## LipoPose: Adapting Cellpose to Lipid Nanoparticle Segmentation

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Abstract: The goal of this study is to precisely localize lipid nanoparticles (LNPs) from cryogenic electron microscopy (cryoEM) images. LNPs found in cryoEM images are characterized by nonuniform shapes with varying sizes and textures. Moreover, there is no publicly available training dataset for LNP segmentation/detection. Thus, accurate supervised localization must overcome the challenges posed by heterogeneity of LNPs and nonexistent large training datasets. We evaluate benchmarks in closely related areas such as particle-picking and cell-segmentation in the context of LNP localization. Our experimental results demonstrate that, of the benchmarks tested, Cellpose is the best suited to LNP localization. We further adapt Cellpose to segmentation of heterogenous particles of unknown size distribution by introducing a novel optimization pipeline to remove uncertainty in Cellpose's inference diameter parameter selection. The overall workflow speeds up the process of manually annotating LNPs by approximately 5X.

## **1** INTRODUCTION

Lipid nanoparticles (LNPs) are formulations used to deliver drug substances, such as mRNA vaccines, to target cells. Cryogenic electron microscopy (cryoEM) can be used to visualize LNPs when developing new formulations or evaluating formulation quality. As a first step in analyzing cryoEM data, the LNPs must be localized from images in order to analyze attributes such as particle size and internal contents. Biological cryoEM images are often characterized by low signal-to-noise ratio, varying intensity across the image, and presence of ice, debris and other artifacts that often look similar to the nanoparticles themselves, making detection or segmentation challenging. Moreover, LNPs do not come in well-defined shapes or sizes, and a single cryoEM image can have particles as small as 20 nm in diameter to as large as 200 nm. This heterogeneity in instances belong-

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ing to the same class (i.e., LNPs) leads to additional difficulties in training an accurate localization model. Also, labelled training data for cryoEM images are currently not systematically available in a repository.

Prior work on cryoEM images has focused on particle picking, which returns bounding polygons around objects of interests (Wagner et al., 2019),(Bepler et al., 2019). However, they require the size of particles in an image as user-input, and do not trace the exact boundary of particles. A related problem, Cell and nucleus segmentation is of particular interest to us, since cells roughly resemble LNPs, and there is sufficient variation in the shapes and sizes of different cells, similar to LNPs. One such model, known as Cellpose (Stringer et al., 2021), has proven to be a powerful generalist cell segmentation algorithm for handling a wide range of image types. However, it has not yet been used to evaluate cryoEM images.

There are no deep-learning based algorithms which directly address LNP localization in cryoEM images. Therefore, we first evaluate state-of-the-art tools for particle-picking and cell-segmentation on cryoEM images containing these LNPs as a starting point to understand how well they could localize LNPs. From this initial analysis, we selected the best

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performing tool (i.e., Cellpose) and set out to further optimize it by designing a localization methodology that can better handle heterogeneity in particles. We leverage transfer learning, and use the weights of a trained cell-segmentation model as our starting point. Our pipelined methodology semi-automates LNP segmentation so we can iteratively create more data with less effort, which further fine-tunes our predictions.

In this work we make the following contributions:

- We evaluate three state-of-the-art particle picking and cell segmentation tools (crYOLO, Topaz, and Cellpose) on their ability to localize heterogeneous LNPs from cyroEM images using a common scoring scheme. We identify Cellpose as the best performing.
- We develop an optimization pipeline to adapt Cellpose to segmentation of heterogenous LNPs.
- We make available a repository of annotated cryoEM images for LNP segmentation, created iteratively through our pipeline and corrected by an expert.

### 2 RELATED WORK

Localization of lipid nanoparticles is the first step towards quantification of their properties from cryoEM images. In (Crawford et al., 2011), a semi-automated image characterization system is developed for cryoEM images. They address localization of LNPs in terms of segmentation and use a binary thresholding based method to detect LNPs, followed by the user correcting the predictions through an interactive tool. In cryoEM images, localization has also been explored in terms of particle-picking. Particle picking algorithms for cryoEM images, such as Topaz (Bepler et al., 2019) and crYOLO (Wagner et al., 2019), are mostly focused on picking protein structures with a relatively uniform size.

A similar problem to nanoparticle segmentation is cell and nucleus segmentation. Several Mask-R CNN (He et al., 2017) based algorithms have been developed to perform cell and nucleus segmentation (Lv et al., 2019), (Vuola et al., 2019), (Xie et al., 2019). Semantic segmentation has been widely used in cell segmentation. U-Net (Ronneberger et al., 2015) is a semantic segmentation model that was developed to deal with small training sets. U-Net is a popular architecture used in cell/nuclei analyses (Alom et al., 2018), (Cai et al., 2020), (Zeng et al., 2019), (Stringer et al., 2021), (Yang et al., 2020). Cell/nuclei segmentation tools usually expect uniformity across particles in an image (Yang et al., 2020), (Stringer et al., 2021). Cellpose (Stringer et al., 2021) was developed to be a cell-segmentation tool which can generalize to different kinds of cells without additional training using specialized data.

In prior work (Mullen et al., 2019), the authors compare the performance of different annotation types such as polygons, bounding boxes and centroids. They used a pixel-wise receiver operating characteristic (ROC) curve to evaluate performance while we used Intersection over union (IoU) overlap between the predicted and ground truth regions.

#### **3 METHOD**

#### 3.1 Network Selection

# 3.1.1 Comparing Point, Bounding Box, and Segment-based Methods

Topaz returns the centroid of the particles detected, crYOLO returns bounding boxes, and Cellpose returns precise masks of objects. Our goal is to perform a fair comparison of the three methods. For Topaz, we get the centroid of each object. During both training and extraction, Topaz requires us to provide a radius value. We compute bounding circles around the detected centroids using the extraction radius, which has to be known *a priori*, to completely localize the particles detected by Topaz.

We received masks for LNPs from a cryoEM expert, which precisely trace the boundary of each particle. This served as our ground truth for Cellpose. To fairly evaluate crYOLO and Topaz, we modified the ground truth to generate bounding boxes for crYOLO and bounding circles for Topaz.

For all three methods we have now devised a way to obtain the estimated particle region and generated corresponding ground truth to evaluate them. We compared the predictions from each method to their corresponding ground truths. We calculated the Intersection over Union (IoU) of each predicted mask/box/circle with its corresponding ground truth region, and considered it a true positive if the predicted region had more than 50 % overlap with the ground truth region. This helped us quantify how well each method fully localizes particles. We then calculated precision and recall values for each method. The ground truth regions overlaid by the predicted regions have been shown in Fig. 1.



Figure 1: Ground truth (shown in green), overlaid by predicted regions (shown in blue) for Cellpose (left), Topaz (middle), and crYOLO (right).

# 3.1.2 Relationship of Parameters Across crYOLO, Topaz, and Cellpose

The user-defined parameters needed for training and inference for each method are provided in Table 1. In our experiments we tried 21 different combinations of these parameters across the three methods. We evaluated each combination on how well they served to localize the LNPs, as discussed earlier. The details of these experiments have been provided in Section 4.

From our experiments, we found that Cellpose outperformed both crYOLO and Topaz in terms of both precision and recall. Therefore, we decided to use Cellpose as our starting point and adapt it further to our use-case using a novel post-processing pipeline discussed next.

### 3.2 Optimization Over Diameter Parameter for Cellpose Model

We train a Cellpose model using cryoEM images, starting from the pre-trained model provided by Cellpose, called "cyto" in order to leverage transfer learning. We use the Cellpose (Stringer et al., 2021) source code (v0.6) and commandline-interface to run training and inference. We use "cyto" as opposed to other pre-trained models like "nuclear" because "cyto" was trained using a wide diversity of images with varied cell shapes, as well as certain "non-microscopy" objects such as fruits, rocks etc. (Stringer et al., 2021). This variety would be useful in segmenting the heterogeneous distribution of LNPs in our dataset.

The steps after training a custom Cellpose model are:

• **Multi Inference** - We run inference using multiple diameter values spanning the whole range of diameters as obtained from the training data LNP size distribution. At this stage we have multiple predicted masks, each for a separate inference par-

ticle diameter. These can be thought of as partial masks which have to be strategically combined to give a complete mask.

- Generating Confidence Map Next, we convert the masks to binary masks and obtain a pixelwise confidence map. There are two different ways to approach this:
  - Averaging Approach This involves combining all the masks together and obtaining pixelwise confidence scores using the following equation:

$$ConfMap = \frac{(Mask_1 + \dots + Mask_n) * 100}{n} \quad (1)$$

It should be noted that only a few masks will capture particles of a certain size. Therefore, no individual pixel can be expected to have a very high confidence value. Mask\_1 and Mask\_2 for instance, will not capture the same particles as Mask\_n.

Sliding Window Approach - This involves combining masks within a certain range of diameter values, and obtaining confidence scores within that range. The process has to be repeated until the entire range of particle-sizes in the dataset is covered. The following equation can be used:

$$ConfMap = \frac{(Mask_1...+Mask_k)*100}{k} + \frac{(Mask_{n-k}+Mask_{n-k-1}+...+Mask_n)*100}{k}$$
(2)

Here each individual pixel will have higher confidence scores than the previous approach. That makes it more intuitive to the user. However, we do not know the exact size-range of particles that will be predicted by a certain inference diameter value. Therefore we cannot predict what will be an appropriate width for the sliding window, or the value of "k" in eq 2.

Training	crYOLO	Topaz	Cellpose
Input Parameters needed from user	BoxSize	•Expected # particles per image	
		•Radius of particle.	
Training data format	bounding boxes of all particles	(x,y) center of all particles	Segmentation masks
Output	Bounding box	(x,y) coordinate of predicted particle center	Labelled mask
Inference	crYOLO	Topaz	Cellpose
Parameters which can be adjusted	Confidence threshold(0 to 1)	Extraction radius	Inference diameter

Table 1: Training and Inference Parameters.

There is also ambiguity on how to treat particles that are at the junction of two consecutive windows. Adding or averaging confidence scores will give a false idea. If the value of k is set to a value too large or small, then certain particles will be in the wrong bin when the scores are calculated, conveying a false idea.

In our approach we decided to choose the averaging approach over the sliding window approach. It is more straightforward and has no unknown variable that needs to be estimated.

Merging Masks from Multiple Thresholds -The confidence map is queried at multiple thresholds and the results are iteratively merged. For merging, we start with the higher confidence particles, then add more particles from the lower confidence masks, but only if they have no overlap with the particles already added. The particles are extracted by performing a connected component analysis of the mask, and overlap between objects is established by calculating the Intersection over Union (IoU) for the two. The merging process is shown in Algorithm 1. For example, we filter the confidence map at a starting threshold of x %. This means, we remove all pixels which had less than x % confidence score. Now we add the objects from the filtered confidence map, all of which have  $\geq x \%$  confidence, to the final mask. Next, we filter the confidence map at a threshold of y %, such that y < x. We add these new particles into the final mask if and only if they do not overlap with the higher confidence particles already added. We can continue this process of filtering and merging for as low a threshold as we want. The results at various stages of merging have been shown in Fig. 3.

Algorithm 1: Merging masks from two thresholds.



The overall flow of our process is shown in Fig. 2.

### **4 EXPERIMENTAL RESULTS**

#### 4.1 Data Description and Preparation

We used our own dataset of 38 cryoEM images for our experiments. Of these, 31 were used for training and the rest for testing. Each image is 4096 x 4096 pixels in size and has a resolution of 2.22 Å/pixel. The LNPs were prepared as described in (Carrasco et al., 2021). To prepare samples for imaging, 3  $\mu$ L of LNP formulation was applied to holey carbon grids (Quantifoil, R3.5/1, 200 mesh copper). Grids were then incubated for 30 s at 25 °C and 100 % humidity before blotting and plunge-freezing into liquid ethane using a Vitrobot Mark IV (Thermo Fisher Scientific). Grids were imaged at 200 kV using a Talos



Figure 2: Overall training pipeline flow to obtain an optimal mask.

Arctica system equipped with a Falcon 3EC detector (Thermo Fisher Scientific). A nominal magnification of 45,000x was used, corresponding to images with a pixel count of 4096x4096 and a calibrated pixel spacing of 0.223 nm. Micrographs were collected as dose-fractionated "movies" at nominal defocus values between -1 and -3  $\mu$ m, with 10 s total exposures consisting of 66 frames with a total electron dose of 120 e/Å<sup>2</sup>. Movies were motion-corrected using Motion-Cor2 (Zheng et al., 2017), resulting in flattened micrographs suitable for downstream particle segmentation.

The LNP boundaries in the micrographs were traced by a cryoEM expert using ROI segmentation tools in the software Fiji (Schindelin et al., 2012), to generate an initial set of ground-truth masks for segmentation. Then using our pipeline several more masks for LNPs were produced which were corrected by the expert and added to the training repository. The final set of images and their corresponding masks can be found here: https://doi.org/10.18434/mds2-2753.

#### 4.2 Initial Comparison of Cellpose, Topaz and crYOLO

Several models were trained using different combinations of parameters mentioned in Table 1 and tested on 7 previously unseen test images.

For Cellpose, we set inference diameter to three

different values - the median diameter, the 25th percentile diameter and the 75th percentile diameter values. For crYOLO, we trained three different models with the boxsize parameter set to the median (149), the mean(184), and the 25th percentile (117) respectively. For each of these models, confidence of prediction threshold was set to 0.1, 0.3 and 0.5 respectively.

For Topaz, the expected number of particles per image was fixed at 55. Since our training data is fully annotated, this number was known apriori. We trained three different models with the radius parameter set to slightly less than the median, the 25th percentile and the 75th percentile radii values respectively (according to guidelines). For particle extraction after training, the same three radii values were provided for each model.

From Fig. 4 and Fig. 5, we can see that Cellpose outperforms crYOLO (all models) in terms of both precision and recall. In Fig. 6 and Fig. 7, we can see that Cellpose outperforms all models of Topaz in terms of recall. In terms of precision, a particular model of Topaz is comparable to Cellpose, however Cellpose has a better recall. Overall Cellpose is the clear winner so, we chose Cellpose as our starting point for developing a new pipeline flow for localizing LNPs.



Figure 3: False negatives (magenta), True positives (white), False positives (green) at different stages of iterative merging from threshold 20 to threshold 0. Left-most image is the result at threshold 20, the middle is the result when merged until threshold 10, and rightmost is when we merge until threshold 5.



Figure 4: Precision(y-axis) vs IoU threshold (x-axis) for different parameter combinations of Cellpose (in blue) and crYOLO (in black).



Figure 5: Recall(y-axis) vs IoU threshold (x-axis) for different parameter combinations of Cellpose (in blue) and crY-OLO (in black).

# 4.3 Comparison of Cellpose Before and After Optimization

In this section we note the results from the postprocessing pipeline. We have already determined that



Figure 6: Precision(y-axis) vs IoU threshold (x-axis) for different parameter combinations of Cellpose (in blue) and Topaz (in magenta).



Figure 7: Recall(y-axis) vs IoU threshold (x-axis) for different parameter combinations of Cellpose (in blue) and Topaz (in magenta).

Cellpose performs better than crYOLO and Topaz. Now we show how the post-processing pipeline adapts Cellpose to heterogenous data. Since we have a relatively small dataset - we perform 5 fold crossvalidation. We train 5 different Cellpose models for the 5 splits. Each Cellpose model is trained starting from the pre-trained model 'cyto' provided by the Cellpose package. Then we use three different inference diameter values (sampled from the training set to represent small, medium and large sized LNPs) to record the performance of the transfer learned model on our test dataset before using the pipeline.

Next we perform the steps in our pipeline, and merge until different values of threshold ranging from a high of 20 to a low of 0. We record how the performance varies and compare to the values obtained before post-processing. The results can be found in Fig. 8, where we record the average precision and recall across 5-folds when using Cellpose before and after using the pipeline.

#### 5 DISCUSSION

We evaluate standard benchmarks in cryoEM image analysis and closely related areas in the context of LNP localization, to test how well they handle heterogeneity in particle size distribution. To do so, we had to compare different annotation and prediction styles.

We have a small dataset of 38 images of which approximately 80 % were used for training and 20 % for testing. Although the number of images is relatively low, the number of particles used for training is at par with other benchmarks used in cryoEM analysis. We use an average of 1641 particles to train our model. The authors of crYOLO state that 200-2500 particles are sufficient to train their model (Wagner et al., 2019). Similarly, Topaz was evaluated on particle picking of the Toll Receptor protein using a model that was trained on 686 labeled particles (Bepler et al., 2019). Of the benchmarks tested, Cellpose outperformed both Topaz and crYOLO. However, applying Cellpose to our data is not unambiguous because it requires the median diameter at inference - a datapoint we do not know a priori. Moreover, the median diameter might not be the right choice if the particle size distribution is skewed towards smaller or larger parti-

To standardize inference while using Cellpose on segmentation of non-uniform particles, we introduce an optimization pipeline which allows the user to optimize for precision or recall specifically. The goal of the optimization pipeline to remove the guesswork from selecting the correct inference diameter when there is heterogeneous size distribution of particles. In Fig. 8, our cross-validation results show that there is no predictability in using just Cellpose without our pipeline. The horizontal lines represent precision and recall values when using Cellpose with different inference diameters. Across different folds, no consistent relationship can be observed between inference diameter used and corresponding precision and recall.

In contrast, if our optimization pipeline is used, the precision value trends downwards from a high to a low threshold while the recall value trends upwards. The initial threshold, from which the merging process begins, can be set by the user. It depends on the frequency at which multi-inference was done. We sampled diameters at every  $\sim 5$  pixels. We found that a threshold of above 20 returns a blank mask or very few particles; therefore, we used 20 as a starting point. If denser sampling is performed, such as every 2 pixels, then the user should consider setting the initial threshold to a value higher than 20. For more sparse sampling, the initial threshold can be set to be lower than 20. A high initial threshold will simply result in a few masks that are empty but should not affect the merging results. The final threshold value chosen for merging will affect the results. By choosing a very low threshold such as 0 or 1, we can optimize for recall while choosing a higher threshold such as 15 or 20 allows us to optimize for precision. If we want to strike a balance between the two, we can choose a value in the middle such as 5 or 8. The precision values for higher thresholds are sometimes low because the starting threshold might have been too high and not enough particles were captured or more false positives than true positives were picked up at that stage. Since the total number of predictions are lower at higher confidence thresholds, we opted for sparsely sampled merging thresholds at the start, increasing the density as we moved lower. The intermediate threshold values to be used can be set by the user.

The correlation between threshold and precision and recall provides clear intuition to the user as to which value to use based on their use case. In Fig. 8 it can be observed that on average, merging until the lowest threshold improves recall by 6.8 % from 0.59 (when using just Cellpose with median diameter for inference) to 0.63. Similarly, the precision improves by 4.1 % from 0.73 (when using just Cellpose with median diameter for inference) to 0.76 (when using the pipeline).

Our workflow was used by a cryoEM expert to semi-automate boundary annotation of LNPs in cryoEM images. He was able to generate annotations for 5X more particles per hour when using the pipeline.

In future we hope to leverage our large repository of unlabeled data through semi-supervised learning. We also hope to extend our analysis to localize the mRNA which resides within LNPs.



Figure 8: Average of k-fold cross validation results on using Cellpose with the pipeline (the vertical bars) and without (the horizontal lines). The blue bar represents precision at different merging thresholds when using the pipeline, and the orange bar represents recall. The top 3 lines represent precision when using just Cellpose with different inference diameters, and the bottom three lines represent recall.

#### **6 DISCLAIMER**

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