Randout-KD: Finetuning Foundation Models for Text Classification via Random Noise and Knowledge Distillation

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Abstract: Finetuning foundation models effectively on downstream tasks is ongoing research. In this paper, we present a finetuning method "Randout-KD" that enhances the performance of a student model for text classification. We specifically propose a noise-injecting method in the representations of the transformer model during its finetuning that works as regularization. Moreover, we integrate the knowledge distillation and noise injection methods and show that combining these approaches boosts the baseline model performance. We evaluate the proposed method on two datasets namely "CODA-19" and "RHMD" using PubMedBERT and RoBERTa_{Large} as teacher models, and data2vec as a student model. Results show that the proposed approach improves the accuracy up to 1.2% compared to the baseline methods.

1 INTRODUCTION

Machine learning and deep learning methods have been successfully applied to various natural language processing (NLP) tasks such as question answering (Wasim et al., 2019), named-entity-recognition (Zhao et al., 2019), text summarization (Afzal et al., 2020), text classification (Ibrahim et al., 2021), etc. The key idea is to learn useful statistical representations for the given piece of text before using it for the specific task. Models built on the foundation of the Transformer model (Vaswani et al., 2017) have achieved great success in NLP due to their ability of parallelization and capability of learning long-range dependencies. The original transformer model consists of encoder and decoder blocks where the encoder blocks encode the representations for the given text data and the decoder blocks decode the encoded representations. BERT (Devlin et al., 2018) is a transformerbased model that takes the encoder part of the transformer model and is pre-trained on a huge amount of unlabelled text data. It uses two proxy training objectives, i.e., Masked Language Modeling (MLM) and Next Sentence Prediction (NSP) to learn word representations for a given text. In MLM some of the

tokens are masked and BERT tries to predict the real tokens for the masked tokens. In the NSP objective function, two input sentences are given as input to BERT and it predicts whether the second sentence follows the first sentence or not. PubMedBERT (Gu et al., 2021) is the model with similar architecture to the BERT however, it is pre-trained on medical abstracts from the PubMed dataset and full articles from PubMedCentral. RoBERTa (Liu et al., 2019b) is the extension of BERT that uses dynamic word masking instead of static masking. Moreover, it uses more training data compared to BERT. data2Vec (Baevski et al., 2022) is another model that aims at the unification of the different modalities such as image, text, and speech with the same learning method. For the NLP part, it uses the RoBERTa implementation as an architecture but BERT masking strategy as an objective function. These pre-trained models also known as foundation models (Bommasani et al., 2021) can be finetuned on the downstream NLP tasks.

Effectively finetuning foundation models is an active research topic (Zhang et al., 2020). Most of the existing methods directly finetune these models on the downstream tasks labeled dataset. However, some research explores the effective finetuning method for these models. Mixout (Lee et al., 2019) randomly replaces some of the weights in the finetuned model with the original weights from pre-trained models. NoisyTune (Wu et al., 2022) adds a little random

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noise to the parameters of the pretrained language models (PLMs) before finetuning them.

Foundation models are large in size and often finetuning them requires huge computation. To address this challenge, various approaches such as model pruning and knowledge distillation (KD) (Bucilua et al., 2006; Hinton et al., 2015; Kim and Rush, 2016) have been proposed in the literature. KD consists of a teacher and a student model where the teacher model is a large trained model and the student model consists of the same or smaller model as compared to the teacher model. The idea of the KD is to enable the student model to "distill" knowledge from the teacher model. KD forces the student model's predictions to match the predictions of the teacher model.

In this paper, we propose a method "Randout-KD" to effectively finetune and improve the performance of the student model. Concretely, our method randomly injects noise into the hidden representations of various tokens while finetuning the student model. We use data2vec as a student model whereas RoBERTa and PubMedBERT as teacher models for the two experimental settings. We evaluate our approach on two public datasets. Experiments results show that our proposed method improves the performance of the student model over the baseline model, in terms of both F1-score and accuracy. The key contributions of this paper are:

- We propose a new method of injecting random noise into various tokens and their hidden representations during finetuning of the model. The proposed method improves the generalization of the model.
- We further combine noise injection with knowledge distillation for finetuning models. We empirically show that this combination improves the student model's performance as compared to the separate usage of these two approaches.

2 RELATED WORK

In this section, we briefly discuss the prior work in literature focusing on regularization techniques and knowledge distillation in NLP tasks.

2.1 Regularization Methods in NLP

Dropout (Srivastava et al., 2014) has been used as an effective technique to prevent overfitting while training large pre-trained models. This method randomly drops neurons during the training of a neural network, which prevents neurons from co-adapting and generalizes well. This technique has also shown success

in the domain of NLP. (Lee et al., 2019) proposed a method "mixout" to finetune large pre-trained language models. While finetuning a pre-trained model on the downstream task, mixout stochastically replaced the weights of the finetuning model with the pre-trained weights. The authors showed the effectiveness of this method on various NLP tasks. Noisy-Tune (Wu et al., 2022) added noise to the model parameters before finetuning the pre-trained models. This method added different amounts of random noise to different parameters of the model based on their standard deviation. Some of the work (Kitada and Iyatomi, 2021; Zhu et al., 2019) added noise to the attention weights of the transformer models. However, their work focused on adversarial training. (Khan et al., 2022b) added noise to the embedding matrix of the various transformer models and showed their effectiveness on social media text classification. (Khan et al., 2022a) added Gaussian noise to the hidden representations of transformer models and showed that it improved the model's performance.

2.2 Knowledge Distillation in NLP

(Liu et al., 2019a) applied knowledge distillation to the multi-task learning setting in the domain of natural language understanding (NLU). They used multiple teacher models for the single student model and showed that this approach improved performance on 7 out of 9 datasets. (Xu et al., 2020) applied selfdistillation as an effective finetuning method to improve BERT generalization capability. They evaluated their method on four text classification and two natural language inference datasets and showed that their method improved the performance on all the datasets. (Zhou et al., 2022) presented a knowledge distillation method where the teacher network learns to better transfer its knowledge to the student network by getting feedback from the student network during training. (He et al., 2022) achieved the state-of-theart results for the Chinese word segmentation task using weighted self-distillation where the student model learns from itself instead of a separate finetuned teacher model. They also added a weight mechanism that allowed the student network to gather knowledge selectively from the teacher model based on the importance of knowledge. (Liu et al., 2020) proposed noisy self-distillation for the text summarizing task where they added noise to both teacher and student models. They used teacher dropout (Bulò et al., 2016) during the predictions of the teacher model whereas experimented with various noise mechanisms for perturbing the input of the student model during training.

In this work, we present a new method that injects

noise into the representations of a model during its finetuning. Moreover, we incorporate knowledge distillation and show that combining knowledge distillation with noise injection improves the performance of the network as compared to the baseline models.

3 PROPOSED METHOD

In this section, first we describe the preliminaries of the transformer models, and knowledge distillation. Then we present how we combine these concepts to propose our finetuning method.

3.1 Transformers

Let (X,Y) be a dataset containing X number of examples and their corresponding Y labels, where each example $x_i \in X$ consists of T tokens. Let L_m represent a pre-trained language model such as RoBERTa, data2vec, or PubMedBERT. For any given x_i , L_m gives an output sequence $S^L = [s_{CLS}^L, s_1^L, s_2^L, ..., s_T^L, s_{SEP}^L]$ where L represents number of layers in L_m . [CLS] and [SEP] are special tokens representing the end of the example x_i , and separating two examples respectively.

We finetune L_m by adding the softmax classifier at the top of the model that takes the sentence level hidden representation s_{CLS}^L and produces output as follows:

$$p(y_c|s_{[CLS]}) = softmax(Ws_{[CLS]}) \quad c \in C \quad (1)$$

where 'C' is the number of classes and W is the weight matrix of the final layer learned during training, and $s_{[CLS]}$ represents the final representations of the [CLS] token.

We train L_m by minimizing the cross-entropy loss given by the following equation:

$$\mathcal{L}_{CE} = -\frac{1}{N} \sum_{i=1}^{N} \sum_{c=1}^{C} y_{i,c} log(p(y_i, c | s_{[CLS]}^i))$$
(2)

where $s_{[CLS]}^i$ is the final hidden representation for the *i*-th training example in the batch and 'N' is the batch size.

3.2 Randout

Let ' η ' denotes the amount of random noise. We compute η as follows:

$$\eta = U(min, max) - 0.5 \tag{3}$$

where U(min, max) is the uniform noise ranging from *min* to *max*. We further subtract 0.5 from the generated noise to reduce the amount of noise. Following

is the Pytorch-style code for generating the random noise between 0 and 1 and then subtracting 0.5 from it:

$$\eta = torch.rand([B, T, H]) - 0.5$$

where 'B' represents the batch size, and 'T' and 'H' represent the number of tokens and the number of hidden units to be perturbed for each token, respectively.

3.3 Knowledge Distillation

Knowledge Distillation (KD) consists of two models, i.e., the teacher and the student model. The teacher model is generally already finetuned. The student model consists of equal or small architecture compared to the teacher model. The student model jointly learns from the training data and the teacher model by making predictions closer to the teacher model.

Let *T* and *S* represent the teacher and student models respectively. Let f_T and f_S denote the functions (transformer model in our case) of a teacher and student model. We employ the softmax function to convert the output logits of f_T and f_S into a probability distribution as follows:

$$f_T = f_S = \frac{exp(z_k/t)}{\sum_{c=1}^{C} exp(z_k/t)}$$
(4)

Here, z_k is the k-th logit output of student and teacher models, and t is the temperature parameter to smooth the logit values. Then, we minimize the objective function on training data given by the following equation:

$$\mathcal{L}_{KD} = \sum_{x_i \in \mathcal{X}} l(f_T(x_i), f_S(x_i))$$
(5)

where *l* represents the Kullback-Leibler (KL) divergence that calculates the difference between teacher prediction given by f_T and student prediction given by f_S . \mathcal{L}_{KD} denotes the total knowledge distillation loss that is the sum of KL divergence distance for all the examples.

3.4 Randout-KD



Figure 1: Workflow of the proposed method "Randout-KD".

Model	# of Layers	# of parameters
RoBERTa _{Large} (Liu et al., 2019b)	24	355M
BERT _{Large} (Devlin et al., 2018)	24	345M
data2vec _{base} (Baevski et al., 2022)	12	125M
PubMedBERT _{base} (Gu et al., 2021)	12	110M
SciBERT _{base} (Beltagy et al., 2019)	12	110M

Table 1: No. of layers and parameters for PLMs used or compared in this work.

Our proposed method "Randout-KD" combines knowledge distillation with noise injecting method "Randout" to effectively finetune the student model. Concretely, we first finetune a teacher model T on a given dataset. Then we finetune a student model S with the same or smaller architecture compared to T. During finetuning of S, we freeze the model Tweights, and get its predictions for the given training examples in the form of probabilities, called as soft-labels. Then, the objective function of the S is to match its predicted probabilities similar to the model T. To finetune S, we combine two objective functions, i.e. standard cross-entropy (CE) loss and Kullback-Leibler (KL) divergence, where CE minimizes the classification loss and KL-divergence reduces the distance between predicted soft-labels of Tand S models. The overall loss function for training S is as follows:

$$\mathcal{L} = \mathcal{L}_{CE} + \lambda \mathcal{L}_{KD} \tag{6}$$

where λ is the trade-off parameter between \mathcal{L}_{CE} and \mathcal{L}_{KD} .

To generate the random noise, we utilize the "Randout" method as discussed in subsection 3.2. For every forward pass during the training of S, we generate a random noise of shape [B,T, H] and subtract 0.5 from it. Then we add the generated noise to the first layer hidden representation of the model S as adding noise in earlier layers has been effective (Khan et al., 2022a).

Figure 1 shows the workflow of "Randout-KD". We evaluate Randout-KD on two datasets, and use data2vec_{base} as 'S' for both datasets. However, we use two different models, i.e. RoBERTa_{Large} and PubMedBERT_{base} as 'T'. Table 1 shows the no. of layers and no. of parameters for some of the foundation models relevant to this work.

4 EXPERIMENTS

In this section, we provide the training and datasets detail we used for training and evaluating our proposed method.

4.1 Datasets

To validate our proposed method, we used two different multi-class classification datasets. The detail of each dataset is as follows:

4.1.1 Reddit Health Mention Detection (RHMD)

RHMD dataset (Naseem et al., 2022b) consists of 10,015 Reddit posts. Every post in the dataset contains one of the disease names from 15 categories, i.e., addiction, allergy, Alzheimer's, asthma, cancer, cough, depression, migraine, PTSD, diabetes, OCD, headache, fever, stroke, and heart attack. These disease words are used either as health mentions (HM), non-health mentions (NHM), or figurative mentions (FM). There are a total of 3,360, 3,430, and 3,225 HM, NHM, and FM examples respectively, in the dataset. We used 70%, 15%, and 15% train, validation, and test set split for experimentation.

4.1.2 CODA-19

CODA-19 dataset (Huang et al., 2020) consists of 10,966 abstracts related to COVID-19 research. Examples were extracted from these abstracts, and each sample was labeled with one of the 5 sub-categories, i.e., background, purpose, method, findings, and "other". We used the data split given with the original dataset that consists of 137171, 15640, and 15475 train, validation, and test set examples, respectively.

4.2 Training Details

We finetuned teacher and student models with batch sizes of {16,32}. We used a learning rate of $1e^{-5}$ and trained the teacher and student models for 15 epochs. We experimented with the trade-off parameter $\lambda \in \{0.2, 0.4, 0.6, 0.8\}$, whereas we searched the temperature parameter 't' for knowledge distillation in the span of {1.0, 2.0, 3.0}. We set maximum sequence lengths of 100, and 215 for the CODA-19, and RHMD datasets, respectively. We experimented with adding noise to a various number of tokens $T \in \{1, 2, 4, 8, 16, 32, 64\}$, and their hidden units $H \in$ {1,2,4,8, 16,32,64,128} for both datasets. We used

Table 2: Macro precision (P), recall (R), F1-scores (F1), and accuracy (acc) of teacher models on two datasets.

Dataset	Model	Р	R	F1	acc
CODA-19 (Huang et al., 2020)	PubMedBERT (Gu et al., 2021)	74.87	72.98	73.71	75.08
RHMD (Naseem et al., 2022b)	RoBERTa (Liu et al., 2019b)	81.32	80.86	81.02	80.83

Table 3: Macro precision (P), recall (R), F1-scores (F1), and accuracy (acc) of baseline (data2vec) and proposed method.

Dataset	Model	Р	R	F1	acc
CODA = 10 (Huong et al. 2020)	data2vec (Baevski et al., 2022)	72.74	71.12	71.52	73.27
CODA-19 (Iluang et al., 2020)	Randout-KD (proposed)	71.79	73.18	72.35	73.82
PHMD (Nasaam at al. 2022b)	data2vec (Baevski et al., 2022)	78.59	78.36	78.09	78.17
RHMD (Naseein et al., 20220)	Randout-KD (proposed)	79.35	79.50	79.37	79.37

early stopping to prevent overfitting and then evaluated the trained models with the best validation accuracy on the test set. We trained Randout-KD on NVIDIA V100 GPU.

5 RESULTS AND ANALYSIS

In Table 2 and Table 3, we present the results of teacher models and our proposed method along with the baseline method, respectively. For the CODA-19 dataset, our proposed method improves the F1 score by 0.83% over the baseline method, whereas it improves accuracy by 0.57%. This improvement in the F1-score is due to the increase in recall of 2.8%. However, precision drops by 0.95%. For the RHMD dataset, accuracy gains the performance increase of 1.2% whereas precision, recall, and F1-score increase by 0.76%, 1.14%, and 1.28%, respectively.

Table 4 presents the class-wise results for the baseline, "Randout-KD", and some of the existing work, i.e., (Huang et al., 2020) on CODA-19 dataset. As compared to the baseline, our proposed method improves the F1-score for all 5 classes. However, precision improves for 3 out of 5 classes. Similarly, recall also improves for 3 out of 5 classes. Table 5 shows the class-wise results for the baseline and "Randout-KD" on the RHMD dataset. Our proposed method improves F1-scores over the baseline by 1.38%, 2.1%, and 0.34% for FM, NHM, and HM classes, respectively. For FM and HM classes, precision increases by 2.21%, and 3.91%, respectively. However, it decreases by 3.83% for NHM class. Recall increases by 0.41%, and 6.6% for the FM and NHM classes, respectively, however, it decreases by 3.57% for the HM class.

(Huang et al., 2020) finetuned SciBERT(Beltagy et al., 2019) on CODA-19 dataset that gave an accuracy of 74.9%. On the other hand, the PubMed-BERT model we finetuned as a teacher and our proposed method "Randout-KD" achieved an accuracy of 75.08%, and 73.82%, respectively. Although SciB-ERT and PubMedBERT models have a smaller number of parameters than data2vec that we finetune in our proposed method, unlike data2vec, these models are pre-trained on the domain-specific scientific literature.

On the RHMD dataset, we performed 10-fold cross-validation to compare our approach with the state-of-the-art (SOTA) results. We chose the best validation set hyperparameters to train the 10-fold crossvalidation method. "Randout-KD" achieved an average of 79.93%, 79.93%, and 79.80% precision, recall, and F1-score, respectively, for 3-class classification settings. On the other hand, (Naseem et al., 2022a) had 71% precision, recall, and F1-score. Although (Naseem et al., 2022b) method had the highest precision, recall, and F1 score of 81%, their finetuned BERT_{Large} that has 24 layers, and 345M parameters. On the other hand, we utilized data2vec in "Randout-KD" that has 12 layers and 124M parameters, therefore (Naseem et al., 2022b), and "Randout-KD" are not comparable directly. Moreover, in this paper, we aimed to show that our method improves the capability of the baseline model. We plot the confusion matrix of our proposed method for both datasets in Figure 2.

5.1 Analysis of Noise Amount and Trade-off Parameter

In Figure 3, we plot the impact on the accuracy of the CODA-19 validation dataset with the variation of the amount of noise and the trade-off parameter λ . The plot shows that higher values of λ increase the validation set accuracy. One possible reason for this can be the finetuning of PubMedBERT as a teacher model on this dataset. As PubMedBERT was pretrained on a large corpus of text related to medical literature, and the CODA-19 also consists of health-related text extracted from abstracts, the teacher model better transfers knowledge to the student. Plots show that, for

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Model	Background		Purpose		Method		Finding			Other					
	Р	R	F1	Р	R	F1	Р	R	F1	Р	R	F1	Р	R	F1
SVM (Huang et al., 2020)	65.80	70.30	68.00	62.10	44.6	51.90	61.5	49.5	54.9	69.7	72.9	71.2	72.9	69.9	71.4
RF (Huang et al., 2020)	67.1	63.2	65.1	69.6	36.5	47.9	71.6	35.0	47.1	63.0	78.7	69.9	67.4	74.2	70.6
MNB-count (Huang et al., 2020)	65.4	71.4	68.3	54.9	51.4	53.1	57.0	58.5	57.7	71.1	69.1	70.1	82.4	42.5	56.1
MNB-tfidf (Huang et al., 2020)	65.5	68.3	66.9	67.3	39.1	49.5	64.0	46.9	54.1	66.1	75.4	70.4	75.7	38.3	50.8
CNN (Huang et al., 2020)	64.9	70.6	67.6	61.2	51.2	55.7	59.6	56.2	57.9	72.6	70.2	71.4	74.3	79.5	76.8
LSTM (Huang et al., 2020)	65.5	70.6	68.0	70.0	46.4	55.8	63.4	50.8	56.4	70.0	72.4	71.1	68.2	77.0	72.3
BERT (Huang et al., 2020)	71.9	75.9	73.8	58.5	63.9	61.1	68.0	61.2	64.4	77.7	75.2	76.4	77.3	87.4	82.0
SciBERT (Huang et al., 2020)	73.3	76.8	75.0	61.6	63.6	62.6	71.5	63.6	67.3	78.3	77.5	77.9	79.4	85.2	82.2
data2vec (Baevski et al., 2022)	69.50	78.40	73.69	65.96	57.12	61.22	73.85	56.63	64.11	76.66	75.39	76.02	77.70	88.07	82.56
Randout-KD (proposed)	71.06	77.97	74.35	61.54	62.97	62.25	68.87	62.24	65.39	78.76	74.49	76.57	78.73	88.25	83.22

Table 4: Class-wise results for the (Baevski et al., 2022), data2vec (baseline) and Randout-KD on CODA-19 dataset.

Table 5: Class-wise results for the data2vec (baseline) and Randout-KD on RHMD dataset.

Model	del FM NHM				FM NHM			HM	
data2vec (Baevski et al., 2022)	78.71	86.36	82.36	83.29	67.76	74.73	73.77	80.95	77.19
Randout-KD (proposed)	80.92	86.77	83.74	79.46	74.36	76.83	77.68	77.38	77.53





 $\lambda = 0.2$, injecting noise to 28 hidden representations of 4 tokens gives the highest accuracy, whereas for $\lambda = 0.4$, adding noise to 16 hidden representations of 32 tokens gives the highest accuracy score. Injection of noise into 16 hidden representations of 64 tokens gives the highest accuracy for $\lambda = 0.6$, however, adding noise into 64 hidden representations of 64 tokens gives the highest accuracy for $\lambda = 0.8$, which is also the best accuracy among all the settings. Figure 4 visualizes accuracy change by changing the values of λ and noise for the RHMD dataset. Plots show that $\lambda = 0.2$ and $\lambda = 0.4$ gives better accuracy values as compared to $\lambda = 0.6$ and $\lambda = 0.8$. The reason might be, both teacher and student models share similar architecture and pre-training data. Therefore, higher values of λ do not enable the teacher model to teach the student model much different knowledge. Overall, adding noise to the 2 hidden representations of 64 tokens with $\lambda = 0.4$ gives the best validation accuracy for the RHMD dataset.

5.2 Effectiveness of Proposed Method

In Table 6, we show the effectiveness of our proposed method. For the CODA-19 dataset, we first drop the "Randout" component from the "Randout-KD" that drops accuracy by 0.2%. Then, we keep the "Randout" component and drop the "KD" component that also drops accuracy by 0.2%. However, dropping both components that correspond to the baseline method, decreases accuracy by 0.55%. For the RHMD dataset, dropping the "Randout" component and keeping only the "KD" component drops accuracy significantly by 3.06% which results in accuracy even lower than the baseline method. Dropping the "KD" component and keeping the "Randout" component reduces accuracy by 0.47%. It shows that using "Randout" and "KD" separately decreases the performance over the baseline method. However, combining both "Randout" and "KD" components boost the model's performance. During all these settings, we use the same hyperparameters such as batch size,



Figure 3: Accuracy plots of the validation set for CODA-19 dataset. 'HU' stands for the no. of hidden units in which noise is added, whereas ' λ ' controls the weight between cross-entropy and knowledge distillation losses.



Figure 4: Accuracy plots of the validation set for RHMD dataset. 'HU' stands for the no. of hidden units in which noise is added, whereas ' λ ' controls the weight between cross-entropy and knowledge distillation losses.

Table 6: Accuracy scores on two datasets showing the effectiveness of Randout-KD. The baseline model is data2vec (Baevski et al., 2022).

Dataset	Baseline	Randout	KD	Randout-KD
CODA-19 (Huang et al., 2020)	73.27	73.62	73.62	73.82
RHMD (Naseem et al., 2022a)	78.17	78.90	76.31	79.37

learning rate, no. of epochs, etc., for a fair comparison.

6 CONCLUSIONS

In this paper, we presented a method called "Randout-KD" to finetune foundation models. We proposed a new noise injection method and combined it with knowledge distillation. During finetuning of the student model, we stochastically replaced the hidden representations units of various tokens with random noise. We evaluated the suggested method on two multi-class text classification datasets. Our presented approach improved the model's performance on both datasets compared to the baseline models. We shall explore this method with variants of knowledge distillation in future work.

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