VISUAL ABSTRACT NOTATION FOR GUI MODELLING AND TESTING

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Abstract: This paper presents a new Visual Notation for GUI Modelling and testing (VAN4GUIM) which aims to hide, as much as possible, formalism details inherent to models used in model-based testing (MBT) approaches and to promote the use of MBT in industrial environments providing a visual front-end for modelling which is more attractive to testers than textual notation. This visual notation is developed as five different UML profiles and based on three notations/concepts: Canonical Abstract Prototyping notation; ConcurTaskTrees (CTT) notation; and the Window Manager concept. A set of translation rules was defined in order to automatically perform conversion from VAN4GUIM to Spec#. GUI models are developed with VAN4GUIM notation then translated automatically to Spec# that can be then completed manually with additional behaviour not included in the visual model. As soon as a Spec# model is completed, it can be used as input to Spec Explorer (model-based testing tool) which generates test cases and executes those tests automatically.

1 INTRODUCTION

GUI testing is an area of increasing importance, where the tests are performed from the end users point of view. Software companies have the best of interests on finding defects on their products before their costumers' do, not only to meet user demands and therefore increase confidence in relation to their software, but also to induce correctness and commitment with them. For these reasons, GUI testing is extremely necessary. It is particularly time consuming, labour-intensive, expensive and difficult. Presently used GUI testing methods are almost ad hoc and require test engineers to manually develop the necessary scripting to perform test execution, and though evaluate if the GUI is effectively tested. However, there are some tools that can help improving GUI testing process. Some of these tools exploit a broadly accepted method that generates GUI test scripts which relies on the capture/playback technique. Such technique requires testers to perform labour-intensive interaction with the GUI via mouse events and keystrokes. During interaction user events are recorded into scripts which and can be automatically played later for GUI testing. However, when different inputs are required to conduct the test or even if the GUI changes, it is then required to re-generate the test scripts. In addition, it is hard to cover all possible test cases for all GUI components and capture/playback method often records redundant data (Utting and Legeard, 2007).

The use of a model to describe the behaviour of a system is an established and key advantage regarding testing. Models can be used in numerous ways, for instance, to improve quality of software documentation, code generation and test case generation. Model-based testing represents the automation of the design of black-box tests. The usage of a model to describe the behaviour of a GUI in combination with an automated test tool to generate test cases, execute those tests and report
errors found, can dramatically reduce the time required meant for testing software.

In recent times, model-based testing has been receiving attention due to the potential to automate test generation and increasing model driven software engineering practices. Nevertheless, the usage of uncommon modelling notations, the lack of integrated tool environments and support, the difficulties inherent to the constructions of models, the test case explosion problem, the gap between the model and the implementation, remain as obstacles regarding the adoption of model-based GUI testing approaches. In addition, the models used are often textual models and usually testers and modellers prefer working with visual/graphical notations.

The goal of this research work is to:
- Develop a visual modelling front-end hiding as much as possible the formal details from modellers and testers.
- Define a set of rules to translate the visual notation into Spec# (Barnett et al., 2005) (an extension of C# with contracts).
- Develop a tool to automate the translation from visual model to Spec# and ensure consistency between both models.

2 STATE OF THE ART

In current times, GUIs play an important role in most of software systems, as they represent the fore-front of systems. UML is a natural candidate for GUI modelling since it represents a standard notation for object-oriented modelling of applications. GUIs can be decomposed in two main groups: a dynamic or behaviour group and a static or layout group (Blankenhorn and Walter, 2004). While the dynamic group can be modelled using existing UML diagrams and elements, GUI layout cannot, due to the fact that all existing UML diagrams are not layout-aware. In addition, it is not clear and simple to identify how UI elements, such as user tasks and display, are supported by UML. As such, it is necessary to make use of UML extension mechanisms, like constraints, tagged values, and stereotypes, in order to provide more flexibility to the existing UML notation. With these extension mechanisms it becomes possible to style several UML profiles for GUI modelling.

2.1 UML Profile for GUI Layout

Several researchers have recognized the lack of support for layout information in UML and thus have taken different approaches. Kai Blankenhorn and Wilhelm Walter (Blankenhorn, 2004) have developed an UML Profile for GUI Layout, which is a UML 2.0 profile that uses Diagram Interchange to store layout information while staying fully conform to standards. The diagram-interchange specification originates XMI from the XML metadata interchange format, which is used for storing information about the elements of a UML diagram. The profile’s meta-model makes use of stereotyped classes that are linked by constrained associations, taking benefit from UML 2.0 extension mechanisms. In order to improve the usefulness of the graphical language and to transfer the general look of designer sketches to models, the authors have developed a set of stereotype icons. They claim that their approach yields benefits for those involved in the design process of GUIs. Designers are their main audience. The profile is best suited for creating an initial model of the layout and navigational concept of the application. However it does not model the behaviour of the GUI.

2.2 UMLi

The UMLi notation (Silva and Paton, 2000) aims to be a light-weight extension to the UML notation with the purpose to provide greater support for UI design, becoming possible to model both behaviour and structure of a system. However, modelling the behaviour of a system via UMLi is not indeed straightforward due to its complexity. UMLi notation has been influenced by model-based user interface development environment (MB-UIDE) technology. In addition, the authors of UMLi believe that the MB-UIDE technology offers many insights into the abstract description of user interfaces that can be adapted for use with the UML technology, such as techniques for specifying static and dynamic aspects of user interfaces using declarative models. The notation defines three distinct types of models: presentation model, domain model and behaviour model. The presentation model represents the visual part of the user interfaces that can be modelled using object diagrams composed of interaction objects. Domain models specify classes and objects that represent the system entities, the domain elements. Behaviour models describe object collaboration and common interaction behaviour, such as tasks, actions and events.

2.3 Wisdom Profile

The Wisdom Profile is proposed by Nunes and Cunha (Nunes and Cunha, 2000), for the
documentation, specification and design of interactive systems. They propose a minimal set of extensions for a UML profile for interactive systems development taking advantages of human-computer interaction domain knowledge under the notation and semantics of the UML. The Wisdom approach suggests two important models: the analysis model and the interaction model. The latter includes the information, dialogue and presentation dimensions, mapping the conceptual architectural models for interactive systems, while maintaining the desired separation of concerns. The analysis model encompasses the UML profile architecture and shared information. During the design phase, the interaction model embraces two other models: the dialogue model and the presentation model. The former specifies the dialogue structure of the application, using an UML based approach of the ConcurTaskTrees (CTT) notation. The latter defines the physical realization of the interactive system, centring on the structure of the different presentation entities in order to realize the physical interaction with the user. The authors propose a set of UML extensions to support the design model.

2.4 usiXML

usiXML (User Interface eXtensible Markup Language) is a XML-compliant markup language that describes the User Interface (UI) for multiple contexts of use such as Character User Interfaces (CUIs), Graphical User Interfaces (GUIs) and Multimodal User Interfaces (Vanderdonckt et al., 2004). With usiXML it becomes possible to specify a user interface at different levels of abstraction while maintaining the mappings between those levels, whenever required. This notation is based on five main concepts: expressiveness of UI (depends on the context of use), central storage of models, transformational approach (each model may be subject to several transformations supporting various development keys), multiple development paths, and flexible development approaches (top-down, bottom-up, wide-spreading). The main audience for usiXML are analysts, modellers, designers, and others.

2.5 Canonical Abstract Components

The concept of abstract user interface prototypes offers designers a form of representation for specifying and exploring visual and interaction design ideas that are between abstract task models and realistic or representational prototypes. They represent an intermediate form that can speed the user interface design process and improve the quality of the result. As abstractions, they can serve as an intermediate bridge between task models and realistic designs, smoothing, simplifying, and systematizing the design process. Canonical Abstract Prototypes (CAP) are an extension to usage-centred design which provides a formal vocabulary for expressing visual and interaction designs without concern with details of appearance and behaviour. CAPs embody a model specifically created to support a smooth progression from abstraction toward realization in user interface design. Each Canonical Abstract Component is comprised by a symbolic graphical identifier and a descriptive name. The graphical symbols aim to serve as learned shorthand for the various functions available. The notation is quite simple, since it is built on two basic symbols: a generic tool or action and a generic material or container. Materials are the containers, content, information or data. Tools are the actions, operators, mechanisms, or controls that can be used to create, manipulate, transform or operate upon materials. The combination of a container and an action form a generic hybrid component.

2.6 ConcurTaskTrees

The ConcurTaskTrees (CTT) is one of the most widely used notations for task modelling, specifically tailored for UI model-based design. This notation has been developed taking into account the previous experience in task modelling and adding new features to better obtain an easy-to-use powerful notation, to describe the dialogue in interactive systems. In fact, CTT provides the concept of hierarchical structure, exposing a wide range of granularity allowing large and small structures to be reused and, enables reusable task structures to be defined at both low and high semantic level. CTT introduces a rich set of graphical temporal operators, with a higher expressiveness than those offered by concurrent notations. In a model-based GUI testing approach, task models can be used to define the behaviour of user interfaces (Silva et al., 2007).

2.7 Spec#

The Spec# programming system represents an attempt to develop a more cost effective way to maintain software in high standards, and has been developed at Microsoft Research lab, in Redmond, USA. The Spec# system consists of three
components: the Spec# programming language, the Spec# compiler, and the Spec# static program verifier (Barnett et al., 2005). The Spec# programming language extends the existing object-oriented .NET programming language C# and expands the type system to include non-null types and checked exceptions. It also provides method contracts in the form of pre- and post-conditions, and also invariants. Since all of the specifications written in Spec# may be executable, it is possible to specify invariants, pre- and post-conditions, and executable method bodies in a high-level action language, with primitives to change the value of state variables, and even call external methods defined in .NET assemblies. Spec# provides the ability to build a formal specification of an interactive application, describing the actions that a user may perform when interacting with the system, in the terms of changes to the state of the application. Using Spec#, one can build a formal specification of an interactive application, describing the actions a user can perform at each moment, and the expected effect of each user action, in terms of changes to the state of the application (according to a model of the application state as perceived by the user) and possible effects to the environment (Paiva, 2007). The effect of user actions may depend not only on the current state of the application, but also on environment conditions. The state of the application is described by means of state variables.

The GUI Spec# models can be used as input to Spec Explorer (Campbell et al., 2005) (model-based testing tool) which generates test cases and executes those tests automatically.

3 VAN4GUIM OVERVIEW

The VAN4GUIM (Visual Abstract Notation for GUI Modelling) was developed based on UML extension mechanisms, UML Profiles. An UML Profile can be useful for building UML models for particular domains. They are based on stereotypes and tagged values that are applied to elements, attributes, methods, links, and link ends. Those extensions together with added restrictions define UML meta-models that can be used to construct models for such particular domains.

The VAN4GUIM UML Profiles are based on three notations/concepts:

- **Canonical Abstract Prototyping** which is a notation introduced by Larry Constantine (Constantine, 2003). The prototypes are an extension to usage-centred design that provide a formal vocabulary for expressing visual and interaction designs without concern for details of shape and behaviour.

- A commonly accepted and widely applied notation, **ConcurTaskTrees** (CTT) (Paternò et al., 1997), which initial goal was to support designers of interactive systems. The CTT is a notation for task modelling being able to graphically represent a hierarchical structure, with a set of temporal operators capable of describing concurrent behaviour.

- **Window Manager** Concept is useful to describe the common behaviour of windows showing up and disappearing during the execution of a window application.

The Canonical Abstract Prototyping notation was extended with behaviour (state, properties with associated set and get methods (Figure 1), methods and restrictions such as pre- and post-conditions) and the CTT notation was extended with restrictions over the operators which define how to use them correctly (from the VAN4GUIM point of view).

VAN4GUIM is composed of five different UML Profiles:

- **Containers** – Is a subset of Canonical Abstract Components which act as holders of user interface objects (generically called **DataStores**). A **Container** extends **DataStore** and can hold an object (**Element**) or a set of objects (**Collection**) (Figure 1).

- **User Actions** – Is a subset of Canonical Abstract Components which represent tools (actions, operators, mechanisms, or controls) that can be applied upon containers (generically called **InteractionFunctions**). An **Action** extends **InteractionFunction** and can

![Figure 1: Containers UML Profile.](image-url)
model several different user actions, such as, *Modify*, which updates an *Editable* container, and *Move*, which moves an object from a source to a target object (Figure 2). *Move* has additional restrictions to model behaviour, such as, its parameters cannot be null (represented by an exclamation mark "!") at the of the parameter's type) and a pre-condition stating that the source should be different from the target (source != target).

![User Actions UML Profile](image1)

**Figure 2: User Actions UML Profile.**

- **Hybrids** – Are combinations of *DataStores* and *InteractionFunction* (Figure 3). They are used to model user actions that take place over specific containers. For instance, a *SelectableCollection* is the combination between a *Collection Container* and a *Select Action* (Figure 2) which is *per se* a restriction on its behaviour.

![Hybrids UML Profile](image2)

**Figure 3: Hybrids UML Profile.**

- **CTT Connectors** – Is based on CTT notation and describes relationships between two elements of the VAN4GUIM (Figure 4). E.g., the *EnablingWithInfoExchange* connector transfers information between its source and its target and, at the same time, sets the enabled property of the target to true. This is described by the following post-condition source.enabled == true.

![CTT Connectors UML Profile](image3)

**Figure 4: CTT Connectors UML Profile.**

- **Window Manager** – To describe the window's behaviour (Figure 5).

![Window Manager UML Profile](image4)

**Figure 5: Window Manager UML Profile.**

VAN4GUIM profiles extend UML state machines by allowing states to represent, for instance, user actions and transitions to represent restrictions between the executions of two user actions (e.g., concurrent transitions mean that the two states linked by these transitions may be executed in any order). The behaviour added to the VAN4GUIM notations is taken into account by the translation process to Spec#. 
4 GUI MODEL

The GUI model constructed in VAN4GUIM is a state machine diagram in which states can be instances of any element within Containers, User Actions, Hybrids and Window Manager Profiles. Transitions between states are elements within CTT Connectors Profile.

A GUI model constructed in VAN4GUIM notation will have, at least, two levels:

- **A Navigation map diagram** – this diagram shows the set windows of the GUI and the possible transitions between them which represent the possible actions the users can perform to open/close a specific window of the GUI.

- **Behaviour of each Window** – this diagram describes the behaviour of each window, e.g., the containers and the set of actions the user can perform and the relationship between elements of the diagram. At this level of abstraction, it is possible to have *AckMsgBox* and *QueryMsgBox* but it is not possible to have other kind of windows from the Window Manager Profile.

However, situations may occur where more than two model levels can be useful. It is responsibility of the modeller to decide how many levels the GUI model should have.

5 VISUAL TO TEXTUAL TRANSLATION RULES

GUI models constructed based on VAN4GUIM are translated to Spec# textual notation according to some rules that are presented next. The behaviour within the GUI model and GUI Profiles are taken into account.

5.1 Simple Transition

\[
\text{[Action]} \ m(\text{params}) \\
\text{requires} \ \text{cond1}(\text{svars}) && \text{Pre}(\text{svars, params}); \\
\text{ensures} \ \text{Post}(\text{svars, params, results}) && \text{cond2}(\text{svars}) \ {\text{TODO}}
\]

\(S1\) and \(S2\) represent an instance of a stereotype available from any of the Profiles defined.

In order to simplify the state machines and expressions, from now on, it is assumed that \(S1\) is a condition over state variables in state \(i\); whenever states represent windows, \(Ni\) is the name of the window \(i\) and \([P1]\ m_i/[P1']\) are transitions between states in which \([P1]\\) is a pre-condition over state variables and parameters, \(m_i\) is a function with (omitted) parameters and \([P1']\\) is a post-condition over state variables, parameters and result of the executed function.

5.2 Transition to a Composite State

\[
\text{namespace} \ N1; \\
\text{using} \ \text{WindowManager}; \\
\text{[Action]} \ m1 \ \text{requires} \ S1 && [P1]; \\
\text{ensures} \ [P1'] && S2 && S3; \ {\text{TODO}}
\]

\(S1\) and \(S2\) are instances of the *windowInf* stereotype; \(S3\) can be any instance of any stereotype of any profile (except a *windowInf*).

5.3 Transition to a Composite State with Two or More Possible Initial States

\[
\text{namespace} \ N1; \\
\text{using} \ \text{WindowManager}; \\
\text{[Action]} \ m1 \ \text{requires} \ S1 && [P1]; \\
\text{ensures} \ [P1'] && S2 && S3 && S4; \ {\text{TODO}}
\]

\[
\text{namespace} \ N2; \\
\text{using} \ \text{WindowManager}; \\
\text{[Action]} \ m4 \ \text{requires} \ S4 && [P4]; \\
\text{ensures} \ [P4'] && S5 \ {\text{TODO}}
\]

\(S1\) and \(S2\) represent instances of *windowInf* stereotype; \(S3, S4\) and \(S5\) can be instances of any stereotype of any profile (except *windowInf*).

This rule can be generalized to any number \(n\) of initial states inside \(S2\). In this case, the post-condition of \(mI\) should be
5.4 Transition to a Acknowledge Message State

\[ \text{Action} \] m1
requires \( S_1 \) \&\& \( \text{P1} \);
ensures \( \text{P1}' \) \&\& \( S_2 \) \&\&
IsOpen(N2);
\{ AddWindow(S2,(true,S1)); \}
\}
\[ \text{Action} \] Ok
requires IsOpen(N2);
ensures \( \text{P1}' \) \&\& !IsOpen(N2); \{ RemoveWindow(N2);
//TODO \}

\( S_1 \) represent an instance of any stereotype of any profile; \( S_2 \) is an instance of the stereotype AckMsgBox (modal window).

5.5 Transition to an Alert Message State

\[ \text{Action} \] m1
requires \( S_1 \) \&\& \( \text{P1} \);
ensures \( \text{P1}' \) \&\& \( S_2 \) \&\&
IsOpen(N2);
\{ AddWindow(N2,(true,S1)); \}
\}
\[ \text{Action} \] ChooseOp(string op)
requires IsOpen(N2) \&\& \( S_2 \);
\{ //TODO \}

\( S_1 \) is an instance of any stereotype of any profile (except windowInf). \( S_2 \) is an instance of the stereotype QueryMsgBox (modal window).

States inside composite states can be again composite states, in which case translation rules 5.2 and 5.3 may be applied, or single states in which case any other translation rule different from 5.2 and 5.3 may be applied.

A GUI model is finite, it cannot have infinite composite states inside composite states, so the translation process is also finite.

6 CASE STUDY

The Microsoft Notepad text editor is used to illustrate the approach.

Tagged values are translated to instance variables (e.g., fileName, and text in Notepad window).

The Spec# specification generated automatically from the diagram in Figure 6 is listed below.

\begin{verbatim}
namespace Notepad;
using WindowManager;
//state variables
string fileName = "";
string text = "";
// Actions
[Action] public void Find_Cancel_Notepad()
requires IsEnabled("Find");
ensures !IsOpen("Find");
{ //TODO }
[Action] public void Notepad_Find_Find()
requires IsEnabled("Notepad") \&\& text != ""
\&\& !IsOpen("Replace");
ensures Find.findWhat == findWhat \&\&
IsEnabled("Find");
{ //TODO }
\end{verbatim}
Part of the Spec# specification generated automatically from the diagram in Figure 7 is listed below.

```csharp
namespace Find;
using WindowManager;

// state variables
private boolean directionState = false;
private string findWhat = null;
private boolean matchCaseState = false;

// Properties
public string DirectionState {
    [Action(kind=Probe)] get
    requires isEnabled("Find");
    { return directionState; }
    [Action] set
    requires isEnabled("Find");
    { directionState = value; }
}
// similar properties for FindWhat and MatchCase states

// Actions
[Action] public void
FindNext(object obj)
requires isEnabled("Find") && findWhat!= ""
ensures !myNotepad.FindWord(findWhat,
matchCase, direction) => isEnabled("CannotFind");
{ AddWindow("CannotFind", "Find", true); }

[Action] public void
CannotFindAckMsgBox()
requires isEnabled("CannotFind");
ensures !isOpen("CannotFind");
{ Ack(); //TODO
RemoveWindow("CannotFind"); }
```

7 CONCLUSIONS

This paper has presented a new visual modelling language for GUI modelling called VAN4GUIM. It extends previous notations found in the literature, namely Canonical Abstract Components and CTT, by defining five different UML Profiles. The elements within those profiles may have attributes, properties, restrictions (invariants, pre- and post-conditions) and operations which are taken into account when translating VAN4GUIM into Spec#.

It is our strong belief that such a notation will increase the acceptance of Model-Based GUI testing in industry since it is more pleasant and based on the widely used and known UML modelling language.

The VAN4GUIM together with the automatic translations mechanism provides savings in the time spent with the modelling activity around 40% when compared with the GUI modelling directly in Spec#.