A Decision Guidance System for Optimal Operation of Hybrid Power Desalination Service Network

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- Keywords: Desalination, Water Management, Optimization, Decision Guidance, Operational Performance, Service Network.
- Abstract: While modeling and optimization of desalination systems' operation have been extensively studied, current approaches are hard-wired to specific designs and performance metrics, without the flexibility to reuse or extend these models. Bridging this gap, reported in this paper is the development of a formal analytic model and a decision guidance system for desalination service networks that can be applied to a broad range of desalination designs and architectures. The model and the system are based on an extensible repository of atomic component models, initially including models for pumps, renewable energy sources, water and power storage, and reverse osmosis units. An experimental study is conducted to demonstrate the flexibility of the model and system, and its scalability to support realistic size problems.

1 INTRODUCTION

The world is facing a great challenge in satisfying increasing water demand. To deal with the challenge, the United Nations has formed a plan in its 2030 Agenda to ensure availability and sustainable management of water for all (UN, 2015). In an attempt to align with the plan requirements, many solutions have been proposed to meet the increasing demand for fresh water while minimizing its negative environmental impacts.

The current trend toward solving the shortage in freshwater is by combining renewable energy sources with desalination systems. However, renewable sources of energy are intermittent in their supply of electric power. The uncertainty in both demand and, especially, supply of power creates a new challenge in operating interconnected system components to maximize financial, social and environmental benefits. There has been work on optimizing desalination systems, from a desalination unit (Ahmed et al., 2019) through hybrid desalination system (Mokheimer et al., 2013) to fully integrated water and power supply chains (Al-Nory, 2019; Abdelshafy et al., 2018). Also, several studies have examined strategical challenges in combining renewable energy with desalination systems. In (Gençoğlu and Merzi, 2016), the authors focus on strategical decisions in water desalination supply chain by using mathematical modeling to optimize investment terms. Al-Nory and El-Beltagy (2015) focuses on minimizing the interruption of the power supply by selecting optimal pumped hydro storage as well as power storage (e.g., batteries.) Azhar et al. (2017) propose an efficient desalination system design combined it with renewable sources to produce water and energy. Marques et al. (2014) optimize the investment in water infrastructures by adapting a flexible water system plan using decision trees. However, these models are either (1) limited in their focus on specific units or technologies rather than optimizing the desalination system as a whole, (2) hard-wired to specific designs and objectives which limit its usability in solving other designs or optimizing other performance metrics (Mokheimer et al., 2013; Al-Nory, 2019; Al-Nory and Brodsky, 2014), or (3) focused on longterm investment in desalination system infrastructures while making simplifying assumptions on, rather than accurately modeling the operation of, the underlying infrastructures over the time horizon (Al Nory and Graves, 2013). We believe, however, that accurately modeling the resource flows, such as of power and water, within and across the system components over the given operational period can reveal unseen saving in both operation and investment.

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To bridge the gap, we propose an approach that

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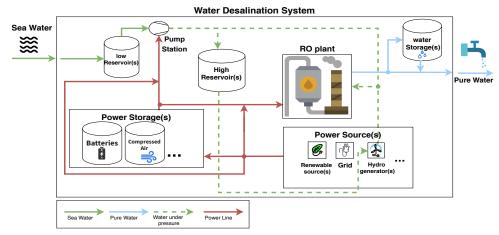


Figure 1: Desalination Service Network.

helps decision makers optimize desalination system operation over a given operational window (e.g., 72 hours) without the need to hard-wire the model to a specific desalination design, architecture or performance metrics. More specifically, the contributions of this paper are threefold. First, we develop a formal *Analytic Model* (AM) for desalination *Service Networks* (SN) that can be applied to a broad range of desalination designs and architectures.

Second, we develop an extensible repository of analytic models for desalination system components, which initially includes pumps, renewable energy sources, water and energy storage and Reverse Osmosis (RO) plants. Unlike hard-wired models, our frameworks allows extending model repository with additional components, and instantiating an arbitrary desalination service network, without any other model modifications.

Third, based on the developed model repository, we develop a Decision Guidance System (DGS) that (1) allows desalination system engineers to instantiate their specific desalination design/architecture, (2) performs iterative optimization over operational time windows for the selected objective, such as minimizing CO₂-adjusted operational cost, while satisfying the system and demand constraints; and, (3) makes actionable recommendations to desalination system operators/control system on precise controls of each desalination system component for every time interval. The system is based on Decision Guidance Analytics Language (DGAL) (Brodsky and Luo, 2015) and the Decision Guidance Management System architecture (Unity DGMS) proposed in (Nachawati et al., 2017).

Finally, we conduct a preliminary experimental study to demonstrate the flexibility of the system applied to four examples of desalination design, and its scalability to handle realistic size optimization problems.

The paper is organized as follows. Section 2 illustrates the concept of desalination service network using an example. Section 3 overviews a high-level architecture of the developed Desalination Decision Guidance system and methodology. Section 4 formalizes the desalination service network model. Section 5 discusses the results of the experimental study. Finally, Section 6 presents concluding remarks and briefly outlines directions for future work.

2 DESALINATION SERVICE NETWORK BY EXAMPLE

The purpose of the Service Network Desalination Model (SNDM) is to solve a scheduling optimization problem for different desalination system designs and performance metrics. We use a generic structure called a *service network* (SN) to allow the model to handle different designs. The SN, as described in (Brodsky et al., 2017), is a hierarchy of services that are connected together to capture the flow of commodity over the network. By using a Service Network, we can create different desalination system designs, and through the use of the desalination Analytical Model AM, we can optimize the performance metrics of these designs.

To illustrate this concept, consider an example of the service network for a hybrid energy system with Reverse Osmosis (RO) desalination plant system depicted in Fig 1. The root of the service hierarchy is the Water Desalination System. Within it there are sub-services for a Pump Station, Low Reservoir, High Reservoir, Power Sources, Power Storage. These services are connected together to produce fresh water. In the figure, each arrow indicates the flow of some resource (such as sea water, water under pressure, fresh water and power) between these services. A composite service, such as Power Sources, contains other sub-services, such as Renewable Sources and Power Grid, which are *atomic* services (i.e., do not have subservices.) Both atomic and composite services are optional. Now, we can create many designs by extending the hierarchy with other sub-services that mimic the system we intend to represent.

In order for a system to satisfy fresh water demand for a given operational window, it should produce fresh water by setting the right amount of flows throughout the system while minimizing the production cost and the carbon emissions for a given operational window. Optimization is based, as described in Section 4, on an analytic model (AM) which computes metrics such as cost and CO2 emissions, as well as feasibility constraints, for a given operational window (e.g., of 72 hours), as a function of operational controls for each component and time interval (e.g., of 1 hour.) In the model computation, the flows and feasibility have to be aggregated bottom-up, for all intervals, by recursively calling each composite service its' sub-services starting with the root service until reaching the *atomic* services at the bottom of the hierarchy. At that point, the AM uses the type of the atomic service to refer to the corresponding atomic AM in the library of atomic analytical Models (AMs) which then calculates the flows and feasibility for that atomic service. Then, we repeat the same process given the output from the first step but this time we aggregate and calculate the metrics for the whole periods as well as some additional constraints described in section 4.

3 DESALINATION DECISION GUIDANCE SYSTEM

The developed Desalination Decision Guidance System (DGS) (1) allows desalination system engineers to instantiate their specific desalination design/architecture, (2) performs iterative optimization over operational time windows for the selected objective, such as minimizing CO_2 -adjusted operational cost, while satisfying the system and demand constraints; and, (3) makes actionable recommendations to desalination system operators/control system on precise controls of each desalination system component for every time interval. The system is based on Decision Guidance Analytics Language (DGAL) (Brodsky and Luo, 2015) and the Decision Guidance Management System (Unity DGMS) proposed in (Nachawati et al., 2017), which in turn is based on the concept proposed in (Brodsky and Wang, 2008).

Figure 2 depicts the high-level architecture of Desalination DGs. The middle layer represent the decision guidance management system that contains a repository of reusable, modular, and composable models. Through the Graphical User Interface (GUI), the user can construct many desalination designs. Through the analytical engine, the DGMS hides from the user the complexity in dealing with external tools to perform different analytical tasks (such as optimization, learning and prediction). For example, to perform optimization for desalination service network, the analytics engines machine generates a mixed-integer linear programming (MILP) model from the simulation-like analytic model (formalized in Section 4), which was written in Python. The input required for DG optimization includes (1) an analytic model, (2) an analytic model input annotated to indicate which input values serve as decision variables, and (3) indication of which of the computed model metrics serves as optimization objective and constraints.

For desalination plant users, we envision the following workflow for using Desalination DGS:

- 1. A desalination plant engineer interacts with the DGS to create an instance of the plant's design and architecture.
- 2. An desalination plant engineer interacts with the DGS to instantiate additional system parameters, such as the length of operational window (e.g., 72 hours), and the frequency of re-optimization (e.g., at the top of every hour)
- 3. A plant operator, on a periodic basis, updates the demand for fresh water. The system performs optimization and makes actionable recommendation on precise controls of every system component, every operational interval. Some of these controls can automatically actuate the underlying system components, whereas some other will be displayed to the plant operator, who can approve and actuate plant controls.

4 FORMALIZATION OF SERVICE NETWORK DESALINATION MODEL

4.1 High-level Optimization Problem

The desalination operational optimization is based on the analytic performance model (AM), which com-

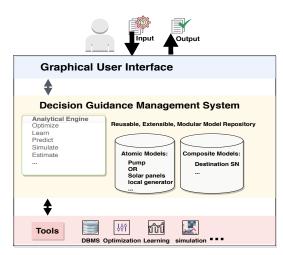


Figure 2: Desalination Guidance System Architecture.

putes performance metrics, such as cost and carbon emissions, as well as feasibility constraints, as a function of fixed and control (decision) parameters of the desalination service network over the operational time window. More formally, the analytic performance model AM is a function:

$$AM: IN \to OUT \tag{1}$$

The AM forms a valid output instance $out \in OUT$ of performance metrics, such as operational cost or waste, from a valid input $in \in IN$ of fixed and controlled operational parameters.

Then, the desalination optimization problem is:

$$\begin{array}{ll}
\min & obj(AM(in)) \\
in \in IN \\
\text{s.t.} & C(AM(in))
\end{array}$$
(2)

where

- Obj: OUT → ℝ is an objective function, which gives the real objective value in ℝ given a valid output instance of the AM.
- *C*: *OUT* → {*T*,*F*} is a constraint function *C*, which gives *True* or *False* given a valid output instance of the AM.

In contrast of hardwired models, we describe the objective and constraints as a function of analytical model AM output. By doing that we loosening the tightly connected model, so that same AM can be used to formulate multiple desalination optimization problems using different system designs and objective functions.

In the following, we will use the following notation for a set of *key-value* pairs:

$$m = \{ \mathbf{key_1} : value_1, \mathbf{key_2} : value_2, \\ \dots \mathbf{key_n} : value_n \}$$
(3)

where the *keys* are unique identifiers. Note that this set represents a mapping

$$m: \{key_1, \ldots, key_n\} \longrightarrow \cup_{i=1}^n D_i$$

from the set of keys to union of the domains so that $m(key_i) \in D_i$ for all i = 1, ..., n. We will use the notation $keys(m) = \{key_1, ..., key_n\}$ to denote the set of all keys associated with the set *m* of key-value pairs.

Now using the above notations we can describe all of the components above; starting with a valid service network desalination model output instance *out* in section 4.2, followed by the input instance *in* in section 4.3, and finally, we describe the analytic model which is a function that computes an output instance from the input instance.

4.2 Service Network Desalination Instance: The Model Output

A valid SN desalination output instance *out* is a set of *key:value* pairs:

```
\{ config : \langle config parameters \rangle \\ rootServiceID : root service id, \\ services : \langle set of services \rangle, \qquad (4) \\ constraints : "True" or "False", \\ metrics : \langle set of metrics \rangle \} \\
```

where: 39 PUBLICATIONS

• config value is a set of:

{**operationalInterval** : value, **operationalWindow** :w}

where **config** value defines the time horizon using the **operationalInterval** string that represents the unit of time in which the system operates (e.g, hour). The **operationalWindow** (*w*) represents the number of intervals, so that operational decisions can be made over these intervals.

- **rootServiceID:** is the id of a service in *services* designated as a root service.
- Services: is a set optional *key:value* pairs of the form:

{powerService :{energyContracts : service1, renewableEnergy : service2,

other : *service*₃},

lowReservoirs : service4,

powerStorage :service5,

pumpStation :*service*₆,

highReservoirs :service7,

desalinationPlant :service₈,

waterStorage :service9}

(5)

where each *key* represent service *id* which uniquely identifies that service and each $service_i \in \{service_1 \dots service_9\}$ is either a *composite* or an *atomic* service. As each *composite* service, such as *powerService*, contains at least one subservice, it includes the IDs of these *services* under *subService*. So, each *composite* service has the following form:

{type : "composite",
inFlow : {
$$inF_1$$
 : \langle f-value \rangle ,
 inF_2 : \langle f-value \rangle ,...},
outFlow : { $OutF_1$: \langle f-value \rangle ,
 $OutF_2$: \langle f-value \rangle ,...}, (6)
metrics : { $cost$: \langle m-value \rangle ,
 CO_2 : \langle m-value \rangle ,...},
constraints : "True" or "False",
subServices : {set of service ids }}

where each **inFlow** and **outFlow** contains a set of f_i and \langle f-value \rangle pairs that represent the

flows going in and out each service. The form of each $\langle f$ -value \rangle can be express as follow:

{ **qty:** [*num*₁...*num_w*], **total:** *numeric value*}

where the **qty** is a sequence that shows the quantities of flow at each operational interval while the **total** shows the total flow for the whole operational window.

In the same manner, the *metrics* value contains as set of metrics (such as cost or CO_2) with their corresponding $\langle m - value \rangle$ in the form of:

$$\{ perInt: [num_1 \dots num_w], \\ total : numeric value \} \}$$
(7)

where the *perInt* is a sequence that shows the metric per interval while the *total* shows the summation for all intervals.

The *constraints* value indicates whether the service satisfies its constraints.

- The *metrics* shows the metrics for the *rootService*.
- The *constraints* indicates whether all the constraints of the *rootservice* are satisfied.

The *atomic* service has the similar form except that:

- There is no subServices key:value pair.
- The *type* refers to one of the *atomic* analytical model in the library.
- Additional set of key:value pair:

onFlag : [Boolean₁, ..., Boolean_w]

where each boolean value in the list indicate if the service is running "1" or not "0" at each interval of the operational window (w).

• An optional set of key value pair:

typeSpecific: { set of *key:value* pairs }

where **typeSpecific** value represents, using a *key:value* pairs, the parameters that are needed by the *atomic* analytic model **type** to calculate its metrics and constraints.

• An optional set of key:value pairs:

state : { st_1 : [$num_1 \dots num_w$], st_2 : [$num_1 \dots num_w$],}

where the set keys (state) represent the temporal elements inside the service. So, each st_i , *i* maps to a list of numeric values that capture the state of the service in each interval.

In the next section, we describe a valid input model needed to compute the output instance.

4.3 Service Network Desalination Instance: The Model Input

The model input (*in*) follow the same structure as the output, but with some modification:

- No metrics and constraints objects.
- Instead of having a list to describe *qty* for each flow in the *composite* service, we replace it with a list of lower bound (*LB*).
- For an *atomic* service:
 - Instead of having a list to depict the *state*, we replace it with a single value that depicts the *state* at the beginning of the operational window:

state : {
$$st_1 : num_{initial}$$
,
 $st_2 : num_{initial}$, ... }

4.4 Analytic Model (AM)

To describe how analytical model forms the valid output (*out*) from a valid input (*in*), we indicate how the differences between the *out* (in form 4) and the *in* are computed:

let

x = out(rootServiceID) = in(rootServiceID)

then

out(constraints) = out(services)(x)(constraints)out(metrics) = out(services)(x)(metrics)out(services) = $\bigcup_{id \in ID} mOut(opOut(in(services)(id)))$

Below, we describe how the (*opOut*) and (*mOut*) functions construct the *out(services)* form from the input *composite* and *atomic* services *in(services)*. In section 4.4.1, we show how the operationOut (*opOut*) calculates out(services) without the metrics. Then, the result is used, by mertricOut (*mOut*), to calculate the metrics in section 4.4.2.

4.4.1 operationOut (opOut)

In this section, we show how the operationOut (*opOut*) calculates the *inFLow* and *outFlow* quantities over the operational window as well as some flow constraints.

The *composite* **service:** As in form 6, for every *composite* service the quantity (*qty*) of every *inFlow* and *outFlow* is expressed recursively as:

let

$$\begin{aligned} SUB &= cs(subService) \\ qtyIn(id, x, y) &= \\ out(services)(id)(inFlow)(f_x)(qty)[y] \\ qtyOut(id, x, y) &= \\ out(services)(id)(outFlow)(f_x)(qty)[y] \end{aligned}$$

then

$$\begin{split} \forall cs \in CS, i \in keys(inFlow), \\ j \in keys(outFlow), k \in \{1, \dots, w\} \\ cs(inFlow)(f_i)(qty)[k] = \\ & \sum_{sub \in SUB} qtyIn(sub, i, k) - qtyOut(sub, j, k) \\ cs(outFlow)(f_j)(qty)[k] = \\ & \sum_{sub \in SUB} qtyOut(sub, j, k) - qtyIn(sub, i, k) \end{split}$$

Therefore, the (*total*) for every *inFlow* and *outFlow* are:

$$cs(inFlow)(f_{i})(total) = \sum_{k=1}^{w} services(cs)(inFlow)(f_{i})(qty)[k]$$
$$cs(outFLow)(f_{j})(total) = \sum_{k=1}^{w} services(cs)(outFlow)(f_{j})(qty)[k]$$

Also, for every composite service $cs \in CS$, the (*constraints*) expressed as a conjunction of demand-Constraint(cs), boundConstraint(cs), and subService-Constraints(cs). Each constraint is expressed recursively as follows:

• domandConstraint(cs) \equiv

$$\forall sub \in SUB, k \in \{1, \dots, w\}$$

$$\forall i \in \left\{ [outKeys(sub) \cup inKeys(sub)] - [inKeys(cs) \cup outKeys(cs)] \right\}$$

$$qtyIn(sub, i, k) \ge qtyOut(sub, i, k)$$

$$boundConstraint(cs) \equiv$$

 $\forall i \in \{outKeys(sub) \cup inKeys(sub)\}, k \in \{1...w\}$ in(cs)(services)(inFlow)(i)(LB)[k] $\geq qtyIn(cs, i, k)$

• subServiceConstraints(cs) \equiv

$$\forall sub \in SUB$$

The *atomic* service (as): For every atomic service the quantity (*qty*) of every *inFlow* and *outFlow* is calculated by calling the analytical model of its *type* (see appendix). Additionally, every atomic *constraints* is expressed as a conjunction of bound Constraint, on Flag Constraint. The boundConstraint(as) is expressed as in the composite service boundConstraint(cs), while onFlagConstraint(as) is expressed as follows:

 $boundConstraint(as) \equiv$

$$\forall i \in \{outKeys(as) \cup inKeys(as)\}, k \in \{1, \dots, w\}$$
$$(as(onFlog) = 0) \rightarrow qtyOut(as, i, k)$$

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Design	High Reservoir	Hydro generator	Power Storage	Water Storage	CPU time (s)	Objective Value	Peak demand bound (k)	Average power consump- tion (KW)
A	Yes	Yes	Yes	Yes	3.4	426.54	44.5	9.43
В	No	No	Yes	Yes	1.52	472.51	41.71	9.43
С	Yes	No	No	No	0.46	436.42	21.00	11.23
D	No	No	No	Yes	0.84	472.51	41.71	9.43

Table 1: The optimization time and objective value for the four desalination designs.

The *state*(*as*,*i*) for $\forall s \in AS$ and $\forall k \in \{1, ..., w\}$ is expressed as:

$$state(as, i) = \begin{cases} newState(as, k, state(as, k-1)) &, k \ge 1 \\ as(state) &, k = 1 \end{cases}$$

where *newState* is a function that returns the new state from a given *state* for a service with $id \in AS$, and interval $i \in \{1, ..., w\}$. Further, the atomic analytical model updates the *serviceSpecific key:value* pairs by adding some information that are needed later on to calculate the metrics which need larger intervals. For example, the desalination system operate per hour, while calculating some metrics like the cost for *energyContract* need the knowledge of average consumption for the last two months.

4.4.2 metricOut (mOut)

In this section, we show how the metricOut (*mOut*) calculates the *metrics* over the operational window as in form 7.

The *composite* service: For every composite service every *metric* (such as cost and CO₂) are expressed recursively as:

let

$$mIn(id) = opOut(in(services)(id))$$

mPerInt(id,x) =
mOut(mIn(id)(metrics)(cost)(perInt)[x])
mTotal(id) =
mOut(mIn(id)(metrics)(cost)(total))

then

$$\forall cs \in CS, k \in \{1, \dots, w\} \\ mPerIn(cs, k) = \sum_{sub \in SUB} mPerIn(sub, k) \\ mTotal(cs) = \sum_{sub \in SUB} mTotal(sub)$$

The *atomic* service (as): The metrics and constraints for the atomic services are calculated by calling the analytical model of its *type*. Due to page limitation

we omit the formalization of the atomic analytic models(AMs). More can be found in (Bedor Alyahya, 2020).

5 EXPERIMENTATION

In this section, we show how the proposed desalination AM can support diverse desalination systems. We run an experimentation that aim to asses the capability of the model in solving realistic problems using a machine with a 1.8 GHz Intel Core i5 processor and 8 GB of DDR3 memory executed at 1600 MHz. We used CPLEX 12 as an optimization tool. We implement the system using the architecture proposed in section 3. Then, we create a design that includes low reservoir, pump, RO plant and power source which in turn includes renewable source (solar panels) and the grid. We assume a time horizon of 24 hours and generate a random supply of renewable energy source (solar panels), that follow the normal bell shape curve during the first 12 hours and 0 otherwise. We also use a power contract agreement which charges extra fee over its fixed fee using the following formula:

$$Extra = (k - Avg) * r \tag{8}$$

where Avg is the average of power consumption over the time horizon, k is the peak demand bound and r is the rate of extra kilowatt per hour.

Table 1 shows four different architectures (A, B, C, D). In architecture A, we add the high reservoir connected with hydro generator and power and water storage to produce the variable demand. In architecture B, we add power and water storage. while in architecture C, we only add the high reservoir and in architecture D we did not add any extra component. We then specify for each component we added its *type specific* parameter (like the maximum capacity for the water storage). Then, we optimize the the flows of power and water as well as the peak demand bound against the following objective function:

Total cost of operation + 0.2 * Total carbon emission

Table 1 shows the objective values for the four designs. These architectures use different storage technologies to store excess supplies during intervals of low demand to satisfy the fluctuations in demand. We can see that architecture A and C achieve better results than C and D under the same input assumption. These comparison can lead to useful insight in knowing which component can contribute to the system the most.

For the purposes of evaluating the model in solving realistic size problems, we generate four different instances from architecture A by varying two dimensions:(1) The number of *atomic* services (AS) and (2) The number of intervals in the time horizon (w). Table 2 shows the number of atomic services, the number of intervals and the time it take the solver to solve each instance. We can see that when we set the time horizon (w) to 24 hour, we reach the optimal solution in 16 seconds and 3 minutes when the number of atomic services are 78 and 306, respectively. Whereas, when we use 168 intervals we converge to near optimal solution within 0.43% gap in 25 seconds and 1.29% gap in 45 seconds when the number of atomic services are 42 and 78, respectively. As an initial step, the solution time to optimality is practical to operate on an interval (e.g., 1 hour).

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Table 2:	Shows	aimerent	variation	OT	proplem	sizes.
				~ ~	P	

Intervals	Atomic	Gap	Time
(w)	Services	(%)	(s)
	(AS)		
24	78	0	16
24	306	0	183
168	42	0.03	105
168	78	0.03	693
-			

6 CONCLUSIONS AND FUTURE WORK

We reported on the development of a formal analytic model and a decision guidance system for desalination service networks that can be applied to a broad range of desalination designs and architectures. The model and the system are based on an extensible repository of atomic component models, initially including models for pumps, renewable energy sources, water and power storage, and reverse osmosis units. We conducted an experimental study to demonstrate the applicability of the model and the system to a range of desalination designs, using four examples, and the scalability of the solution to realistic size problem. As future work, we plan to expand the experimentation to study a realistic water supply chain using our proposed model. Additionally, we plan to develop a modular investment model based on the accurate operational model developed in this paper.

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