AN INCREMENTAL APPROACH TO SOFTWARE REENGINEERING BASED ON OBJECT-DATA MAPPING

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Abstract: We address the problem of reengineering legacy systems towards adoption of current predominant technologies, i.e. object-oriented (OO) programming and relational databases (RDB).

To smooth the reengineering process we follow an evolutionary approach based on the construction of a mapping layer decoupling application logic from persistent data, so that application reengineering and data reengineering are made independent and carried out incrementally. The mapping layer does not impose any particular environment, container or whatsoever. Therefore, program development can be carried out based on well established OO design principles.

In reimplementing applications, rather than trying to identify applicative classes exclusively from the legacy code, we follow the guidelines of iterative development processes such as UP, giving the due consideration to actual user requirements.

1 INTRODUCTION

The term legacy system is used to denote any software system written years ago, based on outdated techniques, but still doing useful work. Improvements to system operation are often required. Currently, the preferred way for revitalizing such systems is through Web services and service oriented architectures (SOA), wrapping existing data and applications so as to make them available over the Web via improved interfaces (Aversano et al., 2001), (Erl, 2005). However, in many situations, reengineering is unavoidable.

Software reengineering is the examination and alteration of a subject system to reconstitute it in a new form and the subsequent implementation of the new form (Chikofsky and Cross, 1990). Software reengineering is considered to be made of three major phases: reverse engineering in which the legacy code is reversed into a more abstract, and easier to understand, representation of the system; modification in which the abstract representation (i.e. the model) is analyzed for possible changes of functionality and implementation technique; and forward engineering in which the system is reimplemented from the reconstructed model on a possibly different platform and on the basis of new development and programming paradigms (Jacobson and Lindstrom, 1991), (Periyasamy and Mathew, 1996).

Reverse engineering is the process of analyzing a subject system to identify the system’s components and their interrelationships and create representations of the system on another form or at higher level of abstraction (Chikofsky and Cross, 1990).

Understanding structural aspects is more important than understanding any single algorithmic component (Tilley and Smith, 1996), (Biggerstaff et al., 1994). Failure to evaluate the existing architecture will lead to gratuitous inconsistencies between the legacy and target systems (Bergey et al., 1999). It is no surprise that the largest share of the literature on reengineering is actually on reverse engineering and program comprehension (Canfora et al., 1993), (Abbattista et al., 1993), (Periyasamy and Mathew, 1996), (Sneed, 1996), (Penteado et al., 1998), (Cimitile et al., 1999), (DiLucca et al., 2000), (Guo, 2002), (Eisenbarth et al., 2003), (Wu et al., 2005).

However, a weakness of most of the methods proposed in the above-mentioned papers is that they derive the architecture of the target system almost exclusively from the analysis of legacy code. In particular, target classes are directly derived from the data units and the program units of the legacy system. This may lead to reimplementation of obsolete program
features, no longer valuable for the users.

It has been observed that to tackle the essence of the problem of recovering data at the conceptual level, it is necessary to integrate the bottom-up approach with a top-down approach, taking into account information obtained from end users, programmers, designers, etc. (Abbattista et al., 1993).

More recent approaches put a greater emphasis on actual user requirements. In (Dugerdil, 2006) and (Dugerdil and Jossi, 2007) a process similar to UP (Unified Process) is proposed. In (Stevenson and Pols, 2004) an agile reengineering process is suggested, which skips altogether the analysis of source code.

An incremental approach for migrating legacy information system has been proposed in (Brodie and Stonebraker, 1995). In this approach, the legacy and the target system operate in parallel throughout migration. The target system is built incrementally, starting from some initial application accessing only a small portion of the target database. As the migration progresses, the target system grows. Eventually, it will perform all the functionalities of the legacy system. At that point the latter can be retired. During migration, the legacy and the target systems are supposed to interoperate through gateways.

This paper proposes an incremental method for reengineering, which, in a general sense, is similar to that of (Brodie and Stonebraker, 1995). However, rather than employing gateways to interoperate the old and the new (parts of the) system, it uses a mapping layer to obtain a complete decoupling of persistent data from application logic. As a result, applications are converted selectively on top of the mapper; the mapper itself grows incrementally so as to accomplish subsequent conversions cycles. Converted applications are made operational before acting any change to the legacy database. When the application layer is completely reengineered, also the legacy database can be changed/upgraded. This will usually lead to the conversion of legacy data to the relational model or to the improvement of the existing relational DB. Eventually, the mapper can be replaced by a light off-the-shelf object-relational mapper (ORM).

The proposed method has been applied in reengineering a small-medium sized system for meteorological applications. This system was grown from an initial version in which a few applications managed a set of binary files to a version which comprised many more applicative programs and a relational database (Microsoft Access), as well as the original data structures. Though the size of the system was moderate (about 40 Database tables, 60 applicative programs – three of which did 70% of the work), there was a variety of problems, including duplicated data, composite access key, blob field containing information to be parsed, and more. In addition almost no documentation was available.

Reengineering resulted in a system with a new relational data base and a set of OO applications written in Java.

The rest of the paper is organized as follows. Section 2 discusses related work. Section 3 gives an outline of the method, while section 4 presents some relevant implementation issues. Conclusions are drawn in section 5.

2 RELATED WORK

In (Brodie and Stonebraker, 1995) a migration methodology, called Chicken Little, is proposed. The methodology consists in 11 steps, performed incrementally and repeatedly. The first step is the analysis of the legacy information system. Other steps follow, including the design of both target applications and target data base, their incremental installation together with the installation of the necessary gateways. With this methodology, applications and persistent data are reengineered in parallel; data is stored in both the migrating legacy and the growing target system. This poses a relevant problem, i.e. coexistence within the same software systems of parts which exploit different technological solutions (Canfora et al., 1998). In (Brodie and Stonebraker, 1995) this problem is addressed through gateways and gateways coordinators. A gateway-free approach is proposed in (Wu et al., 1997). To avoid gateways, the target system is not put in production while the legacy system is being migrated, so that the legacy system remains fully productive during the whole migration process.

As far as reverse engineering is concerned, several method have been proposed in the course of the last decade. One of the earliest regards object-oriented reverse engineering of programs written in COBOL (Sneed, 1996). The issue was how to restructure existing programs so that certain data structures could be converted to abstract data types, assigning to them elementary program operations. The author says that there is no automatic way to identify the data objects, proposing a method which is essentially based on subjective judgement, with the chance that unused or irrelevant code is chosen. The method enforces reengineering of programs and data at the same time.

A similar approach has been taken in (Cimitile et al., 1999) and (DiLucca et al., 2000). In (Cimitile et al., 1999) objects are identified from persistent data.
stores, i.e. files or tables in the database, while program units, i.e. programs and subroutines, are used to derive object methods. Metrics for object-oriented design (Chidamber and Kemerer, 1994) are used in assigning program units as object methods, trying to minimize the coupling among the discovered objects. However, the problem of reengineering is left open, as the authors conclude that further work is needed to understand how the proposed decomposition can be actually used in reengineering. In (DiLucca et al., 2000) the method of (Cimitile et al., 1999) is extended so as to recover also relationships among objects and produce the system class diagram. The construction of the class diagram proceeds through a number of steps, including the identification of relationships among candidate classes, as well as the validation of the model. A classification of different cases in mapping relational tables onto objects is in (Canfora et al., 1998).

In (de Guzman et al., 2005) a tool is presented which, starting from the physical schema of a (relational) database, translates it into a vendor-independent metamodel which, in turn, is translated into a class diagram representing the possible conceptual schema used during the development of the database. The criterion enforced by the tool is to devise one class for each table of the data database. The class diagram, i.e. the OO model of the systems, is then used as the basis of a forward engineering stage, which is carried out automatically by the tool, producing a reengineered version of the systems.

In (Dugerdil, 2006) and (Dugerdil and Jossi, 2007) a method is proposed for reconstructing an architecture whose elements are mapped to concepts of the business domain. The method is strongly influenced by the Unified Process (UP). It begins building a representation of the business process in terms of use-cases. These are reconstructed by gathering information of the system usage from all the involved people. The recovered use-cases are then analyzed to produce the analysis model of the system in standard UP analysis class stereotypes (Boundary, Control and Entity classes). The resulting analysis model represents the hypothetical architecture of the software. Elements of this architecture are related to visible partitions of the existing system (files, tables, etc.). The next step is a dynamic analysis of the source code; this is performed by executing the recovered use-cases on the existing system. Recorded execution traces are then examined to identify the classes involved in the execution of each use-case. Finally, identified classes are mapped to the analysis model, leading to what could be called the model for forward engineering. A relevant result of (Dugerdil and Jossi, 2007) is that it confirms that the pure bottom-up approach, based on the static analysis of source code, may be wasteful of time and effort. In fact, the experimental data of (Dugerdil and Jossi, 2007) reveal that only a small fraction of the overall program modules are involved in the processing of the use-cases. Being driven by user requirements, the method of (Dugerdil, 2006) produces an analysis model that represents what the users want from the system. As such, the model includes only those parts of the legacy system that call for reengineering, excluding unused or irrelevant code. In addition, the recovered model captures the new user requirements, thus leading to improvements over the old system.

The agile reengineering process described in (Stevenson and Pols, 2004) was motivated by failure of an initial attempt to rewrite existing code (in Java). The authors maintain that the reengineering process should be driven by the actual user requirements and carried out incrementally, by reimplementing selected functionalities separately, skipping altogether the analysis of legacy code.

3 OUTLINE OF THE METHOD

The objective of reverse engineering and modification stages (section 1) is the definition of an architecture of the target system, so as to incorporate actual user requirements and to take into account actual system operations. Rather than relying on tools that automatically generate model classes from the existing code, we follow the guidelines of iterative development processes such as UP, starting from current user requirements.

As a result, the first step of the method is to recover all possible use-cases. This is done by gathering information from the users, so as to identify the key problems and to incorporate in the analysis what the users want, avoiding those aspects that have no value for them.

Once the use-cases are recovered, they can be executed on the existing system in order to identify which are the persistent legacy data involved in their execution – this corresponds to the dynamic analysis of (Dugerdil and Jossi, 2007). To better understand system behavior, this activity can be supplemented with inspection of source code.

The data structures identified in the legacy data base is the starting point for deriving the classes to be implemented in the target system and for building the OO model of the business domain. To derive the OO model of the business domain, we follow the meet in the middle approach of (Fowler, 2003), mov-
ing bottom-up (from legacy data) and top-down (from recovered use-cases).

Starting from the OO model of the business domain, we proceed as follows.

1. Select one application to be converted on the basis of recovered use-cases; identify the part of the legacy data that are related to it.

2. Design the (part of the) mapping layer that maps the model objects involved in the selected application to the part of the legacy data identified at point 1. The mapper must take care of: (i) assigning values contained in the legacy database to objects’ attributes; and (ii) making persistent (in the legacy database) the values of object attributes.

3. Implement and test both the application and the mapper of the previous points. For testing purposes, the selected legacy data should be duplicated so that testing does not impact or impair the legacy database.

4. When the selected application and the related mapper have been completely tested, deploy them in parallel with the remaining part of the legacy system, removing the old version of the application. The deployed application operates on actual legacy data.

5. Iterate steps from 1 to 4 until all applications have been reimplemented.

Note that the process is similar to that of (Brodie and Stonebraker, 1995), in the sense that reengineering is made incrementally. However, there is a great difference, since we leave the legacy database unchanged while applications are reengineered. As a result the problem of coexistence is mitigated. At least it is encapsulated in a single component: the mapping layer which grows in parallel throughout the migration process.

There is quite a difference between gateways and mappers: while gateways essentially mediate between different technologies, mappers mediate between different conceptual models. In our case, the mapper is used to adapt persistent data to a general OO domain layer. Relying on the mapper, applications are converted selectively on top of it. The mapper itself grows incrementally so as to accomplish subsequent conversions cycles. Converted applications can be made operational before acting any change to the legacy database.

Figure 1 shows the structure of the system in the middle of migrating applications, under the assumption that reengineered applications can cohabit with those not yet converted. Referring to Figure 1, legacy applications directly use the legacy data, while components of reengineered application (OO Application) use the mapping layer to access the same legacy data.

The mapper is essential to allow independent application reengineering. Furthermore, the development of a mapper for the legacy database is unavoidable, since it is the only means to deal with odd data structures (for instance, information spread in a non-ordered manner within blob fields) that are usually found in such databases.

6. When all applications are converted, the legacy data can also be reengineered to a more effective relational model. In this case the process will be top-down, from the OO model to the relational schema. The new database can be implemented in the form of a prototype under the RDBMS of choice. The prototype can be thoroughly tested using reengineered applications.

7. Since the new database will require its own mapper, there are two possible choices:

   a) implement the new mapper. This may appear as an unwitting effort, though many components of the legacy data mapper so far developed can be reused and/or readapted (see section 4.3);

   b) resort to a light, off-the-shelf ORM such as (Bauer and King, 2004). This is the preferred solution since it entails usage of standard components.

8. When the prototype database is fully tested, legacy data can be transposed in one-shot to the new relational form. At this point the old legacy system is completely replaced.
4 IMPLEMENTATION ISSUES

Figure 2 develops on the right side of Figure 1, showing how architectural components are implemented. NewApp stems for any reengineered application. In accordance with the concept of layering, a reengineered application is divided into Presentation and Domain layers. This separation permits confinement of the aspects related to application functionalities in the Domain layer. The Domain layer contains both the classes representing entities of the business domain, and the classes acting as controllers or implementing (part of) the application logic. The Domain layer is implemented in terms of *plain old java objects* (POJO) (Richardson, 2006). (We named NewPres the component implementing the presentation layer to point out that also this layer is affected by reengineering.)

Figure 2 has been drawn so as to make evident that the mapping layer may have more than a single implementation. The schematization reflects our case study, with a mapping for binary files (ML Impl 1) and a mapping for a relational database (ML Impl 2). However, this is not to be interpreted as if they were two distinct packages. In fact there are functionalities, that every concrete mapper must provide, that can be factored out and used by any specific mapper implementation: on the other hand, any specific data organization requires its own adapter. In other words, the two mappers reported in Figure 2 are to be considered as the two parts of a mapper that deals with two different data organizations.

The structure of the Mapping layer is depicted in Figure 3. The stratus named Domain Specific Mapping Components has the role of adapting Mapping API to the specific applicative domain and will be discussed in section 4.2. The Mapping API is the basic component of the Mapping layer. As discussed hereafter, it implements all mapping functionalities in a general manner, so as to be reusable across different application domains.

The Mapping API is itself divided into two sub-layers: the upper sublayer provides the interface towards the Domain layer, while the lower sublayer encapsulates the differences among data organizations (in Figure 3, three different implementations for lower sublayer are depicted).

The Data Source Adaptor Sublayer (DSAS) of Figure 3 solves the technological adaption problem and provides uniform representation of underlying data in the form of a result-set (which is independent of the technology used to store persistent data). DSAS does not depend on the particular application domain; it only depends on the specific database (i.e. Oracle, Access, MySQL, hand-made DB).

Domain-specific mapping components are used to implement the mapping between model objects and (standardized) result-sets. As a result, the Mapping sublayer is unaware of both the actual organization of persistent data and the OO domain model.

4.1 The Data Source Adaptor

The connection between the Mapping sublayer and the Data Source Adaptor is actually supported by the two interfaces `IQuery` and `IResultSet`, which are provided by the latter (Fig. 3). Through `IQuery` the Data Source Adaptor accepts queries from the above; through `IResultSet` the results of those queries are presented in the form of a result-set. Query formats can also be standardized; therefore, if persistent data are in the form of a relational DB, the role of the Data Source Adaptor is to translate a standardized query into the SQL dialect of the given RDBMS.
This means that a given DSAS can be ported, without any modification, to other systems with the same RDBMS. On the other hand, the migration to a different RDBMS requires only the implementation of the related DSAS, with no impact on the above levels.

In the following we discuss the implementation of interface IResultSet. Due to space limitations we retain from discussing interface IQuery. We shall refer to both the case of an underlying RDB and the case of a generic legacy storage.

Figure 4 shows how interface IResultSet is provided when the data are in relational form. The design pattern Adapter (Gamma et al., 1995) is used to adapt java.sql.ResultSet (the object returned from calls to JDBC library) to the target interface IResultSet. Class SQLResultSet is the actual adapter.

Referring to Figure 3 a query to the persistence layer is made by the Mapping sublayer via interface IQuery. The query is translated into the vendor-specific SQL query, which is actually executed by calling the JDBC library. This returns an object of class java.sql.ResultSet, which is adapted to IResultSet by SQLResultSet.

When legacy data are not in the relational form, they are adapted to IResultSet by class LegacyDataResultSet (see Figure 5). More precisely, there is a specific adapter (i.e., an object deriving from class LegacyDataResultSet) for any specific data format. To avoid overloading the adapters with too many functionalities, they only perform the bare adaption of legacy data to IResultSet (see Fig. 5). At the occurrence, we use appropriate Decorators (Gamma et al., 1995) to add properties to the adapted data.

For instance, consider the file sensor.dat which collects the data relative to all the sensors in our system, and assume that an application only needs the data relative to the sensors located in a specific geographic area; then: (i) sensor.dat is adapted to IResultSet by an object of class SensorResultSet (a subclass of LegacyDataResultSet); and (ii) the decorator FilteredSensorResultSet (a subclass of FilteredResultSet) is applied to produce a desired result set.

4.2 The Mapping sublayer

In order to make possible the replacement of the legacy data mapper with a mapper for the new database, without impacting the Domain layer, the Mapping sublayer must be independent of the Domain layer. As mentioned at the end of section 4, this is done through domain-specific mapping components. More precisely, we resort to the concept of DAO (Data Access Object) as suggested in (Bauer and King, 2004) and (Sun-Microsystems, 2008).

In Figure 6, the Domain layer has been divided into two sublayers: Model and Service. The former contains the objects that correspond to business domain entities (e.g., sensors), while the latter contains objects that are largely responsible for implementing the logic of application (e.g., computation of the average temperature of the day). Correspondingly, we talk of model objects and service objects.

The Presentation layer (not shown in Figure 6) routes user requests to the service objects that are involved in executing any given transaction. In turn, service objects interact with model objects to perform the transaction. This may entail loading model objects from persistent storage and/or storing them back. Load and store operations are performed by DAOs. Figure 6 shows that the interface of DAOs is implemented by the Mapping layer, as part of the Domain Specific Mapping Components. DAOs encapsulate any embedded code, making it invisible at level of Domain layer.

The Mapping sublayer implements the interface Session used by the Domain layer, via DAOs, to establish a session for accessing the database. It also implements all the functionalities that are needed for managing access to the database, including caching, record locking, transaction commit and roll-back. In our implementation, the mapping API takes the form of a reusable library, that can be ported across different application domains.
DAOs and Concrete Mappers are Domain-Specific Mapping Components. DAOs provide the interface for accessing the persistent data, while Concrete Mappers relate (the attribute fields) of model objects to the corresponding fields in the database.

A Concrete Mapper is an extension of class AbstractMapper (defined within the Mapping Sublayer), overriding methods Load and Save. Both methods have two parameters: a result set and an object to be mapped. Method Load fills object's attributes from the result set, while method Save fills the result set from objects' attributes. In short, Concrete Mappers take the duty of translating database tables into objects' attributes and vice versa. In so doing, a concrete mapper can perform any specific operation such as parsing blob fields in order to identify embedded information.

A request from the Service layer goes through DAOs and interface Session to the Mapping API, which uses concrete mappers to relate objects and persistent data. Concrete mappers can either be implemented as standard Java classes (as we did in our implementation) or described through an XML file. In the second case, known as the Metadata Mapping Pattern, it is the Mapping API that builds the appropriate mappers on the basis of XML metadata.

Let us make an example to show how the architecture of Figure 6 works. To this end, consider a transaction in which the Service sublayer needs to interact with the object of class Sensor whose ID is 5. Schematically, this is done in the following manner:

```java
DaoFactory f = new DaoFactory();
DAO = f.getDao('Sensor');
Sensor s = DAO.getByID(5);
s.set(...);
```

The first statement instantiates a factory of DAOs; the second obtains the specific DAO for the class Sensor; the third obtains the sensor object that in the database is identified for having the field ID equal to 5; the last statement modifies some attribute of the instantiated object s. Note that the method getByID() hides all the details associated with use of the Mapping API.

Assume that the system follows the policy called "one transaction per session", so that the two terms correspond each other. The code implementing a transaction is encapsulated within a class which is responsible of opening a session, performing the transaction and committing it. When the transaction is committed, the Mapping API automatically recognizes the objects whose attributes have been modified, so as to update the related data in the persistent store. Actually, when an object is brought into memory, its version (a hash function of the values of its attributes) is saved by the API, so that, when the transaction is committed (i.e., when the session is closed), the API writes back the object only if it has been changed.

4.3 Converting the Legacy Data

In section 3 we stated that data reengineering should start only when application reengineering is terminated. The reason is that we want to make sure that the new applications correctly replace the old ones. However, this does not impede construction and experimentation of a prototype new database, in parallel with application reengineering. The mapper provides guidance in understanding how the target RDB should be structured with respect to the OO applications. This may provide insights into OO applications, imposing refactoring of both the OO applications and the prototype RDB to keep them well-tuned. In other words, the process of constructing target application and data structure becomes iterative in a natural manner.

As stated at point 7 of section 3, the new database will require its own mapper. With the architecture of Figure 6 we have two choices:

a) reimplement the mapper by reusing and/or readapting the components of the already developed mapper. Referring to Figure 6 the Mapping

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**Figure 6: Making the Domain layer independent of the Mapping sublayer.**

Figure 6 shows how the Domain layer is made independent of the Mapping sublayer through the use of DAOs and Concrete Mappers. DAOs provide the interface for accessing persistent data, while Concrete Mappers relate the attribute fields of model objects to the corresponding fields in the database.
API of can be completely saved, while Concrete Mappers and DAOs need reworking;
b) use an off-the-shelf ORM at the marginal cost of reimplementing Concrete Mappers and DAOs. Use of an off-the-shelf ORM is now possible since the new database has a well defined structure. A light-weigh ORM like Hibernate (Bauer and King, 2004) does not impose constraint to the application programmer, giving him the freedom to implement applications in form of POJOs.

The conversion of legacy data to the new relational DB requires a specific program, which can be built using the functionalities of the already developed mappers. Differently from applicative programs, legacy data can be converted (translated) overnight and deployed in a single step. The new database is to be deployed only after all applications have been reengineered.

A final consideration is in order. The mapper is the key component for revitalizing an old system. It gives a view of the legacy data as if they were objects, allowing the use of standard, consolidated OO techniques. The mapper itself is developed incrementally, starting from the subset of legacy data that are involved in the selected application to be reengineered, and growing to cover all legacy data of interest. The mapper is the key element to perform independent reengineering of application. It also provides guidance in structuring the target RDB with respect to reengineered applications.

5 CONCLUSIONS

We presented an evolutionary method for reengineering legacy systems in terms of Java programs and relational DB, which is strongly based on the concept of mapping layer, a component which adapts persistent data to a general OO domain layer.

The proposed method solves the problem of converting applications and legacy data, through a process which confine all idiosyncrasies in the mapping layer. This is first used to provide access to the legacy database on behalf of reengineered applications. As a result, the applications layer is reimplemented and deployed before the legacy database is updated to the relational form. Application reengineering can be carried out in a gradual, incremental manner, by replacing a single application at time. The mapper itself is built incrementally as required by reengineered applications.

Application reengineering can be carried out in a gradual, incremental manner, by replacing a single application at time. The mapper itself is built incrementally as required by reengineered applications.

The mapper has a well defined interface, so that, once the database has been converted to an improved relational form, it can be replaced by an off-the-shelf ORM. As a result, when applications have been reimplemented and the structuring of the new relational DB is completed, legacy data can be loaded in the database and the ORM put in place of the mapping layer, making the system fully operational.

The proposed method has been successfully applied in reengineering a system used for processing meteorological data.

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