Heuristics for Scheduling Evacuation Operations in Case of Natural Disaster

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Abstract: In this paper, we consider a large-scale evacuation problem after an important disaster. The evacuation is assumed to be done by means of a fleet of buses, thus leading to schedule the evacuation operations by buses (Bus Evacuation Problem, BEP). We propose time indexed formulations, as well as heuristic algorithms like greedy algorithms and a matheuristic. This matheuristic uses the former formulation to improve the best solution obtained by the greedy heuristics. In computational experiments, we analyse and evaluate the efficiency of the proposed solution algorithms.

1 INTRODUCTION

After an important disaster, the evacuation of people from the damaged area to a safety area becomes necessary. From the literature, it turns out that two main application scenarios have been considered: the scenario of building evacuation and the scenario of region evacuation. Evacuation of buildings is extensively discussed in (Chalmet et al., 1982) and (Hamacher and Tjandra, 2001). Several reviews tackle the evacuation problem for large regions, e.g., (Sattayhatewa and Ran, 2000), (Church and Sexton, 2002), (Sbayti and Mahmassani, 2006) and (Bish et al., 2013).

The evacuation models proposed in the literature can be classified into two categories: simulation-based models and optimization-based models. Simulation-based models are developed to define, analyse and evaluate evacuation plans, e.g., (Sheffi et al., 1982) and (Kwon and Pitt, 2005). These models are generally based on the Dynamic Traffic Assignment (DTA) methodology, e.g., (Ziliaskopoulos, 2000), (Mahmassani, 2001) and (Sbayti and Mahmassani, 2006). Optimization-based models are mainly based on dynamic network flow models, like universal maximum flow, minimum dynamic cost flow, maximum dynamic flow, quickest flow and quickest path, e.g., (Yamada, 1996) and (Hamacher and Tjandra, 2001). The goal of the evacuation problems is to find the optimal evacuation plan for an emergency situation. A proper evacuation plan is imperative to reduce fatalities. To solve these problems, numerous optimization models have been proposed, in which we find three objective functions:

1. Minimizing the Total Evacuation Time (TET): TET is the period during which the evacuees will be exposed to risk until reaching a safer destination.
2. Minimizing the Clearance Time (CT): CT is the time until the last vehicle or evacuee leaves the damaged area.
3. Maximizing the number of people exiting the damaged area in a given time period.

The first objective function is equivalent to the second. They are both mentioned because they are presented in different ways in the literature. In some cases, the third objective function becomes more important than the first two. For instance, when the total evacuation time or the clearance time are fixed in advance by the authorities.

(Bish, 2011) introduced and studied a new model for bus-based evacuation planning. The choice of buses as a transportation mean has been motivated by the fact that bus-based evacuation is logistically complex, expensive, produced unacceptable levels of congestion and more dangerous than the bus-based evacuation should be.

The work dealt with in this paper follows the same line. We consider the evacuation of people due to a natural disaster like earthquakes, where evacuees have to change their centre of lives from several days...
to several months with the eventual goal of returning back to their respective home. In particular, we assume that the locations of gathering points (i.e where people are evacuated, outside the damaged area), the locations of collection points (i.e where people are gathered waiting to be evacuated) and the capacities of the transportation network are known. The goal is to define a macroscopic plan of evacuation which means that people are considered homogeneously, i.e. the evacuees are assumed have the same behavior and have to be transported from the collection points to the gathering points in a minimal amount of time. The evacuation is done by means of a set of homogeneous buses.

The remainder is organized as follows. In Section 2, we describe the Bus Evacuation Problem in details and provide a mixed-integer programming formulation. In Section 3, we present greedy heuristics for the problem, and an iterative local search heuristic, referred to as matheuristic, in Section 4. Computational results are presented in Section 5. Finally, Section 6 concludes the paper.

2 PROBLEM DEFINITION AND MATHEMATICAL FORMULATION

In this section, we first describe the Bus Evacuation Problem (BEP) and next provide a time-indexed formulation. This formulation is used in the following to evaluate the quality of the proposed heuristics and to develop a matheuristic algorithm.

2.1 Problem Definition

Consider a network (N, A), where N and A respectively denote the set of nodes and edges. N is composed of two subsets of nodes: CP and GP. CP is a set of collection points where evacuees are initially located and GP is a set of gathering points. An edge (i, j) ∈ A exists iff evacuees can be transported from collection point i to gathering point j. Each collection point i has a demand e_i, i ∈ CP, which is the number of buses required to evacuate all people at point i. Each gathering point j has a capacity cap_j, j ∈ GP, which is the number of evacuees that can be brought to j: it is expressed as a number of buses. Each edge (i, j) has a non-negative length p_{i,j}, which is the travel time between each couple (CP, GP) and it is time-dependent. This means that it can be increased or decreased, over time, depending on the state of the network. This is a consequence of the evolution of the transportation network through time due to events like earthquake replicates, road repairs, roads’ congestion.... We assume that events that modify the transportation network, may occur: we consider that such k events happen at a known time d_l and can change the value of travel times between a collection point i towards gathering point j:

\[ p_{i,j,t} = \begin{cases} a_{i,j}^0 & \text{if } t_j \in [0,d_1] \\ a_{i,j}^1 & \text{if } t_j \in [d_1,d_2] \\ \vdots & \text{if } t_j \in [d_l,d_{l+1}] \\ a_{i,j}^{l-1} & \text{if } t_j \in [d_{l+1},d_k] \end{cases} \]

where \( a_{i,j}^l \) is the travel time if the evacuees are transported from a collection point i to gathering point j in the \( x^{th} \) time-interval, i.e. it’s starting time \( t^l \in [d_{l-1},d_l] \). The number of finite intervals \([d_{l-1},d_l]\) is determined by a preliminary forecasting of the evolution of the transportation network.

A fleet of \( K \) identical buses is given and used to evacuate people. The problem we consider is to find a schedule such that all evacuees are transported from the collection points CP to the gathering points GP, minimizing the total evacuation time denoted by \( C_{\text{max}} \).

The Figure 1 depicts an example of a bus evacuation network at time t, with three collection points, and two gathering points. The number of buses required for evacuees in the collection points are 2, 4 and 5, while the gathering points’ capacities are 6 and 9 buses of evacuees.

![Figure 1: Exemple of BEP network at a given time t.](image)

2.2 A Time-indexed Formulation

The Bus Evacuation Problem can be modeled as a cumulative scheduling problem with additional resource...
The proposed (IP) formulation is as follows:

\[ \min C_{\text{max}} \]

Subject to:

\[ C_{\text{max}} \geq (t + p_{i,j,t})x_{i,j,t} \]

\[ \forall i \in [N], \forall j \in [M], \forall t \in [T] \]

\[ \sum_{t \in [T]} \sum_{j \in [N]} x_{i,j,t} \leq \text{cap}_j \]

\[ \forall j \in [M] \]

\[ \sum_{j \in [N]} \sum_{t \in [T]} \sum_{t' \in [T]}_{t' \geq t} p_{i,j,t} + t' \leq K \]

\[ \forall t \in [T] \]

\[ x_{i,j,t} \in \{0, 1\} \]

\[ \forall i \in [N] \]

\[ C_{\text{max}} \in \mathbb{N} \]

Constraints (2) define the value of criterion \( C_{\text{max}} \), which is then minimized by the objective function (1). Constraints (3) are the gathering point capacity constraints: we cannot exceed the capacities of gathering points. Constraints (4) are the bus capacity constraints: we cannot exceed the number of buses we have. Constraints (5) ensure that each operation is processed once and only once. Constraints (6) and (7) are the logical binary and non-negative integer restrictions on the \( x_{i,j,t} \) and \( C_{\text{max}} \) variables, respectively.

Notice that when the processing times are not time-dependant and the capacities of the gathering points are sufficiently large enough, then the BEP reduces to the identical parallel machine scheduling problem with makespan minimization. The latter being strongly \( \mathcal{NP} \)-hard (see (Garey and Johnson, 1979)), we can deduce that BEP is so.

3 \ GREEDY HEURISTICS

In this section, we describe several greedy heuristic algorithms to solve the Bus Evacuation Problem. These heuristics aim to compute a solution in a very fast way. We outline the need for such heuristic procedures to solve real-size instances which are very large.

Figure 2 presents the general algorithm of the proposed greedy heuristic, which takes upon entry a sequencing rule \( R_{\text{c}} \). Let \( \text{oprlist} \) be the set of the operations that will be assigned on machines, \( \text{sortlist}(i,j) \) be the set of sorted operations according to the rule \( R_{\text{c}} \) at a given time \( t \), and \( C_{\text{max}} \) be the makespan of a machine \( m \). The greedy heuristic’s solution is stored in \( \text{schedulelist} \). Each operation added in \( \text{schedulelist} \) will be deleted from \( \text{oprlist} \) and \( \text{sortlist}(i,j) \).

The function event, presented in the pseudo code of the greedy algorithm (Figure 2), checks if there is an event requiring to recalculate the priority list \( \text{sortlist} \). Each rule \( R_{\text{c}} \) has a specific event function.

In the following, we have tested eight greedy heuristic versions.

1. Version 1: this version uses the rule \( R_1 \). To each operation \( \text{opr} \), let \( t_{\text{no}} \) be the total number of operations of the same job \( j \) that \( \text{opr} \). Then, the operations are sorted by non-decreasing order of their value \( t_{\text{no}} \). The function event always returns false because the \( t_{\text{no}} \) of each operation \( \text{opr} \) does not change throughout the algorithm.
2. Version 2: this version uses the rule $R_2$. In this rule, operations $opr_i$ are sorted by decreasing order of their value $tno_i$. The function event always returns false because the $tno_i$ of each operation $opr_i$ does not change throughout the algorithm.

3. Version 3: this version uses the rule $R_3$. The operations are sorted according to the shortest processing time first rule (SPT) known in scheduling theory (Smith, 1956). This rule has been adapted to take the time-dependency of the processing time $p_{i,j,t}$ into account. The function event returns true if there are modifications in the values of operations' processing time.

4. Version 4: this version uses the rule $R_4$. The operations are sorted in non-decreasing order of their ratio $\frac{nso_j}{tno_j}$, with $nso_j$ the number of operations of a job $j$ already scheduled and $tno_j$ the total number of operations of a job $j$. If several operations have the same priority, we break ties by means of the SPT rule. The function event returns true if there is, at least, an operation $opr_k$ that has a smaller ratio than the first operation in $sortlist$.

5. Version 5: this version uses the rule $R_5$. In this rule, the operations are sorted in non-decreasing order of their ratio $\frac{nno_j}{tno_j}$, with $nno_j$ the total number of operations. The function event returns true if there is, at least, an operation $opr_j$ that has a smaller ratio than the first operation in $sortlist$.

6. Version 6: this version uses the rule $R_6$. To each operation $opr$, let $nno_j$ be the number of currently unscheduled operations of the same job $j$ than $opr$. Then, the operations are sorted by decreasing order of their value $nno_i$. The function event returns true if there is an operation $opr_k$ that has a larger number of currently unscheduled operations than the first operation in $sortlist$.

7. Version 7: this version uses the rule $R_7$. To each operation $opr_j$, let $nno_j$ be the number of currently unscheduled operations of the same job $j$ than $opr$. Then, the operations are sorted in non-decreasing order of their value $nno_i$. The function event returns true if there is an operation $opr_k$ that has a smaller number of currently unscheduled operations than the first operation in $sortlist$.

8. Version 8: this version uses the rule $R_8$. In this rule, we randomly assign a priority for each job. The function event returns true if there are modifications in the values of jobs' priority.

4 A MATHEURISTIC

In this section, we propose a local search method called matheuristic. The general idea of matheuristics is to exploit the strength of both metaheuristic algorithms and exact methods as well, leading to a hybrid approach (Della Croce et al., 2011). It is a heuristic based on mathematical programming.

We use the time-indexed formulation introduced in Section 2.2 to construct a matheuristic for BEP. Let be an initial heuristic solution given as the best solution obtained by the greedy heuristics. The matheuristic tries to improve that solution by exploring its neighborhood as follows. Let $x$ be a feasible schedule (heuristic solution) $x = \langle \bar{x}_{i,j,t} \rangle_{i,j \in [N], j \in [M], t \in [T]}$, where $\bar{x}_{i,j,t} = 1$, if operation $i$ is processed on machine $j$ at time $t$. We define a neighborhood $\mathcal{N}(\bar{x}, r, h)$ by choosing a date $r$ in the schedule and a size parameter $h$. Let $\bar{S}(r, h)$ be the index set of the operations scheduled in the time interval $[r, r + h]$. We call such a subset of operations an "operation-window".

The best solution in the neighborhood $\mathcal{N}(\bar{x}, r, h)$ is computed by minimizing the makespan $C_{\text{max}}$ subject to (3)-(8) and by adding the following constraint:

$$x_{i,j,t} = \bar{x}_{i,j,t} \quad \forall i \notin \bar{S}(r, h), \forall j \in [M], t \in [0, r]$$

We call this reduced minimization problem the window reoptimization problem, and it is solved by a mathematical solver like CPLEX. The additional constraints (9) forces the changes to occur within the
operation-window. If we have an improvement in \( C_{\text{max}} \), then, in the new solution \( \bar{x} \), all the operations which started after the time \( r+h \) in the initial solution \( x \) will be left time shifted keeping their previous positions and respecting the model constraints.

If no improved solution is found a new operation-window (i.e. new value of \( r \)) is selected to be optimized until all possible windows have been selected. The search is stopped if no window reoptimization problem has an optimal solution which improves the current solution or if a predefined time limit is exceeded.

The algorithm of the matheuristic is given in Figure 3:

\[
\begin{align*}
\text{Input:} & \quad x = \langle \text{heuristic solution calculated by greedy algorithm} \rangle, \\
& \quad \text{vect} = \langle \text{vector of starting times of all operations in } x \rangle, \\
\text{Repeat} & \quad \text{Set improved} \leftarrow \text{false}, \\
& \quad \text{Set } i \leftarrow 0, \\
\text{Repeat} & \quad \text{Set } r \leftarrow \text{vect}[i], \\
& \quad \hat{x} \leftarrow \text{Neighborhood}(x, r, h) \\
& \quad \text{if } (C_{\text{max}}(\hat{x}) > C_{\text{max}}(x)) \text{ then} \\
& \quad \hat{x} \leftarrow \hat{x} \\
& \quad \text{improved} \leftarrow \text{true} \\
& \quad \text{for } r \leftarrow r + h \text{ to } C_{\text{max}} - r + h \text{ do} \\
& \quad \hat{y} \leftarrow \text{Neighborhood}(\hat{x}, r, h) \\
& \quad \text{if } (C_{\text{max}}(\hat{y}) > C_{\text{max}}(\hat{x})) \text{ then} \\
& \quad \hat{x} \leftarrow \hat{y} \\
& \quad \text{end if} \\
\text{end if} \\
\text{end for} \\
\text{recompute vect from } \hat{x} \\
\text{end if} \\
\text{Until } i \geq |\text{vect}| \text{ or improved or time limit expired} \\
\text{Until not } \text{improved or time limit expired} \\
\text{Return Feasible solution } \bar{x}.
\end{align*}
\]

The Neighborhood Procedure

\[
\begin{align*}
\text{Calculate } \bar{S}(r, h); \\
\text{Reschedule operations in the time window } [r, r+h] \text{ by minimizing } C_{\text{max}}(\bar{x}) \text{ subject to (3)-(8), and (9)}; \\
\text{Let } \bar{y} \text{ be the solution obtained}; \\
\text{Return solution } \bar{y}.
\end{align*}
\]

Figure 3: Matheuristic algorithm.

5 COMPUTATIONAL EXPERIMENTS

In this section, we focus on the experimental evaluations of the (IP) solution, and the heuristic algorithms. We first describe how the experimentation have been setup.

Environment. All experiments were run on a computer with a 8-core Intel processor, running at 2.60 GHz with 20MB cache, 8 GB RAM and Windows 7. We wrote our code in C++, and used the commercial IP solver CPLEX v.12.2. CPLEX was pinned to one core for the solution of the time-indexed formulation.

Dataset. This work is part research project, called DSS-Evac-Logistic, partially granted by the french research agency ANR. In this project, we consider the real-world instance of Nice (France), as a case study. So, the data sets are randomly generated in such a way that we always have a feasible and realistic instances for Nice city. The number of machines takes values \( M \in \{2, 4, 6, 8, 10\} \) and the capacity \( cap_j \) of each machine draws at random from \( \{20, 21, 22, ..., 40\} \). The number of jobs takes values \( L \in \{10, 20, 30, 40\} \) and the number \( e_l \) of operations of job \( l \) are generated randomly from \( \left\lfloor \frac{L}{4} \right\rfloor, \left\lceil \frac{L}{4} \right\rceil \). This generation ensures that the total operations’ number is less than the total machines’ capacity. We assume that the time period of evacuation is \([D, D+1[, \) when \( D \) is the day of disaster. In the following, we take the time discretisation as a quarter of an hour, which implies that \( T \) is equal to 192 quarters.

We assume that we have 6 events happening and changing the value of the operations’ processing time. To do so, we generated two degradation dates: \( T^P_1, T^P_2 \in [0, 192] \), common to all operations, and three improvement dates: \( T^I_1 \in U[0, T^P_1], T^I_2 \in U[T^P_1, T^P_2], T^I_3 \in U[T^P_2, 192] \), specific to each operation. Operations’ processing time are drawn randomly from \( \{1, 2, 3, 4, ..., 8\} \). Finally, the number of buses \( K = \sum_{l=1}^{L} e_l \rho \), where \( \rho \) is the average processing time, which ensures that we have enough buses to do the evacuation in 180 quarters of an hour.

For each instance, we run the following algorithms:

- Exact Solution Algorithm: we have tested the solution of the (IP) model by Cplex solver. In the remainder, this solution algorithm will be denoted by IP. Notice that in this model we use the best \( C_{\text{max}} \) value found by the heuristics, to set the value of the time horizon \( T \). Besides, a time limit of 1800 seconds and a memory limit of 1 GB have been given to CPLEX solver.

- Heuristic Algorithms:
  - We have tested the eight versions of the greedy heuristic, and for each data set we keep the best solution found.
  - We have tested the matheuristic with a time limit of 600 seconds. Previous preliminary experimentations have shown that the best results...
are obtained with the window size \( h = 25 \). The initial solution is the best solution found by the greedy heuristics.

- We solve the time-indexed formulation with a time limit equal to that of the matheuristic. This heuristic is referred to as IPheuristic.

Table 1 presents the running times and the number of nodes explored by CPLEX when solving the IP. Column \#oprs presents the number of operations, column \#mach presents the number of machines, column \#bus presents the number of buses, column \#inst presents the number of instances for each problem size, column \#inst_opt presents the number of instances that have been solved to optimality by IP. Notice that the instances are randomly generated based on a number of jobs, and a number of machines. However, the size of the instances solved by IP depends on the total number of operations, i.e. \( \sum e_i \); consequently, after having generated instances we have gathered them in classes of "equivalent size instances", but in term of number of operations, number of machines and number of buses. Columns IP (time) report the minimum, the average and the maximum running times of CPLEX when solving IP. IP (nodes) columns report the minimum, the average and the maximum explored nodes. As the results in Table 1 illustrate, for almost all the instances with less than 60 operations the IP find the optimal solutions. Unfortunately, when the number of operations varying between 90 and 110, IP can not solve the instances to optimality.

<table>
<thead>
<tr>
<th>#oprs</th>
<th>#mach</th>
<th>#bus</th>
<th>#inst</th>
<th>#inst_opt</th>
<th>min</th>
<th>avg</th>
<th>max</th>
<th>CPU time (s)</th>
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<td>32</td>
<td>17</td>
<td>25.72</td>
<td>87</td>
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<tr>
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<td>2</td>
<td>17</td>
<td>16</td>
<td>63.06</td>
<td>200</td>
<td>643.7</td>
<td>744</td>
</tr>
<tr>
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<td>2</td>
<td>[2,5]</td>
<td>31</td>
<td>30</td>
<td>17</td>
<td>106.8</td>
<td>384</td>
<td>307</td>
</tr>
<tr>
<td>[45,50]</td>
<td>4</td>
<td>[2,3]</td>
<td>6</td>
<td>5</td>
<td>85</td>
<td>323</td>
<td>859</td>
<td>207</td>
</tr>
<tr>
<td>[50,55]</td>
<td>4</td>
<td>3</td>
<td>15</td>
<td>6</td>
<td>175</td>
<td>921.2</td>
<td>1710</td>
<td>393</td>
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<tr>
<td>[55,60]</td>
<td>4</td>
<td>[3,4]</td>
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<td>26</td>
<td>1</td>
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<td>4</td>
<td>[2,3]</td>
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<td>[3,4]</td>
<td>17</td>
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<td>4</td>
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<td>1</td>
<td>1051</td>
<td>1051</td>
<td>1051</td>
<td>26,42</td>
</tr>
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</table>

Table 2 displays the results of IPheuristic compared to the best heuristic solutions derived by IPheuristic or matheuristic solutions (the matheuristic is at least as good than the greedy heuristic) and its running time. Let \( \text{dev}(A) \) be the deviation associated to a heuristic A. We have:

\[
\text{dev}(A) = \frac{\text{Cmax}(A) - \min(\text{Cmax}(A), \text{Cmax(heuristic}))}{\min(\text{Cmax}(A), \text{Cmax(heuristic)})} \times 100
\]

IPheuristic performs well when the number of operations is less than 40 and requires less than 600 seconds to provide the best solutions. For the instances, for which the number of operations belongs to [40, 70] we observe a deterioration of the quality of this heuristic. For instance, in some cases, the IPheuristic solution is far from the best heuristic solution with a deviation of 95%. Furthermore, we found that for larger instances, IPheuristic becomes less competitive, and fails to produce good solutions within a time-limit of 600 seconds.

The results presented in Table 3 show the quality of the solutions obtained by the greedy heuristics against the best heuristics solutions. All the proposed priority rules are tested on the small and large instances, and we have found that the greedy heuristics which use the rules \( R_1 \) and \( R_2 \) outperform the other rules. We note that running these heuristics takes a negligible amount of time.

Table 4 provides the deviation of the matheuristic against the best heuristic solution. For instances with less than 70 operations in size, the matheuristic succeeds in providing the best solution on some instances since the minimal deviation value is 0. For instances with more than 60 operations in size, the matheuristic outperforms, on the average, the IPheuristic. For the instances with a number of operations in [60, 70], the matheuristic provides the best solution for 12 out of 13 instances. When the number of operations belongs to [70, 80], the best solutions are always delivered by the matheuristic within a time limit of 600 seconds.

To summarize all the results introduced so far, we can conclude that the matheuristic is the best heuristic algorithm, even if for large instances the time limit must be increased to enable improvement over the greedy heuristics. Note worthy, these ones seem to be a good compromise between quality and CPU time, especially for larger instances.
Table 4: Evaluation of the matheuristic.

<table>
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<tr>
<th>#ops</th>
<th>#mach</th>
<th>#bus</th>
<th>dev %</th>
<th>CPU time (s)</th>
<th>min</th>
<th>avg</th>
<th>max</th>
</tr>
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<tbody>
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<td>[20,35]</td>
<td>2</td>
<td>[1,2]</td>
<td>0</td>
<td>5.2 4.2 10.29</td>
<td>32</td>
<td>157.8</td>
<td>370</td>
</tr>
<tr>
<td>[35,40]</td>
<td>2</td>
<td>2</td>
<td>0</td>
<td>8.6 4.7 14.14</td>
<td>97</td>
<td>326.2</td>
<td>603</td>
</tr>
<tr>
<td>[40,60]</td>
<td>2</td>
<td>[2,3]</td>
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<td>7.97 3.25 10.11</td>
<td>166</td>
<td>399.7</td>
<td>600</td>
</tr>
<tr>
<td>[60,70]</td>
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<td>3</td>
<td>0</td>
<td>3.57 1.11 5.37</td>
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6 CONCLUSIONS

In this work, we have studied the problem of scheduling evacuation operations that we have called the bus evacuation problem (BEP). We have provided a time-indexed formulation for this problem. Next, we have provided three heuristics, the first one is a local search called matheuristic based on the mathematical formulation provided, which improves the best greedy heuristic solutions. The third one is provided by CPLEX within an imposed time limit. During the conference, we will present all the computational results for small and larger instances, i.e. instances with 6, 8, 10, 20, 30 machines and more than 110 operations.

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