

# The Effect of Segmentation and CNN Architecture in Determining Accuracy Convolutional Neural Network

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Abstract: Convolutional Neural Network is a type of deep learning that is used for image detection or image classification. The images used can be obtained from data banks such as <http://www.kaggle.com>, the image usually has a different image format, different width and height sizes also in some images contain noise. Segmentation is used to separate the image that becomes information from the noise contained therein. The types of segmentation used are active contour and K- Means and compared to not using segmentation at all. The Convolutional Neural Network architecture was also changed to obtain a better level of accuracy, and to take advantage of the existing CNN architectures such as Alexnet and GoogleNet. From the research conducted, the best accuracy results were obtained from the RGB Image model combined with the GoogleNet model, namely 98.37 K-Means segmentation has better test results when compared to the active contour for classifying lung disease.

## 1 INTRODUCTION

To correctly diagnose lung images from a chest CT scan, a doctor needs to do this by examining many structures and must be aware of the possibility of hundreds of potential abnormalities in other diseases, including whether what is examined is a normal variant (Islam et al., 2021). The use of more and more radiological images without being accompanied by a number of trained radiologists can lead to misdiagnosis (Summers, 2003).

For some new types of diseases such as COVID19, radiologists who have not been trained in diagnosing these diseases will have a greater chance of making a wrong diagnosis. This causes anxiety in the community who want to go to the hospital. Therefore, classification of pulmonary disease by chest X-ray image is necessary to reduce errors and enable more efficient measurement of the reading of a CT scan image (Sarker et al., 2021).

The CT scan results obtained have different width and height measurements, some images contain noise and have different image extension formats. The process of image uniformity is needed so that it can be used as input for the system to be built. Convolutional neural networks will be used to classify lung diseases such as COVID-19, Pneumonia, Tuberculo-

sis, and Normal. This can be used as an alternative method by radiologists to diagnose a disease or as a method to confirm a disease diagnosis.

## 2 LITERATURE REVIEW

### 2.1 Convolutional Neural Networks

Convolutional Neural Network or abbreviated as CNN or ConvNet consists of a neural network that extracts features from the input image and another neural network that classifies those features (Rajaraman et al., 2020). The input image will enter the feature extraction network, then the extraction results will enter the classifier network which operates based on image features and produces output (Kim, 2017).

### 2.2 Convolutional Layers

The Convolutional Layer is the core building block of CNN, where most of the computation is done at this layer (Ahmed et al., 2021). Suppose we build a convolutional layer with a sheet of neurons containing 28 x 28. Each is connected to a small area in the (image) input, for example 5x5 pixels which is the

receptive field (receptive field) for each neuron and indicates that the filter used  $5 \times 5$  in size. The entire receptive field will be traced in partial overlap, so all of these neurons must share the weight of the connection (weight sharing) (Alom et al., 2018).

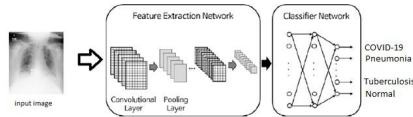


Figure 1: Convolutional Neural Network Architecture.

## 2.3 Pooling Layers

The pooling layer functions as a measure during convolution, namely by downsampling (reducing sampling). With pooling, we can represent data to be smaller, easy to manage, and easy to control overfitting (Babukarthik et al., 2020). There are 2 types of pooling, namely max pooling and average pooling. The way max pooling works is to find the highest value of the matrix pixels covered by the kernel, while average looks for the average value of the matrix pixels covered by the kernel (Beale et al., 2020).

## 2.4 Normalization Layer

The distribution of each layer in a Convolution Neural Network changes during the training phase and varies from layer to layer. This reduces the convergence speed of the optimization algorithm (Ioffe and Szegedy, 2015). Batch normalization layer serves to normalize each input channel in all mini batches. To speed up training of convolutional neural networks and reduce sensitivity to network initialization, use batch normalization layers between convolutional and nonlinear layers, such as the ReLU layer (Beale et al., 2020).

### 2.4.1 ReLU Layers

Rectified Linear Units (ReLU) layer is a layer for applying the activation function  $f(x) = \max(0, x)$  (Singh and Singh, 2021). This improves the nonlinearity of the decision function and the network as a whole without affecting the receptive fields of the convolutional layer (El-Kenawy et al., 2020). Other functions can also be used to increase nonlinearity, such as the hyperbolic tangent  $f(x) = \tanh(x)$ ,  $f(x) = |\tanh(x)|$ . This layer performs a threshold process where for each input element, any value less than zero is set to zero.

## 2.5 Fully Connected Layers

In the fully connected layer, each neuron has full connection to all activations in the previous layer. This is exactly the same as that of the MLP. The activation model is exactly the same as MLP, namely computation using a multiplication matrix followed by an offset bias (El-Kenawy et al., 2021).

## 2.6 Digital Image

Digital images can be expressed as a two-dimensional function  $f(x, y)$  where  $x$  and  $y$  are coordinate positions while  $f$  is the amplitude at position  $(x, y)$  which is often known as intensity. The value of intensity is discrete from 0 to 255 (Gazda et al., 2021).

## 3 METHOD

### 3.1 Data Collection

This process is carried out by downloading the COVID19, Pneumonia, Tuberculosis and Normal images from the website [www.kaggle.com](http://www.kaggle.com) and saving them to a local directory.

### 3.2 Data Preparation

Image data that has been stored in the local directory is separated into 4 folders for the training phase and 4 folders for the testing phase. The number of training data is 2116 images while the number of testing data is 369 images.

### 3.3 Data Processing

The image data obtained has different widths and heights, different bit depths and has different image extensions.

### 3.4 Active Contour Segmentation

Is a segmentation process that uses closed curves, these curves can move widened and narrowed to get the desired object by minimizing image energy using external force, and also influenced by image characteristics such as edges.

#### 3.4.1 Active Contour Segmentation Preprocessing

Is the process of preparing the image to become the ideal input image for the active contour segmentation

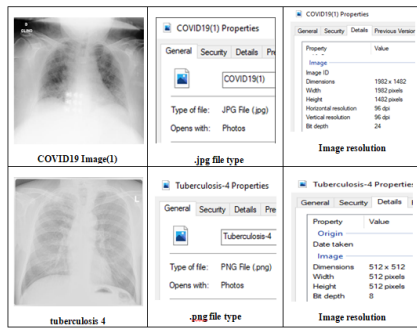


Figure 2: Dataset Details.



Figure 3: Preprocessing Active contour.

process (Summers, 2003; Yamac et al., 2021). The preprocessing process includes image resizing, image conversion to greyscale and contrast adjustment, as shown in the flowchart below. Resizing the image will change the width and height of the image to 224x224 pixels, greyscale aims to change the bit depth of the image to only 8 bits and contrast adjustment for the level of color sharpness in the image.

### 3.4.2 Segmentation Process

This process begins by reading the size of the preprocessed image. The next process is to make 2 pieces of masking in the middle position of the image, the masking will move left to right up and down to form a region. The next process is to convert the image back into RGB form, and save it to the local directory with the .png image format

## 3.5 K-Means Segmentation

It is a popular clustering algorithm that utilizes the number of clusters. The way it works is by dividing the data into several cluster regions. The data partitioning process is based on the shortest distance between the data and the centroid of each cluster.

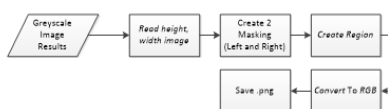


Figure 4: Active contour segmentation process.



Figure 5: Preprocessing K-Means.

### 3.5.1 K-Means Segmentation Preprocessing

The pre-processing process includes resizing the image, converting the image to greyscale and converting the data type from uint8 to the double data type, as shown in the flowchart below. Resizing the image will change the width and height of the image to 224x224 pixels, greyscale aims to change the bitdepth of the image to only 8 bits and conversion of the image data type from uint8 to double is carried out so that the calculation process can be carried out in the K-Means phase.

### 3.5.2 Segmentation Process

This process begins with the initialization of the cluster value of 2 so that further processing the image can be divided into 2 clusters. The next process is to map the results of dividing the 2 clusters into the input image vector so that you can find the area and the smallest object. The next process is to reduce image noise with the median filter method, which is a method that focuses on the median value or the middle value of the total number of all the pixels. is around it, henceforth the image is changed back to type uint8 so that the image can be saved in the local directory.

## 3.6 Convolutional Neural Networks

The flow chart above is a Convolutional Neural Network flow chart with a very simple feature extraction section. In practice, feature extraction can be done repeatedly to get accurate results. The flow chart above is a Convolutional Neural Network flow chart with a very simple feature extraction section. In practice, feature extraction can be done repeatedly to get accurate results. The flow chart below is a Convolutional Neural Network flow chart with a very simple feature extraction section. In practice, feature extraction can be done repeatedly to get accurate results.

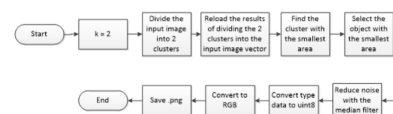


Figure 6: K-Means segmentation process.

## 4 RESULT

### 4.1 Active Contour Segmentation

This process serves to separate the lung image from the existing background and noise. The following is

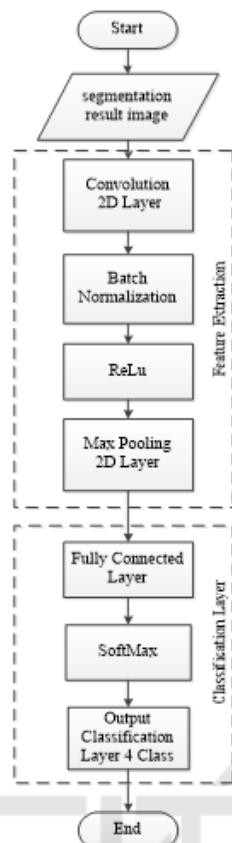


Figure 7: Convolutional Neural Network Model.

the flow of the process: For some images, the image of the lungs can be separated from the background and noise. But for some images, noise is still present in the active contour segmentation results, such as the following image.

### 4.2 Convert to RGB

The active counter segmentation process will cause categorized images that should not be correct. With the dataset obtained which is an image with a different size (width x height) and bit depth, the researchers carried out a simple process, namely: Resize and Convert to RGB to standardize the input data. Here's the process flow.

### 4.3 Segmentation of K-Means

This process serves to separate the lung image from the background and noise that exists. The segmentation process is carried out by dividing the data into several cluster regions. The process of dividing the data is done based on the closest distance of the data to the centroid of each cluster Here is the process

flow: For some images, the lung image can be separated from the background and noise. But for some images, noise is still present in the K-Means segmentation results, like the following image: The results of the study will obtain a comparison table of accuracy results with the model that has been studied For some images, the image of the lungs can be separated from the background and noise. But for some images, noise is still present in the active contour segmentation results, such as the following image.

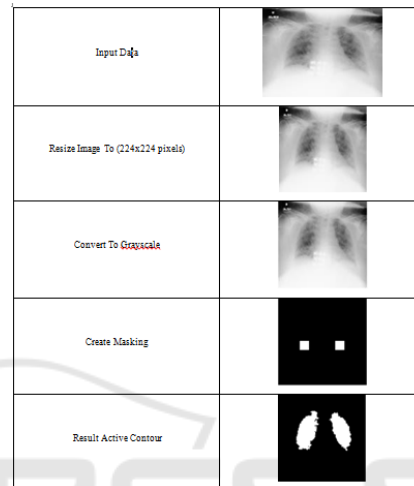


Figure 8: Active Contour Segmentation.

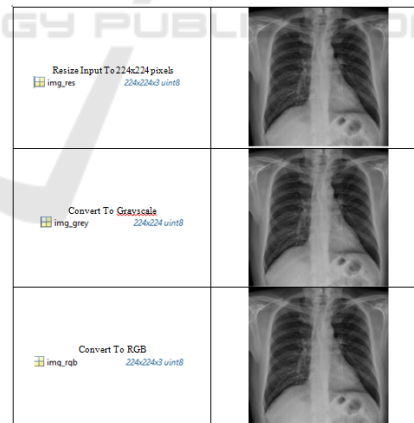


Figure 9: RGB Image (Resize + Convert To RGB).

## 5 CONCLUSIONS

The research concluded:

1. the best accuracy results were obtained from the RGB Image model, namely the input image which was resized and converted to grayscale and then



Figure 10: K-Means segmentation results with noise.

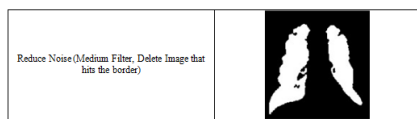


Figure 11: K-Means Segmentation Process.

	COVID19	6	7	21
COVID19	72			
NORMAL	3	87	8	3
PNEUMONIA	4	9	99	9
TUBERCULOSIS	5	1	1	34
	COVID19	NORMAL	PNEUMONIA	TUBERCULOSIS

Figure 12: Active Contour + Convolutional Neural Network (CNN) Model 1 Segmentation Results.

	COVID19			3
COVID19	103			
NORMAL		101		
PNEUMONIA	1	2	118	
TUBERCULOSIS				41
	COVID19	NORMAL	PNEUMONIA	TUBERCULOSIS

Figure 13: RGB Image Results + GoogleNet.

converted to RGB combined with the GoogleNet model, namely 98.37%.

- the fastest training and testing time was obtained from the Citra RGB + Convolutional Neural Network (CNN) Model 2 model, namely 15 minutes 56 seconds with an accuracy of 92.96%.

No	Model	Accuracy Results	time
1	Active Contour Segmentation + Convolutional Neural Network (CNN) Model 1	79.13 %	53 minutes 45 seconds
2	K-Means Segmentation + Convolutional Neural Network (CNN) Model 1	80.49 %	27 minutes 38 seconds
3	RGB + Convolutional Neural Network (CNN) Model 1 image	94.04 %	28 minutes 40 seconds
4	Active Contour Segmentation + Convolutional Neural Network (CNN) Model 2	79.95 %	17 minutes 58 seconds
5	K-Means Segmentation + Convolutional Neural Network (CNN) Model 2	82.66 %	14 minutes 56 seconds
6	RGB + Convolutional Neural Network (CNN) Model 2 image	92.95 %	15 minutes 56 seconds
7	Segmentation Active Contour + AlexNet	35.28 %	27 minutes 13 seconds
8	Segmentation K-Means + AlexNet	83.47 %	22 minutes 52 seconds
9	RGB + Alexnet image	91.60 %	23 minutes 49 seconds
10	Segmentation Active Contour + GoogleNet	85.37 %	105 minutes 43 seconds
11	Segmentation K-Means + GoogleNet	88.35 %	129 min 31 sec
12	RGB + GoogleNet image	98.37 %	107 minutes 15 seconds

Figure 14: Results of training and testing with various models.

- the longest training and testing time was obtained from the GoogleNet RGB + Image model, which was 107 minutes 15 seconds with an accuracy of 98.37%.
- In active contour segmentation, researchers can obtain only lung images without image noise, but in some images lung images are also obtained with noise. This is because the input image has varying noise which cannot be resolved only with contrast adjustment and active segmentation. contour only.
- For images with Active Contour segmentation, the CNN 2 model has a better level of accuracy than the CNN 1 model.

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