

Modelling the Design of University Competitiveness

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Keywords: Globalization, Competitiveness, Universities, Intellectual Capital, Innovations, Digital Transformation.

Abstract: In the post-industrial knowledge economy, the key role in the generation and dissemination of innovations is played by universities, where global intellectual capital is concentrated. Today, universities are becoming the drivers of digital transformation of science, business, countries and society as a whole. In the latest paradigm of development, based on the generalization of modern theoretical trends, the scientific and practical problems as well as prospects for the development of universities are highlighted and the prerequisites, imperatives and factors of their competitiveness are revealed. The research also focuses on modelling of university competitiveness parameters with the clustering of countries on the basis of Kohonen maps and assessment of the level of significance of normalized parameters. The organizational design of a competitive model of the university as well as key factors of its success in the system of open science, education and innovation are proposed.

1 INTRODUCTION

In the global highly competitive educational environment, under the influence of ultra-dynamic digitalization, traditional models and organizational structures of universities are being devalued. Innovative network-type models are becoming relevant, and the choice of breakthrough, catch-up or adaptation strategies depends primarily on the competitive status of the university in the global market of educational services.

The global transformation of university education raises new challenges for state authorities in the field of education and university administrations to ensure their competitiveness in the international market of educational services. In the context of increasing the efficiency of the university management process in modern globalization conditions, the tasks of assessing its international competitiveness arise.

This problem has received close attention in scientific research in recent years. Many publications are focused on the analysis of generally accepted methods for assessing the competitiveness of universities and their ranking, comparing these methods, key indica-

tors, modelling principles and identifying their weaknesses.

Avraley and Efimova (Avraley and Efimova, 2015) have conducted a survey of students over the years, which showed that place in the university rankings is an increasingly important criterion for students when choosing a university. At the same time, most researchers criticize the widely used rating systems. Thus, Sayed (Sayed, 2019) demonstrates that according to some of the world's leading ranking systems, a university may be at the top of the ranking, while in others it may not be ranked at all. Many researchers note (Anowar et al., 2015; Marginson and van der Wende, 2016) that most of the global university rankings focus primarily on research, while at the same time not paying enough attention to the quality of teaching, student competences and learning outcomes, social responsibility, etc.

At the same time, most scientists agree that the main criteria that determine the competitiveness of universities are research and teaching (Dimitrova and Dimitrova, 2017; Sayed, 2019; Taylor and Braddock, 2008; Tee, 2016). In addition, some authors emphasize the importance of other criteria, such as international cooperation with university research networks, involving foreign teachers and students, increasing international citation (Avraley and Efimova, 2013; Chládková et al., 2021; Deem et al., 2008), quality of pedagogical staff (Chládková et al., 2021), so-

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cial and environmental responsibility (Lukman et al., 2010), digitization of all university functioning processes (Kucherova et al., 2021; Lukianenko et al., 2020; Sannikova et al., 2021), expenditure on higher education per student (Satsyk, 2014), employability of graduates (Jurášková et al., 2015b,a). The importance of cooperation with business to improve the competencies and employability of students and, as a result, the competitiveness of the university, is emphasized in the papers (Jurášková et al., 2015b; Lukianenko et al., 2020; Stoimenova, 2019; Teixeira et al., 2020).

As can be seen from the above review, all these works are aimed either at the analysis and criticism of known rating systems, or at the study of factors that affect the competitiveness of universities, or, at most, at the creation of own methods for calculating university ratings, which are based on the simplest statistical methods.

There are works in which advanced artificial intelligence technologies are used to analyze and rank universities according to certain areas of activity. For example, in (Kucherova et al., 2021) developed a fuzzy logic model for assessment and ranking of universities' websites by criterion of usability.

However, the analysis of developments in this direction did not allow to identify studies on the modeling of university competitiveness based on cutting-edge artificial intelligence technologies, moreover, which would not be based in the rating on the expertly set weights of the evaluation criteria.

2 MODELING METHOD

Solving the task of evaluating the international competitiveness of universities is associated with a number of specific problems, because competitiveness does not have generally accepted evaluation indicator, units or measurement scales. This is a subjective category that depends on many factors affecting it. Moreover, the set of these factors and the degree of influence of each of them are also not determined by any objective circumstances and can be chosen by analysts and researchers depending on their own understanding of the essence of the category "competitiveness of universities", the development of the educational process, their own priorities, etc. All this imposes a significant imprint of subjectivism on the formation of methods of their evaluation.

It is possible to reduce the dependence on the subjective opinions of individual experts with the use of special modeling methods capable of revealing regularities in the structure of an array of heterogeneous

data, when there are no predetermined values of the resulting indicator, such as for the international competitiveness of universities.

Under such conditions, the clustering approach is the most appropriate means of searching for hidden regularities in sets of explanatory variables. The main feature of this approach is that with its application, objects that belong to one cluster are more similar to each other than to objects that are included in other clusters. As a result, it becomes possible to form fairly homogeneous groups of researched objects that are characterized by similar properties.

There is a wide range of cluster analysis methods: K-means (Hartigan and Wong, 1979), K-medoids (Kaufman and Rousseeuw, 1990), Principal Component Analysis (Jolliffe, 2002), Spectral Clustering (Von Luxburg, 2007), Dendrogram Method (Sokal and Rohlf, 1962), Dendrite Method (Caliński and Harabasz, 1974), Self-Organizing Maps – SOM (Kohonen, 1982, 2001), Density-Based Spatial Clustering of Applications with Noise – DBSCAN (Schubert et al., 2017), Hierarchical DBSCAN – HDBSCAN (Campello et al., 2013), Ordering Points to Identify the Clustering Structure – OPTICS (Ankerst et al., 1999), Uniform Manifold Approximation and Projection – UMAP (McInnes and Healy, 2018), Balanced Iterative Reducing and Clustering Using Hierarchies – BIRCH (Zhang et al., 1996), etc.

Each of these methods has its advantages and areas of application and tasks, where it reveals itself in the best way. Experimental studies on comparative analysis of the effectiveness of various clustering methods are described, in particular, in scientific works (Kobets and Novak, 2021; Kobets and Yatsenko, 2019; Subasi, 2020; Velykoivanenko and Korchynskyi, 2022).

Taking into account the capabilities of each of the mentioned methods and the specifics of this study, the Kohonen self-organizing maps toolkit was used to cluster countries by the level of competitiveness of universities, which, in addition to forming homogeneous groups of researched objects, provide a convenient tool for visual analysis of clustering results. In particular, in contrast to other clustering methods, the location of an object on the Kohonen map immediately indicates to the analyst how developed the investigated property is compared to others, because the best and worst objects according to the analyzed indicator are located in opposite corners of the self-organizing map.

The result of constructing the Kohonen map is a visual representation of a two-dimensional lattice of neurons that reflect the organizational structure of the countries of the world, forming clusters in which

countries are similar to each other according to the group of indicators of evaluating the competitiveness of universities (figure 1).

The Kohonen self-organizing algorithm is a clustering method that reduces the dimension of multidimensional data vectors. It can be used to visualize clusters and to detect nonlinear patterns in input data structures. The main feature of such neural networks is unsupervised learning, when information about the desired network response is not needed to correctly set the parameters. In this study, self-organizing maps are used to summarize a complex set of data and clustering of countries by indicators that have the greatest impact on the international competitiveness of universities.

Thus, each neuron of the Kohonen layer receives information about the research object in the form of a vector \mathbf{x} , which consists of n explanatory variables (in our case, these are the characteristics that determine the competitiveness of universities). When a new data vector arrives at the input layer of the network, all neurons of the self-organization map participate in the competition to be the winner. As a result of such a competition, the winner is the neuron

$$o = \operatorname{argmin} \{ \|\mathbf{x} - \mathbf{w}^j\| \} \quad (1)$$

that is more similar to the input data vector than others, usually by Euclidean distance:

$$\|\mathbf{x} - \mathbf{w}^j\| = \sqrt{\sum_{i=1}^n (x_i - w_i^j)^2}, j = \overline{1, K} \quad (2)$$

where \mathbf{x} is a vector of input data consisting of indicators $\{x_1, \dots, x_i, \dots, x_n\}$ that describe the objects under study; \mathbf{x}^j is the vector of parameters of j^{th} neuron of the Kohonen map, which consists of elements $\{w_1^j, \dots, w_i^j, \dots, w_n^j\}$; K is the number of neurons of the Kohonen map.

After determining the neuron-winner, we adjust the vector of its parameters and its neighbors according to the input vector:

$$\mathbf{w}^j(t+1) = \mathbf{w}^j(t) + \alpha(t) \cdot h_{oj}(t) \cdot [\mathbf{x}(t) - \mathbf{w}^j(t)], j = \overline{1, K} \quad (3)$$

where $\alpha(t)$ is the rate of learning ($0 < \alpha(t) \leq 1$), which decreases with each learning epoch t ; $h_{oj}(t)$ is the strength of mutual influence for any pair of neurons o and j , determined as a function (usually Gaussian) of the distance between them on the map topology:

$$h_{oj}(t) = \exp \left[-\frac{\|\mathbf{r}_o - \mathbf{r}_j\|^2}{2 \cdot \sigma^2(t)} \right] \quad (4)$$

where $\mathbf{r}_o, \mathbf{r}_j$ are the two-dimensional vectors of coordinates of geometric location of the neuron-winner o

and the j^{th} neuron on the map; $\sigma(t)$ is the effective width of the topological neighborhood (a specially chosen function of time that monotonically decreases in the learning process).

In the process of self-organization of the Kohonen map, the topological neighborhood narrows. This is caused by a gradual decrease in the width of the function $\sigma(t)$. The neuron-winner is located in the center of the topological neighborhood. It affects neighboring neurons, but this effect decreases with increasing distance to them according to (4). As a result, closely located map nodes acquire similar characteristics.

The result of the learning process will be the tuning of parameters of the Kohonen layer neurons, which will correspond to different examples from the training set. Thus, the self-organization of the structure of the Kohonen map is carried out, which acquires the ability to combine multidimensional data vectors in a cluster by identifying similar statistical characteristics in them. As a result, the initial high-dimensional space is projected onto a two-dimensional map. Since self-organization maps are characterized by the generalization property, they can recognize input examples on which they have not previously been tuned – the new input data vector corresponds to the map element to which it is mapped.

3 COLLECTION OF DATA FOR MODELING

In order to correctly identify regularities in the development of the scientific and educational sphere, it is necessary to select the key properties that characterize the processes under study, taking into account the task. That is, it is necessary not only to choose the maximum possible set of characteristics of the objects of study, but to form a set of those features that describe the most significant aspects of activity in the context of the analysis. In this case, the selected features will make it possible to group the studied objects or processes according to their similarity. That is, if the task of analyzing the competitiveness of universities is being solved, then it is necessary to determine a set of characteristics of countries that will influence this indicator. And as a result of clustering the countries of the world according to these characteristics, we will get a number of clusters, each of which will group countries with a similar level of international competitiveness of universities (since they will have fairly close values of the characteristics that determine this competitiveness).

Therefore, we will conduct an analysis of publicly available databases that contain information on indi-

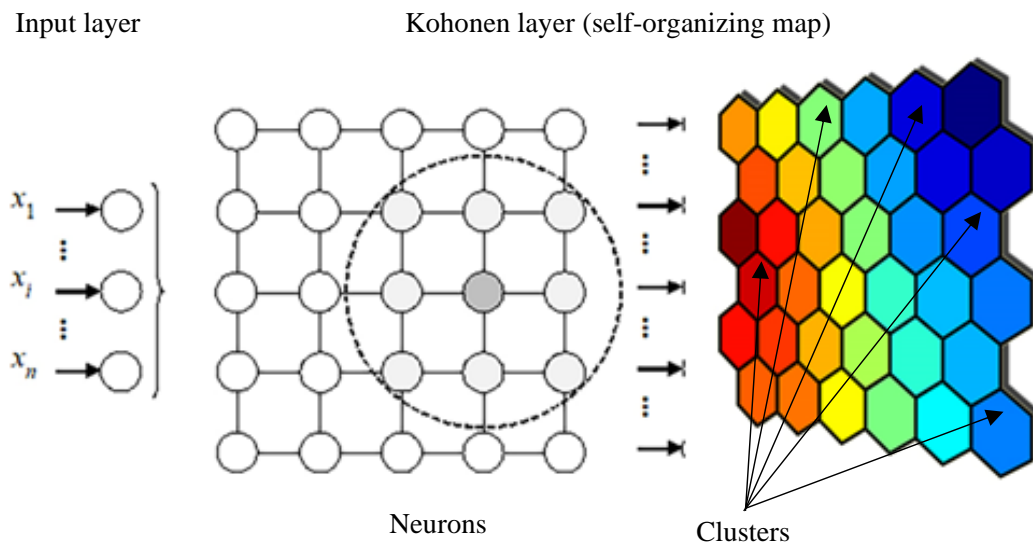


Figure 1: Visual representation of clusters on the self-organizing map (Matviychuk et al., 2019).

cators that can influence the level of competitiveness of universities.

Thus, the World Bank's "World Development Indicators" database contains the ranking of the world's countries by the level of "Government expenditure on education, total (% of GDP)" indicator (The World Bank, 2022). The indicator is calculated annually (for 266 countries) based on data from national statistics and international organizations, including data from the UN. Information on individual countries has been available in this database since 1970, in the last decade the data is presented quite fully, but only until 2018 (later data by countries is much less). Other indicators presented in this database are much poorer and less related to higher education.

In the Human Development Reports of UNDP (United Nations Development Programme, 2022) there are data for 195 countries for 2021 according to the indicators: "Human Development Index (HDI)" (both in general and by male and female sexes, in addition, by this indicator also shows the dynamics and increases in dynamics since 1990), "Government expenditure on education, % of GDP", "High-skill to low-skill ratio", "Research and development expenditure, % of GDP" (during 2014-2018), "Ratio of education and health expenditure to military expenditure" (during 2010-2017), "Foreign direct investment, net inflows, % of GDP", "International student mobility, % of total tertiary enrollment", indicators of employment and unemployment both in general and among young people, migrants, population by age group, etc.

The Global Competitiveness Index from the World Economic Forum for 2019 (World Economic Forum, 2019) can also be informative in assessing the

international competitiveness of the country's universities. On this resource, this index is given for 141 countries. Later, in 2020, the Global Competitiveness Index has been paused.

Another resource with information on competitiveness is the annual reports of the European Commission (European Commission, 2022), in particular in the areas of: "Competitiveness & Innovation", which contains separate reports and the following sections: "Global Innovation Index", "Global Attractiveness Index", "Global Talent Competitiveness Index", "Elcano Global Presence Index", "Innovation Output Indicator"; "Learning & Research", which presents reports: "European Skills Index", "European Lifelong Learning Indicators (ELLI-Index)", "Higher Education Rankings", "Composite Learning Index".

The work "Global Talent Competitiveness Index: 2019" (Lanvin and Monteiro, 2019) contains integrated assessments and ranking places of countries for a number of top-level indices, as well as for basic indicators.

To assess the competitiveness of world universities, the resource (UNIVERSITAS 21, 2021) can be useful, which provides fairly detailed country-level aggregated information on the research and educational activities of universities in 50 countries for 2020. Here are the indicators grouped into four generalized categories – "Resources", "Environment", "Connectivity", "Output". Each of these categories consists of a set of basic indices, all of which are listed in the header of the table 1.

In addition, we add to the database the overall competitiveness score and rank number in the general list (these indicators will not be taken into account

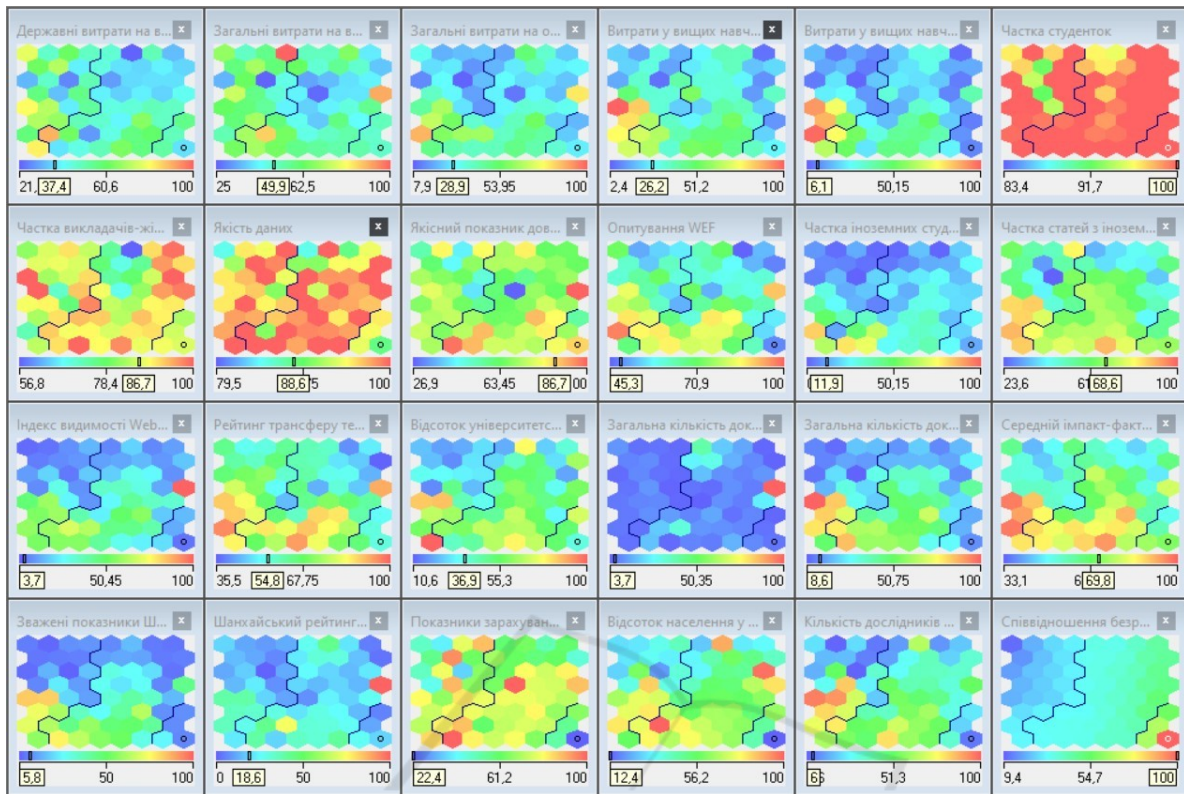


Figure 2: Kohonen topological maps for all indicators of university competitiveness assessment.

when clustering countries, but will serve as a reference when analyzing clusters).

To carry out clustering based on Kohonen maps, it is necessary to avoid gaps in the data. Since there are only 50 countries in this database, moreover, the scores for each individual indicator for different countries are quite close to each other, so we will not divide countries into groups and replace the blanks with the corresponding average values for all countries. This will not lead to distortions of the clustering results, since the percentage of gaps in this database is very small.

4 MODELING THE UNIVERSITY COMPETITIVENESS

The construction of Kohonen self-organizing maps in our study was carried out using the analytical platform Deductor Studio Academic. In the process of constructing a map, the task of finding its optimal dimension (number of neurons) arises, which is implemented experimentally on the basis of statistical data. The dimension of the self-organizing map was chosen from various options according to the mean weighted quantization error criterion, which reflects the aver-

age distance between the data vector given to the map inputs and neurons' parameters.

A hexagonal lattice of neurons with dimensions of 8 by 8 was determined as the most adequate structure of a self-organizing map for this task according to a given set of indicators (table 1). Self-organization occurs over 1500 learning epochs. The map parameters are initialized with small random variables. Gaussian (4) was chosen as a function of the neighborhood of neurons. Since all indicators for assessing the competitiveness of universities are already presented on an identical scale from 0 to 100, none of them will have a decisive influence on the clustering process. Therefore, it was decided to build Kohonen maps on the original data without processing them. As a result of the process of self-organization, the countries from the table 1 were distributed among three clusters, which can be seen in figure 2.

As can be seen from the topological maps for all indicators in figure 2, for the vast majority of them there is no clear demarcation of their levels between clusters. That is, their low, medium and high values are evenly distributed throughout the map, which, together with the low levels of significance of many indicators (figure 3), does not contribute to the quality of the countries segmentation process.

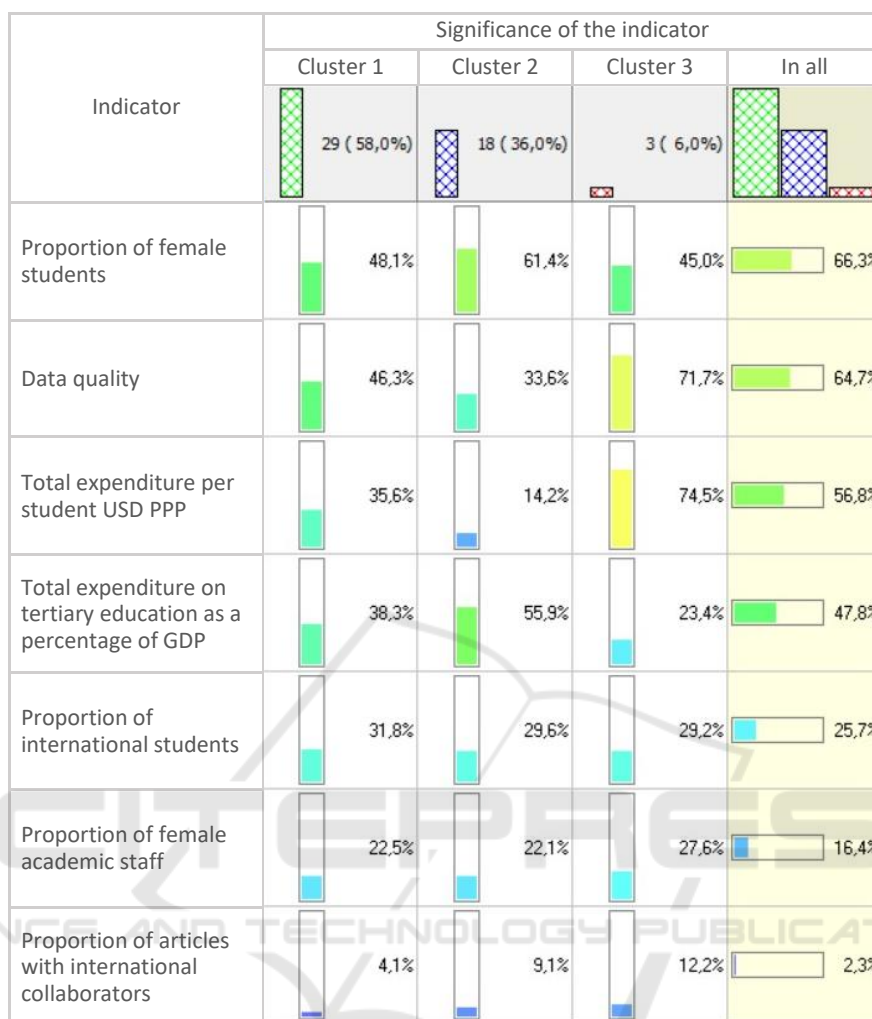


Figure 3: Levels of significance of a number of indicators for evaluating the competitiveness of universities.

Given the low significance of a large number of indicators selected for the study, a series of experiments was conducted on the construction of Kohonen maps on different sets of input variables, when various combinations of the least influential factors were alternately removed. However, each time the same low quality of the distribution of countries by the levels of university competitiveness evaluation indicators remained. For example, for all clustering options, Bulgaria, South Africa, Poland, the Russian Federation, Romania, Slovakia, Hungary, and Croatia were located next to Ukraine on Kohonen map, but the United States was also a neighbor in this cluster. Of course, such segmentation of countries cannot be considered acceptable.

Therefore, it was decided to apply z-score standardization to process the initial values of the variables. As a result of forming a map on the full set

of standardized explanatory variables, 5 clusters were obtained (figure 4).

Figure 4 shows that the levels of indicators change when crossing from cluster to cluster, which indicates a successful delimitation of countries based on a given set of explanatory variables. Ukraine got to the upper right corner of the Kohonen map next to Argentina, Bulgaria, Poland, the Russian Federation, Serbia, Turkey, Croatia, and Chile. Somewhat lower in the same cluster were Brazil, India, Indonesia, Iran, China, Malaysia, Mexico, South Africa, Romania, Slovakia, and Thailand.

Austria, Denmark, the Netherlands, Norway, Singapore, Finland, Switzerland, Sweden are located in the opposite corner of the map from Ukraine (bottom left). The United States and Great Britain were located in the upper left corner of the map. They are surrounded by Australia, Hong Kong, Israel, Canada,

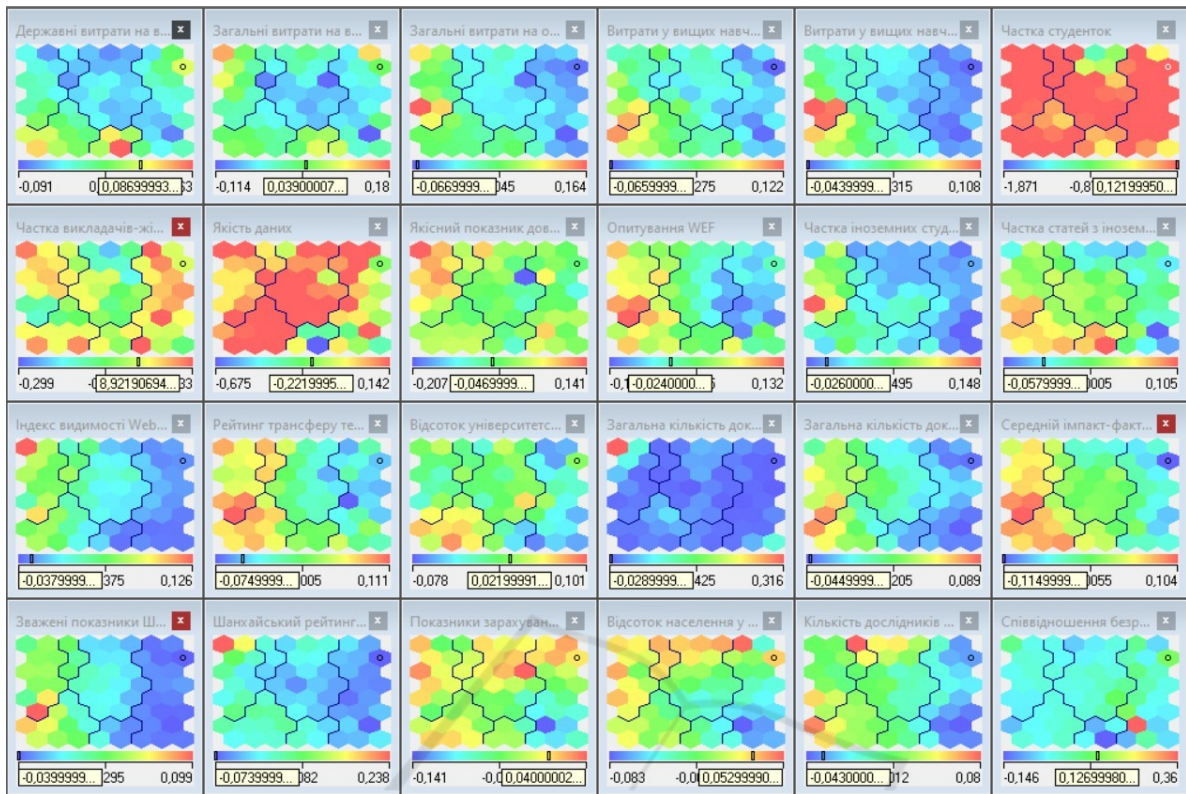


Figure 4: Kohonen topological maps according to the normalized indicators of university competitiveness assessment.

and Taiwan.

It should be noted that since, in accordance with the given task, polar objects are located on the Kohonen map in opposite corners, this self-organization of countries indicates that the competitiveness of Ukrainian universities is currently quite far from the competitiveness of universities in developed countries.

The analysis of the characteristics of the universities of the countries of the most developed cluster makes it possible to determine the priority areas of development and tasks that must be solved in order to increase the international competitiveness of Ukrainian universities.

Research and generalization of traditional, entrepreneurial, innovative and creative models of universities, their selection depending on objective endogenous and exogenous conditions and imperatives of the development of Ukrainian higher education made it possible to substantiate the most adaptive competitive model of the university, which is shown in figure 5.

Critically important in the proposed model is the development of strategic partnership in the triangle “science – business – education”, public-private partnership and consolidated social responsibility.

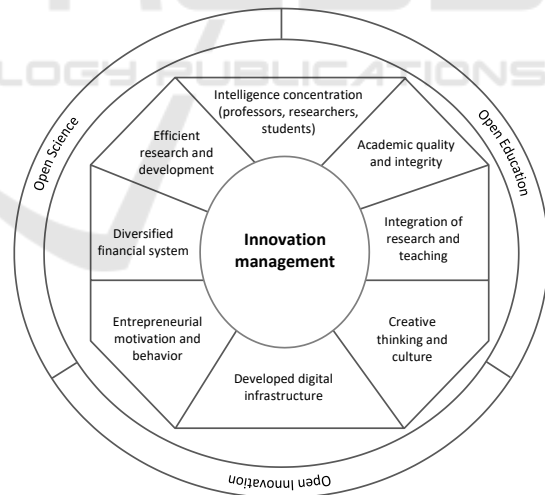


Figure 5: Competitive model of the university.

5 CONCLUSIONS

The global transformation of university education raises new challenges for state authorities in the field of education and university administrations to ensure their competitiveness in the international market of educational services. In the context of increasing the

efficiency of the university management process in modern globalization conditions, the tasks of assessing its international competitiveness arise.

In today's world, the ways of innovative behavior of corporations, universities and other organizations must take into account the need to act in conditions of political, market and social turbulence, which necessitates the constant generation of non-standard ideas, strategic concepts, models and behaviors.

This research is aimed at developing a new methodological approach to the study of such a poorly formalized indicator as the competitiveness of universities. Since competitiveness does not have generally accepted evaluation indicator, units or measurement scales, etc., it was decided to apply the clustering approach for searching of hidden regularities in the set of explanatory variables.

Accordingly, the article carried out a thorough analysis of existing approaches to evaluating the competitiveness of universities and identified unresolved problems in this sphere. In addition, various methods of clustering, their advantages and features were analyzed, and the most appropriate method for solving the problem was chosen.

The use of the Kohonen self-organizing map toolkit was justified, which, in addition to forming homogeneous groups of researched objects, provide a convenient tool for visual analysis of clustering results.

In addition, the methodology of self-organizing maps provides an analytical tool for searching the indicators which are lagging the most, so that management actions can be focused on increasing the competitiveness of Ukrainian universities in the global market of educational services.

As a result of the conducted research, a competitive model of the university was formed during the analysis of the competitive advantages of the universities of the countries included in the most competitive cluster.

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