Visualization of the Basis for Decisions by Selecting Layers Based on Model's Predictions Using the Difference Between Two Networks

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Abstract: Grad-CAM and Score-CAM are methods to improve the interpretation of CNNs whose internal behaviour is opaque. These methods do not select which layer to use, but simply use the final layer to visualize the basis of the decision. However, we wondered whether this was really appropriate, and wondered whether there might be important information hidden in layers other than the final layer in making predictions. In the proposed method, layers are selected based on the prediction probability of the model, and the basis of judgment is visualized. In addition, by taking the difference between the model that has been trained slightly to increase the confidence level of the model's output class and the model before training, the proposed method performs a process to emphasize the parts that contributed to the prediction and provides a better quality basis for judgment. Experimental results confirm that the proposed method outperforms existing methods in two evaluation metrics.

1 INTRODUCTION

In recent years, deep learning has made dramatic progress and is being actively studied around the world. AlexNet based on Convolutional Neural Networks (CNNs) was a pioneer in this field. Since AlexNet was introduced, research has been conducted on image classification, object detection, and image generation using CNNs. However, CNNs are opaque in their internal operation, making it difficult to interpret the basis for the model's decisions. Understanding the basis for model's decisions is essential for achieving better accuracy and for making important decisions such as pathological diagnosis and object detection.

Various methods have been proposed to solve this problem. For example, CAM weights the feature map obtained by Global Average Pooling (GAP) to make it easier to see the points that the CNN is focusing on. However, this method is not applicable to models that do not use GAP. Therefore, Grad-CAM was proposed which substitutes the weights with the gradient. Score-CAM was also proposed in which the weights are obtained from the prediction probabilities. Both methods eliminate model constraints, but they use only the final layer to visualize the basis for decisions, and do not take into account the presence of important information in other layers that may be relevant to predictions.

In this paper, the layers are selected based on the model's prediction probability, and the basis of judgment is visualized. In addition, by taking the difference between the model before training and the model that has been trained slightly to increase the confidence level of the model's output class, we perform a process to emphasize the parts that contributed to the prediction and provide a better quality basis for judgment.

In our experiments, we evaluated and discussed the results on 3,000 images randomly selected from the validation dataset in ImageNet, which consists of 1,000 classes of animals and vehicles, etc. We evaluated the results using Insertion and Deletion, and our method achieved better accuracy than the conventional methods on both measures. The accuracy of our method is higher than that of conventional methods.

The paper is organized as follows. Section 2 describes related works. Section 3 describes the details of the proposed method. Section 4 shows

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experimental results. Section 5 describes the ablation study. Section 6 is for conclusions and future works.

2 RELATED WORKS

In this section, we discuss related works. Section 2.1 describes Grad-CAM, and Section 2.2 describes Score-CAM.

2.1 Grad-CAM



Figure 1: Overview of Grad-CAM.

Grad-CAM is a method in which the weighting method for feature maps is replaced by gradients so that the basis of judgment can be visualized even in models that do not include Global Average Pooling. An overview of Grad-CAM is shown in Figure 1. Grad-CAM uses the gradient calculated from the feature maps at the last layer to visualize the basis for decisions. The blue-red-green maps in Figure 1 represent different feature maps, and the gradient is calculated from each feature map. Grad-CAM is computed as follows.

$$L_c = ReLU\left(\sum_k \alpha_k^c A^k\right) \tag{1}$$

where $A_{i,j}^k$ represents the feature map A^k in the kth channel and position (i, j). α_k^c is calculated from the gradient as follows.

$$\alpha_k^c = \frac{1}{Z} \sum_{x,y} \frac{\partial S^c}{\partial f_x(x,y)}$$
(2)

where Z is the normalization constant and S^c represents the prediction probability of class c. α_k^c represents how much S^c changed when the pixel at coordinate (i, j) in feature map k changed. Thus, the coordinates (i, j) with large α_k^c have positive effect on the prediction. In addition, the *ReLU* function in equation (1) is used to cut off the negative component, making the basis for the decision easier to understand. However, Grad-CAM only uses information from the final layer, so it cannot take into account the presence of important information in other layers.

2.2 Score-CAM



Figure 2: Overview of Score-CAM.

Score-CAM is a method that replaces the weighting of feature maps with predictive probabilities. If an image X is fed into the CNN and the feature map of the k th channel in layer l is A_l^k , the normalized feature map H_l^k is calculated as follows.

$$H_{l}^{k} = \frac{A_{l}^{k} - min(A_{l}^{k})}{max(A_{l}^{k}) - min(A_{l}^{k})}$$
(3)

Next, the input image multiplied by H_l^k is fed into the CNN, and S^c is calculated from the difference with the original image. If the predicted probability of class *c* is f^c when the image is fed into the CNN, S^c is computed as follows.

$$S^{c} = f^{c} \left(X \circ H_{l}^{k} \right) - f^{c}(x) \tag{4}$$

We normalize the obtained S^c so that the sum is 1, and α_k^c is computed as follows.

$$\alpha_k^c = \frac{\exp(S_k^c)}{\sum_k \exp(S_c^k)} \tag{5}$$

By multiplying the α_k^c to the feature map, a heat map is obtained as shown in the following equation.

$$L_{Score-CAM}^{c} = ReLU\left(\sum_{k} \alpha_{k}^{c} A_{l}^{k}\right)$$
(6)

Score-CAM has the same problem as Grad-CAM because it only uses information from the final layer. Therefore, the proposed method takes the difference between the two networks and emphasizes the locations that had a positive impact on the prediction probability. It then selects a layer based on the model's prediction probability and visualizes the basis for the decision.



Figure 3: Overview of the proposed method.

3 PROPOSED METHOD



Figure 4: Example of top 50% of the importance W.

Figure 3 shows an overview of the proposed method. The proposed method consists of "difference processing" and "select layer processing". In difference processing, at first, an image is fed into network, which has already been trained on the ImageNet, and it is trained N times so that the probability for the predicted class increases (The area shown as green in the upper left of Figure 3). After that, we take the difference d_{l}^{k} (the blue map in Figure 3) between network trained N times and the original network for each channel at all layers. Next, the differenced feature map is resized to 224×224 pixels so that the image size is the same as the input image. Although it would be desirable to consider the relationship between channels at each layer, the computational complexity would be enormous, so here we summed the channels at all layers.

By this process, the yellow and red maps in Figure 3 are obtained. However, for clarity, the feature map obtained from the final output is colored red here.

In Select Layer Processing, only the pixels in the top 50% of the feature map values of the feature map are kept in each layer as shown in Figure 3. They are multiplied with the original image. This is then input to the original network and the importance of each feature map is calculated. If the predicted probability of class c is Y^c when the original image is input, and the predicted probability of class c is Y_l^c when an image in which only the top 50% of the feature map in layer l is retained and multiplied with the original image is input, the importance W_l^c is as follows. where 1 is added to W_l^c to prevent the importance from being negative.

$$W_l^c = 1 + (Y_l^c - Y^c)$$
(7)

Example of this process is shown in Figure 4. Figure 4 (a) is an input image and (b) is the image obtained by summing the feature maps at a certain layer across channels. Figure 4 (c) shows the image obtained by leaving the top 50% of the feature map values and multiplying them with the input image. The importance W_l^c is obtained by creating the image with all outputs, and it is fed into network.

Finally, only the feature map with the highest importance W_l^c calculated from the prediction probability is used as the basis for the final decision.

This method allows us to take into account information outside of the final layer while emphasizing the areas that contributed to the predicted probability.

4 EXPERIMENTS

4.1 Experimental Settings

In the following experiments, we used 3,000 randomly selected images from the validation set in the ImageNet dataset. However, those images are the same for all methods. The images were resized to 224×224 pixels and fed into the network. In this paper, we use VGG16 as the network. In this paper, VGG16 is used in the experiments because related works also used it. The structure of VGG16 and definition of "Layer" is shown in Fig.7. We define that the first layer is layer 1 and the final layer is layer 18, and one of those layers is used for visualization.

Next, we describe the evaluation metrics. We use Insertion and Deletion. Insertion is an evaluation metric that measures the increase in the model's prediction probability when pixels are inserted in the order of the magnitude in the visualization image. This metric measures the increase in the model's predictive probability as more pixels are inserted, with a higher AUC (area under the probability curve) indicating a more adequate explanation.

Deletion is a metric that measures the degree to which the model's predictive probability decreases as pixels are removed from the visualization image in order of increasing high value of visualization image. This metric measures the decrease in the model's predictive probability as more pixels are removed. Lower AUC curve indicates a better explanation.

4.2 Experimental Results

Method	Insertion [%]	Deletion [%]
Grad-CAM	36.00	5.43
Score-CAM	36.69	5.21
Ours	40.21	4.79

Table1: Comparison results.

Comparison results between Grad-CAM, Score-CAM, and the proposed method are shown in Table 1. The best results are shown in red. We see that the proposed method improves the accuracy of Insertion by 4.21% and 3.52% compared to Grad-CAM and Score-CAM. In Deletion, the proposed method improves accuracy by 0.64% and 0.42%,



Figure 5: Definition of "Layer" of VGG16 in this paper.

respectively. We will discuss the factors behind the improvement in accuracy using the visualization results. Figure 6 and 7 shows an example of visualization results and the Insertion Curve and Deletion Curve for the visualization results to confirm whether the basis for judgment can be visualized. Here, "Layer" under "Ours" indicates the number of the layer used. The definition of "Layer" is already shown in Figure 5. As shown in Figure 6 and 7, we see that from (a) to (e) provide better quality visualization than the other methods. In particular, (a) and (e) show an earlier increase in prediction probability for the Insertion Curve and an earlier decrease in prediction probability for the Deletion Curve compared to the other methods. The visualization results show that the model is more successful than the other method. This can be attributed to the fact that the layer selection was performed based on the prediction probability, after taking the difference between the two networks and highlighting the areas that had a positive impact on the prediction probability. The relationship between difference processing and accuracy is discussed in the Section 5. _____

5 ABLATION STUDY

In this section, we investigate the utilization rate of each layer and the validity of the difference of two networks as an Ablation Study.

5.1 Effectiveness of Difference

Method	Insertion [%]	Deletion [%]
Score-CAM	36.69	5.21
Score-CAM w/ difference	39.04	5.00
Ours w/o difference	36.51	4.83
Ours	40.21	4.79

Table 2: Comparison of accuracy w/o the difference.

This section investigates whether the difference of two networks contributes to improve the accuracy.



Figure 6: Visualization results by each method.

The results are shown in Table 2. Table 2 shows the results of the proposed method with and without the difference of two networks. When the difference processing is eliminated from the proposed method,

the accuracy of Insertion and Deletion decreases by 3.7% and 0.04%. This shows the effectiveness of the difference of two networks.



Figure 7: Insertion curve and Deletion curve by each method.



maze

Figure 8: Comparison of accuracy with and without difference.



Figure 9: Comparison of Insertion Curve and Deletion Curve with and without difference.

We also show the accuracy of Score-CAM with and without the difference. When difference processing is applied to Score-CAM, the accuracy improved by 2.35% for Insertion and 0.21% for Deletion. respectively. This is because the difference between two networks can emphasize the points that contribute to classification.

Insertion Curve

Figure 8 and 9 also shows that difference processing improved the quality of the visualization by preventing the heatmap from going to locations other than the objects predicted by the model. Therefore, we see that difference of two networks contributes to improve the accuracy.

5.2 **Utilization Rates of Each Layer**



Figure 10: Utilization rates of each layer.

In this section, we investigate the utilization of each layer. The same 3,000 images from the ImageNet validation set as section 4 are used to show the utilization of each layer. Figure 10 shows that layers other than the final layer are also used. Note that the definition of "Layer" is shown in Figure 5. Figure 10 indicates that the important information for prediction is not always in the final layer. Conventional methods such as Grad-CAM and Score-CAM used the information from only the final layer, but the proposed method selects layers based on prediction probability rather than only the final layer, and this derived better visualization results.

Deletion Curve

6 CONCLUSIONS

Conventional methods for visualization of the basis for decision making use only the final layer for visualization, and they do not take into account important information hidden in other layers that are relevant to classification. In this paper, we propose a method to take into account important information hidden in layers other than the final layer by selecting layers based on the prediction probability of the model, while highlighting the parts that contributed to the prediction by taking the difference between the

original model and the model that was trained slightly to increase the confidence level of the output class. As a result, we achieved the accuracy improvement in two evaluation measures. The visualization results also confirmed that the visualization of the basis for decision-making was of better quality than that of existing methods.

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