Traffic Prediction Using LSTM, RF and XGBoost

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Abstract:

Traffic congestion is one of the most challenging and lasting problems that causes many government concerns. It would lead to many problems, such as economic losses, fuel consumption, environmental costs, and so on. An efficient traffic system can significantly reduce congestion, which can bring many beneficial impacts on daily life. Accurate traffic flow prediction is crucial for effective traffic management. This study uses three machine learning models: Random Forest (RF), Extreme Gradient Boosting (XGBoost), and Long Short-Term Memory (LSTM) to predict vehicle counts at four different junctions of a city. Each of these models was evaluated based on key metrics – Mean Absolute Error (MAE), Root Mean Squared Error (RMSE), and R-squared (R^2). The outcomes showed that XGBoost performed the best among the examined models in terms of precision and computational efficiency. This paper also discusses the limitations of the models and future implications, which can be helpful in better managing transportation systems.

1 INTRODUCTION

Traffic congestion is a chronic problem affecting urban environments globally, which may be caused by high population density, increased number of vehicles, and infrastructural development (Vencataya et al., 2018). Therefore, effectively managing the traffic system is one of the most significant issues faced by modern cities nowadays. Accurate traffic flow prediction is an essential component of an intelligent traffic system. However, predicting traffic is very complex due to its dynamic nature, as researchers must consider various factors, including peak hours, weather conditions and special events. These factors are not correlated linearly, so traffic prediction becomes a challenging problem that requires more advanced analytical methods/models (Hong et al., 2015; Joaquim et al., 2015).

Over the years, many methods have been used to address the traffic prediction issue. In the last decade, researchers have commonly used statistical approaches, such as Autoregressive Integrated Moving Average (ARIMA) and Kalman filters, which have been the most studied techniques for time series forecasting. These methods are well-suited for simple linear relationship problems. However, traffic prediction is a complex spatial problem. Thus, they

presented difficulties in addressing such predictions (Medina-Salgado et al., 2022). Researchers have developed new methods to better manage traffic predictions and deal with new challenges.

Several machine learning techniques have been developed for traffic prediction. For example, models like Support Vector Machine (SVM), K-nearest Neighbors (KNN) and Artificial Neural Networks (ANN) have achieved better results to a certain degree, as they can better capture the non-linear patterns in the traffic data, thus becoming more appropriate choices for this problem. For example, Hong et al. (2015) proposed a hybrid multi-metric KNN model for the forecast of traffic flow, which showed better accuracy in combining a set of metrics to capture different data patterns.

Furthermore, many researchers have also used tree-based machine learning models such as Random Forest (RF) and Extreme Gradient Boosting (XGBoost) because they can bear more complex data entries. For instance, Wang and Fang (2024) combined XGBoost with wavelet analysis to improve short-term traffic flow prediction. They demonstrated the model's efficiency and precision in capturing periodic patterns.

Deep learning models, especially Long Short-Term Memory (LSTM) networks, have recently been

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trending in traffic prediction. As LSTM networks are designed to process sequential data and are capable of memorizing information for a long period of time, so this model is suitable for operating on time series data. LSTM models have been widely applied in various studies, especially in time series data forecasting, and their good performance has been proven accordingly. For instance, Ye et al. (2024) carried out a thorough analysis of traffic flow prediction by LSTM networks and outlined the capability for modelling complicated temporal dependencies in traffic data. While LSTM has many merits, its drawbacks might be long computational time and large sizes of datasets to achieve optimal performance.

The main objective of this study is to identify a machine learning model that can accurately predict traffic flow at different junctions of a city. This study considers three kinds of machine learning models: LSTM Networks, RF, and XGBoost. These models have unique advantages regarding handling time series data, non-linear relationships, and feature importance, making them suitable for traffic prediction. The study evaluates the performance of these models by metrics such as Mean Absolute Error (MAE), Root Mean Squared Error (RMSE) and R-squared (R^2) to determine the most effective model for traffic prediction.

The rest of the article is structured as follows: Section 2 discusses the methodology used in this paper, involves data preprocessing steps, model description, and the metric used to evaluate models' performance. Section 3 presents the results of the model evaluations and compares their performances based on key metrics. Section 4 discusses the limitations of the study and the future works that could improve the models. Finally, the conclusions are drawn in Section 5.

2 DATA PREPARATION AND MODEL OVERVIEW

2.1 Dataset Overview

Table 1: Dataset sample.

	Date Time	Junction	Vehicles	ID
0	2015-11-01 00:00:00	1	15	20151101001
1	2015-11-01 01:00:00	1	13	20151101011
2	2015-11-01 02:00:00	1	10	20151101021
3	2015-11-01 03:00:00	1	7	20151101031

The dataset used in this project was sourced from Kaggle (Fedesoriano, 2021). It contains vehicle counts across four junctions over several years. The dataset contains 48120 rows of data, with each row representing an individual traffic observation. Each observation has features shown in Table 1, including DateTime: the exact time of the observation, which indicates the month, day, and hour; Junction: the junction number where the observation was recorded; Vehicles: the number of vehicles passing through the junction at the time; Unique ID: an identifier for the row data. The target variable was the number of vehicles for the next hour.

2.2 Data Preprocessing

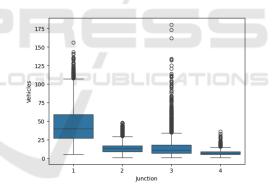


Figure 1: Outliers of vehicle counts for each junction (Photo/Picture credit: Original).

Data preprocessing is a critical step in machine learning model development, particularly for time series data such as traffic data, to enable models to learn and analyze the patterns in data effectively.

Table 2: Dataset after feature engineering.

DateTime	Junction	Vehicles	Year	Month	Day	Hour	Day of week
2015-11-01 00:00:00	1	15	2015	11	1	0	6
2015-11-01 01:00:00	1	13	2015	11	1	1	6
2015-11-01 02:00:00	1	10	2015	11	1	2	6
2015-11-01 03:00:00	1	7	2015	11	1	3	6
2015-11-01 04:00:00	1	9	2015	11	1	4	6

	ADF statistic	p-value	1% critical value	5% critical value	10% critical value	Stationarity
Junction 1	-7.366837	9.189060e-11	-3.430804	-2.861741	-2.566877	True
Junction 2	-9.151651	2.676498e-15	-3.430830	-2.861752	-2.566883	True
Junction 3	-6.614107	6.269937e-09	-3.430815	-2.861745	-2.566879	True
Junction 4	-6.378744	2.249640e-08	-3.431901	-2.862225	-2.567135	True

Table 3: ADF test for vehicle count data.

First, feature engineering was conducted. Temporal features such as year, month, day, hour, and day of the week were created to capture cyclical patterns in traffic, as shown in Table 2. Additionally, for preparing the data with the LSTM model, a sliding window approach was used to create sequences of historical data. This approach allows the model to capture more local patterns and learn efficiently.

Second, data cleaning was performed by detecting and eliminating outlier data in the dataset to prevent the models from misinterpreting the dataset due to anomalous data points. Figure 1 depicts the detected outliers, which do not represent normal road conditions due to special events like accidents or road closures, as the number of vehicles at the specific time was typically large, showing congestion. When these anomalies are eliminated, the data can represent typical traffic patterns.

After the creation of temporal features, the normalisation was performed to scale vehicle counts. This would keep all data ranges consistent for better and more stable model performance. Lastly, as seen in Table 3, the Augmented Dickey-Fuller (ADF) test was conducted to check for stationary in the vehicle count data. The stationarity of data is critical for time series models. It ensures that the data's statistical properties, such as the mean and variance, do not change over time. If the stationary test showed that the data were stationary (ADF test result is True), then the data could be used for further modelling directly. However, if the data were non-stationary (ADF test result is False), differencing needs to be applied on the data to remove any trends, making the data stationary.

2.3 Models

This paper uses the following models to predict traffic flow: RF, XGBoost, and LSTM.

RF is an ensemble learning method that builds many decision trees and merges their results to make predictions. This model is robust to overfitting and can demonstrate the importance of different features (Akhtar & Moridpour, 2021), which is vital for understanding the contribution of different features in the prediction.

XGBoost is an advanced tree-based gradient boosting algorithm, which is well-known for its high efficiency. It can handle complex interactions in structured data and process data features in parallel. Additionally, XGBoost has been known for its high precision and accuracy. Supported by a gradient boosting framework, the model reduces the error by minimizing a loss function, such as MSE. This iterative approach improves the model's precision at each iteration step, therefore, presents an enhanced prediction ability of the model (Dong et al., 2018; Wang & Fang, 2024). Thus, high computational efficiency and precision make XGBoost a strong choice for traffic flow prediction.

LSTM is a recurrent neural network (RNN) class that works particularly well in time series prediction applications. LSTM is able to capture long-term dependencies and temporal features in time series data, which are crucial for the accurate prediction of traffic patterns in time series. Unlike typical RNN networks that may struggle with the problem of vanishing gradients, the LTSM can keep information over more extended periods thanks to the internal gating mechanisms. In addition, LSTM can learn the data patterns itself without extra feature engineering, which is often required for many machine learning models (Ye et al., 2024). Therefore, these properties of LSTM make it a powerful model for traffic forecasting.

3 EXPERIMENT RESULTS

Cross-validation and hyperparameter tuning techniques were applied prior to the performance evaluation of the model. Firstly, cross-validation test was performed to assess the models' performance on various sets of data to ensure their robustness. Further, hyperparameter tuning was also conducted by using the Python built-in function GridSearchCV to fine-tune the hyperparameters of models for the best model predictive accuracy.

3.1 Experiment Evaluation

It is necessary to establish some metrics in order to evaluate the performance of the models. For instance, RMSE, MAE, and R^2 may be chosen. RMSE could present a general indicator, which is computed as the root of the average squared difference between predictions and actual values (Joaquim et al., 2015). MAE is a metric used to calculate the average absolute error/difference between predicted values and actual values. This value is less sensitive to large errors than the RMSE value (Joaquim et al., 2015). R^2 is a statistical measure that shows how well models explain variance in the target variable (Jiang, 2022). Collectively, these metrics offer a complete understanding of the models in the prediction of traffic patterns.

Table 4: Performance Metrics of the Random Forest model.

	RMSE	MAE	R^2
Junction 1	0.185797	0.136094	0.968583
Junction 2	0.099772	0.080631	0.854158
Junction 3	0.152084	0.114155	0.806105
Junction 4	0.096277	0.077159	0.550362

Table 4 shows the experiment result of the Random Forest model at four different junctions. Junction 4 owns the smallest RMSE and MAE values, meaning that the RF model had the most accurate prediction at this junction. The highest R^2 value was achieved at Junction 1, meaning that the model was able to explain approximately 96.9% of the variance in the target variable. Junction 4 has the lowest value of 0.55, so the model was struggling to capture the variance.

3.2 Results of Random Forest

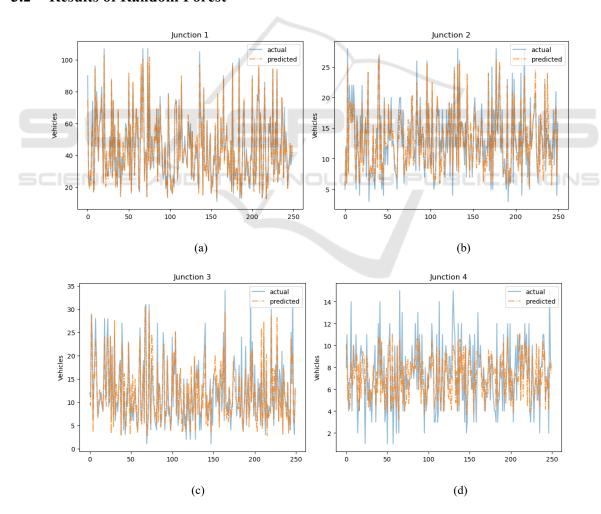


Figure 2: Actual vs. predicted values using Random Forest for 4 junctions. (a): Junction 1, (b): Junction 2, (c): Junction 3, (d): Junction 4. (Photo/Picture credit: Original).

The visualizations demonstrate the numerical results in Figure 2. As suggested in Figure 2, Junction 1 had the largest RMSE, so plot (a) has more unmatched patterns, and it captured the variance well. This aligns with the high R^2 value observed in the metrics table. Also, almost all predictions in the Junction 4 plot closely fall in the actual value line, so the RMSE for this junction is the lowest. However, the model had more difficulty capturing traffic patterns as the plot demonstrates an obvious difference in the variances. The table and plots clearly highlight where the model performed well while still needing improvement. Junctions 1 and 2 were accurately predicted, showing the model's solid predictive performance. However, the big difference in variances for junction 4 suggests that the model could be improved through further tuning or additional feature engineering.

3.3 Results of XGBoost

Table 5: Performance of XGBoost model.

	RMSE	MAE	R^2
Junction 1	0.160097	0.118387	0.976673
Junction 2	0.096931	0.078455	0.862345
Junction 3	0.148890	0.111268	0.814165
Junction 4	0.097115	0.077715	0.542502

Table 5 shows that the model performed well at junctions 1 and 2, with high R^2 values and low errors. Similar to the RF model, the XGBoost model struggled with junction 4, with the lowest R^2 value of 0.54. This means that it was also difficult to capture the traffic patterns for the XGBoost model.

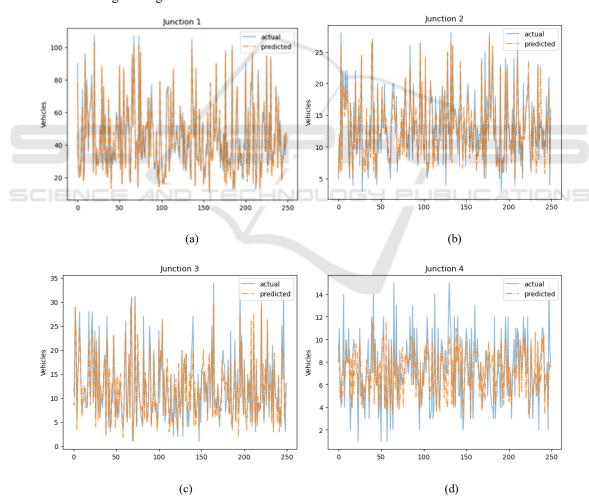


Figure 3: Actual vs. predicted values using XGBoost for 4 junctions. (a): Junction 1, (b): Junction 2, (c): Junction 3, (d): Junction 4. (Photo/Picture credit: Original).

Figure 3 illustrates that the model functioned well at 1 and 2 junctions, as the predicted vehicle counts aligned well with the actual values. Junction 3 had slightly more deviations, suggesting a lower R^2 value. The fourth junction showed an even more significant deviation, indicating that the model did not interpret the traffic forecasts well. Like the Random Forest model, this model also needs more analysis and adjustments to reduce the deviation for junction 4 and to enhance the overall performance.

3.4 Results of LSTM

Table 6: Performance of LSTM model.

	RMSE	MAE	R^2
Junction 1	0.410605	0.320683	0.842318
Junction 2	0.176028	0.143766	0.453334
Junction 3	0.171220	0.131704	0.767415
Junction 4	0.116788	0.091637	0.425882

As shown in Table 6, junction 1 had large prediction errors compared to the other two models and a relatively high R^2 value. Junctions 2 and 4 both have a meagre R^2 value, suggesting the model did not fit well with the traffic data. For junction 3, the model performed relatively well with a moderate R^2 value of 0.77 and relatively low RMSE and MAE.

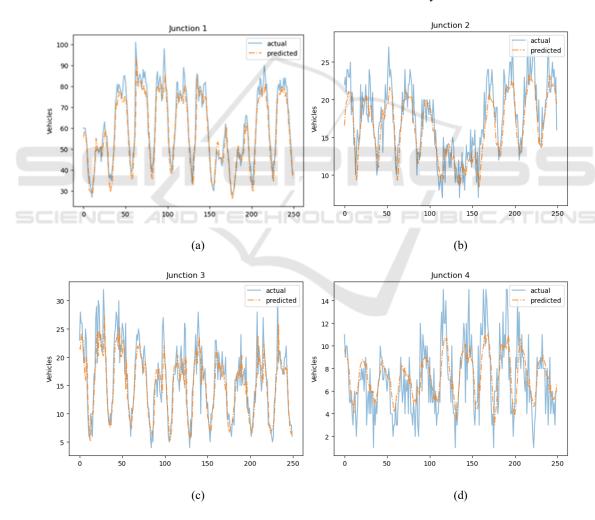


Figure 4: Actual vs. predicted values using LSTM for 4 junctions. (a): Junction 1, (b): Junction 2, (c): Junction 3, (d): Junction 4. (Photo/Picture credit: Original).

The first and third plots in Figure 4 show that the predictions of these two junctions generally follow the trend of the actual values with an apparent deviation. The model had difficulty capturing the patterns at both junctions 2, 4, as great deviations were illustrated in the plots. The model was able to capture some temporal dependencies, but it is clearly not as competitive as the others, which may be due to the lack of data and information.

3.5 Model Comparison

Table 7: The average results of experiment models.

	RMSE	MAE	R^2
RF	0.133482	0.102010	0.794802
XGBoost	0.125758	0.096456	0.798921
LSTM	0.218660	0.171947	0.622237

It can be noticed from Table 7 that XGBoost outperformed both LSTM and RF in terms of all three metrics. It had the lowest errors on average across all junctions - RMSE of 0.125758 and MAE of 0.096456. Also, it yielded the highest R^2 value of 0.798921, slightly higher compared to the Random Forest model. Therefore, from these results, it appears that the XGBoost model can accurately predict and explain the variance in traffic data across various junctions.

The RF model also performed very well, with slightly lower values in all the key metrics. It achieved an average RMSE of 0.133482, MAE of 0.096456 and R^2 of 0.794802. Additionally, the model could provide the interpretation of the importance of features, which is also an important indicator for model development. Thus, this model is also a powerful model for traffic prediction.

Although LSTM is a robust neural network that is particularly suitable for time series prediction, it did not perform as well as the other two models. The LSTM had the lowest R^2 value of 0.622237, the highest RMSE of 0.21866 and MAE of 0.171947. In this context of traffic prediction, LSTM was less accurate in predicting traffic counts, especially in junctions 2 and 4, with shallow R^2 values. Therefore, the model probably requires further tuning or additional features and information to compete with XGBoost and RF.

In summary, XGBoost is the best-performing model overall. It has the most accurate prediction results and can capture the variance in the traffic data. These properties make this model the most reliable and suitable choice for traffic prediction in this study.

4 LIMITATIONS

This study demonstrated the use of machine learning models like XGBoost in predicting traffic. However, there are some limitations during the project that should be noted. First, there is a lack of information in the dataset used. The dataset only contained a few features: the datetime and target variable – vehicle count across various junctions, while more factors should be considered, such as weather conditions, road closures, public events, holiday. These might be the reasons that affect the models to explain variance in traffic data fully. Therefore, expanding the dataset and merging additional features could enhance the models' performance.

Another limitation is the performance shown by the LSTM model, which is a preferred choice when dealing with time series data. LSTM performed poorly in this context, which may be attributed to insufficient tuning or data analysis and preprocessing specific to the needs of LSTM.

Additionally, the models were trained on data collected from a specific city. The generalizability of the findings to other cities, locations with different traffic patterns, and population numbers was not tested. Thus, including other locations in the dataset could help the models understand traffic data more comprehensively.

Lastly, many Machine Learning models, such as XGBoost, are black-box models, which are inherently complex, so making it difficult to interpret the results and understand how the models obtain the predictions. This "black-box" nature can be a significant defect, especially in real-time traffic management systems, where interpretability is crucial. Adopting methods like SHAP (Shapley Additive exPlanations) values could help to address this issue, as they can provide a comprehensive understanding feature importance, of determining which factors contribute the most to the model's decision-making process.

5 CONCLUSIONS

This paper primarily explored and analyzed the uses of machine learning models – LSTM, RF, and XGBoost in the prediction of traffic patterns at different junctions in a city. The results showed that XGBoost is the most effective and suitable model for this context since it held the minimum prediction errors and the maximum R^2 values among all the models studied. RF also excelled in this task, with

slightly lower values in the key metrics than XGBoost. At the same time, the LSTM model, despite its theoretical strength in handling time series data, was not as competitive as the other models. Hence, the LSTM model requires further tuning or other features to enhance its performance. These models' ability to capture traffic patterns makes them feasible choices for real-time traffic management. The XGBoost model can achieve more accurate short-term forecasts, which will manage the traffic flow, help reduce traffic congestion and enhance public safety. However, several limitations were also identified within this study, such as insufficient features and geographical limitations. Future research could also involve fine-tuning the LSTM for improved performance and training models on larger datasets with a wider variety of features and regions. By tackling these problems, it would be feasible to create more reliable and broadly applicable models, which would offer additional insights into creating a more effective transportation system.

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