

Identification of Thalassemia Using Support Vector Machine

Gurbinder Kaur and Vijay Kumar Garg

School of Computer Science and Engineering, Lovely Professional University, Phagwara, Punjab, India

Keywords: Thalassemia, Support Vector Machine (SVM), Machine Learning, Hematological Parameters.

Abstract: Thalassemia is an inherited blood disorder where a person does not have normal hemoglobin production and is due to abnormal genes present in the DNA of a person. Consanguineous marriages bring about an increase in the occurrence of such disorders especially in the Thai regions of the world thus, making it a serious public health issue. An early and precise diagnosis for this type of blood disorder is crucial for better patient management as well as providing genetic counseling effectively. This study focuses on the application of Support Vector Machine to identify thalassemia from CBC data. We aim to develop a reliable and robust model by integrating various hematological parameters including hemoglobin concentration, red blood cell indices and other Thalassemia relevant biomarkers. The concerned dataset was pre-treated to take care of the missing values and maximization of SVM efficacy was achieved by data normalization. It was found that the SVM model is quite efficient in terms of accuracy of classification, hence it can be considered as a good tool for diagnosis for Thalassemia. The SVM technique has the potential to make the identification, treatment expeditious and liveness and the scope of Thalassemia detection relatively large.

1 INTRODUCTION

The genetic disorder 'Thalassemia' is heterogeneously grouped disease with low production of hemoglobin (Hb) alpha and beta chains. Hemoglobin is responsible for carrying oxygen to various parts of body, the component of red blood cells. It is formed by alpha and beta proteins. When the human body does not produce any of these proteins, red blood cells are grown enough to supply sufficient oxygen that causes anemia in early childhood stage and remain for life-long (Weatherall et al. 2001). Thalassemia is genetically transfer from one of the parents to their child. The reason of disease can be mutation or deletion of certain genes. Alpha thalassemia patients have no alpha-globin gene due to which there is either decrease or completely absence of alpha globin chain. Four alleles are present in the alpha globin gen, and depending on the quantity of alleles deleted, alpha thalassemia varies from mild to severe. The most severe form involves the deletion of all four alleles, resulting in no production of alpha globin's, while excess gamma chains, which are typically present during fetal development, form tetramers. This condition is not compatible with life and leads to hydrops fetalis. In contrast, the deletion of just one allele represents the mildest form and is

often clinically silent. In beta thalassemia, body is not able to produce enough beta-globin genes, one of the essential components of hemoglobin, which are crucial for RBCs. The shortage of RBCs occurs due to decrease in beta globin that cause anemia (Origa R. (2017)). The severity and type of beta thalassemia depend on the degree of anemic patients. Sometimes it is mild anemia, and this type of the disease is commonly called as minor beta thalassemia or having beta thalassemia trait. This is starting type of beta thalassemia and does not usually require medical treatment. The patient with moderate anemia has intermedia type of Beta thalassemia requires blood transfusion. Patient suffering from beta thalassemia major need continuous medical care along with therapy of blood transfusion.

Thalassemia is diagnosed through blood tests and genetic analysis in secure lab conditions traditionally (Weatherall et al. 2001). These diagnosed methods are accurate but slow and expensive. In some regions it is not possible to diagnose thalassemia due to limited resources. Presently with the machine learning techniques, disease diagnosis become less costly, scalable and faster. This research paper's objective is to create SVM model for classification between normal and thalassemia patients using CBC parameters.

2 RELATED WORK

Study (Lachover-Roth et al. 2024) diagnose α thalassemia carriers based on RBC indices and analysis of hemoglobin. The SVM formula developed and then validated using a dataset of 1334 suspect carrier women for detection, compared to molecular analysis. Shine and Lal, as well as Support Vector Machine formulas were effective in the detection of thought-to-be α -thalassemia carriers with high sensitivity and NPV (Negative predictive value). SVM formula in detecting α thalassemia carriers: The sensitivity of the SVM by 99.33%, NPV - 99.93% Shine and Lal formula - Sensitivity (85.54%), NPV (98.93%).

Study (Fu et al. 2021) construct the machine-learning classifier by combining existing indices and novel ones using machine learning algorithm. A total of 350 patients suspected to have thalassemia contributed a dataset for the training and validation of our classifier, compared in performance with thirteen indices. This method first created a classifier through adopting SVM model and cross-validation (10-fold) was then employed for selecting optimal parameters for SVM model. The average AUC and error rate of this classifier were 0.76 (the highest) and 0.26, respectively.

Authors (Sa'Id, A. A et al. 2021) used Twin Support Vector Machines (TSVM) in this study as one of the machines learning developed techniques inspired by Support Vector Machines (SVM), as this technique purposed to find the nonparallel hyperplanes to solve binary classification problem. This was done by three popular kernels based on many studies such as Linear, Polynomial, and Radial Basis Function (RBF). The model TSVM gives best performance using kernel function 'Rbf' having average accuracy 99.32%, precision 99.75% and F1 score 99.24%. the model performance on 'Polynomial' kernel is 99.79% average recall.

Article (Wirasati et al. 2021) compare the performance of SVM model with 'Linear', 'Polynomial' and 'Gaussian Radial Basis Function' to classify thalassemia dataset. The training and testing ratio used was 90% and 10% respectively. The accuracy of kernel function 'Rbf' was 99.63%. The performance of model on 'Linear' and 'Polynomial' was with accuracy of 98.23% and 97.9% respectively.

Authors (Laengsri et al. 2019) create a machine learning model classify thalassemia and iron-deficiency anemia using hemoglobin parameters derived from CBC analysis. In this paper, five ML techniques – random forest, support vector machine, decision tree, artificial neural network and k-NN was

used to create a model. The dataset of 186 patients with 13 indices and discriminate formulas was used to train the model. The accuracy of this ThalPred model was 95.59% with performance value AUC of 0.98 and MCC of 0.87. SVM model performs better than other models to discriminate IDA and TT.

Research (Laeli et al. 2020) objective was to analyze thalassemia dataset with SVM model by doing hyperparameters tuning using Grid Search. The regularization parameter C and gamma parameter with kernel function 'rbf' was used in experiment. The proposed model gives accuracy of 100% with parameters value of 428.13 and 0.0000183 for C and gamma respectively. The training data of 90% was trained on holdout validation technique. The 10-fold cross validation technique also shows accuracy of 100% with value of 4832.93 and 0.0000183 for C and gamma parameters. It values gives better performance with C and gamma parameters than using their default values in 'rbf' function. The accuracy of 73.33% on holdout and accuracy of 57.14% for on 10-fold cross validation was shown by model.

Study (Roth et al. 2018) published formulas on a database of more than 22,000 samples, and created a new formula based on an SVM to identify β -thalassemia carriers. The formulas were judged according to their sensitivity, specificity and negative predictive value. The study found that the SVM formula and Shine's formula both showed sensitivity of >98% and value of negative predictive value (NPV) > 99.77% in detecting β -thalassemia carriers. All other published formulas gave inferior results.

Paper (Amendolia et al. 2003) uses ML models for thalassemia screening using SVM, MLP and KNN. The analysis proposes a dual-classifier framework predicated on SVM: the initial layer distinguishes between cases of pathological and non-pathological patients, and the next layer differentiates between two distinct pathologies. The findings indicate that the MLP classifier yields marginally superior outcomes in comparison to SVM, achieving a sensitivity of 92% and a specificity of 95%. However, SVM demonstrates a sensitivity of 83% coupled with a specificity of 95%. Although both classifiers perform admirably, this study highlights the nuanced differences in their effectiveness.

3 SUPPORT VECTOR MACHINE (SVM)

Support Vector Machines are indeed employed in the medical field for various tasks: disease classification,

image analysis and outcome prediction. However, there are circumstances in which alternative machine learning algorithms like deep learning models might be preferred for certain medical applications because they can handle complex and data of high-dimensions (Rustam et al. 2022). In this realm of SVM, a hyperplane functions as the decision boundary that separates data points into distinct categories. In a two-dimensional space, this appears as a line; in higher dimensions, it transforms into a plane or hypersurface. SVM is particularly well-known for its use of "kernels," which enable it to perform exceptionally well even when confronted with data that is not linearly separable (Widodo et al. 2007). Support Vector Machines (SVMs) have demonstrated exceptional proficiency in managing intricate medical data, primarily because of their capacity to navigate nonlinear relationships among no. of different features along with classes. The primary advantage of SVM classifier is its prowess of pinpointing an optimized decision boundary, which epitomizes the maximum margin to separate different classes. This optimal hyperplane is formed using training samples having a limited subset of total samples, known as support vectors (SVs) that are important data structures of SVM. These support vectors discard the irrelevant training samples

keeping the integrity of the SVM's decision function, called the 'optimal hyperplane' (Guido R et al 2024).

4 METHODOLOGY

The modules are designed for identification of thalassemia patients into 'Normal' and 'Beta-Thalassemia'. The modules are as under (Figure 1):

- Dataset and its preprocessing: The CBC parameters (Table-1) of patients have been gathered from local labs and hospitals for to distinguish individuals who may, however, be at risk for thalassemia. The target class of dataset is 'Normal' and 'Beta Thalassemia'. The dataset has 1 parameter including target class.
- Data Splitting, outlier detection and data balancing: Train-Test split is done before outlier handling and balancing of dataset using Smote Tomek is performed on train data to ensure the integrity of the data.
- Supervised Support Vector Classifier on train data is initialized, hyperparameter tuning and Stratified fold using 5-fold is used for cross validation of train data.

Table 1: SVM parameters.

CBC Parameter	Abb.used	Age Group	Normal Range
Mean Corpuscular Volume	MCV	All ages	80-100 fL
Mean Corpuscular Hemoglobin	MCH	All ages	27-31 pg
Red Blood Cell Count	RBC	Adults (Men)	4.5-5.9 x 10 ¹² /L
		Adults (Women)	4.1-5.1 x 10 ¹² /L
		Children (1-12 years)	4.0-5.5 x 10 ¹² /L
Hemoglobin	HB	Adults (Men)	13.8-17.2 g/dL
		Adults (Women)	12.1-15.1 g/dL
		Children (1-12 years)	11.0-13.5 g/dL
Red Cell Distribution Width	RDW	All ages	11.5-14.5%
Mean Corpuscular Hemoglobin Concentration	MCHC	All ages	0.5-2.5%
Platelet Count	PLT	All ages	32-36 g/dL
White Blood Cell Count	WBC	All ages	150,000-400,000 per µL
Hematocrit	HCT	Adults (Men)	4,000-11,000 per µL
		Adults (Women)	38.3-48.6(0.383-0.486)
		Children (1-12 years)	35.5-44.9% (0.355-0.449)

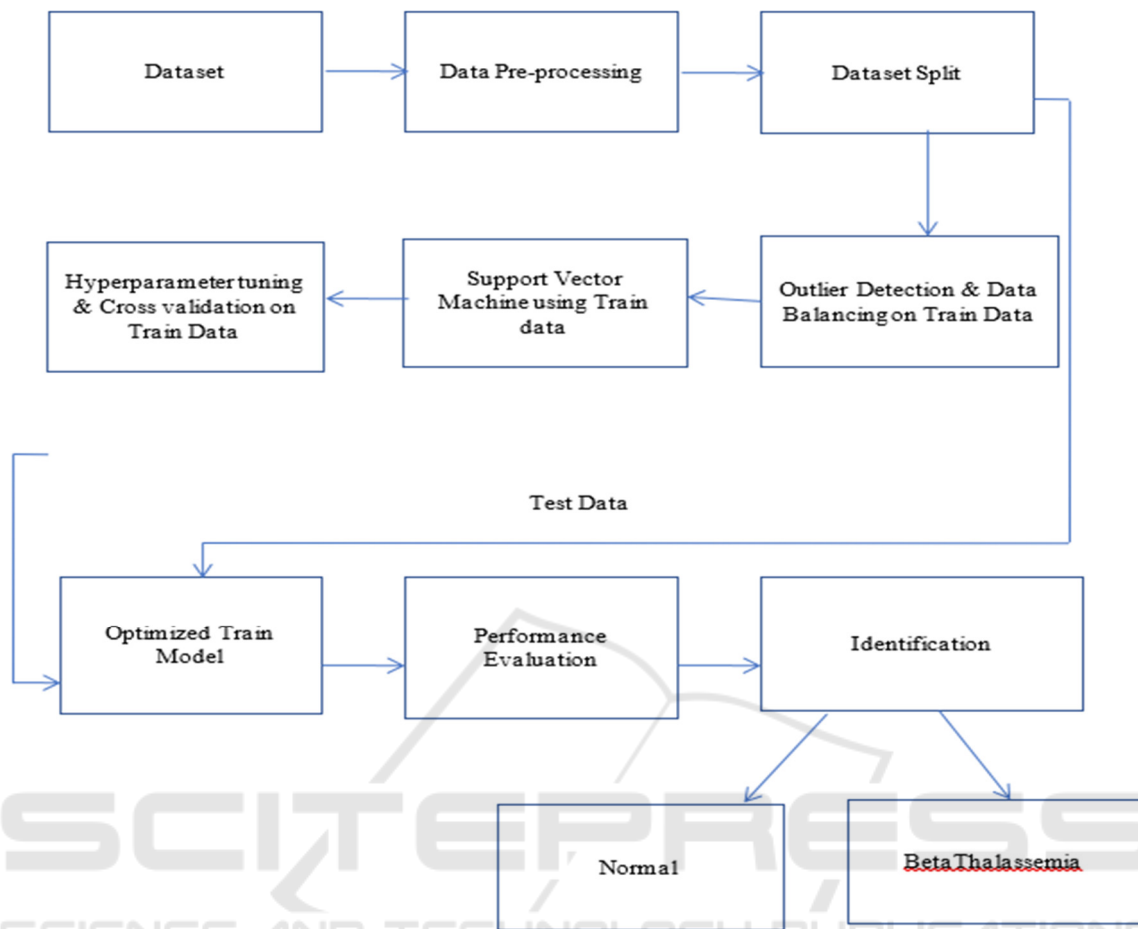


Figure 1: Proposed methodology. Source: Made by author.

- Optimized train model is tested by test data and Performance metrics Accuracy, Precision, Recall and F1 score is calculated. Finally, the model identified
- The patient with 'Normal' or 'Beta Thalassemia'.

with SVM technique. The following table 2 shows the performance metrics on test data. The accuracy score of SVM model is 96%.

The graphical representation of Precision and Recall is shown in figure 2:

5 RESULT ANALYSIS

Table 2: Precision and recall on test data.

Class Label	Precision	Recall
0	1.00	0.89
1	0.95	1.00

The real-world thalassemia dataset is used in classifying 'Normal' and 'Thalassemia' patients. CBC indices including demographic features 'Age', 'Gender' and target classes are used to create model

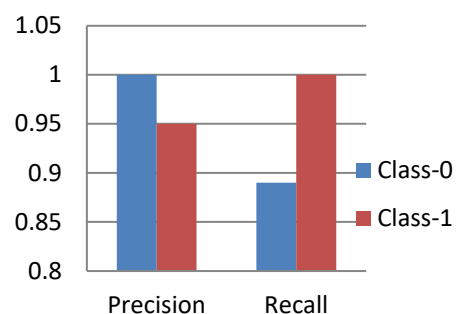


Figure 2: Precision and recall graph.

Receiver Operating Curve is graphical representation of how the model will classify data. At different threshold levels, true positive rate is plotted against false positive rate. The AUC-ROC is 0.97% for this SVM model is shown in figure 3.

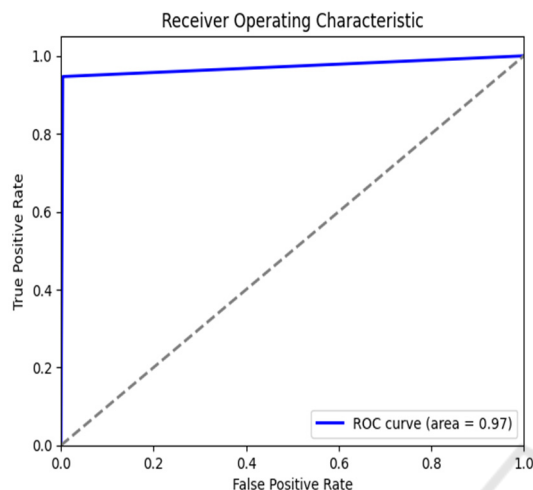


Figure 3: ROC curve. Source: Made by author.

6 CONCLUSIONS

The methodology to classify thalassemia with real-world dataset using SVM technique can assist medical professional using analysis of CBC indices. It is clear from accuracy of the model that practitioners can relies on this model for predicting thalassemia condition. In future other techniques will be applied on same dataset for better performance.

REFERENCES

- Amendolia, S. R., Cossu, G., Ganadu, M. L., Golosio, B., Masala, G. L., & Mura, G. M. (2003). A comparative study of k-nearest neighbour, support vector machine and multi-layer perceptron for thalassemia screening. *Chemometrics and Intelligent Laboratory Systems*, 69(1-2), 13-20.
- Ferih, K., Elsayed, B., Elshoeibi, A. M., Elsabagh, A. A., Elhadary, M., Soliman, A., Abdalgayoom, M., & Yassin, M. (2023). Applications of Artificial Intelligence in Thalassemia: A Comprehensive Review. *Diagnostics (Basel, Switzerland)*, 13(9), 1551. <https://doi.org/10.3390/diagnostics13091551>
- Fu, Y. K., Liu, H. M., Lee, L. H., Chen, Y. J., Chien, S. H., Lin, J. S., ... & Lai, J. Y. (2021). The TVGH-NYCU Thal-Classifer: Development of a Machine-Learning Classifier for Differentiating Thalassemia and Non-Thalassemia Patients. *Diagnostics (Basel)* 2021; 11 (9): 1725. DOI: <https://doi.org/10.3390/diagnostics11091725>. PMID: <https://www.ncbi.nlm.nih.gov/pubmed/34574066>.
- Guido R, Ferrisi S, Lofaro D, Conforti D. (2024). An Overview on the Advancements of Support Vector Machine Models in Healthcare Applications: A Review. *Information*, 15(4):235. <https://doi.org/10.3390/info15040235>
- Lachover-Roth, I., Peretz, S., Zoabi, H., Harel, E., Livshits, L., Filon, D., ... & Koren, A. (2024). Support Vector Machine-Based Formula for Detecting Suspected α Thalassemia Carriers: A Path toward Universal Screening. *International Journal of Molecular Sciences*, 25(12), 6446.
- Laeli, A. R., Rustam, Z., Hartini, S., Maulidina, F., & Aurelia, J. E. (2020, November). Hyperparameter optimization on support vector machine using grid search for classifying thalassemia data. In 2020 International Conference on Decision Aid Sciences and Application (DASA) (pp. 817-821). IEEE.
- Laengsri, V., Shoombuatong, W., Adirojananon, W., Nantasenamat, C., Prachayasittikul, V., & Nuchnoi, P. (2019). ThalPred: a web-based prediction tool for discriminating thalassemia trait and iron deficiency anemia. *BMC medical informatics and decision making*, 19, 1-14.
- Origa R. (2017). β -Thalassemia. *Genetics in medicine: official journal of the American College of Medical Genetics*, 19(6), 609-619. <https://doi.org/10.1038/gim.2016.173>
- Roth, I. L., Lachover, B., Koren, G., Levin, C., Zalman, L., & Koren, A. (2018). Detection of β -thalassemia carriers by red cell parameters obtained from automatic counters using mathematical formulas. *Mediterranean journal of hematology and infectious diseases*, 10(1).
- Rustam, F., Ashraf, I., Jabbar, S. et al. Prediction of β -Thalassemia carriers using complete blood count features. *Sci Rep* 12, 1999(2022). <https://doi.org/10.1038/s41598-022-22011-8>.
- Sa'Id, A. A., Rustam, Z., Novkaniza, F., Setiawan, Q. S., Maulidina, F., & Wibowo, V. V. P. (2021, September). Twin support vector machines for thalassemia classification. In 2021 International Conference on Innovation and Intelligence for Informatics, Computing, and Technologies (3ICT) (pp. 160-164). IEEE.
- Weatherall, D. J., & Clegg, J. B. (2001). The Thalassemia Syndromes.
- Widodo, A., & Yang, B. S. (2007). Support vector machine in machine condition monitoring and fault diagnosis. *Mechanical systems and signal processing*, 21(6), 2560-2574.
- Wirasati, I., Rustam, Z., Aurelia, J. E., Hartini, S., & Saragih, G. S. (2021). Comparison some of kernel functions with support vector machines classifier for thalassemia dataset. *Int J Artif Intell* ISSN, 2252(8938), 8938.