SELECTING GENES FROM GENE EXPRESSION DATA BY USING AN ENHANCEMENT OF BINARY PARTICLE SWARM OPTIMIZATION FOR CANCER CLASSIFICATION

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Abstract: In order to select a small subset of informative genes from gene expression data for cancer classification, recently, many researchers are analyzing gene expression data using various computational intelligence methods. However, due to the small number of samples compared to the huge number of genes (high-dimensional), irrelevant genes, and noisy genes, many of the computational methods face difficulties to select the small subset. Thus, we propose an enhancement of binary particle swarm optimization to select a small subset of informative genes that is relevant for classifying cancer samples more accurately. In this proposed method, three approaches have been introduced to increase the probability of bits in particle’s positions to be zero. By performing experiments on three different gene expression data sets, we have found that the performance of the proposed method is superior to other previous related works, including the conventional version of binary particle swarm optimization (BPSO) in terms of classification accuracy and the number of selected genes. The proposed method also produces lower running times compared to BPSO.

1 INTRODUCTION

Recent advances in microarray technology allow scientists to measure the expression levels of thousands of genes simultaneously in biological organisms and have made it possible to create databases of cancerous tissues. It finally produces gene expression data that contain useful information of genomic, diagnostic, and prognostic for researchers (Knudsen, 2002). Thus, there is a need to select informative genes that contribute to a cancerous state. However, the gene selection process poses a major challenge because of the following characteristics of gene expression data: the huge number of genes compared to the small number of samples (high-dimensional data), irrelevant genes, and noisy data. To overcome this challenge, a gene selection method is usually used to select a subset of informative genes that maximizes classifier’s ability to classify samples more accurately (Mohamad et al., 2009). The advantages of gene selection has been reported in Mohamad et al. (2009).

Recently, several gene selection methods based on particle swarm optimization (PSO) have been proposed to select informative genes from gene expression data (Shen et al., 2008; Chuang et al., 2008; Li et al., 2008). PSO is a new evolutionary technique proposed by Kennedy and Eberhart (1995). It is motivated from the simulation of social behavior of organisms such as bird flocking and fish schooling. Shen et al. (2008) have proposed a hybrid of PSO and tabu search approaches for gene selection. However, the results obtained by using the hybrid method are less meaningful since the application of tabu approaches in PSO is unable to search a near-optimal solution in search spaces. Next, an improved binary PSO have been proposed by Chuang et al. (2008). This approach produced 100% classification accuracy in many data sets, but it used a high number of selected genes (large gene subset) to achieve the high accuracy. It uses the high number because of the global best particle is reset to zero position when its fitness values do not change after three consecutive iterations. After that, Li et al. (2008) have introduced a hybrid of PSO and genetic algorithms (GA) for the same purpose. Unfortunately, the accuracy result is still not high and many genes are selected for cancer classification.
since there are no direct probability relations between GA and PSO. Generally, the PSO-based methods (Shen et al., 2008; Chuang et al., 2008; Li et al., 2008) are intractable to efficiently produce a small (near-optimal) subset of informative genes for high classification accuracy. This is mainly because the total number of genes in gene expression data is too large (high-dimensional data).

Therefore, we propose an enhancement of binary PSO (EPSO) to select a small (near-optimal) subset of informative genes that is most relevant for classifying cancer classes more accurately. In order to test the effectiveness of our proposed method, we apply EPSO to three gene expression data sets, including binary-classes and multi-classes data sets.

This paper is organized as follows. In Section 2, we briefly describe the conventional version of binary PSO and EPSO. Section 3 presents data sets used and experimental results. Section 4 summarizes the methods (Shen et al., 2008) and Previous PSO-based Methods.

2 METHODS

2.1 The Conventional Version of Binary PSO (BPSO)

BPSO is initialized with a population of particles. At each iteration, all particles move in a problem space to find the optimal solution. A particle represents a potential solution in an \( n \)-dimensional space (Kennedy and Eberhart, 1997). Each particle has position and velocity vectors for directing its movement. The position vector and velocity vectors for directing its movement are represented as \( X_i = (x_i^1, x_i^2, \ldots, x_i^n) \) and \( V_i = (v_i^1, v_i^2, \ldots, v_i^n) \), respectively, where \( x_i^d \in [0,1] \); \( i=1,2,..,m \) (m is the total number of particles); and \( d=1,2..,n \) (n is the dimension of data). \( v_i^d \) is a real number for the \( d \)-th dimension of the particle \( i \), where the maximum \( v_i^d \); \( V_{\text{max}} = (1/3) \times n \).

In gene selection, the vector of particle positions is represented by a binary bit string of length \( n \), where \( n \) is the total number of genes. Each position vector \( (X_i) \) denotes a gene subset. If the value of the bit is 1, it means that the corresponding gene is selected. Otherwise, the value of 0 means that the corresponding gene is not selected. Each particle in the \( t \)-th iteration updates its own position and velocity according to the following equations:

\[
\begin{align*}
\text{if } & \quad \text{if } \quad \text{else } \\

v_i^d(t+1) &= w(t) \times v_i^d(t) + c_1 x_i^d(t) \times (p_{\text{best}}^d(t) - x_i^d(t)) + c_2 x_i^d(t) \times (g_{\text{best}}^d(t) - x_i^d(t)) \\
\text{Sig}(v_i^d(t+1)) &= \frac{1}{1 + e^{-v_i^d(t+1)}} \quad (2) \\
\text{if } \quad & \quad \text{then } x_i^d(t+1) = 1; \\
& \quad \text{else } x_i^d(t+1) = 0 \quad (3)
\end{align*}
\]

where \( c_1 \) and \( c_2 \) are the acceleration constants in the interval \([0,2]\). \( x_i^d(t), p_{\text{best}}^d(t), g_{\text{best}}^d(t) \sim U(0,1) \) are random values in the range \([0,1]\) that sampled from a uniform distribution. \( p_{\text{best}}(t) = (p_{\text{best}}^1(t), p_{\text{best}}^2(t), \ldots, p_{\text{best}}^n(t)) \) and \( g_{\text{best}}(t) = (g_{\text{best}}^1(t), g_{\text{best}}^2(t), \ldots, g_{\text{best}}^n(t)) \) represent the best previous position of the \( i \)-th particle and the global best position of the swarm (all particles), respectively. They are assessed based on a fitness function. \( \text{Sig}(v_i^d(t+1)) \) is a sigmoid function where \( \text{Sig}(v_i^d(t+1)) \in [0,1] \). \( w(t) \) is an inertia weight and initialized with 1.4. It is updated as follows:

\[
w(t+1) = \frac{(w(t) - 0.4) \times (\text{MAXITER} - \text{Iter}(t))}{(\text{MAXITER} + 0.4)} \quad (4)
\]

where \( \text{MAXITER} \) is the maximum iteration (generation) and \( \text{Iter}(t) \) is the current iteration.

2.1.1 Investigating the Drawbacks of BPSO and Previous PSO-based Methods

Before attempting to propose EPSO, it would be prudent to find the limitations of BPSO and previous PSO-based methods (Shen et al., 2008; Chuang et al., 2008; Li et al., 2008). This subsection investigates theoretically the limitations by analyzing Eq. 2 and Eq. 3. These equations are analyzed because they are most important equations for genes selection in binary spaces. Both the equations are also implemented in BPSO and the PSO-based methods.

The sigmoid function (Eq. 2) represents a probability for \( x_i^d(t) \) to be 0 or 1 (\( P(x_i^d(t) = 0) \) or \( P(x_i^d(t) = 1) \)). For example,

\[
\begin{align*}
\text{if } & \quad \text{then } \text{Sig}(v_i^d(t) = 0) = 0.5 \quad \text{and } \\
& \quad \text{else } \text{P}(x_i^d(t) = 0) = 0.5 \quad (5) \\
\text{if } & \quad \text{then } \text{Sig}(v_i^d(t) < 0) < 0.5 \quad \text{and } \\
& \quad \text{else } \text{P}(x_i^d(t) = 0) > 0.5 \quad (6)
\end{align*}
\]
if \( v_i^d(t) > 0 \), then \( \text{Sig}(v_i^d(t) > 0) > 0.5 \) and \( P(x_i^d(t) = 0) < 0.5 \).

Also note that
\[
P(x_i^d(t) = 0) = 1 - P(x_i^d(t) = 1).
\]

From the analysis, we conclude that \( P(x_i^d(t) = 0) = P(x_i^d(t) = 1) = 0.5 \) because Eq. 2 is a standard sigmoid function without any constraint and no modification. Hence, by using this standard sigmoid function in high-dimensional spaces (gene expression data), it only reduces the number of genes to about half of the total number of genes. This is reported and proved in the section of experimental results. Therefore, Eq. 2 and Eq. 3 are only reducing the number of genes to about half of the total number of genes. This is reported and proved in the section of experimental results. Therefore, Eq. 2 and Eq. 3 are used instead of Eq. 1, Eq. 4, and Eq. 5.

2.2 An Enhancement of Binary PSO (EPSO)

Almost all previous works of gene expression data researches have selected a subset of genes to obtain excellent cancer classification. Therefore, in this article, we propose EPSO for selecting a near-optimal (small) subset of genes. It is proposed to overcome the limitations of BPSO and previous PSO-based methods (Shen \textit{et al.}, 2008; Chuang \textit{et al.}, 2008, Li \textit{et al.}, 2008). EPSO in our work differs from BPSO and the PSO-based methods on three parts: 1) we introduce a scalar quantity that called particles’ speed \((v)\); 2) we propose a rule for updating \( v_i^d(t+1) \); 3) we modify the existing sigmoid function, whereas BPSO and the PSO-based methods have used the original rule (Eq. 3) and the standard sigmoid function (Eq. 2), and no particles’ speed implementation. The particles’ speed, rule, and sigmoid function are introduced in order to:

- increase the probability of \( x_i^d(t+1) = 0 \) 
  \[
  P(x_i^d(t+1) = 0) .
\]
- reduce the probability of \( x_i^d(t+1) = 1 \) 
  \[
  P(x_i^d(t+1) = 1) .
\]

The increased and decreased probability values cause a small number of genes are selected and grouped into a gene subset. \( x_i^d(t+1) = 1 \) means that the corresponding gene is selected. Otherwise, \( x_i^d(t+1) = 0 \) represents that the corresponding gene is not selected.

**Definition 1.** \( s_j \) is a speed or length or magnitude of \( V_i \) for the particle \( i \). In a real Euclidean space \( \Re^n \), where \( \Re \) denotes the field of real numbers, and \( n \) is the dimension of \( \Re \), \( s_j \) can be derived by the Euclidean norm as follows:
\[
s_j = \sqrt{(v_1)^2 + (v_2)^2 + \ldots + (v_n)^2} \tag{5}
\]

Therefore, the following properties of \( s_j \) are crucial:

- non-negativity: \( s_j \geq 0 \);
- definiteness: \( s_j = 0 \) if and only if \( V_i = 0 \);
- homogeneity: \( |\alpha V_i| = \alpha |V_i| = \alpha s_j \) where \( \alpha \geq 0 \);
- the triangle inequality: \( |V_i + V_j| \leq |V_i| + |V_j| \)

where \( |V_i| = s_j \), and \( |V_j| = |V_i| = s_j + 1 \).

The particles’ speed, rule, and sigmoid function are proposed as follows:
\[
s_j(t+1) = w(t) \times s_j(t) + c_1 r_1(t) \times \text{dist}(\text{Pbest}_i(t) - X_i(t)) + c_2 r_2(t) \times \text{dist}(\text{Gbest}(t) - X_i(t)) \tag{6}
\]
\[
\text{Sig}(s_j(t+1)) = \frac{1}{1 + e^{-5s_j(t+1)}} \tag{7}
\]
subject to \( s_j(t+1) \geq 0 \)

if \( \text{Sig}(s_j(t+1)) > r^d_i(t) \), then \( x_i^d(t+1) = 0 \); else \( x_i^d(t+1) = 1 \)

where \( s_j(t+1) \) represents the speed of the particle \( i \) for the \( t+1 \) iteration, whereas in BPSO and previous PSO-based methods (Eq. 1, Eq. 2, and Eq. 3), \( v_i^d(t+1) \) represents a single element of a particle velocity vector for the particle \( i \). In EPSO, Eq. 6, Eq. 7, and Eq. 8 are used to replace Eq. 1, Eq. 2, and Eq. 3, respectively. \( s_j(t+1) \) is the rate at which the particle \( i \) changes its position. Based on Definition 1, the most important property of \( s_j(t+1) \) is \( s_j(t+1) \geq 0 \). Hence, \( s_j(t+1) \) is used instead of \( v_i^d(t+1) \) so that its positive value can increase \( P(x_i^d(t+1) = 0) \).

In Eq. 6, the calculation for updating \( s_j(t+1) \) is mainly based on the distance between \( \text{Pbest}_i(t) \) and \( X_i(t) \) (\( \text{dist}(\text{Pbest}_i(t) - X_i(t)) \)), and the distance between \( \text{Gbest}(t) \) and \( X_i(t) \) (\( \text{dist}(\text{Gbest}(t) - X_i(t)) \)), whereas the original formula (Eq. 1) is used to calculate \( v_i^d(t+1) \) and it is essentially based on the
The high probability of \( x^d_i(t) = 0 \) (\( P(x^d_i(t) = 0) \)) causes a small number of genes are selected in order to produce a near-optimal (small) gene subset from high-dimensional data (gene expression data). Hence, EPSO is proposed to overcome the limitations of BPSO and the previous PSO-based methods, and finally produce a small subset of informative genes.

2.2.1 The Calculation of the Distance of Two Particles' Positions

The number of different bits between two particles relates to the difference between their positions. For example, \( \text{Gbest}(t) = [001101000] \) and \( \text{X}_i(t)=[1110110100] \). The difference between \( \text{Gbest}(t) \) and \( \text{X}_i(t) \) is \([−1−1010−11−100] \). The value of 1 indicates that compared with the best position, this bit (gene) should be selected, but it is not selected, which may decrease classification quality.
and lead to a lower fitness value. In contrast, a value of -1 indicates that, compared with the best position, this bit should not be selected, but it is selected. The selection of irrelevant genes makes the length of the subset longer and leads to a lower fitness value. Assume that the number of 1 is $a$, whereas the number of -1 is $b$. We use the absolute value of $a-b$ ($|a-b|$) to express the distance between two positions. In this example, the distance between $G_{best}(t)$ and $X_i(t)$ is $\text{dist}(G_{best}(t) - X_i(t)) = a - b \geq 2 - 4 = 2$.

### 2.2.2 Fitness Functions

The fitness value of a particle (a gene subset) is calculated as follows:

$$\text{fitness}(X_i) = w_1 \times A(X_i) + (w_2(n - R(X_i))/n)$$  \hspace{1cm} (9)

in which $A(X_i) \in [0,1]$ is leave-one-out-cross-validation (LOOCV) classification accuracy that uses the only genes in a gene subset ($X_i$). This accuracy is provided by support vector machine classifiers (SVM). $R(X_i)$ is the number of selected genes in $X_i$, $n$ is the total number of genes for each sample. $w_1$ and $w_2$ are two priority weights corresponding to the importance of accuracy and the number of selected genes, respectively, where $w_1 \in [0.1, 0.9]$ and $w_2 = 1 - w_1$.

### 3 EXPERIMENTS

#### 3.1 Data Sets and Experimental Setup

The gene expression data sets used in this article are summarized in Table 1. They included binary-classes and multi-classes data sets.

<table>
<thead>
<tr>
<th>Data Sets</th>
<th>Number of Samples</th>
<th>Number of Genes</th>
<th>Number of Classes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Leukemia</td>
<td>72</td>
<td>7,129</td>
<td>2</td>
</tr>
<tr>
<td>Colon</td>
<td>62</td>
<td>2,000</td>
<td>2</td>
</tr>
<tr>
<td>SRBCT</td>
<td>83</td>
<td>2,308</td>
<td>4</td>
</tr>
</tbody>
</table>

Note:
- SRBCT = small round blue cell tumors.
- DB = database.
- DB Leukemia: http://www.broad.mit.edu/cgi-bin/cancer/datasets.cgi
- DB Colon: http://microarray.princeton.edu/oncology/affydata/index.html
- DB SRBCT: http://research.nhgri.nih.gov/microarray/Supplement

All experimental results reported in this article are experimented in Rocks Linux version 4.2.1 (Cydonia) on the IBM xSeries 335 (cluster nodes) that contains 13 compute-nodes. Each compute-node has four high performances 3.0GHz Intel Xeon CPUs with 512MB of memories. Thus, the total number of CPUs for the 13 compute-nodes is 52. In order to make sure the running time of every run using the same capacity of CPUs usage, each run has been independently experimented on only one CPU. This situation is important because the comparison of running times between EPSO and BPSO is conducted for evaluation of their performances.

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Values</th>
</tr>
</thead>
<tbody>
<tr>
<td>The number of particles</td>
<td>100</td>
</tr>
<tr>
<td>The number of iterations (generations)</td>
<td>500</td>
</tr>
<tr>
<td>$w_1$</td>
<td>0.8</td>
</tr>
<tr>
<td>$w_2$</td>
<td>0.2</td>
</tr>
<tr>
<td>$c_1$</td>
<td>2</td>
</tr>
<tr>
<td>$c_2$</td>
<td>2</td>
</tr>
</tbody>
</table>

Experimental results that produced by EPSO are compared with an experimental method (BPSO) and other previous PSO-based methods for objective comparisons (Shen et al., 2008; Chuang et al., 2008, Li et al., 2008). SVM is used to measure LOOCV accuracy on gene subsets that produced by EPSO and BPSO. In order to avoid selection bias, the implementation of LOOCV is in exactly the same way as did by Chuang et al. (2008) where the only one cross-validation cycle (outer loop), namely LOOCV is used, not two nested ones. Several experiments are independently conducted 10 times on each data set using EPSO and BPSO. Next, an average result of the 10 independent runs is obtained. Two criteria following their importance are considered to evaluate the performances of EPSO and BPSO: LOOCV accuracy and the number of selected genes. Additionally, running times are also measured for the comparison between EPSO and BPSO. High accuracy and the small number of selected genes are needed to obtain an excellent performance. Table 2 contains parameter values for EPSO and BPSO. These values are chosen based on the results of preliminary runs.
### Table 3: Experimental Results for each Run Using EPSO on Leukemia, Colon, and SRBCT Data Sets.

<table>
<thead>
<tr>
<th>Run#</th>
<th>Leukemia</th>
<th>Colon</th>
<th>SRBCT</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>#Acc (%)</td>
<td>#Selected Genes</td>
<td>#Time</td>
</tr>
<tr>
<td>1</td>
<td>100</td>
<td>55</td>
<td>7.61</td>
</tr>
<tr>
<td>2</td>
<td>100</td>
<td>65</td>
<td>7.37</td>
</tr>
<tr>
<td>3</td>
<td>100</td>
<td>65</td>
<td>7.40</td>
</tr>
<tr>
<td>4</td>
<td>100</td>
<td>70</td>
<td>7.42</td>
</tr>
<tr>
<td>5</td>
<td>100</td>
<td>51</td>
<td>7.52</td>
</tr>
<tr>
<td>6</td>
<td>100</td>
<td>62</td>
<td>7.48</td>
</tr>
<tr>
<td>7</td>
<td>100</td>
<td>58</td>
<td>7.43</td>
</tr>
<tr>
<td>8</td>
<td>100</td>
<td>61</td>
<td>7.45</td>
</tr>
<tr>
<td>9</td>
<td>100</td>
<td>63</td>
<td>7.46</td>
</tr>
<tr>
<td>10</td>
<td>100</td>
<td>67</td>
<td>7.46</td>
</tr>
<tr>
<td></td>
<td>Average</td>
<td>± S.D.</td>
<td></td>
</tr>
<tr>
<td>100</td>
<td>61.70</td>
<td>± 5.72</td>
<td>± 0.67</td>
</tr>
</tbody>
</table>

Note: The result of the best subsets is shown in the shaded cells. It is selected based on the following priority criteria: 1) the highest classification accuracy; 2) the smallest number of selected genes; 3) the lowest running time. #Acc and S.D. denote the classification accuracy and the standard deviation, respectively, whereas #Selected Genes and Run# represent the number of selected genes and a run number, respectively. #Time stands for running time.

### Table 4: Comparative experimental results of EPSO and BPSO.

<table>
<thead>
<tr>
<th>Data</th>
<th>Method Evaluation</th>
<th>EPSO</th>
<th>BPSO</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Best #Ave S.D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Leukemia #Acc (%)</td>
<td>100</td>
<td>98.61</td>
<td>98.61</td>
</tr>
<tr>
<td>#Genes</td>
<td>61.70</td>
<td>3488</td>
<td>3528.75</td>
</tr>
<tr>
<td>#Time</td>
<td>7.52</td>
<td>261.34</td>
<td>261.41</td>
</tr>
<tr>
<td>Colon    #Acc (%)</td>
<td>94.68</td>
<td>90.32</td>
<td>88.55</td>
</tr>
<tr>
<td>#Genes</td>
<td>20.20</td>
<td>982</td>
<td>985.00</td>
</tr>
<tr>
<td>#Time</td>
<td>5.06</td>
<td>64.45</td>
<td>64.63</td>
</tr>
<tr>
<td>SRBCT    #Acc (%)</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>#Genes</td>
<td>21</td>
<td>1076</td>
<td>1098.33</td>
</tr>
<tr>
<td>#Time</td>
<td>10.32</td>
<td>136.81</td>
<td>136.87</td>
</tr>
</tbody>
</table>

Note: The best result of each data set is shown in the shaded cells. It is selected based on the following priority criteria: 1) the highest classification accuracy; 2) the smallest number of selected genes; 3) the lowest running time.

### Table 5: A comparison between our method (EPSO) and previous PSO-based methods.

<table>
<thead>
<tr>
<th>Data</th>
<th>Method Evaluation</th>
<th>EPSO</th>
<th>IBPSO (Chuang et al., 2008)</th>
<th>PSOTS (Shen et al., 2008)</th>
<th>PSOGA (Li et al., 2008)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>#Acc (%)</td>
<td>(100)</td>
<td>(100)</td>
<td>(98.61)</td>
<td>(95.10)</td>
</tr>
<tr>
<td></td>
<td>#Genes</td>
<td>(61.70)</td>
<td>1034</td>
<td>(7)</td>
<td>(21)</td>
</tr>
<tr>
<td>Leukemia #Acc (%)</td>
<td>(94.68)</td>
<td>-</td>
<td>(93.55)</td>
<td>(88.7)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>#Genes</td>
<td>(20.20)</td>
<td>-</td>
<td>(8)</td>
<td>(16.8)</td>
</tr>
<tr>
<td>Colon    #Acc (%)</td>
<td>(100)</td>
<td>100</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>#Genes</td>
<td>(26.10)</td>
<td>431</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
</tbody>
</table>

Note: The result of the best subsets is shown in the shaded cells. It is selected based on the following priority criteria: 1) the highest classification accuracy; 2) the smallest number of selected genes. '-' means that a result is not reported in the previous related work. A result in '(' means an average result.

IBPSO = An improved binary PSO.
PSOGA = A hybrid of PSO and GA.
PSOTS = A hybrid of PSO and tabu search.
GPSO = Geometric PSO.
3.2 Experimental Results

Based on the standard deviation of classification accuracy in Table 3, results that produced by EPSO were consistent on all data sets. Interestingly, all runs have achieved 100% LOOCV accuracy with less than 71 selected genes on the Leukemia and SRBCT the data sets. Moreover, over 91% classification accuracies have been obtained on the Colon data set. This means that EPSO has efficiently selected and produced a near-optimal gene subset from high-dimensional data (gene expression data).

Figure 2 shows that the averages of fitness values of EPSO increase dramatically after a few generations on all the data sets. A high fitness value is obtained by a combination between a high classification rate and a small number (subset) of selected genes. The condition of the proposed particles’ speed that should always be positive real numbers started in the initialization method, the new rule for updating particle’s positions, and the modified sigmoid function provoke the early convergence of EPSO. In contrast, the averages of fitness values of BPSO was no improvement until the last generation due to $r_{ij}^{d}(t) = 1$.

According to the Table 4, overall, it is worthwhile to mention that the classification accuracy of EPSO are superior to BPSO in terms of the best, average, and standard deviation results on all the data sets. Moreover, EPSO also produces a smaller number of genes compared to BPSO. The running times of EPSO are lower than BPSO in all the data sets. EPSO can reduce its running times because of the following reasons:

- EPSO selects the smaller number of genes compared to BPSO;
- The computation of SVM is fast because it uses the small number of features (genes) that selected by EPSO for classification process;
- EPSO only uses the speed of a particle for comparing with $r_{ij}^{d}(t)$, whereas BPSO practices all elements of a particle’s velocity vector for the comparison.

For an objective comparison, we compare our work with previous related works that used PSO-based methods in their proposed methods (Shen et al., 2008; Chuang et al., 2008; Li et al., 2008). It is shown in Table 5. For all the data sets, the averages of classification accuracies of our work were higher than the previous works. Our work also have resulted the smaller averages of the number of selected genes on the data sets compared to the previous works. The latest previous work also came up with the similar LOOCV results (100%) to ours on the Leukemia and SRBCT data sets, but they used many genes (more than 400 genes) to obtain the same results (Chuang et al., 2008). Moreover, they could not have statistically meaningful conclusions because their experimental results were obtained by only one independent run on each data set, and not based on average results. The average results are important since their proposed method is a stochastic approach. Additionally, in their approach, the global best particles’ position is reset to zero position when its fitness values do not change after three successive iterations. Theoretically, their approach is almost impossible to result a near-optimal gene subset from high-dimensional spaces (high-dimension data) because the global best particles’ position should make a new exploration and exploitation for searching the near-optimal solution after its position reset to zero.

Overall, our work has outperformed the previous related works in terms of LOOCV accuracy and the number of selected genes.

According to Fig. 3 and Tables 3-5, EPSO is reliable for gene selection since it has produced the near-optimal solution from gene expression data. This is due to the proposed particles’ speed, the introduced rule, and the modified sigmoid function increase the probability $x_{ij}^{d}(t+1) = 0$. 

![Figure 2: The relation between the average of fitness values (10 runs on average) and the number of generations for EPSO and BPSO.](image-url)
\( P(x_i^d (t+1) = 0) \). This high probability causes the selection of a small number of informative genes and finally produces a near-optimal subset (a small subset of informative genes with high classification accuracy) for cancer classification. The particles’ speed is introduced to provide the rate at which a particle changes its position, whereas the rule is proposed to update particle’s positions. The sigmoid function is modified for increasing the probability of bits in particle’s positions to be zero.

4 CONCLUSIONS

In this paper, EPSO has been proposed for gene selection on three gene expression data sets. Overall, based on the experimental results, the performance of EPSO was superior to BPSO and PSO-based methods that proposed by previous related works in terms of classification accuracy and the number of selected genes. EPSO was excellent because the probability \( x_i^d (t+1) = 0 \) has been increased by the proposed particles’ speed, the introduced rule, and the modified sigmoid function. The particles’ speed, the introduced rule, and the modified function have been proposed in order to yield a near-optimal subset of genes for better cancer classification. EPSO also obtains lower running times because it selects the small number of genes compared to BPSO. For future works, a modified representation of particle’s positions in PSO will be proposed to reduce the number of genes subsets in solution spaces.

REFERENCES


