PROCESS USE CASES: USE CASES IDENTIFICATION

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Abstract: The identification of use cases is one key issue in the development of interactive information systems. User participation in the development life cycle can be seen as critical to achieve usable systems and has proven its efficacy in the improvement of systems appropriateness. Indeed, the involvement of users in the requirements definition can add a significant improvement in both consecutive/interleaved tasks of: (i) understanding and specifying the context of use, and, (ii) specifying the user and organizational requirements, as defined in Human-Centered Design (HCD) (Organizations, 1999). Existing solutions provide a way to identify business processes and/or use cases in order to achieve system definition, but they don’t do it in an agile and structured way that helps to efficiently bridge between Business Process Management and Software Engineering. Process Use Cases is a methodology, defined in the Goals software construction process, for the identification of use cases and information entities during the modeling and reorganization of business processes focusing the results in the identification of the functional requirements for the correct development of an interactive information system.

1 INTRODUCTION

In a competitive market, the ability of enterprises to make their services available to their clients and to be able to modify them easily might be an important advantage. Even in a small enterprise (e.g., 10 persons) business processes (BP) can be complex including tasks in which performance, functionality and appropriateness (also called correctness of the software) can be crucial for success and also creating the need for system modifiability, most times with relevant time and cost constraints. In order to fully control the services implemented, the user tasks that support it and the software structure behind them, business processes (services), use cases (user tasks) and the architecture of the interactive information system (the software structure) must be documented.

The establishment of regular enterprise modeling activities for business processes management (BPM) and software engineering (SE) enables bridging these two disciplines by means of a shared process (if the same notation is used). This connection happens where persons and system meet, the use cases.

In particular, the Unified Modeling Language (UML) (OMG, 2003) provides a notation that encloses important concepts and diagrams that offer the necessary flexibility to be applied in both BPM and SE in a way that every stakeholder can understand. Indeed, there are already UML based techniques that provide mapping between BP and interactive information system ((I. Jacobson, 1994), (J. Koehler, 2002), (Remco M. Dijkman, 2002), (BPMI, 2004)), however, these techniques do not provide the efficiency needed, in our perspective. Process Use Cases (PUC) is distinct from the existing approaches in the way that: (i) it makes the reorganization of the business towards automation more elucidative to users (except for (J. Koehler, 2002) which uses a similar notation), once, BPs and use cases are designed in a single model that can be understood by every stakeholder; (ii) it includes an information-oriented strategy that enables to select the BPs that really need to be designed; (iii) is oriented to software development, once, both use cases and information entities are already identified when PUC is finished.

This paper is organized as follows: Section 2 introduces Process Use Cases. Section 3 illustrates the methodology. Section 4 explains how Process Use Cases can be integrated with analysis and design methodologies. Section 5 presents some conclusions of this work.
2 PROCESSE USE CASES BASIS

Process Use Cases (PUC) is the result of the need to easily identify use cases and relate them to the parts of the software implemented within a semantically understandable conceptual architecture model that gathers both business processes (BP) and system components (and dependencies among them). The main goal of PUC is to develop, in a sequence of 4 steps, the process use cases model, in which actors and use cases (Constantine, 2006) come together to achieve a first stage of functional requirements definition (the interactions between users and system, the use cases).

PUC is a methodology defined within Goals, a software construction process, and is a solution to bind the phases of requirements identification and analysis rapidly, through the identification of use cases (functional requirements) and information entities as a leap to software analysis. PUC suggests that the (if needed) BP reorganization activities take place before analysis contributing for the development of an adequate software product. PUC describes the development of 4 artifacts: 1 statement and 3 models (High-Level Concept, Domain Model, Business Process Model and Process Use Cases model) using an information-oriented strategy for the identification and association of the components generated: business processes, information entities, actors and use cases. Goals (the software construction process) suggests that a top-down, use case-driven, architectural centric analysis and/or design software engineering methodology follows the application of PUC, taking full advantage of the artifacts produced so far towards the construction of the interactive information system.

Our contribution is illustrated (Figure 1) using an adapted notation of the Business Process Model (Hans-Erik Eriksson, 2001). The process behind PUC is now introduced, and the used notation is completely explained throughout Section 3.3 – Business Process Identification. Three actors are defined: architect, analyst and client. The first two belong to the software development team, and the client is a member of the client enterprise to whom was given the responsibility of dealing with the activities of BPM and/or SE. The models produced are outputs of each step and are represented by entities that are inputs for the next BP or the goal itself. PUCs’ Step 2 (Information Identification) and Step 3 can be iterative, since, it is possible that Step 2 entities identify new BPs, and that these BPs (Step 3) identify new entities (if they are defined within the scope of the project).

Different abstractions provided by different techniques are used to represent the information acquired. These techniques are: UML (OMG, 2003) that besides the notation, provides class diagram and activity diagram to produce the domain model and process use cases model respectively; Wisdom (Nunes, 2001) which provides two of the main concepts behind PUC: (i) “process interiorization” (Kreitzberg, 1999) and (ii) “requirements discovery” defined within its Requirements Workflow; the Business Process Model (Hans-Erik Eriksson, 2001) which provides the (adapted) notation used in Process Use Cases for modeling BPs and Usage-Centered Design (Constantine, 2006) which provides the concepts of (essential) use case and actor.

3 ILLUSTRATING PROCESS USE CASES

In order to illustrate Process Use Cases (PUC), a project under development for a small enterprise is presented. This (non-profitable) enterprise, related to a local governmental library (in Madeira, Portugal), is responsible for the bibliographic investigation on gastronomy. The idea of the director is to divulgate the gastronomic events promoted by the enterprise and the existing gastronomic recipes in a website. After a first approach where an attempt was made to understand the main activities of the enterprise, it was possible to know which were the enterprises’ main products: the identification and cataloging of gastronomic recipes and the organization of gastronomic events. After this, the 4 Steps of PUC where applied in order to identify the functional requirements for the project that are presented in the sequel.

3.1 Step 1 – Interiorise Project

This is the only unstructured part of PUC. The High-Level Concept (HLC) is a paragraph (technology independent) that describes the part of the system (or full system) that is going to be implemented. The High-Level Concept must be understood by all the stakeholders (the community) of the project promoting a shared vision that will help the project community to keep focused on the product development.

In this step client and architect agree on a High-Level Concept for the project. To do this, it is important to understand the scope of the project within the enterprise global activity, so, it is
necessary to understand how the enterprises’ activities lead to the production of its main product(s), and, what is the strategic reason that leads to the need of automation. Artifacts such as enterprise hierarchical organizational structure and legislation may be important, and, by interviewing the clients’ project manager, member preferably related to the enterprises’ process of decision, sufficient information may already be compiled to produce the High-Level Concept.

In the project presented in this document the High-Level Concept agreed is presented in Figure 2.

![Figure 2: Process Use Cases.](image)

### 3.2 Step 2 - Information Identification

Information is very stable within an enterprise. Mainly, information manipulated by core business processes is persistent from the birth of the enterprise until its closure and is independent from the technology used to manipulate it. Information parts relate to each other naturally, and the objective is to produce a model, the domain model, that contains and relates all the identified parts.

In this step, the analyst identifies the main concepts of information defined in the High-Level Concept. These information concepts are represented with entities that, will be the first ones in the domain model. An entity is defined in Wisdom (Nunes, 2001) as a “class used to model perdurable information (often persistent)”. It is also complemented that, “entity classes structure domain (or business) classes and associate behavior, often, representing a logical data structure”. These entities represent information (not actions, actors, nor business processes; eventually name may coincide) and relate to each other composing a meaningful structure. This structure has relations of hierarchy (inheritance), dependency (composition) and possession (association) and is modeled using a class diagram (OMG, 2003).

In PUC, the entity stereotype is used instead of the class stereotype because at this stage it is a more accurate concept of information. Since this model is described using a standard language (UML) it can be used along all the software development process, including implementation-time when it can be used to generate database tables and (programmed) classes to manipulate these entities. The domain model must be updated at any stage in the process when new entities are revealed (especially as a result of Step 3). It is suggested that the analyst describes the class diagram in natural language to the client to achieve diagram validation.

In the project presented in this document, the first entities taken from the High-Level Concept were: “client”; “recipe” and “event”. The entity “client” existence, although implicitly related to the events, was reinforced when it was noticed that the business process for recipe capture also involved donation of recipes by “clients”. The first entities identified were then combined with other entities identified in Step 3 (Business Processes Identification) to compose a single information structure as shown in Figure 3.

![Figure 3: Step 2 - Domain Model for the project.](image)
3.3 Step 3 - Business Processes Identification

Business processes (BP) exist in an enterprise to achieve a certain objective, a goal, a product, that can be described by information (associated with this product). BPs happen as many times as the need to give response to the needs of some enterprise member or third party (e.g. client) with some responsibility (active or passive, with some relation to the enterprise) within the activity of the enterprise. Many enterprise members can interact with these processes by carrying out some complete, unitary task, in which many different entities can be manipulated (consumed or produced). In order to be able to control (e.g. reorganize) these BPs its important to an enterprise to maintain complete and detailed information of relations among BPs, their inputs, outputs, actors and triggering events.

In this step, analyst and client will identify, relate and detail business processes. The identification of BPs should take place, at least, from the business unit (in an hierarchical perspective) “directly” responsible for the information being managed, i.e. unit(s) that consume or produce this information to achieve complete and meaningful tasks. Business processes that relate “directly” to the information identified until this stage must be documented in order to understand all the manipulation made over the identified information, if within the scope of the project defined in the High-Level Concept.

BPs are named according to their goal (the product of the BP), whether it is a service, information or a material product (e.g. product “television”, BP name “build TV”). BPs can be divided into (sub-)business processes (that are represented with the same notation) in a vertical hierarchy. BPs products are represented by entities, the associated information.

The persons that interact with the business process are called actors (Constantine, 2006) which, as defined in Usage-centered design, is “a user that interacts with a system”. In process use cases, business processes are the “system”, and the stereotype used is the UMLs “user”. Actors are associated to BPs using association and their objective(s) are written in natural language (e.g. “approve recipe”) separated by a plus signal (+) naming the association. When an actor triggers the business process, an event is generated and its relation with the business process is represented with a flow (arrow form), and, his objective is to obtain the product(s) achieved by that BP (the output(s)).

The outputs and inputs (information, resource and output in the Business Process Model (Hans-Erik Eriksson, 2001)) are represented by entities. Business processes can be related among each other, i.e., the conclusion of the business process (which is an event) serves as a trigger to the next providing an information entity shared by the two BPs in a horizontal hierarchy. When the flow is towards the business process it is an input (and generates an event) and the contrary direction represents an output. Associations can be bi-directional representing event, input and output in both directions.

In the project presented in this document, 3 business processes that directly manipulated the entities “client”, “recipe” and “event” (Step 2) where identified (Figure 4): (i) “Obtain Recipes”, to

Figure 4: Step 3 – The Business Process Model for the project.
provide the necessary information about recipes (by cataloging); (ii) “Make Event”, to provide the information about events dates and more detailed information and (iii) “Advertise”, which was modified, in order to introduce the needed activities to support the information for the website. After this, the client validation diagram and the domain model was updated.

3.4 Step 4 - Use Cases Identification

The documentation of business processes in a language that every intervenient (stakeholders) understands is important to enable correct dialogue over the actors, activities (tasks) and goals. BPs can be partially or completely automated or not automated at all.

In this step, analyst and client model the tasks (activities) of the business process which performed by actors along the BP until achieving the targeted goal. “A task (task case, as defined in Usage-centered design (Constantine, 2006)) represents a single, discrete user intention in interaction with a system that is complete and meaningful”, for instance it is an essential use case which is defined by the same author as “a specially structured form of a use case, one that is expressed in so-called essential form, that is, abstract, simplified, and independent of assumptions about technology or implementation”.

The BP identified in the previous step (Step 3) is designed with the process use cases model, through the use of an UMLs’ activity diagram (OMG, 2003) using swimlanes. Tasks carried out by an Actor are placed in the same swimlane. The activity diagram begins with an “initial” stereotype and ends with a “final” stereotype. The transition relation is used between tasks. UMLs’ activity stereotype is used to represent tasks of the BP which are not automated and the use case stereotype is used for the automated tasks. Fork and decision are used to represent parallel activities and decision points.

Once all activities are identified it is important that the architect (with the client) decides which tasks should be automated. When this happens, a use case (stereotype change) takes the place of that activity.

In the project presented in this document, based on the analysis of the models produced until the previous step (Step 3), with cooperation of the client, it was noticed that the BPs that could mostly contribute to the website were “Obtain Recipes” and “Advertise”. In another perspective, “Obtain Recipes” could provide more valuable information for the website than “Make Event”, and by means of the generalization of the tasks of “Advertise” support could also be achieved to advertise “news” about “recipes” and “events”.

Two activities where transformed into use cases to produce the information wanted for the website, i.e. “recipes” and “news” (see Figure 5). The task “advertise” was generalized in order to support every action of advertising for both “recipes” and “news” that could also support the advertising of “events”, inducing simplification and completeness of the task.

This was already sufficient information to produce a financial proposal for the development of the project and to start the SE analysis phase.

This is the model (Process use cases model) where users and interactive information system meet. However, it is not the purpose of PUC to establish the relation between use cases and entities. This is a task left for a software engineering process which carries along the information generated until this stage and brings consistency to this relation in later stages of that process.
4 INTEGRATING PROCESS USE CASES

Process Use Cases (PUC) is part of Goals, an agile software construction process that guides a software team to the definition, construction and maintenance of an interactive information system for an enterprise.

According to Goals, which business process is illustrated in Figure 6, after the definition of the requirements, another process (phase) is applied for analysis and design of the software to be developed (or modified). For this reason, it is also an objective of this document to explain how PUC should be integrated with software analysis and design methodologies in order to achieve correct software definition, the objective behind the Goals process.

Most software engineering methodologies gather both analysis and design phases, however, it is important to understand that these phases are different since in analysis the objective is to complete the understanding of the problem, and in design the objective is to conceive the solution that will solve that problem, resulting in the complete definition of the interactive information system to be built.

Although all information generated along the process should be available to all the phases, Goals suggests sharing a minimal set of crucial information (modeled using the same notation) for correct system definition. Because Goals is still under development, integration is presented only for the first 3 phases:

- between requirements (identification) and analysis: High-Level Concept (optional); Business Process Model (optional); Process Use Cases model; Domain Model.
- between analysis and design: Use Case Model (opt.); Activity Diagram; Task Diagram (opt.); Detailed Domain Model (detailed with class attributes).
- the outputs of the design phase are: Conceptual Architecture (opt.); Interaction Spaces design; Navigational Model; Interaction Model (opt.); Business Classes Model (opt.); Database design.

The chosen analysis methodology should be: (i) object-oriented and use case-driven, and; (ii, optional) architecture-centric in order to achieve consistency validation in system definition, i.e., to combine in one view usage, interaction interfaces, system behavior and information entities and the relations among.

The choice for the design methodology should depend on: (i) the compatibility with the objects generated in the analysis phase; (ii) the non-functional requirements revealed in the analysis phase and the (iii) available resources, i.e., modeling detail needed for the development of the interactive system in: user interface usability, system behavior refinement and database integrity; the human resources available for the modeling, time and budget constraints.

PUC can be considered highly compatible with Wisdom (Nunes, 2001), Goals Multimedia (Pedro Valente, 2007) and Usage-centered design (Constantine, 2006). All these methodologies can also be applied for the design phase. PUC can also be compliant with methodologies such as: (i) Extreme Programming (XP) (Beck, 1999) connecting use cases with the “user stories” and the domain model with the “architectural spike” predicted in XP, and, with (ii) the Rational Unified Process (RUP) (Kruchten, 1999) which provides an

![Figure 6: Goals Software Construction Process (partial view).](image-url)
extensive set of models to complete the phases of analysis and design. As an extra requirement, the compatibility of the definitions of: essential use case (use case)(Constantine, 2006), entity (set of information) (Nunes, 2001) and actor (user)(Constantine, 2006) should be observed.

5 CONCLUSIONS

Process Use Cases (PUC) is a methodology that identifies use cases as a leap for software construction producing valid artifacts for both activities of Business Process Management and Software Engineering. PUC has been already applied in over 10 different real software development projects for the Information and Computing Centre in University of Madeira (UMa), Portugal, for the automation of at least one business process per project. It was applied by both undergraduate students and IT professionals and shared with UMa managers for both Business Process Management and Software Engineering activities always resulting in a firm artefact that promoted consensus between the stakeholders.

In a modeling perspective, achieving the most appropriate level of abstraction to name use cases can be a very difficult task in software engineering if no global comprehension exists of the scope of the project within the enterprise organization. Using PUC is easier to reach the appropriate abstraction to nominate the (essential) use cases in a way that they make sense in both Business Process Management and Software Engineering disciplines. This is possible through the definition of compatible formalizations of the stereotypes used (entities, users, business processes, activities and use cases), that are provided by LUCID (Cognetics Corporation, 1999), Wisdom (Nunes, 2001) and Usage-centered design (Constantine, 2006), producing a notation also suitable for the application of agile software analysis and design methods.

Future work is still to be made in the full definition of the Goals software construction process (and integration with existing methodologies) for requirements identification, analysis, design, development, test, installation and maintenance. System size, complexity and general software quality attributes estimation can be important functionalities that determine the production of the correct interactive information system.

REFERENCES