Database-Driven Concept Management: Lessons Learned from using EJB Technologies

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Abstract. During software maintenance activities one needs tools that assist in concept location and that provide fast access to already identified concepts. Thus, this paper presents an approach that is able to cope with this situation by storing concepts in a database. We demonstrate its applicability on formal Z specifications, where the huge number of concepts to be found emphasizes the use of an efficient database system. The paper closes with lessons learned, as the standard use of EJB-technologies redounds to more time-complexity than expected.

1 Introduction

As developers we are surrounded by complexity. Partly, this is because our applications get more sophisticated. Partly, this is because also our objectives get more and more complex. With it the related design documents explode in size and imply complications. This situation was already reflected by C.A.R. Hoare in the 1980s, who stated that [...] there are two ways of constructing a software design: One way is to make it so simple that there are obviously no deficiencies and the other way is to make it so complicated that there are no obvious deficiencies [1]. Small artifacts are rather exceptions, and locating deficiencies is a major business for a software personnel. The bad news is that fixing deficiencies is impeded by the very mentioned problems of size and complexity.

As scientists we are faced with the challenge to overcome at least parts of these hurdles. First, we have to sustain the understanding of relevant parts of a system and its related maintenance activities. Secondly, we have to ensure that the relevant parts (to be changed) can be located easily. These tasks are supported by software comprehension environments [2–5], reverse engineering frameworks [6–10], and concept location tools [11–13]. They focus i.a. on either data-gathering, exploration and visualization of the code, and assist in knowledge organization. But concept location is not only restricted to programming languages. There are also techniques for formal specifications [14] or rule-based systems [15]. There, the approaches make use of the identification of relationships and the reconstruction of concepts by means of slicing, chunking, and clustering.

Despite these existing tools, concept location is still a laborious task. Over several periods of time often the same or similar concepts have to be reconstructed again and again, which is, additionally, a resource and time-consuming process. Therefore,
this contribution suggests a framework that persistently stores conceptual elements and their dependencies in an SQL database. In 2008, a prototype has been implemented in the course of the master thesis of Pohl [16], and this contribution aims at sharing our experiences with the system and its evaluation.

This paper is structured as follows: Sec. 2 introduces the notion of concepts and their detection, first in general, and then in formal Z specifications. Sec. 3 presents the architecture of the framework and the related database model. Sec. 4 is dedicated to the use of Enterprise Java Beans (EJB). Sec. 5 describes the evaluation steps and lessons learned. Finally, Sec. 6 concludes this contribution with a short summary.

2 Concepts and Concept Location

When trying to understand a system, concepts are generally seen as perceived regularities in events or objects, or records of events or objects, designated by a label [17]. One is looking for related parts and trying to assign a name/meaning to them. Additionally, by aggregation, new (abstract) concepts can be built. The concepts we are looking for are exactly those parts with dependencies within and across artifacts.

Concept Location is rather intuitive. Experienced users manage to navigate quickly around relevant parts but fail in explaining how they are excluding irrelevant parts. When their experience does not suffice, they follow three different strategies which are explained in more detail in [17]: (string) pattern matching, dynamic analysis, and static analysis. The process of concept location is iterative. By starting with a domain-level request, concept candidates are identified and evaluated with respect to their suitability and then they are either rejected or form the basis for the next evaluation step.

In order to demonstrate generality, we decided to focus on artifacts that are at a very high abstraction level and that are inherently complex: formal Z specifications [18]. They seem to be most useful as they are semantically very compact and are of a declarative nature. This implies that dependencies are definitely hard to identify, and the assumption is that other artifacts (like program text) will not complicate the situation.

Concept identification within formal specifications depends on the notion of control and data dependencies between their basic elements (also called primes). Their calculation is impeded by their declarative nature, but (with some limitations) they can be reconstructed. Basically, this is done by regarding scope rules, looking at the primes’ identifiers, and, depending on their use, by assigning definition (D) declaration (T) and use (U) tags to them. Primes that describe an after state (they contain at least one D-tag) are said to be control dependent on primes that do not describe such an after state. When taking a specific identifier within the primes into account, data dependencies can be detected. For an in-depth discussion on dependencies and concepts see [19].

Based on the identified dependencies, the following partial specifications can be defined: specification slices, chunks, and clusters. Slices and chunks are generated by looking at a starting set of primes and by following control and/or data dependencies. Clusters are calculated by taking reachability considerations into account. These specification abstractions are hereinafter treated as concepts that are to be identified via and stored in the database. The following section introduces the architecture of the framework and the related database schema.
Fig. 1. Architecture as implemented by the framework.

3 Architecture

The framework for identifying the different concepts in Z specifications is based on
the architecture as shown in Fig. 1. It is designed to easily cope with different types of
artifacts as the server is divided into three main parts:

1. Artifact Independent Layer: This layer represents the interface to the client and
   the component-logger.
2. Artifact Depended Layer: Depending on the type of the artifact (in our example
   Z specifications) this layer contains the control logic and the corresponding agents.
3. General Database Layer: This layer provides the necessary interface for manipu-
   lating the database.

The framework implements a traditional client-server architecture pattern. The client is
responsible for visualizing the results and for triggering the concept extraction. On the
server side it is designed to handle different types of artifacts. The Artifact-Component
Logger is responsible for the registration of different Artifact-Layers. Depending on the
document to be stored (or accessed), the logger identifies the responsible layer. In our
case (for a Z-Specification) the Specification-Layer is contacted. The artifact layers are
responsible for implementing the necessary concept location functionality. Complex or
time-consuming tasks (e.g. the dependency calculation) can be delegated to Agents that
are synchronized by the Artifact Agent Scheduler.

Whenever a document is stored, different analysis tasks will have to be started to
extract concepts, and the findings will have to be stored in the database again. In our
prototypical implementation, every agent is responsible for one specific concept class.
E.g. the Dependency Agent extracts data and control dependencies. The Cluster Agent is
then used to calculate all possible clusters within one document. As clusters are created
by looking at strongly-connected parts, this agent is scheduled not until the Dependency
Agent has finished its calculation. A more detailed description of the agents is given in Sec. 3.2.

3.1 Database

The database schema (see Fig. 4 in the Appendix) can be divided into four parts: the Management/Project Pane, the Representation Pane, the Concept Pane, and the View Pane. Concept location can be treated as a multi-dimensional problem, and the dimensions, explained in more detail in [16], are just mapped to the corresponding parts in the schema. They are described hereinafter shortly:

During development and maintenance it is common to deal with different types and versions of documents, and the Management/Project Pane covers this functionality. Therewith, it is possible to store different Artifacts of the software engineering process. They are related to a specific Project and Phase (within they are generated).

An artifact consists of different SyntaxElements. As introduced above, in Z we call those elements primes. They form basic concepts of the artifact and are stored in the (Syntactic) Representation Pane of the database. The underlying structure of the document (and respectively of the elements) can be any simple or complex graph and is expressed by a circular n-to-m relationship. For future processing steps it is possible to annotate those elements. One type of annotation is the use of identifiers (e.g. T, D, or U) within primes (as mentioned earlier in Sec. 2).

Based on these annotations, concepts are extracted. They are handled within the Model Concept Pane. As there are different types of Concepts (e.g. dependencies, slices, cluster, and chunks) and as concepts can form hierarchies, again an n-to-m recursive relationship has been chosen to allow for greater flexibility. Additionally, for every concept, it is possible to store ConceptMetaData information.

Finally, different Views onto artifacts might exist. Those views cluster concepts of the same type together (e.g. all control dependencies of the document). Views are represented within the (Concept) View Representation Pane. The set of all views can be seen as a semantic snapshot of the whole document.

As mentioned above, every class of concepts is identified by an associated agent which is also responsible for storing the concepts in their corresponding views. The strength of the approach is its flexibility based on the use of a relational database. Agents just commit SQL queries to aggregate and store the necessary information. They are described in the following section.

3.2 Agents

Most of the work is done by agents. They are running independently from the client on the server side and interact with the database. It is possible to extend the framework by additional agents. The agents currently implemented in the prototype are: the Scope Agent, the Dependency Agent, the Distance and Cluster Agent, the Slice Agent, and the Chunk Agent.

Data and control dependencies between primes can only be detected when the scope (where the elements are involved) is clear. Thus, first the scope has to be extracted from the syntactical structure of the artifact.
In our system the **Scope Agent** is responsible for that. In fact, what we informally call “scope” has three facets in Z: the **State Scope** which deals with schema and schema inclusions within a specification document, the **Connectivity Scope** which merges all primes of two or more schemata that are combined via schema operations, and the **Declaration Scope** that merges all primes that are necessary to keep it syntactically correct\(^1\).

When the scope is fixed, the **Dependency Agent** is started and identifies control and data dependencies. Both, dependencies and scopes are stored as concepts within the database. An example of the **State Scope** can be found in Fig. 2 (out of the Birthday-Book specification of [18]). Due to schema inclusion, the primes of the "BB" state space are combined with the primes of the "Add" operation schema.

The next two agents are the **Distance** and **Cluster Agents**. For clustering formal Z specifications, distances between primes (across dependency paths) are taken. So the first agent calculates the distances between the primes in the specification. The second agent then calculates all potential clusters within one artifact.

Common abstractions with a clearly defined meaning are slices and chunks. The next two agents, the **Slice and Chunk Agent**, are responsible for extracting them. They look at every prime, take them as slicing/chunking criterion and, by following control and/or data dependencies, they calculate these forms of abstraction.

### 3.3 Dependency Agent

The **Dependency Agent** is described hereinafter in more details as its functionality demonstrates the ease of working with the database. Based on pre-identified scopes (that are already stored as concepts in the database), it is possible to calculate dependencies between syntactical elements.

To identify control dependencies within Z, some approximations can be conducted [14]: a syntactical element is control dependent upon another one, iff there is another element that decides whether the prime is evaluated or not. By utilizing the *use*-annotations (U) it is possible to identify these dependencies with ease. The calculation can be done by small queries (demonstrating the elegance of the approach). The queries (1) and (2) above calculate the start and the end positions of the control dependency arcs\(^2\).

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\(^1\) The different types of scope are explained in more details in [16].

\(^2\) The `act` identifier holds the scope for which the current calculation is to be performed.
The dependency agent takes all results of the first query and connects them with the resulting elements of the second query. The same is done for data dependencies. Additionally, the identified pairs of dependencies are stored as concepts within the database. For the Add-Operation the resulting dependencies are shown in Fig. 2.

As the framework is assumed to be extend, maintainability was an important requirement during development. The choice dropped onto the EJB-Technology. The evaluation of the prototype also reflects on EJB internals, so the following section discusses the most important issues. More information about EJB can be found in [20].

4 EJB and Implementation Details

EJB 3.0 (Enterprise Java Beans) is a server-side middleware architecture of Sun Microsystems. The reason for choosing this technology was the non-functional requirement maintenance we wanted to guarantee, and EJB facilitates this separation between the application and the database logic. Additionally, it offers bean-objects to handle data easily and to map the relational data format to the object oriented paradigm respectively. This fact could be understood as an abstraction of the relational database schema in an object oriented presentation.

The EJB technology is implemented via corresponding Java classes on the server which run in an EJB-Container. For the implementation of various functionalities different types of beans are provided. Special beans are needed for the connection to the database, the so-called Entity Beans. One object of an Entity Bean class holds one row of the appropriate table. Thus beans are the results of the object-oriented mapping provided by this technology.

The concept management framework is realized via Java 1.6, EJB 3.0 and the Open-Source database system MySql. For the server side implementation the application server Glassfish\(^3\) from Sun was used. The development environment was NetBeans IDE 6.0.1. The ORM (Object-Rational-Mapping) is provided by the TopLink persistence provider, developed by Oracle.

The framework implements the architecture as described in Sec. 3. The client is a stand-alone remote client and thus not executed in an EJB-Container. The server

\(^3\) For further information about Glassfish see: https://glassfish.dev.java.net/, Last visit: Feb. 2009.
is implemented via EJB. The interface to the client (Artifact Component Logger) is represented by a stateless session bean. The different artifact dependent layers (as the Specification Layer) are also implemented as stateless session beans. Thereby, it is possible to serve more than one client at a time. This layer is also responsible to start the Agent Scheduler. The Agent Scheduler for Z specifications is a traditional Java class, which consults the agents as needed. The Agents are also traditional Java classes. For the persistency of the identified concept they get the entity manager from the current session. The interface to the database is formed by entity beans which map the database relations to the object oriented classes. Thus, these beans are contained as part in the General DB-Layer.

5 Evaluation

The evaluation of the framework was carried out in two steps. First, the correctness of the identified concepts were checked, and, secondly, the usefulness in respect to performance explored. In fact, both steps also hearken back to results of an existing framework called ViZ (for Visualization of formal Z specifications [19]). ViZ maps Z specifications to a graph (primes become vertices, dependencies are stored as arcs) and calculates dependencies based on reachability considerations.

5.1 Setting and Correctness

The first step was the validation of the concepts that have been identified by the agents and stored in the database. The evaluation is based on wide-s pread specifications of raising sizes, known as Birthday Book [18], Petrol Station [14], and Elevator [21]. Additionally, a student’s specification (called Cinema) was added to the set, too. Tab. 1 (left side) presents the complexities of the specifications by exemplifying the number of pages (when pretty-printed), primes, control- (CD), and data dependencies (DD).

An in-depth description of the proof of correctness is out of the scope of this contribution. However, by exporting the results to a structured file it was possible to compare them with concepts described in literature and identified by the ViZ framework⁴. As every dependency and concept has been detected correctly, we were also eager to see whether the framework scales and improves operating speed.

Table 1. Complexity attributes and calculation time (in seconds) for experimental subjects.

<table>
<thead>
<tr>
<th>Specification</th>
<th>Pages A4</th>
<th>Primes</th>
<th>CD</th>
<th>DD</th>
<th>ViZ(s)</th>
<th>EJB-A [s]</th>
<th>EJB-B [s]</th>
</tr>
</thead>
<tbody>
<tr>
<td>BB</td>
<td>2</td>
<td>34</td>
<td>10</td>
<td>5</td>
<td>4.6</td>
<td>7.0</td>
<td>6.5</td>
</tr>
<tr>
<td>Cinema</td>
<td>4</td>
<td>74</td>
<td>121</td>
<td>43</td>
<td>75.3</td>
<td>43.2</td>
<td>30.7</td>
</tr>
<tr>
<td>Petrol</td>
<td>3</td>
<td>65</td>
<td>192</td>
<td>177</td>
<td>152.9</td>
<td>51.9</td>
<td>38.7</td>
</tr>
<tr>
<td>Elevator</td>
<td>6</td>
<td>185</td>
<td>1,628</td>
<td>992</td>
<td>1,223.4</td>
<td>709.3</td>
<td>502.7</td>
</tr>
</tbody>
</table>

⁴ See [19] for more details on the meaning of specification clusters, slices, and chunks.
Table 2. Complexity, described by the number of data (DD) and control dependencies (CD).

<table>
<thead>
<tr>
<th></th>
<th>incl. overhead</th>
<th>no overhead</th>
<th>diff (in %)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DD</td>
<td>139.2</td>
<td>93.3</td>
<td>-32.97</td>
</tr>
<tr>
<td>CD</td>
<td>343.6</td>
<td>232.5</td>
<td>-32.33</td>
</tr>
</tbody>
</table>

Table 3. Comparison of JDBC and EJB access to the database.

<table>
<thead>
<tr>
<th>Runs</th>
<th>JDBC [ms]</th>
<th>EJB [ms]</th>
<th>Factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>781</td>
<td>5,158</td>
<td>6.60</td>
</tr>
<tr>
<td>1000</td>
<td>7,784</td>
<td>51,767</td>
<td>6.65</td>
</tr>
<tr>
<td>10000</td>
<td>88,463</td>
<td>526,956</td>
<td>5.96</td>
</tr>
</tbody>
</table>

5.2 Performance Considerations

The ViZ framework provides additional features (such as browsing the specification graphically), but the calculation of dependencies (and thereinafter slices or chunks) is time-consuming. Tab. 1 (right side) presents the time needed to calculate all dependencies, for the ViZ environment and the new framework (for two different settings, called EJB-A and EJB-B). For our approach we wanted to see whether there are some improvements or not. So, the performance was explored thoroughly.

The reason for two settings was the inexplicable performance lack when working with specifications of raising sizes. The experiences we gained are described hereinafter. As most studies focus on the throughput of the system by varying the amount of clients served by the EJB application [22, 23], this chapter approach the subject from a different angle (performance lacks due to database access of one client).

The performance of the system varied depending on the size of the specification, which was expected. Complexity considerations showed that the runtime complexity is in $O(cs + 2n_s)$. Tab. 1 (right side) summarizes the time needed for the identification/storage of all primes and dependencies. The most complex artifact is the Elevator specification, and after about 10 minutes it was analyzed and stored persistently for later use. On the same setting this is about two times faster than done by ViZ [14]. But we were eager to know why it took five minutes to store a bit more than 2600 data-sets.

We investigated further into this issue and made two important observations:

- Too much time is lost due to the EJB’s synchronization between the database and Java’s internal objects.
- There is very high execution time latency between EJB queries and their corresponding JDBC queries.

The measured times vary due to the different complexities of the specifications. But, performance is lost due to the overhead of the relational and object-oriented mapping. EJB can be seen as an additional layer between the DB and the implemented business logic. Every synchronization contributes to an increase in processing time. To get unique identifiers for objects (we used auto_increment ID), one has to flush/synchronize the objects with those in the database. That this flush is costly was clear, but we

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5 We used the same measurement settings: Intel(R) CPU T2600 2.16GHz, 1GB RAM, Windows XP and ServicePack 2

6 Here, $cs$ is the number of different scopes, and $n_s$ is the number of prime elements.

7 There are 7,057 entries within the combines relation of the database: 1,984 data dependency, 3,256 control dependency and 1,817 scope information (see Fig. 4).
wanted to know how much time is lost. We used the Elevator specification to measure it, and found out that the overhead is about one-third of the time (see Tab. 2).

The second issue we were curious about was the difference between EJB and JDBC when accessing the database. And indeed, we found a big time latency between EJB and JDBC queries. Not surprising, JDBC was faster, but the differences were notable (see Tab. 3). To measure it, we implemented the same requests with the EJB query language and with JDBC statements\(^8\).\(^9\). Then, both requests were issued up to 1000 times\(^10\). We found out that JDBC scales with the factor of about six times better than EJB.

So, although EJB (with entity beans and annotations within the entity bean classes) produces a more readable code, performance decreases when one has to store many objects per transaction which are, then, needed in ongoing processing steps. In our framework this is the case when we have to store an object and need the unique ID for storing the intermediate relation between those objects. The flushing/synchronizing mechanism is the only but very expensive way for getting it.

Additionally, the performance evaluation was accomplished with updated measurement characteristics\(^11\). This shows that upgrading the system is one and often the easiest way to achieve better performance results of EJB application. Tuning the operating system and platform is one factor suggested by Sun [24, p.95]. The evaluation showed that with improved CPU power and additional working memory the performance of EJB yields better results, as shown in Fig. 3 and Tab. 1 (settings A and B). However, independently from the setting, the speed-up when accessing the database (see Tab. 4) stays within the range of 5 to 7. Also the influence of the overhead remains constant (see Tab. 5) at about 30-40%.

An evaluation of an earlier draft of the EJB specification from Jordan [25] shows also significant performance differences between JDBC and EJB. As JDBC has to deal less with object oriented abstractions, it performs well with high database access rates. Another way to get higher performance is to de-normalize the database schema [26]. Un-

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\(^8\) The query tested for two equal identifiers at different primes and joined two times over the `SyntaxElement`, the `CombinationType` entities, and the `combines` and `eml\_annotates\_SE` relation. The database contained 2620 entries in the `combines` relation.

\(^9\) This evaluation was performed with 150 entries within the `combines` relation and 73 syntactical elements (see Fig. 4).

\(^10\) Database internal optimizations, like cashes were, of course, disabled.

\(^11\) Measurement settings: Intel(R) Core(TM)2 CPU, T7200 @ 2.00GHz, 2 GB RAM
doubtedly, EJB yields advantages like transaction management, security mechanisms, and scalability. It offers a comfortable way in implementing things. But this luxury does not come for free.

Table 4. Comparison of JDBC and EJB access to the database based on second settings.

<table>
<thead>
<tr>
<th>Runs</th>
<th>JDBC [ms]</th>
<th>EJB [ms]</th>
<th>Factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>625</td>
<td>4,391</td>
<td>7.03</td>
</tr>
<tr>
<td>1000</td>
<td>6,315</td>
<td>43,485</td>
<td>6.89</td>
</tr>
<tr>
<td>10000</td>
<td>62,462</td>
<td>423,670</td>
<td>6.78</td>
</tr>
</tbody>
</table>

Table 5. Time (concept manifestation w/o sync overhead) of setting two.

<table>
<thead>
<tr>
<th></th>
<th>incl. overhead in [s]</th>
<th>no overhead in [s]</th>
<th>diff (in %)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DD</td>
<td>92.20</td>
<td>56.63</td>
<td>-38.58</td>
</tr>
<tr>
<td>CD</td>
<td>234.53</td>
<td>158.19</td>
<td>-32.55</td>
</tr>
</tbody>
</table>

6 Conclusions

Concept location is a challenging task which also holds for the identification of concepts within formal Z specifications. Once detected, they should be stored for future use to save time when analyzing the artifacts again. For this reason a framework was implemented that is able to identify and store concepts in a database. For its implementation the middleware technology EJB was utilized.

This paper introduces the architecture and evaluates the resulting framework. The evaluation shows that it produces correct and useful results. However, the performance of the framework was strongly influenced by EJB. We found out that the most important latency is due to the synchronization process between a bean objects and the database. The comparison between JDBC and EJB shows a high factor of performance loss. JDBC scales about six times better than EJB in terms of runtime. Additionally, EJB implements an intermediate layer and, therefore, runs into performance latencies.

In a setting similar to our framework the evaluation shows that the use of EJB technologies is less suitable. EJB brings several maintenance advantages, but one has to expect a performance loss that should not be neglected.

References

Fig. 4. The four different panes of the database model.