates or unwanted elements that remain from unconsidered modifications of the opposite model. For instance, if the user changes the name of a class in both models in a different way, only
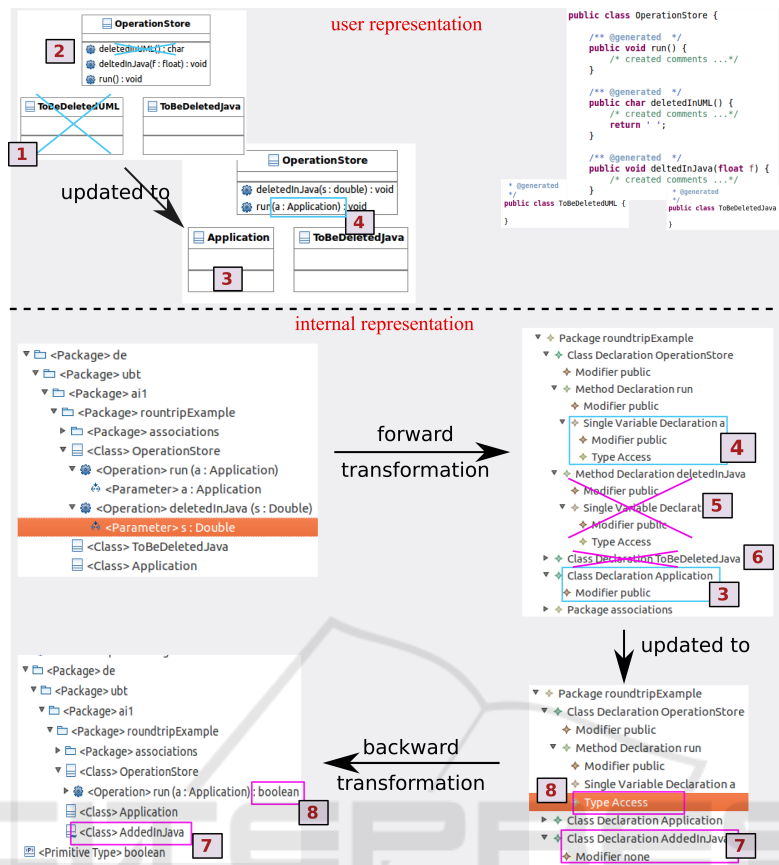

Figure 5: Resulting models from transforming the given UML classdiagram to Java source code and vice versa.

Figure 6: Sample round-trip process.

5 DISCUSSION

This section briefly describes the lessons learned from implementing the use case.

First of all, 1:1 mappings do not pose many difficulties and can be implemented quite straightforward. Secondly, we additional elements may be added without restrictions in the involved models: For instance, a UML class needs a corresponding Java `ClassDeclaration` and the `ClassDeclaration` always belongs to a `CompilationUnit` which must be included additionally in the Java model. Since the `CompilationUnit` can be extracted from the same information as the `ClassDeclaration`, two bidirectional rules match both Java elements to the same UML `Class` as domain. This works well due to generating the `Class` first and referencing it later with the auxiliary relation combined with the declaration

the name of the source model for the transformation is kept. The other one cannot be regenerated. Still, in general the round-trip process, is realizable with the QVT-R script.

of `key` properties for the `CompilationUnit`.

Furthermore, we saw that due to the declarative nature and limitations of medini QVT, minor differences in the metamodels may result in a large number of relations. Though the differences are minimal, e.g. for the integration of primitive types, a different rule to mention every metaclass separately is required. This is also true for packages, attributes of different types and becomes worse when regarding the two kinds of parameters an operation might possess where, moreover, the types can vary. A mechanism of rule inheritance or the definition of template relations would be a beneficial help. In this way the integration of primitive types could be written as in Listing 2 and `T` could be replaced automatically by the execution engine.

To avoid copying and pasting a relation solely because of different type instances, it would be helpful to allow the declaration of abstract types as domains or inside the domain patterns. The execution engine could pick the respective instances. If special behavior has to be implemented dependent on the type, it could also be accomplished in the `when` or `where` clauses. In these scenarios, queries with side-

effects, that are part of the operational QVT specification (QVT-o) (QVT, 2015), might be an appropriate construct to extract a proper type or to build up a special part of the domain.

Moreover, there is a conceptual problem inherent to `key` properties. They introduce unexpected behavior when different, possibly mutually exclusive properties make up the `key`. If they cannot be declared in every domain pattern, the regarded element might not be built only once.

While sometimes the rules get really complicated, we also found a workaround to simplify some relations without having the possibility to inherit from a relation. By using auxiliary relations to keep track of corresponding elements on both metamodels, it is possible to add specific values to the general properties. This needs, however, the proper placement of the calls of the auxiliary relation.

A further aspect includes the missing of semantic rules. Generally, there is no possibility to ensure that semantic constraints are fulfilled. For example, it should not be possible that an association has two aggregated ends or is composed of more than two ends[10]. However, the only chance to exclude misbehavior is to define a domain pattern that restricts the input elements in the desired way. The resulting pattern might either be very complicated or not definable at all. A solution to this problem might be to attach an OCL script to the QVT transformation and to evaluate the resulting models according to the specified constraints afterwards. However, this would need further actions, like roll-backs or fixing rules, when the result of the test is negative and hence is not easy realizable.

Last but not least, the findings show that the pure declarative semantics of QVT-R and missing medini QVT support sometimes leads to a real overhead on relations. Moreover, when implementing the relation, the declarative nature is contradicted by considering exactly where to put statements: in the `when` or in the `where` clause. Because of the bidirectionality, the developer must always consider the right ordering of the statements and take care that they are executable in both directions. This is, on the one hand, opposed to the declarative idea of QVT-R. On the other hand, a clearer execution order could rather facilitate the QVT-R specification at many places and make the script more expressive.

Finally, it must be mentioned that many problems are specific to the capabilities of the medini QVT engine. For instance, rule inheritance and extending transformation scripts is conceptually foreseen in the

standard but not semantically defined yet. However, these concepts would be a valuable help to define the transformation in a more declarative and compact way. Hence, we have to claim the weak tool support for complicating the specification of the transformation. Missing functionality and a faulty implementation of the check-before-semantics, syntax highlighting, error checking and many other details might confuse users of the tool and rather hinders learning and defining QVT-R transformation.

6 CONCLUSION

In this paper we presented our bidirectional model transformation between UML2 class models and a Java model, which is obtained from Java source code. The transformation serves as a case study and is intended to be used in round-trip engineering scenarios of our CASE tool Valkyrie (Buchmann, 2012).

It turned out that the transformation can be written quite simple for matching elements but might become very complex as soon as small differences exist in the metamodels. Moreover, the weak tool support hardens the process of specifying proper and simple transformations. Nonetheless. it is possible to keep two complex, non-injective models mutual consistent. The transformation behaves well for batch transformations and determined incremental update operations.

To conclude, despite the fact that QVT-R offers a high potential to declaratively keep two models (incrementally) in a synchronous state, weak tool support and ambiguities in the standard still hinder a wide-spread usage of QVT-R in productive software projects.

RESOURCES

The QVT-R script and the corresponding model instances may be obtained via http://btn1x4.inf.uni-bayreuth.de/umljava/UML-Java.zip. The specification was tested with medini QVT, version 1.7.0 on Eclipse Indigo.

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[10]We only considered bidirectional associations in our implementation.

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