

# MULTI-LEVEL GROUPING GENETIC ALGORITHM FOR LOW CARBON VIRTUAL PRIVATE CLOUDS

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**Abstract:** Optimization problem of physical servers consolidation is very important for energy efficiency and cost reduction of data centers. For this type of problems, which can be considered as bin-packing problems, traditional heuristic algorithms such as Genetic Algorithm (GA) are not suitable. Therefore, other heuristic algorithms are proposed instead, such as Grouping Genetic Algorithm (GGA), which are able to preserve the group features of the problem. Although GGA have achieved good results on server consolidation in a given data center, they are weak in optimization of a network of data centers. In this paper, a new grouping genetic algorithm is introduced which is called Multi-Level Grouping Genetic Algorithm (MLGGA), and is designed for multi-level bin packing problems such as optimization of a network of data centers for carbon footprint reduction, energy efficiency, and operation cost reduction. The new MLGGA algorithm is tested on a real world problem in a simulation platform, and its results are compared with the GGA results. The comparison shows a significant increase in the performance achieved by the proposed MLGGA algorithm.

## 1 INTRODUCTION

Global warming and its impacts on our life is one of the biggest twenty-first century's challenges for human societies. There are different reasons for global warming, but one of main reasons is known to be excessive Green House Gases (GHG) emissions. Nowadays, the share of ICT sector in the total GHG emissions is not greater than 2% (McKinsey, 2007). However, according to rapid growth of ICT sector within the ICT enabling effect (Webb, 2008), in near future, GHG emission reduction in ICT sector will be very important.

After introduction of virtualization technology, physical server consolidation plays an important role in energy efficiency and GHG emission reduction in data centers (Beloglazov et al., 2010)(Gmach et al., 2009)(Liu et al., 2009). In this type of problems, the objective is fulfilled by minimizing the energy consumption, carbon footprint, cost, or a mixture of them. Considering the locations of VMs as variables of the problem and one of the aforementioned cost functions, the consolidation problem can be written as a bin-packing optimization problem. Bin packing algorithms such as improved First Fit Decreasing (FFD) and Least Loaded (LL) (Ajiro and Tanaka, 2007) as

well as heuristic optimization algorithms can be used in order to solve this type of problems.

Because of high complexity of this kind of optimization problems, heuristic algorithms are good candidates for them. But traditional general heuristic algorithms such as GA are not able to provide a good solution for the special case of server consolidation (Xu and Fortes, 2010). Particular genetic operators which take advantage of the group-oriented structure of cost function, could lead the genetic algorithm to better results compare to the non-grouping heuristic algorithms which are not aware of that structure. For example, the GGA has been used to achieve more efficient results in various works (Xu and Fortes, 2010)(Agrawal et al., 2009)(Wilcox et al., 2011). These new methods are proven to have better results than traditional methods and global heuristic algorithms.

Ability to migrate virtual machines in a lively manner from one data center to another data center without service interruption (Clark et al., 2005)(Van der Merwe et al., 2010)(Wood et al., 2010)(Wood et al., 2009)(Farrahi Moghaddam and Cheriet, 2010), opens the door to more complex architectures and behaviors of connected data centers and brings higher opportunity for GHG, and mainly car-

bon footprint reduction (Farrahi Moghaddam et al., 2011). Higher complexity of new designs requires better and more efficient optimization algorithm in order to reduce the GHG emissions as much as possible in real-time in response to the unpredicted variations in the workload and energy sources. In these networks, not only server consolidation should be considered, but also for each VM the best data center should be chosen while meeting all the constrains.

In this type of complex problems, even algorithms such as the GGA, are not able to discover all the relations between VMs, servers and data centers to lead to the best optimal solution. As a bin-packing algorithm, GGA is able to benefit from consolidation of VMs on servers, while it cannot discover the possible benefits of data center consolidation. Therefore, even a more complex heuristic algorithm is needed in order to discover these relations and behaviors.

In this paper, a new genetic algorithm is proposed as multi-level grouping genetic algorithm (MLGGA), and we argue that this algorithm is useful for those types of problems which deal with different levels of bin packing. This new algorithm will consider not only the relation of individuals as groups, it also considers the relation of groups of groups in order to achieve the best possible solution for the optimization problem. As a use case in this work, a network of data centers is optimized for carbon footprint reduction. It is worth noting that the concept of the MLGGA could be used in any optimization problem which deals with groups of groups in different levels and their relations.

The paper is organized as follows. In the first section, different works on using heuristic algorithms for server consolidation, energy efficiency and carbon footprint reduction are reviewed. In the next section, the principle of the proposed algorithm is explained. In the next section, a case study is planned for comparison of the proposed algorithm with GGA. Finally, in the last section, the conclusion and some prospects for future works are discussed.

## 2 RELATED WORKS

### 2.1 Grouping Genetic Algorithm

In (Falkenauer and Delchambre, 1992), Falkenauer and Delchambre proposed a new version of genetic algorithm known as grouping genetic algorithm. They argue that normal genetic crossover and mutation operators are not able to preserve the group features of the parent chromosomes. In the straightforward encoding scheme, each item (for example, a VM) is represented by a gene in the chromosome, and its label is

its group (for example, a server) which that item belongs to. For example, the chromosome ADEBFFBC encode a solution for 8 VMs where the first VM is on server A, the second VM is on server D, and so on. Basically, when there are two parents with good groups defined in their chromosomes, there is no way for normal genetic crossover operator to create an offspring in which those good groups are preserved. A part of a child chromosome comes from one parent, and the rest comes from the other parent, well-defined groups in both parents will break in parts, and the probability of having an offspring with stronger groups is very low. Therefore, they proposed a new crossover and mutation operators in their new algorithm, which perform on groups instead of individual genes.

In their crossover operator, the groups presented in the chromosomes are lined up (keeping one gene per group), and the crossover will happen on these two group representations of the parents. For example, for the chromosome ADEBFFBC, the group lineup will be ADEBFC. It is worth noting that, in the group representation the chromosomes could be of variable length. Two crossover points will be selected in each parent group-lineup randomly. And, the groups in middle part of the second parent group-lineup will be inserted in first parent group-lineup at the first crossover point. For example, the group-lineup of the parents are as follows:

P1 : ADE|BF|C      (ADEBFFBC)  
P2 : bd|ca|          (bbdcabba)

where the groups with same alphabetic character but with different cases (upper and lower cases) are same but represent that group in first and second parent, and crossover points are marked as |. Also, the straightforward encoding of the chromosome is provided in parentheses.

After insertion, the offspring group lineup of the offspring will look like (ADEcaBFC). Because the groups "c" and "a" are inserted from the second parent, their matched groups in first parent "C" and "A", are no longer valid and these two groups and all their assignments to individual genes will be removed from the offspring; remaining the offspring group lineup as (DEcaBF). For our example, the straightforward encoding of the offspring will be: (?DEcaFBa). "?" symbol shows that the first individual gene has no group assigned to it any more because group A is removed from the chromosome. In a same way, there are some individuals which are in groups "c" and "a" in second parent while they are in other groups in first parent. The group of these individuals will be replaced with inserting groups from second chromosome. The groups of replaced individuals need to

be removed with all assignment to individual genes which are groups “B” and “F” in the first parent. For our example, the straightforward encoding of the offspring will be: (?DEca??a). Now, there are some individuals which their group assignments are removed from chromosome in previous actions which needs to be reinserted in the offspring chromosome. First Fit Descending algorithm (Garey and Johnson, 1979) is used in order to reinsert the removed individuals into the chromosome. The priority is with the groups which are almost full.

In mutation operator of grouping genetic algorithm, the lineup of groups will be created in a similar way of the crossover operation. Then, some groups will be chosen by random and those groups with their containing individuals will be removed from the chromosome. Then, there are some individuals, which have been removed in previous action, and are needed to be reinserted into the chromosome. A similar action as that of the crossover operator will be taken here in order to reinsert the removed individuals into the chromosome.

## 2.2 GGA in Server Consolidation

Xu et al. used Grouping Genetic Algorithm (GGA) in (Xu and Fortes, 2010) in order to achieve multi-objective goals in placement of virtual machines in virtualized data center environments. They claimed that normal GGA crossover operator is not efficient and they modified it to achieve better results. They proposed a ranking-crossover instead, and claimed that new crossover is able to inherit good features from parents more efficiently. They evaluated all the individuals based on three evaluation functions which they used to represent their three optimization objectives. These three objectives were resource usage efficiency, power consumption efficiency, and thermal efficiency. They represented some evaluation functions for each of these objectives. The evaluation results were some numbers in the range of [0,1]. Instead of random selection of crossover points, the selected groups for insertion to the first chromosome are most likely selected from groups with higher rank in ranking evaluation of three objectives. They claimed that this way, the high quality groups will most probably remain intact and optimizer will reach to better solutions faster. They also combined GGA with fuzzy concepts in order to achieve the best solution for their several objectives problem.

Shubham Agrawal et al. used the GGA algorithm for a server consolidation problem in (Agrawal et al., 2009). They modeled the server consolidation problem as a vector packing problem with conflicts. In

their mathematical model, they tried to differentiate between efficiency of bin packing and number of bins which are packed. Their model was designed to prefer the bin-packing efficiency over bin number optimization. They used the original version of the GGA in order to solve the optimization problem.

In another work, David Wilcox et al. introduced another type of GGA algorithm known as Reordering Grouping Genetic Algorithm (RGGA) (Wilcox et al., 2011). They describe the multi-capacity bin-packing problem in data center server consolidation as bins (servers) with multiple capacities (CPU, memory, network, storage, and etc.) and VMs with multiple weights. In their proposed grouping genetic algorithm, each individual has several representations, and they claim these multiple representation will lead to better solution in more efficient time frame. Parent chromosomes are chosen with a higher probability for more fit individuals. In their approach, they combined all the bins from both parent chromosomes and sort them by fitness. The fuller a bin is, it is on top of the list, and less full bins are at the bottom of the list. From the top of the list, some bins will be selected and the rest of the bins will be discarded. If there is a bin which contain an individual belongs to already selected bins, that bin will be discarded as well. For the individuals which are discarded, they will be ordered by their fitness and first fit descending algorithm will be used in order to reinsert them to the offspring chromosome.

Because the algorithm always prefers tightly packed bins over other bins, they added a Gaussian noise to the fitness function of the individuals in order to escape the local minimums. Respectively, in their mutation operator, the mutation take place more on less fit bins than good bins. This will assure that the structure of good groups does not intact often. They used three mutation operator. First, normal GGA mutation while some bins will remove randomly and their individuals will reinsert into the chromosome. Second, two items in the order list will be swapped, and third, one item will be randomly relocate in the order list.

## 3 MULTI-LEVEL GROUPING GENETIC ALGORITHM

In the GGA, a new crossover and mutation operators were introduced in order to save the group relations between individual genes. In a similar way, here, the MLGGA crossover and MLGGA mutation operators are introduced in order to preserve the relations between groups. These operators substitute the normal

GA crossover and mutation operators and work along with the other GA operators as shown in lines 6 and 7 of the following MLGGA pseudocode:

- 1: Choose initial population.
- 2: Evaluate each individual's fitness.
- 3: **repeat**
- 4:   Select individuals to reproduce.
- 5:   Mate pairs at random.
- 6:   Apply MLGGA crossover operator.
- 7:   Apply MLGGA mutation operator.
- 8:   Evaluate each individual's fitness.
- 9: **until** terminating condition

### 3.1 MLGGA Crossover

In the virtual cloud problems, the positions of VMs are the variables of the problem. In these problems, grouped variables, such as server consolidation, lower the cost function. However, normal GA crossover break the existing groups in parent genes, and probability of preserving the good grouping features presented in parent genes is very low. Although the GGA crossover provides a way to preserve the grouping features in parent genes, there are relations between groups that the GGA crossover is not able to preserve, and most probably it breaks these relations. In the network of data centers, the GGA is good to consolidate VMs on servers, but it is not able to identify that there are benefits in choosing servers from only one data center. For example, the GGA may consolidate VMs on different servers which allow us to turn off some of the servers and save energy, but it is not aware that if it consolidate all servers on less number of data centers as well, it may save a lot more by turning off an intermittent data center.

For example, assuming parent genes P1 and P2 and their groups are as follow:

P1 : ACDEGIJB  
(ACDEGAIJDCBACDEAGIA)  
P2 : bcghieda  
(bcghieddacccehigha)

If each group is assigned to a higher level group (a bigger bin) as follows:

$W=\{A\}$   $X=\{B,C\}$ ,  $Y=\{D,E,F\}$ ,  $Z=\{G,H,I,J\}$   
 $w=\{a\}$   $x=\{b,c\}$ ,  $y=\{d,e,f\}$ ,  $z=\{g,h,i,j\}$

The genes group lineup can be rewritten as their higher level groups as follows by replacing the group representations (for example, ACDEGIJB for P1) by their higher level group labels:

P1 : WXYZZZZX ← ACDEGIJB  
P2 : xxzzzyyw ← bcghieda

As it is shown above, some higher level groups are repeated in the group lineup. Here, we create a

higher level group lineup (level 2 group lineup), and we keep only one gene per higher level group similar to what we did in group lineup in lower level. Now, the chromosome could be written as below:

P1 : WXYZ WXYZZZZX  
(ACDEGAIJDCBACDEAGIA)  
P2 : xzyw xxzzzyyw  
(bcghieddacccehigha)

where the first column is the new level 2 group lineup representation of the chromosomes. The crossover will be done on the level 2 group lineup representation of the genes: (WXYZ) and (xzyw). Like the GGA, two crossover point will be chosen randomly on each gene:

P1 : WX|Y|Z WXYZZZZX  
(ACDEGAIJDCBACDEAGIA)  
P2 : x|zy|w xxzzzyyw  
(bcghieddacccehigha)

and the middle part of second gene will be inserted to the first gene, and similar higher level groups in first gene with their assigned groups and containing individuals will be removed from the gene.

Offspring : WX|zyY|Z

As it is shown in above, higher level groups (z) and (y) are inserted from second parent to the first parent. This means that their matching higher level groups (Z) and (Y) are not any more valid and their containing groups (D,E,F,G,H,I,J) and their containing individuals should be removed from the chromosome; which remains the offspring chromosome as below:

Offspring : WXzy (ACghiedd?CBACehighA)

Genes number 3-8, and 14-18 in second parent (P2) are belongs to groups (d,e,f,g,h,i,j) which are belongs to higher groups (y,z) and they are transferred directly from second chromosome to the first chromosome. Gene number 9 is in group (D) in first parent which belongs to higher level group (Y) which needs to be removed as mentioned above.

For the genes in first parent, which are replaced with genes from second parents, there are some individuals which are belongs to some groups and higher level groups which are not yet removed from the chromosome. For our example, genes number 6 and 16 are belong to group (A) in first parent chromosome which are replaced with (e) and (i) from the second parent chromosome. These individuals with their co-group and co-higher-group individuals need to be removed from the chromosome as well. Co-group individuals of an individual are those genes which are in the same group, and co-higher-group individuals of and individual are those genes which are in the same higher



group. For our example, all individuals in higher level group (W) which is higher level group of (A) need to be removed from the offspring chromosome. For our example, the offspring chromosome will be like this:

Offspring : Xzy (?Cghiedd?CB?Cehigh?)

As it is shown in above, higher level groups (X) from first parent, and (z) and (y) from the second parent are preserved in the offspring chromosome intact which is the goal of the crossover operator.

At the end, there are some individuals which are not assigned to any group and higher level group. These individuals will fit in the chromosome by using the First Fit Descending algorithm or more advanced fitting techniques. Higher level groups which are fuller will be chosen first, and also fuller groups are in more priority for first fit algorithm.

### 3.2 MLGGA Mutation

The MLGGA mutation is very similar to the MLGGA crossover concept. From a selected chromosome:

P1 : WXYZ WXYZZZX  
(ACDEGAJJDCBACDEAGIA)

Some higher level groups will be randomly chosen, and all co-group and co-higher-group individual genes will be removed from the chromosome. For our example, if higher level group (Z) is selected to be removed, the remaining chromosome will be as below:

P1 : WXY WXY??X  
(ACDE?A??DCBACDEA??A)

Then, the First Fit algorithm will be used to reinsert them to the chromosome as described in crossover operator section.

### 3.3 Extensions of the MLGGA Crossover and Mutation

In the GGA, the concept of group of individual genes is introduced. In previous section, we described a situation where there are some relations between groups of groups in a problem. We can extend this solution for cases in which there are several level of grouping involved. For example, if, in a problem, individuals are grouped by some criteria, the problem has grouping relations at level 1. If the groups of level 1 are grouped by some other criteria, there will then be a grouping of level 2. And similarly, we can have grouping of level  $n$  for a problem.

For a problem with the grouping of level  $n$ , a level  $n$  MLGGA crossover and mutation should be used. The concept of the level- $n$  MLGGA crossover and

mutation is similar to what we described in previous subsections which was a level-2 MLGGA crossover and mutation. For the level- $n$  MLGGA crossover, individual genes will be represented by their level 1, level 2, ..., level  $n$  groups. Two crossover points will be selected randomly in parents level- $n$  groups representation, and the second part of second chromosome will be inserted to the first chromosome. The matching level- $n$  groups in first chromosome with all their individuals will be removed from the offspring chromosome. For those individual genes in first parent which are replaced with transferring genes from second parent, all their co-level- $n$ -group individual genes will be removed as well. Co-level- $n$ -group individuals of an individual are those genes which are in the same level  $n$  group. At the end, all removed individuals will be inserted to the chromosome with using a First Fit algorithm or more advanced algorithms as described in previous subsections. According to this definition, the GGA algorithm is a level-1 MLGGA.

Level- $n$  mutation operator will be defined in a very similar way with randomly selecting some level- $n$  groups and removing their individuals and reinserting them.

## 4 VIRTUAL PRIVATE CLOUD USE CASE

In order to examine the performance of new algorithm on energy efficiency and Carbon footprint reduction, we test it in a simulation platform. A Virtual Private Cloud (VPC) (Van der Merwe et al., 2010)(Wood et al., 2010)(Farrahi Moghaddam et al., 2011) is simulated and tested under two heuristic algorithms: the GGA and the proposed MLGGA. Different case studies are considered to test the proposed algorithm as follows:

- Medium-scale network under normal load (Case study 1):

In this case study, a network of 7 data centers in 7 cities around the world is simulated and carbon footprint and energy consumption of the network is measured under different optimization algorithms. Initial utilization of servers are about 60% in this case study. This case study shows how the proposed algorithm competes with the other algorithm in a medium-scale network under medium utilization. This case study is the baseline case study for this research. Some parameters are changed in this case study to create new case studies. For example, in order to see the effect of high utilization on the algorithms, the following case study is considered.

- Medium-scale network under heavy load (Case study 2):

In this case study, A network similar to case study 1 is simulated and Carbon footprint and energy consumption of the network is measured under different optimization algorithms. Initial utilization of servers are about 90%. This case study shows how the proposed algorithm outperforms the other algorithm in a medium-scale network under heavy utilization.

Another important parameter is the network size which is considered in the following case study in which a large-scale network is defined in order to show the effect of the size of network on the algorithms.

- Large-scale network under normal load (Case study 3):

In this case study, A network of 20 data centers in 11 cities are simulated and carbon footprint and energy consumption of the network is measured under different optimization algorithms. Initial utilization of servers are the same as case study 1. This case study compares the performance of the new algorithm compete with other algorithm in a Large-scale network under medium utilization.

#### 4.1 Simulation Platform Specifications

Simulation platform is designed in Matlab environment. In this platform, a set of components are simulated such as data centers, servers, VMs, VM migrations, and weather conditions. It is possible to define more than one data centers in each selected cities in this simulation platform. Each data center can be connected to a source of renewable energy and alternative non-green source of energy. Renewable source of energies which are simulated in this environment includes solar, wind, hydro, and nuclear source of energies. There is battery bank in each data center which stores extra green power to be used when the source of green energy is not available. The simulator estimates the energy used in each data center based on the number of running servers and other utilities, and calculates the extra green power. Knowing the extra green power at a moment, the simulator will update the battery charge of each data center. Not all source of green power are the same, and each renewable source of energy has its own cleanness measured as the  $g$  factor (Farrahi Moghaddam et al., 2011). The  $g$  factor changes according to the availability of source of energy and charge of batteries in each node. For example, for solar and wind energy, if there is enough energy stored in the batteries, the  $g$  is high. In contrast, when the batteries are discharged and data center is

using the grid energy the  $g$  factor is low. For hydro and nuclear energy, if energy exist,  $g$  factor is always high, and for grid energy powered by coal,  $g$  factor is always low.

#### 4.2 Optimization Algorithms

To evaluate the efficiency of the proposed algorithm, the MLGGA algorithm is compared with the GGA algorithm which is used in other works for energy efficiency in virtualized data center environments. carbon footprint and energy consumption of the network are also measured when there is no optimization in order to have a baseline in the comparison of the results of the GGA and the MLGGA. This will show how much energy and carbon these two algorithm can save. As shown in the previous works section, there are things which can be done to improve the result of the GGA in energy efficiency in virtualized data center environment. Here, we use the same improvements for both GGA and MLGGA as described in previous works. The only differences between the two algorithms implementation are the crossover and mutation operators, and the rest of the algorithms are exactly the same, and both algorithms benefit from the enhancements.

#### 4.3 Carbon and Energy Measurement

One of very important parts of the new algorithm evaluation is the carbon and energy measures which are used to show the carbon/energy footprint of the whole network. For this research, a measurement tool which is developed for virtual private clouds is used to measure the Carbon and energy footprint of the whole network of data centers (Kansal et al., 2010)(Economou et al., 2006)(Farrahi Moghaddam et al., 2011). For Carbon footprint the following formulation is used:

$$C(t, \Delta_t) = C_m(\Delta_t) + C_{DC_{on/off}}(\Delta_t) + \rho_{max} \sum_d O_d (1 - g_d(t)) \{ P_{c_d}(t) + P_{p_d}(t) + \sum_{s \in d} O_s (\alpha_{cpu_s} \mu_{cpu_s} + \alpha_{mem_s} \mu_{mem_s} + \alpha_{io_s} \mu_{disk_s} + \gamma_s) \} \Delta_t \quad (1)$$

where  $C(t, \Delta_t)$  is the total carbon footprint of the network in time  $t$  for time period of  $\Delta_t$ . For more details please see (Farrahi Moghaddam et al., 2011).

And for energy measurement, the following formulation is used:

$$E(t, \Delta_t) = C_m(\Delta_t) / \rho_{max} + C_{DC_{on/off}}(\Delta_t) / \rho_{max} + \sum_d O_d \{ P_{c_d}(t) + P_{p_d}(t) + \sum_{s \in d} O_s (\alpha_{cpu_s} \mu_{cpu_s} + \alpha_{mem_s} \mu_{mem_s} + \alpha_{io_s} \mu_{disk_s} + \gamma_s) \} \Delta_t \quad (2)$$

where  $E(t, \Delta_t)$  is the total energy consumption of the network in time  $t$  for time period of  $\Delta_t$ . For more details please see (Farrahi Moghaddam et al., 2011).

The objective of this work is to reduce the carbon footprint and emissions. As shown in (Farrahi Moghaddam et al., 2011), Carbon optimization and energy optimization are not equivalent in VPC environments. When the Carbon is optimized, energy is not necessary optimized. Here, the energy is measured just as a reference, and no optimization with respect to energy is performed. There are many works that deal with energy efficiency in the literature such as dynamic CPU speed, energy-aware job scheduling, server consolidation (Zhang et al., 2008).

#### 4.4 Results

The algorithms are tested on medium-scale and large-scale networks in a simulation environment<sup>1</sup> which are shown in Figure 1 and Figure 2.

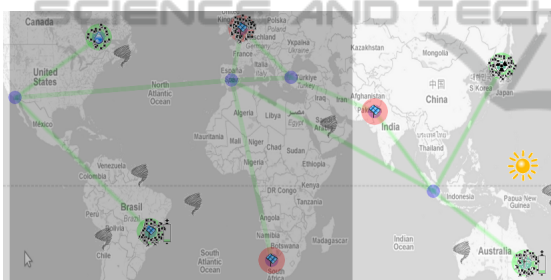


Figure 1: Medium-scale network of data centers (case study 1).

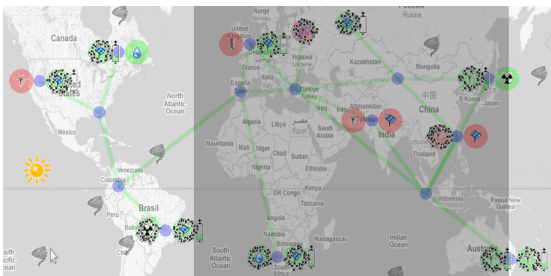


Figure 2: Large-scale network of data centers (case study 3).

As it is depicted in Figures 1 and 2, each data center is illustrated with a red or green filled circle. Red circle means that data center is using a source of energy with a  $g$  factor less than 0.5, and green circle means that data center is using a source of energy with

$g$  factor greater than 0.5. The type of source of energy for each data center is illustrated as an icon in the middle of the circle. Available source of energies in this simulation are solar, wind, hydro, nuclear, and grid (coal). As it is shown, hydro and nuclear source of energies are always green, and grid source of energy is always red. For solar and wind source of energies, it depends on existence of sun and wind, and also on the amount of energy stored in the batteries. For example, in Figure 1, the solar power in Brazil is green even though at the moment the snapshot taken it is midnight there. It is because of available solar power stored in batteries of the data center. As it is shown, there is a battery indicator near the data center which is reflecting the remaining battery charge in each data center. The battery indicator for data center in Brazil shows that there is not much battery left and the data center will soon switch to grid which is a non-green source of energy. This has already happened for the data center in South Africa and France. The data center in India is in day time, but it is still red. There are two reason for that. First, it is early morning in India, so the sun light is not direct, and solar power generation is low. Second, there is not enough energy stored in data center batteries in order to enable the data center to switch from grid power to solar power.

For case study 1, measured carbon and energy are shown in Figures 3 and 4.

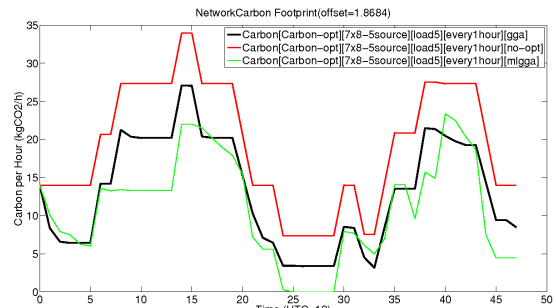


Figure 3: Carbon measurement in case study 1.

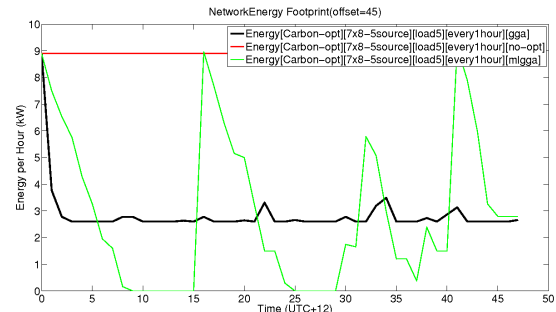


Figure 4: Energy measurement in case study 1.

<sup>1</sup><http://www.greenservices.info/2011/10/simulation-environment.html>

In the legend of figures Figures 3 and 4, Carbon means that carbon is measured and Energy means that energy is measured, while [Carbon-opt] means that for all cases the optimizer was trying to minimize the carbon and not the consumed energy. The tag [7x8-5source] shows the structure of the network which is a 7 data center with 8 server on each data center with 5 different type of source of energy. [every1hour] means that the optimizations are done for every one hour, according to (Farrahi Moghaddam et al., 2011) this is an acceptable interval. [gga], [no-opt], and [mlgga] represent the optimization algorithm for each graph. All the graphs need to be summed with the offset value in the title of each graph in order to achieve the real carbon or energy value.

As it is shown in Figure 3, the proposed algorithm has a better performance compare to the GGA. The associated curve of the MLGGA is under the curve of the GGA in the most of time. This is not the case for the energy as it is shown in Figure 4, and the energy footprint of the MLGGA is not visually better than the GGA. As described in (Farrahi Moghaddam et al., 2011), carbon optimization and energy optimization are not equivalent in network of data centers with different energy and carbon footprint profiles, and here we confirmed it again.

For case study 2, the measured carbon and energy are shown in Figures 5 and 6. As it is shown, the MLGGA has a better performance compare to the GGA, but the difference in the performance is decreased because of higher data center utilization.

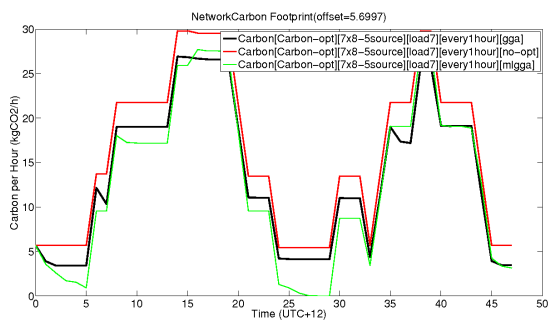


Figure 5: Carbon measurement in case study 2.

For case study 3, measured carbon and energy are shown in Figures 7 and 8. The graph shows more complexity compared to case study 1 and 2 according to higher number of involved data centers in this case study. The better performance of the MLGGA is visually recognizable on the carbon graph. Because the optimization for each point is not isolated from previous points, we cannot compare the two curves point to point. To have a better understanding of the amount of carbon footprint and energy consumption, the ac-

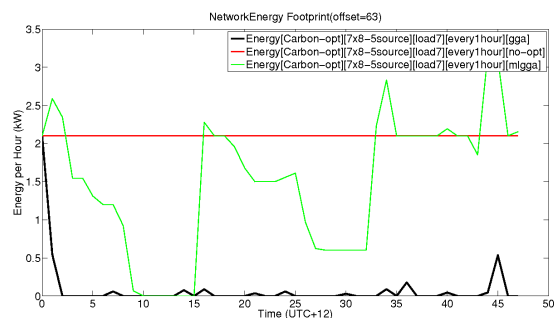


Figure 6: Energy measurement in case study 2.

cumulated amount of emitted carbon is summarized in Table 1 and Table 2, and accumulated amount of consumed energy is summarized in Table 3 and Table 4.

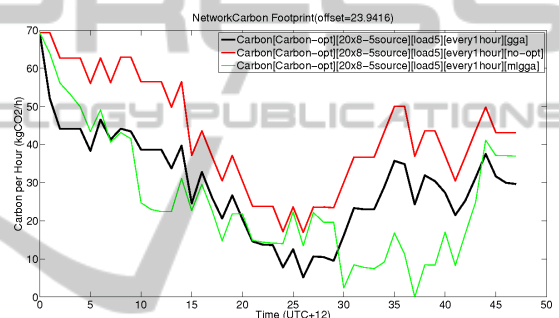


Figure 7: Carbon measurement in case study 3.

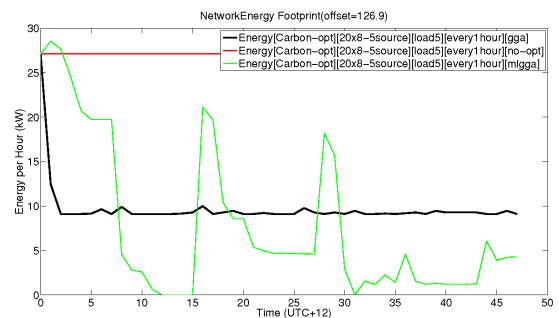


Figure 8: Energy measurement in case study 3.

The "No-opt", "GGA", and "MLGGA" columns show the exact measured emitted carbon of the network. the "GGA %" and "MLGGA %" columns show the emissions percentage of the two optimization algorithms with respect to the no-optimization situation. And the "MLGGA perf. %" column show the performance of "MLGGA" over "GGA". As shown in the Table 1 and Table 2, the MLGGA has a better performance of 10.65 % over the GGA in case study 1. The MLGGA has better performance compare to



Table 1: 48 hour carbon footprint.

Case study	No-opt	GGA	MLGGA
	CO2kg	CO2kg	CO2kg
Case 1	1009.56	719.79	612.30
Case 2	1040.31	922.92	877.14
Case 3	3202.28	2560.70	2369.49

Table 2: 48 hour carbon footprint.

Case study	GGA	MLGGA	MLGGA perf.
	%	%	%
Case 1	71.30	60.65	10.65
Case 2	88.72	84.32	4.40
Case 3	79.96	73.99	5.97

the GGA in case study 2 and 3 too, but the better performance is decreased when network is more utilized or the network is bigger. Overall, the table shows a better performance for the proposed algorithm. For more utilized network, it is much harder for the GGA and the MLGGA to group all the VMs on some data centers with green energy and empty the one with non renewable energy due to high number of VMs in the network.

Table 3: 48 hour energy footprint.

Case study	No-opt	GGA	MLGGA
	KWh	KWh	KWh
Case 1	2587.20	2296.48	2299.63
Case 2	3124.80	3027.88	3095.52
Case 3	7392.00	6555.61	6466.39

As mentioned earlier, the gain on energy is little or even negative as it is shown in Table 3 and Table 4. With targeting on Carbon, this loss will pay off in future with Carbon penalty/reward regulations.

## 5 CONCLUSIONS AND FUTURE WORK

According to the results, the level-2 MLGGA, introduced in this work, can provide better results in problems such as VPC carbon optimization. The GGA was able to reduce 28.7% carbon emission compare to no-optimization situation, while the MLGGA was able to reduce 39.35% carbon emission compare to no-optimization case which shows that the MLGGA has an overall 10.65% better performance compared to the GGA. When the utilization of the network of data centers is increased, the MLGGA was able to reduce 4.4% more in carbon emission compared to the

Table 4: 48 hour energy footprint.

Case study	GGA	MLGGA	MLGGA perf.
	%	%	%
Case 1	88.76	88.88	-0.12
Case 2	96.90	99.06	-2.16
Case 3	88.69	87.48	1.21

GGA. The decrease in the relative performance compared to the low-utilization case is because of higher number of VMs in the network. This lowers the possibility of emptying a whole data center from virtual machines. But, overall the MLGGA has a better performance in higher utilization compare to the GGA. In another test, when the network size increased from 7 data centers to 20 data centers with the same rate of utilization, again the MLGGA outperforms the GGA with 5.97% extra Carbon emission decrease. Overall, the MLGGA has a better performance compared to the GGA in problems such as low-carbon virtual private cloud problem.

Beside the carbon footprint, the energy consumption of the network was measured. According to the results the energy consumption for the MLGGA has a little improvement or declination compared to the GGA over time. This is because of the nature of the virtual private cloud problem. In VPC, which is distributed over different locations and powered with different source of energies, the carbon footprint reduction and energy efficiency are not equivalent. According to our objective, the carbon footprint was minimized. According to the cost of renewable source of energies, carbon footprint optimization is costly now, but with implementation of the expected carbon penalty/reward regulations in the near future, carbon footprint optimization could be used to minimize the overall cost of the network as well.

For future works, the following suggestion might be considered: i) The MLGGA can be used on other type of grouping problems and success of the algorithm can be compared with other heuristic algorithms, ii) The higher levels of MLGGA can be tested on problems with higher level of grouping, iii) More real world data can be used in the simulations in order to make the results more usable in real world VPC implementations, iv) the energy consumption can be chosen as target and the indirect carbon footprint reduction can be studied, and v) for having a good estimation of cost in such a networks, the real cost of operating a virtual private network can be model and measured. The measured cost can be compared for different inter- and intra-data center topologies with their constraints, such as pooling limits. The solution will be different for different load scenarios, and also for different application types running on the VMs.

One scenario could be the comparison of costs of carbon footprint optimization and energy consumption optimization. In addition, various penalty/reward regulations for carbon footprint reduction, such as carbon tax, can be model and simulated in order to estimate the success rate of such networks in real world conditions.

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