# Speech-Based Supervised Learning Towards the Diagnosis of Amyotrophic Lateral Sclerosis

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- Keywords: Amyotrophic Lateral Sclerosis, Speech, Automatic Speech Analysis, Signal Processing, Machine Learning, Supervised Learning.
- Abstract: Amyotrophic Lateral Sclerosis (ALS) diagnosis requires extensive clinical examinations, often leading to delays and a burden to patients and their caregivers. Speech has emerged in the literature as a promising biomarker for neurodegenerative diseases capable of being integrated into telemonitoring solutions. We present a comprehensive study with several phonatory tasks and speech features to evaluate the generalisation potential of models for ALS diagnosis. We use a public dataset with sustained vowels (*N*=64) and data with ALS and healthy volunteers being collected from ongoing research trials (*N*=22). Two approaches were considered: i) sample-based, where the signals were divided into fixed-length windows, and ii) patient-based, where a voting system was implemented based on the sample-based classification of each patient. We achieved a mean diagnostic performance with an F1-score over 80%. The best scores for the sample and patient-based classifications are 96% and 100% for vowels, 96% and 95% for sentences and 82% and 87% for cough. Our findings support speech as a promising digital biomarker and pave the way for remote examination at patients' residences, increasing the data available for clinicians for better diagnosis and prognosis of ALS.

# **1 INTRODUCTION**

Amyotrophic Lateral Sclerosis (ALS) is an incurable neurodegenerative disease where the loss of motor neurons leads to rapidly progressing muscle weakness and atrophy. Currently, survival is limited to 2-5 years after disease onset (Masrori and Van Damme, 2020). The diagnosis of ALS still proves challenging due to its complex pathogenesis (Talbott et al., 2016; Masrori and Van Damme, 2020; Fernandes et al., 2021). The clinical manifestations of the disease are diverse, with roughly two-thirds of patients having spinal onset and displaying initial symptoms in the upper and lower limbs. The remaining third have bulbar system onset, which presents most commonly with dysarthria or dysphagia (Masrori and Van Damme, 2020). Signs of upper motor neuron (UMN) and lower motor neuron (LMN) symptoms in patients showing gradual muscle weakness without any other possible explanation constitute the basis for ALS diagnosis (Masrori and Van Damme, 2020). Medical history and extensive clinical examination are needed to rule out other conditions. This process still requires frequent clinical assessments and hospital visits, leading to diagnostic delays and causing a considerable burden to patients and their caregivers. This delay can reach up to a year from symptoms onset to confirmation (Paganoni et al., 2014). The implications of long delays in ALS diagnosis are significant, as they shorten the opportunity window for patients to begin treatment and enrol in clinical trials at an early stage (Paganoni et al., 2014).

Biomarkers could be crucial in supporting an early diagnosis of ALS, measuring disease severity and progression, and enhancing patient stratification in clinical trials. Even so, thus far, no simple and objective biomarkers have been discovered (Masrori and Van Damme, 2020; Fernandes et al., 2021; Youn et al., 2021). Recently, researchers have changed their focus to biosignals, which can be easily recorded and applied in telemonitoring systems (Fernandes et al., 2021; Ramanarayanan et al., 2022).

There has been a growing interest in exploring speech as a biomarker for ALS. Specifically, speech requires the intricate coordination of multiple cog-

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In Proceedings of the 16th International Joint Conference on Biomedical Engineering Systems and Technologies (BIOSTEC 2023) - Volume 4: BIOSIGNALS, pages 74-85 ISBN: 978-989-758-631-6; ISSN: 2184-4305

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Speech-Based Supervised Learning Towards the Diagnosis of Amyotrophic Lateral Sclerosis.

DOI: 10.5220/0011694700003414

nitive, affective, linguistic, and motoric processes, which result in a wide range of behaviours that provide rich insights into neurological and motor functions. This suggests that speech may be a promising marker for numerous neurological and neurodegenerative conditions (Ramanarayanan et al., 2022).

Automatic speech analysis may be a promising tool for the diagnosis and prognosis of ALS. This technique has recently been applied to other neurological diseases, such as Parkinson's Disease and Cerebral Palsy, showing promising results (Orozco-Arroyave et al., 2018; Vasquez-Correa et al., 2020; Janbakhshi and Kodrasi, 2021; Sztahó. et al., 2021). Speech production decline is suggested to be among the earliest indicators of bulbar motor system involvement. Hence, speech degeneration is one of the critical aspects of disease progression, especially among patients with bulbar onset. Extracting new interpretable features from speech, which can be correlated to existing disease progression quantification methods and clinical outcomes, can contribute to proving such features as biomarkers for ALS (An et al., 2018; Stegmann et al., 2020; Vashkevich and Rushkevich, 2021; Vieira et al., 2022). These features may improve patient stratification and advance knowledge on the underlying pathological processes affecting speech production (Gómez-Vilda et al., 2013). Different Machine Learning (ML) and Deep Learning (DL) methodologies have been applied in this context. Novel speech features have been proposed in the literature to assess their value as biomarkers. Stegmann et al. remotely followed 65 ALS patients at home via a mobile application for up to nine months, who provided speech samples and ALS Functional Rating Scale-Revised (ALSFRS-R) scores (Stegmann et al., 2020). The remotely collected speech was used to assess the Articulatory Precision (AP) and Speaking Rate (SR) through automatic speech analysis. The authors used mixed-effect models to evaluate if changes in these features could precede a decline in the ALSFRS-R bulbar sub-scale. A Growth Curve Model (GCM) was also used to evaluate the sensitivity of these features to estimate longitudinal changes in the speech of ALS patients. In another study, Vashkevish et al. developed an ML approach for ALS diagnosis based on speech features extracted from sustained vowels recordings (Vashkevich and Rushkevich, 2021). A large feature set was analysed, comprised of widely used speech features and novel features proposed by the authors. Various feature selection algorithms were used to assess the most discriminant features for training a Linear Discriminant Analysis (LDA) classifier.

Regarding DL approaches, An et al. acquired

speech recordings from age- and gender-matched ALS and healthy subjects to study the feasibility of using a Convolutional Neural Network (CNN) based representation learning for early ALS diagnosis (An et al., 2018). The authors developed time and frequency-domain CNNs and compared their performance with a baseline Artificial Neural Network (ANN). Similarly, CNNs were also used in a recent study by Vieira et al. to develop novel objective ALS disease severity measures based on speech and accelerometer data (Vieira et al., 2022). The authors followed a large cohort of 584 ALS patients over four years and remotely collected self-reported ALSFRS-R scores, speech recordings and limb-related accelerometer data. This data was then used to develop a voice model to predict bulbar-related ALSFRS-R scores and an accelerometer model to predict limbrelated ALSFRS-R scores, further supporting telemonitoring strategies as low-cost and practical solutions that work well with biosignals and can regularly collect a greater volume of high-quality data.

This work studies several phonatory tasks and speech features to evaluate the generalisation potential of different ML models. Our contributions focus on developing an automatic speech analysis framework for supporting the diagnosis of ALS using speech data. Several supervised learning models were studied with general-purpose features from temporal, statistical, and spectral domains calculated using the Time Series Feature Extraction Library (TSFEL) (Barandas et al., 2020) along with a dedicated feature set for speech analysis. Furthermore, we investigate the adequacy of performing different phonatory tasks for remote data collection via a mobile phone.

The paper is structured as follows: Section 2 describes the datasets used, the proposed machine learning pipeline and the experimental setup. The results are presented and discussed in Section 3. Finally, Section 4 summarises the conclusions and limitations of this work, along with some recommendations for future work.

## **2** MATERIAL AND METHODS

We developed an automatic speech analysis framework for diagnosing ALS, capable of distinguishing between ALS and Healthy Controls (HCs) in a binary classification scenario using speech data. This framework was divided into three stages: preprocessing, feature extraction, and classification. Figure 1 illustrates the proposed framework.

The first stage describes the preprocessing of speech signals from the different phonatory tasks,



Figure 1: Proposed framework for the diagnosis of Amyotrophic Lateral Sclerosis from the speech signal.

particularly resampling and voice activity detection. Feature extraction addresses the computation of the general-purpose features using TSFEL (Barandas et al., 2020) and the speech-dedicated features. Finally, the classification stage details the implemented ML pipeline, which is divided into five steps: data splitting, hyperparameter optimization, model training, sample classification and patient diagnosis.

Before detailing the implemented methodology, a description of the working datasets follows.

#### 2.1 Datasets

Two datasets were explored in this work, a public dataset from (Vashkevich and Rushkevich, 2021) together with data from ALS and healthy volunteers collected from ongoing research trials. Table 1 compares these two datasets.

Table 1: The HomeSenseALS	and Minsk datasets.
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	HomeSe	nseALS (N=22)	Minsk	(N=64)
	ALS	Healthy	ALS	Healthy
Gender				
F	6	5	14	20
М	3	8	17	13
Age (years)				
F	(2 + 1)	25   2	$57\pm8$	61±8
Μ	62±11	25±3	$56{\pm}10$	$50{\pm}14$
Phonatory Tasks				
Sentences			-	_
Vowel /a/			1	/
Vowel /i/		v v	١	/
Vowel /u/			-	_
Cough	—			_
Sampling Frequency (kHz)	48	44	4.1	

#### 2.1.1 HomeSenseALS Dataset

A European Portuguese voice dataset is being constructed with voice recordings from ALS patients and healthy volunteers acquired in ongoing research trials (N=22). The recording protocol follows the guidelines from the  $2^{nd}$  European Portuguese Version of the Consensus Auditory-Perceptual Evaluation of Voice (II EP CAPE-V) (de Almeida et al., 2019) with slight differences between ALS patients and HCs.

**ALS Patients:** The protocol followed the complete II EP CAPE-V assessment, with an additional phonatory task ("cough", recorded three times) and some changes to the "sustained vowels" task (added vowel /u/, besides vowels /a/ and /i/; thrice recorded). All speech recordings were collected using a mobile phone during a routine visit to the clinic. The researcher held the mobile phone and positioned it so that the screen was always facing the patient at a distance of approximately 20 cm from the face. Only during the "cough" task the mobile phone was positioned to the side while the patient performed the task. The acquisitions were always recorded with the same mobile phone and in the same room.

**Healthy Controls:** Healthy volunteers were recruited during our research to create a control group. Multiple recording sessions were performed per participant for two months. Most participants recorded six sessions, except for two subjects that could only record two sessions during this period.

The recording protocol was a simplified version of the one performed for ALS patients, where each phonatory task was only recorded once. Furthermore, only one sentence was considered for the "sentences" phonatory task ("A Zé, mãe do Gabriel, deulhe um bolo de laranja e vinho velho de Runa"). All speech recordings were collected using the same mobile phone and always in the same quiet room. The mobile phone was positioned the same way as described for the ALS patients, although held by the participant. The participants remained standing through the session and always waited one second before starting and stopping each speech recording.

#### 2.1.2 Minsk Dataset

This public dataset was collected in the Republican Research and Clinical Center of Neurology and Neurosurgery (Minsk, Belarus) (Vashkevich and Rushkevich, 2021). Each participant was asked to record a sustained phonation of the vowels /a/ and /i/ at a comfortable pitch and loudness for as long as possible. Every participant only recorded each vowel once. This voice database is almost balanced and contains 48% of pathological recordings and 52% of healthy recordings. Each sample was acquired using different mobile phones and regular headsets. For a more comprehensive description of the dataset and description of the experimental procedures, the reader might refer to the author's original work (Vashkevich and Rushkevich, 2021).

## 2.2 Preprocessing

Preprocessing starts with resampling signals to 8 kHz because speech signals from different datasets have been recorded at distinct sampling frequencies. After resampling, voice activity detection follows.

Voice activity detection identifies the presence of human speech in an audio signal containing a mixture of speech and noise. The Voice Activity Detector (VAD) used in this work was adopted from the WebRTC project for its good baseline performance and open-source nature (WebRTC, 2018; Ko et al., 2018). WebRTC's VAD extracts the logarithm of energy from the speech signal's six frequency bands between 80 Hz - 4000 Hz. It calculates the probabilities for both speech and background noise from these energies using Gaussian Mixture Models (GMM).

The VAD identifies the spoken segments of the signals as "non-silence", with the remaining segments classified as "silence". After voice activity detection, the initial and final silences from the signals are trimmed since they do not contain relevant information. This is the final preprocessing step for the cough and sentence signals before feature extraction. Vowels /a/, /i/, and /u/ are further combined into a single signal, denoted as "vowels" hereafter.

## 2.3 Feature Extraction



Figure 2: Feature extraction and feature fusion pipeline, where  $w_i$  is a short-time window of the signal,  $f_i$  represents a short-time feature and  $f_{fs}$  illustrates a full-signal feature.

Feature extraction ensues, where two groups of features are considered: window-based features, calculated within consecutive time windows along the speech signal; and full signal features, computed from the complete speech signal. Figure 2 illustrates the feature extraction process. The complete list of features is available in Appendix.

#### 2.3.1 Window-Based Features

Short-time window features are extracted only on the voiced portions of the speech signals. Each nonsilence segment is divided into overlapping windows, and the complete feature set is extracted for each. This process is repeated for all subjects to originate a feature matrix, mapping each row, representing a sample, to a column, representing a feature value. More specifically, each sample corresponds to a short-time window from the signal's non-silence segments. As such, each subject has multiple signals from different phonatory tasks associated with multiple samples. This work used a window size of 250 ms, with a 50 % overlap between windows.

Window-based features include general-purpose features from the temporal, spectral and statistical domains extracted using TSFEL. Other features related to pathological speech analysis were also explored. Speech-dedicated features were extracted using the reference software library for speech analysis and linguistics, *Praat*, which contains some of the most popular features for linguistics and speech research (Boersma, 2001; Mekyska et al., 2015). The Parselmouth library (Jadoul et al., 2018) was used for computing the *Praat* features in Python. Besides *Praat* features, speech-related features from the spectral domain were also calculated (Brown et al., 2020; Mekyska et al., 2015; Boghdady et al., 2021; Delgado-Hernández et al., 2018).

#### 2.3.2 Full Signal Features

Full signal features are extracted from the complete speech signal. Early feature fusion is performed to combine the two feature types. The adopted strategy replicates the full signal features extracted from a speech signal through all the short-time window samples associated with the same signal.

These features include silence features and formant features. Silence features were specifically computed from speech signals recorded for the "sentences" phonatory task. They give information on how much time each person produced speech and the amount of pause time they took while reading the complete sentence. On the other hand, formant features were adopted from Gómez-Vilda et al. (Gómez-Vilda et al., 2015). The formants used for calculating these features are estimated following the procedure from (MathWorks, 2022).

## 2.4 Classification

A supervised learning pipeline was developed to distinguish between ALS patients and HCs. This pipeline is divided into five steps: data splitting, feature selection, hyperparameter optimization and model training, sample classification, and patient diagnosis. The ML classifiers selected for this task were Support Vector Machines (SVM), Logistic Regression (LR), Naive Bayes (NB), Decision Tree (DT) and Random Forest (RF). These steps were repeated five times for five different random seeds to test different train and test divisions and different hyperparameter search spaces. For each classifier, the considered results for analysis are given as the mean and standard deviation of each evaluation metric across the five seeds.

#### 2.4.1 Data Splitting and Feature Selection

The data splitting procedure divides the feature matrix into training and test sets. A Patient Shuffle Split method was used to ensure that no samples from the same subject leaked from one feature set to the other (Pedregosa et al., 2011). Approximately 80% of the subjects were used for the training set, while the remaining 20% formed the test set. The shuffling process produced a balanced test set regarding class, gender, and dataset distributions.

Afterwards, feature selection was performed to reduce the feature set and eliminate the less discriminating features. A variance threshold was used to remove constant features, whereas a correlation threshold removed features with a correlation coefficient over 0.80 by computing the pairwise Pearson's correlation. The feature selection methods were applied only to the training set, and the selected features were removed from both the training and test sets. The filter methods were purposely not applied to the test set in order for the samples from the test subjects to remain unknown. Additionally, this feature selection approach is independent of the ML classifiers used for classification. Hence, it is performed before the hyperparameters optimization and training steps.

#### 2.4.2 Hyperparameter Optimization and Model Training

The hyperparameters' optimization was performed on the train set using a randomised search with a Patient 5-fold Cross Validation scheme (Pedregosa et al., 2011; Bergstra and Bengio, 2012). This crossvalidation scheme divides the train set into five different folds, where each fold is comprised of a train and a validation set. Subjects will only be selected once to be part of the validation set in the collection of all folds.

For each iteration of the randomised search, a random combination of hyperparameters was selected and used to train a classifier on each fold's train set. Standardisation and nearest neighbour imputation are performed before training. These data transformations were first applied to the training set before being used on the validation set. The transformations applied to the validation set used the parameters calculated from the training set for scaling and imputation to avoid data leakage.

Ten different hyperparameter combinations were tried for each ML classifier using the randomised search algorithm. The hyperparameters that produced the best mean performance over the five folds were selected. A classifier with those hyperparameters was then trained on the whole training set (scaled and imputed according to the data transformations described above, without the cross-validation scheme). After training, this classifier could classify individual windows from the speech signals. To assess its performance, the classifier was used to make predictions on the test set and evaluated based on those predictions.

#### 2.4.3 Voting System for Patient Diagnosis

Sample-based Classification	Pa	atient-based Classification
	$\rightarrow$	Majority Rule Voting ALS: 8 votes $\rightarrow$ ALS HC: 2 votes

Figure 3: Voting system for patient diagnosis.

As previously mentioned, each subject performs multiple speech tasks. Thus, each patient is associated with multiple samples from multiple speech signals. However, the trained classifier only classifies individual samples. To reach a final diagnostic classification, a voting system was implemented. The implemented voting system is similar to the strategies used in voting ensembles to combine the predictions of various models (Géron, 2019). In our case, the voting system considered the individual classification outputs from the various samples that made up the complete set of speech signals associated with a patient. We chose to apply a hard voting majority rule to reach the final decision. The system counted the number of samples classified as pathological and those classified as healthy as the number of votes for the ALS and the HC classes, respectively. The class with the most votes was considered the classifier's decision, as detailed in Figure 3.

As a whole, the proposed framework performed two kinds of classification: sample-based and patientbased. The sample-based classification task provides the necessary information to reach the final diagnostic decision, which is accomplished by the patient-based classification.

## 2.5 Experimental Setup

Besides the two approaches for supervised classification - sample and patient levels - a robustness analysis evaluated at the sample level was also performed to identify possible bias sources, using target values other than the diagnostic class. These experiments were designed to evaluate the performance, generalization capacity and robustness of the ML models used in the proposed framework. Each experiment was discriminated in terms of **dataset combination**, **feature subset**, **gender** and **phonatory task**.

For each ALS patient and HC subject, a total of 142 features were extracted per speech signal: 97 features pertaining to TSFEL and the remaining 45 features related to speech adapted from literature. Three feature subsets were considered for analysis: the complete feature set (142 features), the TSFEL subset (97 features), and the speech-related subset (45 speechrelated features from the literature).

Three dataset configurations were used: Home-SenseALS only, Minsk only, and both datasets. Regarding the HomeSenseALS dataset, only recordings from the first two sessions for the HCs were used so that the number of samples from the ALS and HC classes was approximately equal. Moreover, the datasets did not have the same number of phonatory tasks due to differences in the acquisition protocols. Thus, some features from the speech-related subset could not be used in all scenarios. Specifically, full signal features were only used for the isolated "sentences" phonatory task in the first dataset configuration. Formant features should be used for the classification scenarios that pertain to the "vowels" task. However, due to the limitation of the Minsk dataset not having recorded the vowel /u/, these features were not used to keep the results between the two datasets comparable.

Furthermore, another design consideration in our research was the effect of gender in the classification task. Gender differences may be captured in the speech signal (Albuquerque et al., 2020), which may negatively affect the ML models as sources of bias (Garnerin et al., 2019). As such, each experiment was repeated for three gender configurations: female subjects only, male subjects only, and both genders. In the context of our research, gender refers to the biological sex of the subject.

## **3** RESULTS AND DISCUSSION

The considered results for analysis are given as the mean and standard deviation across the five seeds for the F1-score (macro-averaged) and accuracy metrics. Only the results for the best ML models in each classification scenario were analysed. Table 2 summarises the results for the sample-based classification task for the different experiments, while Table 3 presents the results for the patient-based classification task. Finally, Table 4 shows the results for the sample-based robustness analysis.

#### 3.1 Sample-Based Classification

The proposed framework attained good results with the HomeSenseALS dataset for the sample-based classification. Both the TSFEL and the speechrelated feature subsets performed well, although in distinct phonatory tasks. While the TSFEL subset returned better results when all tasks were considered, and for the isolated "vowels" task, the speech-related subset had better results for the "sentences" and "cough" phonatory tasks. Nevertheless, results obtained with the complete feature set were always better. Comparing the results for the different phonatory tasks, it was observed that the best overall performance was achieved using data from the "vowels" task. Furthermore, it was observed that in the single-gender classification scenarios with this dataset, the female gender consistently surpassed the results achieved for the male gender. The framework's highest F1-score and accuracy were  $0.96\pm0.03$  and  $0.96\pm0.03$ , respectively, attained with an SVM using female-only data from the "vowels" phonatory task.

Regarding the Minsk dataset, the framework showed the best performance when using the complete feature set, as observed for the HomeSenseALS dataset. However, the results obtained for the maleonly classification scenario far surpassed those for the female gender, as opposed to what was seen previously. The best F1-score and accuracy,  $0.82\pm0.11$ and 0.84±0.10, respectively, were achieved with an SVM. These results were lower than the ones reported in the literature (Vashkevich and Rushkevich, 2021), which may be due to differences between this study and the original work. First, some features differ in both studies, which may influence the ML models' performance. Secondly, the two works also differ in the ML pipeline and cross-validation schemes. In this work, the training and test sets were created using Patient Shuffle Split, the ML models were optimized through Randomized Search using a Patient 5-Fold

Experiment			All Fe	atures	TSFEL Features		Speech-relat	Speech-related Features	
Phonatory Task	Gender	Best Model	F1-Score	Accuracy	F1-Score	Accuracy	F1-Score	Accuracy	
HomeSenseAI	S								
Sentences									
	F	DT	$0.96\pm0.09$	$0.96 \pm 0.08$	$0.78\pm0.06$	$0.80\pm0.05$	$0.96\pm0.09$	$0.96\pm0.08$	
	М	NB	$0.60\pm0.30$	$0.72\pm0.20$	$0.72\pm0.08$	$0.75\pm0.09$	$0.61\pm0.31$	$0.74\pm0.21$	
	MF	DT	$0.82\pm0.07$	$0.83\pm0.07$	$0.73\pm0.05$	$0.74\pm0.05$	$0.83\pm0.07$	$0.83\pm0.07$	
Vowels									
	F	SVM	$0.96\pm0.03$	$0.96\pm0.03$	$0.95\pm0.03$	$0.95\pm0.03$	$0.88\pm0.06$	$0.89\pm0.05$	
	М	NB	$0.85\pm0.06$	$0.89\pm0.03$	$0.87\pm0.06$	$0.90\pm0.03$	$0.73\pm0.09$	$0.81\pm0.05$	
	MF	LR	$0.93\pm0.05$	$0.94\pm0.05$	$0.89\pm0.07$	$0.90\pm0.06$	$0.94\pm0.06$	$0.94\pm0.05$	
Cough									
	F	DT	$0.82\pm0.09$	$0.85\pm0.06$	$0.75\pm0.09$	$0.81\pm0.06$	$0.81\pm0.10$	$0.85\pm0.06$	
	М	LR	$0.86\pm0.12$	$0.89\pm0.10$	$0.27\pm0.14$	$0.28\pm0.14$	$0.86\pm0.12$	$0.88\pm0.10$	
	MF	RF	$0.92\pm0.05$	$0.94 \pm 0.04$	$0.69\pm0.11$	$0.72\pm0.10$	$0.90\pm0.02$	$0.91\pm0.03$	
All Tasks									
	F	SVM	$0.94\pm0.02$	$0.94 \pm 0.02$	$0.91\pm0.05$	$0.92\pm0.04$	$0.82\pm0.02$	$0.82\pm0.02$	
	М	NB	$0.82\pm0.05$	$0.84 \pm 0.05$	$0.79\pm0.07$	$0.82\pm0.07$	$0.72\pm0.12$	$0.77\pm0.10$	
	MF	LR	$0.91\pm0.02$	$0.92\pm0.02$	$0.86\pm0.06$	$0.87\pm0.05$	$0.84\pm0.05$	$0.84\pm0.04$	
Minsk									
Vowels									
	F	DT	$0.58\pm0.14$	$0.61\pm0.13$	$0.51\pm0.11$	$0.54\pm0.10$	$0.53\pm0.12$	$0.54\pm0.12$	
	М	SVM	$0.82\pm0.11$	$0.83\pm0.10$	$0.80\pm0.10$	$0.81\pm0.09$	$0.61\pm0.10$	$0.62\pm0.09$	
	MF	RF	$0.72\pm0.06$	$0.73\pm0.06$	$0.71\pm0.08$	$0.72\pm0.07$	$0.51\pm0.10$	$0.52\pm0.09$	
HomeSenseAI Vowels	.S and Mi	nsk		/					
	F	RF	$0.74\pm0.06$	$0.75\pm0.06$	$0.75\pm0.06$	$0.76\pm0.06$	$0.66\pm0.07$	$0.69\pm0.05$	
	М	SVM	$0.84\pm0.10$	$0.85\pm0.10$	$0.79\pm0.12$	$0.80 \pm 0.11$	$0.60\pm0.10$	$0.62\pm0.09$	
	MF	SVM	$0.82\pm0.05$	$0.82\pm0.05$	$0.76\pm0.06$	$0.77\pm0.05$	$0.71\pm0.06$	$0.72\pm0.06$	

Table 2: Sample-based classification results in the different experiments. The results are given as the mean and standard deviation across the five randomized seeds. Only the F1-Score and Accuracy achieved by the best classifiers in each experiment are presented.

cross-validation scheme, and the selected model was then trained on the complete training set. The classification performance was evaluated on the test set over the five randomized seeds using the average F1-score. In contrast, the original work used a stratified 8-Fold cross-validation scheme for training and testing their ML models. This process was repeated 40 times, and the reported classification performance was evaluated using the average accuracy achieved on the test set across all folds. Using different cross-validation schemes may affect the generalization capacity of the ML models. K-Fold cross-validation does not guarantee that samples from the same subject are not shared between the training and test sets, even if the folds are stratified so that they contain approximately the same proportions between classes as in the original dataset. Furthermore, different ML models were tested in both works.

Experiments with both datasets combined followed the same tendency concerning the results attained with each feature subset. In terms of gender, the observed results were similar without noticeable differences between genders. In this configuration, the framework's highest F1-score and accuracy were  $0.84\pm0.10$  and  $0.85\pm0.10$ , respectively, obtained with an SVM for the classification scenario using the data of subjects of both genders from the "vowels" phonatory task.

Overall, comparing the results from the "vowels" task, the only phonatory task common to all three configurations, it was observed that the best results were achieved when using the HomeSenseALS dataset independently. On the other hand, the results attained with the Minsk dataset were lower than the ones reported in the literature. Using both datasets combined also presented good results. Regardless, all configurations showed that using every feature from the TSFEL and the speech-related subsets together improved the framework's performance. Moreover, the best results were consistently achieved with an SVM.

Discriminating the results by gender, in the Home-SenseALS dataset, the female gender attained consistently better results than the male gender, although the inverse was observed in the Minsk dataset. When using the two datasets together, the results for both genders were similar, with slight differences. Anatomical differences in biological sex influence speech directly (Albuquerque et al., 2020; Garnerin et al., 2019). The classification algorithm may pick these differences, affecting its predictions and explaining the different classification results. However, gender imbalance in

Experiment			All Features		TSFEL	TSFEL Features		Speech-related Features	
Phonatory Task	Gender	Best Model	F1-Score	Accuracy	F1-Score	Accuracy	F1-Score	Accuracy	
HomeSenseAI	LS								
Sentences									
	F	DT	$0.95\pm0.11$	$0.95\pm0.10$	$0.89\pm0.13$	$0.90\pm0.12$	$0.95\pm0.11$	$0.95\pm0.10$	
	Μ	NB	$0.60\pm0.33$	$0.70\pm0.24$	$0.73\pm0.33$	$0.80\pm0.24$	$0.60\pm0.33$	$0.70\pm0.24$	
	MF	DT	$0.74\pm0.16$	$0.75\pm0.16$	$0.84\pm0.13$	$0.85\pm0.12$	$0.79\pm0.19$	$0.80\pm0.19$	
Vowels									
	F	SVM	$1.00\pm0.00$	$1.00\pm0.00$	$1.00\pm0.00$	$1.00\pm0.00$	$0.89\pm0.13$	$0.90\pm0.12$	
	Μ	NB	$0.73\pm0.33$	$0.80\pm0.24$	$0.87\pm0.27$	$0.90\pm0.20$	$0.73\pm0.33$	$0.80\pm0.24$	
	MF	LR	$0.95\pm0.11$	$0.95\pm0.10$	$0.95\pm0.11$	$0.95\pm0.10$	$1.00\pm0.00$	$1.00\pm0.00$	
Cough									
	F	DT	$0.87\pm0.27$	$0.90\pm0.20$	$0.89\pm0.13$	$0.90\pm0.12$	$0.87\pm0.27$	$0.90\pm0.20$	
	Μ	LR	$1.00\pm0.00$	$1.00\pm0.00$	$0.27\pm0.13$	$0.40\pm0.20$	$1.00\pm0.00$	$1.00\pm0.00$	
	MF	RF	$1.00\pm0.00$	$1.00\pm0.00$	$0.81\pm0.26$	$0.85\pm0.20$	$1.00\pm0.00$	$1.00\pm0.00$	
All Tasks									
	F	SVM	$1.00\pm0.00$	$1.00\pm0.00$	$1.00\pm0.00$	$1.00\pm0.00$	$1.00\pm0.00$	$1.00\pm0.00$	
	Μ	NB	$1.00\pm0.00$	$1.00\pm0.00$	$0.87\pm0.27$	$0.90\pm0.20$	$0.73\pm0.33$	$0.80\pm0.24$	
	MF	LR	$1.00\pm0.00$	$1.00\pm0.00$	$0.89\pm0.13$	$0.90\pm0.12$	$0.95\pm0.11$	$0.95\pm0.10$	
Minsk									
Vowels									
	F	DT	$0.66 \pm 0.21$	$0.70\pm0.16$	$0.47\pm0.16$	$0.53\pm0.12$	$0.53\pm0.20$	$0.53\pm0.19$	
	М	SVM	$0.86\pm0.13$	$0.87 \pm 0.12$	$0.90\pm0.08$	$0.90\pm0.08$	$0.63\pm0.24$	$0.67\pm0.21$	
	MF	RF	$0.70\pm0.11$	$0.70\pm0.11$	$0.73\pm0.08$	$0.74\pm0.08$	$0.51\pm0.16$	$0.54\pm0.14$	
HomeSenseAI	LS and Mi	nsk							
Vowels									
	F	RF	$0.72\pm0.09$	$0.73\pm0.09$	$0.66\pm0.14$	$0.68\pm0.13$	$0.63\pm0.13$	$0.65\pm0.12$	
	М	SVM	$0.90\pm0.12$	$0.90\pm0.12$	$0.86\pm0.18$	$0.88\pm0.16$	$0.52\pm0.20$	$0.53\pm0.20$	
	MF	SVM	$0.82\pm0.10$	$0.83\pm0.10$	$0.81\pm0.13$	$0.81\pm0.12$	$0.75\pm0.08$	$0.76\pm0.07$	

Table 3: Patient-based classification results in the different experiments. The results are given as the mean and standard deviation across the five randomized seeds. Only the F1-Score and Accuracy achieved by the best classifiers in each experiment are presented.

the datasets may also influence the ML model's performance, as these algorithms will be trained with different examples from each gender. In both the Home-SenseALS and Minsk datasets, some gender imbalance exists between ALS patients and HCs. This gender imbalance is also present between subjects from the same class, i.e., within ALS subjects or HCs.

## 3.2 Patient-Based Classification

The framework attained good results in the patientbased classification, with an improvement in the F1score and accuracy values observed for most configurations. The framework attained the best results with the HomeSenseALS dataset. Again, the complete feature set showed better results than the individual feature subsets. Results discriminated by gender also presented a similar pattern to the sample-based classification, with the female gender attaining better results than the male gender. The only exception was the "cough" phonatory task, where the results were better for the male gender. Furthermore, data from all phonatory tasks and the complete feature set showed improved performance, with the framework always predicting the test subjects correctly.

Results for the Minsk dataset also improved, with

the framework continuing to display better performance for the male gender than the female gender. Nevertheless, the results were still lower than the ones reported in the literature (Vashkevich and Rushkevich, 2021).

An improvement was also noticed when using both datasets together. Overall, this classification approach resulted in better performance than the sample-based classification, with more ML models reaching F1-score and accuracy values over 0.90. The increase in performance may be explained by the voting rule used in the patient-based classification. A hard voting majority rule was chosen for this classification approach, which makes a prediction based on the total number of samples classified as pathological or healthy, choosing the classification with the most votes. This behaviour may hide individual errors at the sample-level classification, improving the framework's performance. These results followed the same tendencies as in the sample-based classification, with the complete feature set still achieving better results than the individual subsets.

E	xperiment		All Features		TSFEL	TSFEL Features		Speech-related Features	
Phonatory Task	Target	Model	F1-Score	Accuracy	F1-Score	Accuracy	F1-Score	Accuracy	
HomeSenseAI	LS								
Sentences									
	Data Source	DT	$0.82\pm0.07$	$0.83\pm0.07$	$0.73\pm0.06$	$0.74\pm0.05$	$0.83\pm0.07$	$0.83\pm0.07$	
	Gender	DT	$0.91\pm0.07$	$0.92\pm0.06$	$0.82\pm0.07$	$0.83\pm0.06$	$0.91\pm0.08$	$0.91 \pm 0.07$	
	Class	DT	$0.82\pm0.07$	$0.83\pm0.07$	$0.73\pm0.05$	$0.74\pm0.05$	$0.83\pm0.07$	$0.83\pm0.07$	
Vowels									
	Data Source	LR	$0.93\pm0.05$	$0.94\pm0.05$	$0.89\pm0.07$	$0.90\pm0.06$	$0.94\pm0.06$	$0.94\pm0.05$	
	Gender	LR	$0.92\pm0.08$	$0.93\pm0.08$	$0.85\pm0.08$	$0.85\pm0.08$	$0.92\pm0.09$	$0.92\pm0.09$	
	Class	LR	$0.93\pm0.05$	$0.94\pm0.05$	$0.89\pm0.07$	$0.90\pm0.06$	$0.94\pm0.06$	$0.94\pm0.05$	
Cough									
-	Data Source	RF	$0.92\pm0.05$	$0.94 \pm 0.04$	$0.69\pm0.11$	$0.72\pm0.10$	$0.90\pm0.02$	$0.91\pm0.03$	
	Gender	RF	$0.53\pm0.09$	$0.56\pm0.10$	$0.53\pm0.09$	$0.56\pm0.11$	$0.43\pm0.05$	$0.49\pm0.07$	
	Class	RF	$0.92\pm0.05$	$0.94 \pm 0.04$	$0.69 \pm 0.11$	$0.72\pm0.10$	$0.90\pm0.02$	$0.91\pm0.03$	
All Tasks									
	Data Source	LR	$0.91\pm0.02$	$0.92\pm0.02$	$0.86\pm0.06$	$0.87 \pm 0.05$	$0.84\pm0.05$	$0.84\pm0.04$	
	Gender	LR	$0.90\pm0.06$	$0.91 \pm 0.06$	$0.79\pm0.04$	$0.79\pm0.04$	$0.91\pm0.05$	$0.92\pm0.05$	
	Class	LR	$0.91\pm0.02$	$0.92\pm0.02$	$0.86\pm0.06$	$0.87\pm0.05$	$0.84\pm0.05$	$0.84\pm0.04$	
Minsk Vowels									
vowels	_								
	Gender	RF	$0.84 \pm 0.09$	$0.85 \pm 0.08$	$0.78 \pm 0.08$	$0.79 \pm 0.07$	$0.85 \pm 0.07$	$0.87 \pm 0.06$	
	Class	RF	$0.01 \pm 0.09$ $0.72 \pm 0.06$	$0.03 \pm 0.00$ $0.73 \pm 0.06$	$0.70 \pm 0.00$ $0.71 \pm 0.08$	$0.79 \pm 0.07$ $0.72 \pm 0.07$	$0.03 \pm 0.07$ $0.51 \pm 0.10$	$0.67 \pm 0.00$ $0.52 \pm 0.09$	
	Class	iu.	$0.72 \pm 0.00$	0.75 ± 0.00	0.71 ± 0.00	0.72 ± 0.07	0.51 ± 0.10	0.52 ± 0.07	
HomeSenseAI Vowels	LS and Minsk								
	Data Source	SVM	$0.72\pm0.05$	$0.74\pm0.05$	$0.64 \pm 0.05$	$0.67 \pm 0.05$	$0.57\pm0.05$	$0.58\pm0.06$	
	Gender	SVM	$0.91\pm0.02$	$0.91 \pm 0.02$	$0.83\pm0.03$	$0.83 \pm 0.03$	$0.91\pm0.02$	$0.91 \pm 0.02$	
	Class	SVM	$0.82\pm0.05$	$0.82\pm0.05$	$0.76\pm0.06$	$0.77\pm0.05$	$0.71\pm0.06$	$0.72\pm0.06$	

Table 4: Robustness analysis results for the best models in the sample-based classification. The results are given as the mean and standard deviation across the five randomized seeds. Only the F1-Score and Accuracy are presented.

#### 3.3 Robustness Analysis

The sample-based and patient-based classification results have attained very good performance in multiple scenarios, sometimes reaching F1-scores and accuracy values of 100 %. A robustness analysis was performed to identify possible sources of bias to evaluate this performance.

The patient-based approach is dependent on the performance of the sample-based classification. Thus, the ML models' robustness was evaluated at the sample level. To do so, the best classifiers from the previous classification experiment were trained and tested in the same classification scenarios previously defined, without gender separation, using another target instead of the diagnostic class of each sample. The same ML pipeline from the sample-based classification was used. However, two other targets were considered for this experiment: 1) the "gender" associated with the speech sample and 2) the "data source" of the sample. The data source was a label that identified speech samples from signals acquired in the same conditions. Namely, speech data from the HomeSenseALS and Minsk datasets were collected in different environments, with distinct recording equipment and sampling rates, which may act as sources of bias. Furthermore, data from ALS patients and healthy volunteers in the HomeSenseALS dataset were also recorded in distinct conditions. On the other hand, the gender target was used to assess how well the algorithm recognized the genders based on the different feature subsets.

Regarding the data source target, the framework achieved the same result as those reported in the sample-based classification in the HomeSenseALS dataset. The reason behind these results is the distinct acquisition conditions for ALS patients and HCs. Using the two datasets together, the performance for the data source target decreased in all feature subsets compared to the class target. However, this decrease in the scores was most evident in the speech-related feature subset, indicating that the SVM model used in this scenario was less affected by this source of bias. On the other hand, training the classifiers with speech-related features extracted from speech signals collected from the "vowels" task may be less prone to this kind of bias, surprising, given the different language of both dataset's participants.

Considering gender as the target, the framework's performance was similar to the observed when predicting the data source, in some situations even achieving higher results. This is evident when using both datasets together, where the gender prediction results outperformed both the diagnostic results and the predictions regarding the data source. In the Minsk dataset, the results from this classification also outperformed the results for the diagnostic class. This did not always happen for the HomeSenseALS dataset. Overall, lower scores could be observed for the TSFEL features. Furthermore, the results for the "cough" phonatory task should be noticed, in which the framework had a consistently bad performance. This suggests that data from the "cough" phonatory task may provide less gender-related information to the classifiers.

Overall, the results from this study have shown that the collection conditions between datasets may influence the ML models' performance as sources of bias. Interestingly, when using both datasets and the speech-related features, the performance was not high. Furthermore, gender can be easily predicted by the classifiers in most experiments, except for the classification setting using only data collected from the "cough" phonatory task, surprising, given the different language of participants.

# 4 CONCLUSIONS

ALS diagnosis is still challenging, often leading to diagnostic delays. Consequently, many patients are excluded from participating in new clinical trials with potential life-prolonging treatments. The sooner adequate healthcare is delivered, the higher the chances of increasing survival. Currently, no definitive objective biomarkers for ALS have been established as indicators for early diagnosis and patient outcome measures. Speech has recently emerged as a promising biomarker for neurodegenerative diseases capable of being integrated into telemonitoring solutions.

In this work, the speech signal was explored and analysed in various classification scenarios to assess the adequacy of automatic speech analysis towards ALS diagnosis. An automatic speech analysis framework was proposed to support the diagnosis of ALS using speech data. The overall outcomes from classification experiments reinforce that speech-dedicated features improve the models' performance when combined with general-purpose features. It was observed that the classifiers performed well for all tasks, although the highest results were achieved with the "vowels" phonatory task. The best scores for each phonatory task for the sample and patient-based classifications were 96 % and 100 % for vowels, 96 % and 95 % for sentences, and 82 % and 87 % for cough tasks, respectively. Furthermore, a robustness analysis was performed to evaluate the generalisation capacity of the ML classifiers and identify potential sources of bias that may undermine their performance.

Speech provides rich insights into neurological and motor functions and can be easily collected, enabling the extraction of larger amounts of data containing relevant acoustic, articulatory and linguistic information. Our findings support the utility of speech as a promising digital biomarker and the adequacy of using the cough, vowel and sentences phonatory tasks for data collection in remote settings, paving the way for remote examination at patients' residences and increasing the available data for clinicians towards improving diagnosis and prognosis of ALS.

Nevertheless, additional ALS and healthy volunteers should be recruited to further validate the results from this work with a larger population, including more age- and gender-balanced healthy subjects to evaluate the effect of age on the proposed framework's performance. Longitudinal data acquisitions should be conducted to study how ALS progresses and how ML models can be used for a more accurate prognosis of this disease.

Future work should also consider feature importance studies and Explainable Artificial Intelligence (XAI) methods to quantify and explain how each feature contributes to the classifiers' performance. Representation learning algorithms are an alternative to traditional ML models, which can learn directly from raw data to discover new feature representations and may be interesting to explore.

## ACKNOWLEDGEMENTS

This work was supported by the project "Home-SenseALS: Home-based Monitoring of Functional Disability in Amyotrophic Lateral Sclerosis with Mobile Sensing" and financed through the "FCT–Foundation for Science and Technology, I.P.", with reference PTDC/MEC-NEU/6855/2020.

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# APPENDIX

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See Tables 5 - 6 for the complete list of features.

Table 5: List of window-based features. Features in bold were added from literature.

Domain	Features	
	TSFEL features	
	Autocorrelation	
	Centroid	
	Area under the curve	
	Absolute energy	
	Negative turning points	
Temporal	Positive turning points	
	Neighbourhood peaks	
	Peak to peak distance	
	Slope	
	Total energy	
	Zero crossing rate	
	Interquartile range	Do
	Kurtosis	
	Maximum	Ter
Statistical	Minimum	
	Standard deviation (SD)	
	Variance	
	Root Mean Square (RMS)	<b>C</b>
	Skewness	Sp
	Spectral centroid	
	Spectral decrease	
	Spectral distance	

	Spectral entropy
	Spectral kurtosis
	Spectral positive turning points
	Spectral roll-off
	Spectral roll-on
	Spectral skewness
	Spectral slope
	Spectral spread
	Spectral variation
	Maximum power spectrum
	Maximum frequency
	Median frequency
	Power bandwidth
	Linear prediction cepstral coeffi-
	cients (LPCC) (x 13)
	Mel-frequency cepstral coefficients
	(MFCC)(x 12)
	Wavelet entropy
Spectral	Wavelet energy (x 9)
1	Wavelet absolute mean (x 9)
	Wavelet SD (x 9)
	Wavelet variance (x 9)
	<b>Cepstral Peak Prominence (CPP)</b>
	RMS energy (SD, skewness, kurto-
	sis, maximum, mean, median, min-
	imum, first quartile, third quartile
	and interquartile range)
	Mean square energy
	Mean spectral contrast (x7)
	Period
	Polynomial fit (x2)
	Praat features
	Harmonic-to-Noise Ratio (HNR)
	Fundamental Frequency $(F_0)$ (mean
	and median)
	Jitter variants (Local, Abs, RAP,
	PPQ5, DDP)
	Shimmer variants (Local dB

Table 6: List of full signal features.

APQ3, APQ5, APQ11, DDA)

Domain	Features
Temporal	Silence duration Non-silence duration Silence ratio
Spectral	Vowel Space Area (VSA) Logarithmic VSA (LnVSA) Formant Centralization Ratio (FCR) Vowel Distribution Asymmetry Co- efficient (VDAC) (x2) $F_{2,i}/F_{2,u}$ Ratio