Interactive Visual Analysis of Lumbar Back Pain

What the Lumbar Spine Tells About Your Life

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Abstract: Epidemiology aims to provide insight into disease causations. Hence, subject groups (cohorts) are analyzed to correlate the subjects' varying lifestyles, their medical properties and diseases. Recently, these cohort studies comprise medical image data. We assess potential relations between image-derived variables of the lumbar spine with lower back pain in a cross-sectional study. Therefore, an Interactive Visual Analysis (IVA) framework was created and tested with 2,540 segmented lumbar spine data sets. The segmentation results are evaluated and quantified by employing shape-describing variables, such as spine canal curvature and torsion. We analyze mutual dependencies among shape-describing variables and non-image variables, e.g., pain indicators. Therefore, we automatically train a decision tree classifier for each non-image variable. We provide an IVA technique to compare classifiers with a decision tree quality plot. As a first result, we conclude that image-based variables are only sufficient to describe lifestyle factors within the data. A correlation between lumbar spine shape and lower back pain could not be found with the automatically trained classifiers. However, the presented approach is a valuable extension for the IVA of epidemiological data. Hence, relations between non-image variables were successfully detected and described.

1 INTRODUCTION

Epidemiology is the study of dissemination, causes and results of health-related states and events. Large population studies, such as the Study of Health in Pomerania (SHIP) (Völzke et al., 2011), gather as much information as possible about participants to be assessed towards different diseases. This information is used to determine risk factors for diseases, inform people about healthier lifestyles or to support the diagnosis of widespread diseases. Cohort studies are an instrument of epidemiological research. We analyze back pain, one of the most frequent complaints in the Western civilization. Although the shape and constitution of the spine, especially the lumbar spine, plays an important role for back pain, an automatic classification approach for characterization of back pain based on lumbar spine attributes is still missing.

We present an analysis of the image-derived data from a cohort study lumbar spine dataset. We extract possible associations between spine shape and back pain characteristics. For this purpose, we combine classification algorithms with data visualization techniques. Then, Interactive Visual Analysis (IVA) highlights mutual dependencies between image-derived data and back pain-related variables. We focus on highlighting new correlations and trigger hypotheses generation, rather than statistically validate complex epidemiological correlations. Our contributions are:

- An IVA workflow for back pain analysis based on image-derived variables of 2,240 subjects,
- The identification of lumbar spine shape properties potentially associated with back pain,
- The detection of associations between image-based, socio-demographic and medical variables for hypotheses generation,
- The identification of the most important variables via classification methods using a novel decision tree quality plot.


2 EPIDEMIOLOGICAL BACKGROUND

Epidemiological reasoning relies on a strict statistically-driven workflow (Fletcher et al., 2012): (1) physicians formulate hypotheses based on observations made in their clinical practice; (2) epidemiologists compile a list of variables depicting a hypothesis to prove it; (3) statistical methods, such as regression analysis, assess the correlation of selected variables with the investigated condition. Mutually dependent variables make this analysis challenging. For example, the incidence rate of many diseases, such as different cancer types increases with age (Fletcher et al., 2012).

Challenges of Epidemiological Data Analysis. We focus on epidemiological large scale cohort study data. The collected data can be analyzed regarding many diseases and conditions. Epidemiological data are heterogenous and incomplete. For example, data about a disease treatment only affects subjects suffering from this condition. Statistical analysis has to take missing data into account. Epidemiological data acquisition includes a variety of techniques, such as medical examinations, self-reported questionnaires or genetic examinations. This yields a heterogenous information space. Information reduction techniques are necessary to compare these data. For example, continuous data, such as age, is often discretized into quantile bins for visualizing age-dependent disease frequencies.

Modern cohort studies often comprise medical image data. The analysis of these data is challenging. Individual diagnosis or manual segmentation of each body structure by radiologists is tedious, costly and comprises little reproducibility. Segmentation algorithms are not generally available and need to be carefully adapted for each body structure.

Lower Back Pain. Lower back pain is one of the most frequent complaints in the Western civilization (Hoy et al., 2010). The exact causes as well as particular vulnerable risk groups are unknown. Epidemiologists want to describe the relation between aging and degenerative process of the spine (Szpalski et al., 2005). They have to analyze the lumbar spine shape as well as lifestyle factors.

3 RELATED WORK

Visual Analysis of Medical Image and Non-image Data. In a previous work, we proposed an epidemiological IVA workflow, consisting of an iterative sequence of group selection, variable selection and visualization (Klemm et al., 2014). Hypotheses generation was amplified by concurrently analyzing heterogenous variables at once using correlation measures. (Turkay et al., 2013) derived descriptive data metrics from image data. Their proposed deviation plot shows distribution-specific measures of a variable, such as skewness or inter-quantile range, making variables comparable. This approach aimed to trigger hypotheses generation by outlining tendencies between these variables. A survey on image-centric cohort studies and strategies to analyze the resulting data is given in (Preim et al., 2015). In a prior work, we analyzed the lumbar spine variability of 490 subjects (Klemm et al., 2013). We incorporated hierarchical agglomerative clustering to derive shape groups, yielding average shape groups and outliers. As opposed to clustering the spine shape, we analyze the discriminative power of the resulting data towards back pain and other non-image variables in this work.

Visual Analysis of Heterogenous Non-image Data. (Zhang et al., 2012) analyzed subject groups in a web-based linked view system. The resulting decision rules aim to categorize new subjects as they are added to the data. They defined a cohort as variable-divided subject group, differing from the epidemiological understanding of the term. The described method lacks details about handling of missing data, the definition of similarity or the choice of the statistical measures. Generalized Pairs Plots (GPLOMs) visualize heterogenous variables in a plot matrix (Emerson et al., 2013). The plot depends on the type of variables, which are visualized pairwise. GPLOMs provide an overview, but take up much screen space and are therefore only suitable for a few variables at once (see example in Fig. 2).

Decision Rule-driven Analysis of Medical Data. Closest to ours is the work of (Glaßer et al., 2013) and (Niemann et al., 2014). Both used decision trees for their analyses. Decision trees are easily readable and are frequently used for classifying medical data. (Glaßer et al., 2013) used variables derived from DCE-MRI data capturing the perfusion in tumorous tissue. To classify breast tumors, they trained a decision-tree classifier, concluding that the extracted kinetic and morphological variables alone are not sufficient for tumor type classification. (Niemann et al., 2014) assessed risk factors for hepatic steatosis (fatty liver disease) using decision trees. Their interactive data mining tool can analyze association rules.
and highlights relations. We combine both ideas by validating the significance of image-derived variables.

Unique in our work is the combination of classification techniques with an IVA approach by observing interesting variable relations in the context of image-derived variables using multiple decision trees for one visualization. We abstract the decision tree results similar to (Turkay et al., 2013), making them comparable in an overview visualization.

4 THE LUMBAR SPINE DATA SET

Our work is based on a data set compiled by Epidemiologists with a wide range of variables possibly correlating with lumbar back pain, comprising non-image and image-derived data for 3,234 subjects.

Non-image Data. The non-image variables range from somatometric variables describing body measures to medical examinations, such as laboratory tests as well as lifestyle factors, e.g., sporting activity or nutrition. The data set comprises 134 variables:

- 21 metric variables, describing somatometric variables and markers retrieved from blood analysis.
- 113 categorical variables divided into 43 dichotomous (binary) variables, mostly indicating the presence of a disease, e.g., pancreatitis or high blood pressure, and 70 variables with more than two levels, indicating pain levels, nutrition and social factors, such as marital or retirement status.

All categorical variables are converted into binary dummy variables, indicating the presence or absence of a categorical variable manifestation. For example, a pain indicator variable ranging from 1 - no pain to 4 - large pain is subdivided into four dichotomous variables to determine, which manifestation can be described best using the image-based variables.

Image-derived Data. Magnetic Resonance Imaging (MRI) scans were obtained for each subject (Hegen-scheid et al., 2013). A hierarchical finite element method was used to detect the lumbar spine in the MRI data (Rak et al., 2013). The tetrahedron-based finite element model (Fig. 1 a) captures information about the lumbar spine canal shape and the position of the L1-L5 vertebrae. The detection fails for several subjects due to imaging artifacts or strongly deformed spines, yielding models for 2,540 out of 3,234 subjects. The detection model depicts the vertebrae positions, spine canal curvature, but lacks detailed information about their volume. In (Klemm et al., 2013), we extracted a centerline representation of the lumbar spine canal from the detection model (Fig. 1 a). Using the Frenet frame, we calculated the following metrics from the model (Fig. 1 b):

- Mean Curvature is defined as the average curvature of all points describing the centerline: \( \sum_{i=1}^{I} \frac{\text{curvature}_i}{I} \). We refer to the mean curvature as curvature.
- Mean Torsion (deviation of a curve from its current course) is defined as the average torsion of all points describing the centerline: \( \sum_{i=1}^{I} \frac{\text{torsion}_i}{I} \). We refer to the mean torsion as torsion.
- Curvature angle \( \alpha \) is the angle defined by the middle point of the spine canal centerline as vertex and the line connecting middle point and top/bottom point as sides.

These metrics are also extracted in the sagittal, coronal and transversal projection of the model, yielding 9 image-derived variables. In the next section, we present the experiments we conducted to assess the influence of the lumbar spine shape to lower back pain.

5 EXPERIMENTS AND PRELIMINARY RESULTS

In this section, the image-derived variables are analyzed towards the dichotomous back pain indicator using a GPLOM and all non-image variables using heterogenous correlations. Spine shape is influenced by several somatometric variables. Larger people also have a longer spine with a straighter shape. High body weight increases the spine load, resulting in a bent shape. To assess their influence, we take them into account when spine curvature and torsion are
correlated with non-image variables. Discretizing metric variables using quartiles avoids outlier groups.

**GPLOM Analysis.** As first experiment we correlated the shape variable with the dichotomous back pain indicator using a GPLOM (Fig. 2). The metric image-derived variables are pairwise visualized using scatter plots on the left side of the matrix diagonal. The combination of the image variables with back pain is visualized as histogram in the last row and as box plot in the last column. The projections to the transversal planes attract attention as they have many outliers. We conclude that curvature is not as reliable on the transversal plane as it is on the other planes, which was also confirmed through a principal component analysis (see supplementary material at ivapp15.dnsalias.com). The GPLOM shows similar distributions of subjects with or without back pain with respect to the shape variables in all sub-plots.

**Heterogenous Correlations.** We then expanded our focus on correlations of image-derived variables with all other non-image variables. Different correlation metrics depending on the type of the individual variables are used to derive correlations between all variables in the data set. The method uses the Pearson product-moment for two continuous variables, polychoric correlation for one continuous and one categorical variable and polyserial correlations for two categorical variables. All values are scaled between 0 – no correlation and 1 – perfect correlation. Some variables are too sparse for calculating correlations, for example treatment of diabetes, or medication against high blood pressure are omitted, since they are not statistically resilient.

We display the resulting contingency matrix using a heat map, encoding correlation values with color brightness with white for 0 and dark blue for 1. We calculated the contingency matrix for all size groups and searched for correlations between image- and non-image variables. The resulting contingency matrices show no strong correlation with image variables (see experiment page at ivapp15.dnsalias.com). Only weak correlations could be found for mean curvature with gender (0.42), body size (0.39) and number of born children (0.29). One surprising result was the small correlation of torsion with Parkinson’s disease (0.24). Other than that, torsion correlated with almost no variables (values between 0 and 0.05).

These observations brought us to the decision to incorporate classification techniques to assess the influence of the image-derived variables.

### 6 INTERACTIVE DECISION TREE QUALITY PLOT

As described before, correlation coefficients fail to infer back pain status based on lumbar spine canal curvature and torsion. We rely on predictive classification to obtain a complex rule set on how combinations of the image-variables explain non-image variables. Decision trees are used to create predictive models. These models are built w.r.t. all input variables and capture more complex relationships than correlation coefficients. Leaves of a decision tree represent class labels, branches represent variable conjunctions leading to the class
labels. Decision trees are easy to understand and to read. Too many branches impose overfitting to the data (Mitchell, 1997).

**Creating Decision Trees.** We use the C4.5 algorithm, which builds decision trees based on information entropy. Categorical attributes with more levels are biased with more information gain in a decision tree (Deng et al., 2011). Creating a dummy variable by converting each manifestation of a categorical variable into a dichotomous variable bypasses this problem. In the following analysis, we strongly focus on the complexity of decision trees and the classification accuracy.

We have to create a decision tree for every non-image variable to analyze which one can be explained by image-derived variables. Since we have 134 non-image variables, the calculation yields the same amount of trees. Further subdivision, e.g., by quantiles of body mass index, increases the number to 402 trees. We have to abstract the results of the classification to keep the mental effort of interpreting the data low.

**Decision Tree Quality Plot.** We follow the Visual Analytics mantra of analyzing first, show the important and analyze further (Keim et al., 2008). A first analysis step was performed by applying the classification algorithm to the data. The optimal classification uses a few rules to precisely describe the target variable. Therefore, we are interested in small trees with a low classification error. The two measures form the axes for a scatter plot of the classification results. This decision tree quality plot is our central element for the interactive analysis of decision trees.

**The Error Term.** Calculating the mean classification error is imprecise for non-uniform distributions. For example, if a variable indicating a disease is negative for 90% of the subjects and the classifier simply assigns all subjects to not ill, we get a mean error of 10%, even though it is very imprecise. Based on this we use a summary error based on the weighted mean, which incorporates the discriminative power of each manifestation and is denoted as follows:

$$\text{totalError} = 1 - \sum_{m=1}^{M} \frac{\text{correctlyClassified}_m}{M \cdot N_m}$$  

(1)

$M$ represents the set of manifestations of each variable. $N_m$ denotes the number of subjects in manifestation $m$. The error represents the share of incorrect classifications and denotes perfect classification with 0 and always wrong with 1. We display results below 0.5 in the visualization, a value below 0.25 represents a good classification. It allows for comparability of error rates between variables with different manifestation count.

**Attribute Mapping.** The scatter plot axes are defined by tree size and the previously described error metric. This allows us to visualize a multitude of classification results in one plot. Classification and comparison of variables for subject groups (e.g., male and female subjects) in one plot can be achieved by color coding group affiliation on the data points. Many variables are sparse, such as medication of diabetes or reason of early retirement. The classification algorithm may produce higher accuracy for variables with less subjects due to the small sample size, making these results less reliable. Therefore, we provide a way to adjust the minimal number of subjects for each variable using a slider. The initial value is empirically set to 100, marking a good tradeoff between sparse variables and statistical informative value. Furthermore, we map the number of subjects associated with a variable to the diameter in the decision tree quality plot. This allows instant reliability assessment of the result. We apply a square root scale for the tree size axis to highlight decision trees with few decision rules. Outlier results with large decision trees would otherwise distort the resulting plot.

**Decision Tree Quality Plot Interaction.** The visualization provides a good overview of the classification results. Details-on-demand are displayed by clicking on an entry in the visualization, which then displays the corresponding decision tree in detail. This allows to sequentially analyze the classifications. We provide controls for adjusting the maximum classification error and minimum subject count for a variable. This gives the user control to abstract or refine the displayed information. The subject subdivision is controlled by the selection of variables, such as gender or employment status. Metric variables, such as body size, are discretized using their quantiles. This allows to assess the influence of a variable to the classification process.

**Implementation.** All analyses are available at ivapp15.dnsalias.com and are carried out using R, a widely used programming language for statistical calculations and visualizations. The interactive visualizations are realized using the ggvis\(^1\) package. The web-based approach allows for quick exchange with our collaborating epidemiological experts. They can use the technique without installing any software.

\(^1\)Developed by RStudio, Inc; ggvis.rstudio.com
7 RESULTS

In this section, we show which non-image variables can be explained using the 9 image derived variables. We create subject groups to assess the influence of variables affecting the lumbar spine shape. The groups are: all subjects, males and females; and a subdivision by Body Mass Index quantiles (BMI = \frac{m}{l^2} where \(m\) is the body mass in kilogram and \(l\) is the body size in meter), yielding the groups (17, 24.7] (24.7, 27.4] (27.4, 30.5] (30.5, 48]. We plotted each group twice. The first plot shows all original variables, the second all categorical variables transformed into dichotomous dummy variables. The shown mutual dependencies aim to amplify hypotheses generation. Dedicated statistical analysis of these results solely focused on epidemiological research is not the scope of this paper. The resulting plots can be seen in Figure 3.

All Variables. The majority of non-image variables cannot be automatically classified based on the image-variables. This is reflected in the large amount of variables classified with an error above 0.6.

None of the pain indicators can be reliably described using the image-based variables. The only variable reliably classified in this group is gender, which can be described with an error of 0.31 using 7 rules and incorporates only curvature- and curvature angle-related variables. The distinctness lies in the average difference in body size between male and female subjects. Medication for high blood pressure is classified for 1,058 subjects with an error of 0.58). The majority of non-medicated subjects were correctly classified (796 of 1,058). The decision tree comprises 71 rules and imposes overfitting. The dummy variable analysis yields a result similar to the blood pressure medication. Variables, such as subject size 139-164 cm, between 64 and 90 years of age or
nutrition-related variables are dominantly populated by one manifestation. The classifier neglects the other groups and yields an error below 0.5.

**Gender Groups.** Classifications using groups divided by gender do not produce satisfying results. Only hypothyroidism could be described for male subjects with an error of 0.24 for 110 subjects using the mean curvature and curvature angle. Since there are only 30 male subjects diagnosed with hypothyroidism, the statistical power of the result is reduced. The dummy variable analysis showed that female subjects of 139-164 cm body size could be discriminated using the mean curvature and curvature angle, with an error of 0.38.

**Body Mass Index Groups.** Gender could be classified for each BMI group using mean curvature and curvature angle. The error varies between 0.31 (BMI of 30.5 - 48, 4 decision rules) to 0.39 (BMI of 24.7 - 27.4, 5 decision rules). The starting age of smoking could be described well with an error between 0.25 and 0.32 for all BMI groups except for subjects with a BMI of 30.5 - 48. The result is overfitted to the data due to tree sizes between 14 and 16. Some variables, such as body size, can be described with an error of 0.3 to 0.36 using large decision trees with over 20 rules. Using mostly mean curvature and curvature angle, the leg pain level can be described using 14 rules with an error of 0.46 for obese subjects (BMI higher than 30). This result also imposes overfitting. Subjects experiencing pain in the last seven days can also be described for this group using the same variables with a tree consisting of 8 rules and an error of 0.35. Obese subjects are prone to back and leg pain due to a more stressed lumbar spine. The stress-induced spine deformation seems to directly influence the pain levels for these subjects. The dummy variable analysis shows many results using a decision tree with one rule based on mean curvature or curvature angle with an error between 0.35 and 0.47.

8 SUMMARY & CONCLUSION

We provide a method for comparative analysis of decision trees independent of the variable manifestation count using a novel decision tree quality plot. We applied the method to gain insight into the predictive power of 9 image-derived variables for 134 non-image variables with focus on back pain. The analysis was performed for subject groups of gender, BMI and body size to assess their influence on the lumbar spine shape.

The methods presented herein may be applied to comprehensive epidemiological data sets to investigate mutual dependencies among variables and to generate hypotheses on potential associations and subgroups. These hypotheses, however, have to be substantiated by dedicated statistical analyses and replication in independent cohorts.

**Predictive Power of Image-Derived Variables.** The presented results indicate that torsion, curvature and curvature angle of the lumbar spine at the presented precision are not sufficient to describe lumbar back pain in the SHIP data set. Our method allows to assess their discriminative power, which is largely limited to separating male and female subjects, nutrition variables, as well as different disease indicators. The C4.5 algorithm proved to be an effective tool for evaluating a set of derived metrics regarding their suitability to classify non-image variables. Overfitting to the data indicated by complex decision trees has to be taken into account as well. The presented method only captures linear relationships between variables. To take more complex associations into account, methods such as regression analysis have to be incorporated.

**Applicability.** Methods supporting hypotheses generation based on image information are new to the application domain. They are an addition to the standard epidemiological workflow as they highlight new and possibly unknown relationships. Classification methods based on decision trees have proven to be useful for assessing the discriminative power of a variable set. Their ability to consider variable combinations makes them more powerful than correlation coefficients calculated for each variable. This advantage comes with a much more complex output, the results are more challenging to assess and to abstract. Our method to plot derived metrics and custom-tailored error measures proved to be effective. Huge result spaces could be navigated fast using our decision tree quality plot. Therefore, the method is applicable not only for deriving information based on image data, but on all potential target variables.

**Future Work.** We will focus on more precise models for extracting measures. Dented vertebrae indicate pathological deformation, and can be captured by segmenting the top and bottom point of each vertebra center. Spine canal thickness indicates signs of herniated disc disease and is also of interest. We aim to include the method into existing visual analytics methods designed for analyzing shape infor-
mation for epidemiological data (Klemm et al., 2014).

**Outlook.** Combining the power of statistical analyses, visual analytics and classification techniques is essential for analyzing increasingly complex heterogeneous population data. These methods do not aim to replace the traditional epidemiological workflow, but rather complement the weak points of standard statistical methods. Our method provides a novel way to gain insight into these complex data sets and amplifies hypotheses generation.

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