

# Validity Analysis of Simulation-based Testing concerning Free-space Detection in Autonomous Driving

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**Abstract:** Automated vehicles must perceive their environment and accordingly plan a safe trajectory to navigate. Camera sensors and image processing algorithms have been extensively used to detect free-space, which is an unoccupied area where a car can safely drive through. To reduce the effort and costs of real test drives, simulation has been increasingly used in the automotive industry to test such systems. In this work, an algorithm for free-space detection is evaluated across real and virtual domains under different environment conditions: daytime, night time and fog. For this purpose, an algorithm is implemented to ease the process of creating ground-truth data for this kind of test. Based on the evaluation of predictions against ground-truth, the test results from the real test scenario are compared with its corresponding virtual twin to analyze the validity of simulation-based testing of a free-space detection algorithm.

## 1 INTRODUCTION

Autonomous vehicles are the vision of automotive industry for achieving sustainable, efficient and safe mobility (Maurer et al., 2016). While currently produced vehicles may only be equipped with advanced driver assistance systems (ADAS) to enhance comfort and safety, the next generations should manage the driving task partially or even completely.

For this purpose, intelligent environment sensors, such as cameras and radars, are being installed in the cars. With the help of machine learning algorithms, it is possible to detect objects and obstacles in the environment and also to make certain inferences about the surroundings, such as free-space detection, as shown in Fig. 1. However, it is known that the performance of these algorithms decrease under adverse environment conditions (Reway et al., 2018). Thus, it is essential to test them also under non-ideal situations.

For assuring safety in usage of highly automated vehicles, it is estimated that 3 billion of test kilometers must be driven without any false alarm (Winner et al., 2015). However, a validation through real test drives is impracticable due to financial and time expenditures. Therefore, simulation-based methodologies have been applied for the validation of these systems, such as Software-, Hardware- and Vehicle-in-the-Loop (Demers et al., 2007; Isermann et al., 1999; Bock et al., 2007).



Figure 1: Free-Space detection.

In order to validate simulation models, comparisons between reality and simulation should be further investigated. In this work, the performance of a free-space detection algorithm is evaluated across real and virtual domains under daytime, night time and fog condition. For that, an algorithm is implemented for generating free-space ground-truth (GT) data. Then, the GT is compared against predictions and the performance results are calculated to analyse the validity of simulation-based testing.

### Outline

This paper is organized as follows: Section II presents related work regarding free-space detection and simulation-based testing. In Section III, the test scenario and test execution in the real and virtual domains are presented. Also, the labeling of GT and the evaluation method are described. The results are discussed in Section IV. Finally, Section V presents the contributions and futures avenues of this work.

## 2 RELATED WORK

Vision-based applications present a cost-efficient solution to autonomous driving, allowing the deployment of technologies developed within more than 40 years of computer vision research (Sun et al., 2006).

During the motion of the vehicle, the environment needs to be perceived from the images for the interpretation of obstacles. The free-space detection permits the evaluation of the environment, removing all the obstacles and returning a free-space road area where the vehicle can autonomously drive in a safe way (Kubota et al., 2007).

Initial free-space techniques implemented shadow evaluation, comparing the area underneath the vehicle with the asphalt darkness to identify the obstacle-free area in front of the vehicle (Tzomakas and von Seelen, 1998). More robust approaches include the implementation of stereo cameras. In this case, the position of the obstacles is calculated by combining the edge pixels and the disparity calculation between the right and left images (Kubota et al., 2007).

Further implemented free-space algorithms to stereo-cameras combined a new technique to describe the ground relief. In this research, the authors include a description of the ground by a spline, increasing the reliability and safety on the free-space applications (Wedel et al., 2010).

The accuracy of monocular and stereo cameras was compared using the KITTI benchmark data. The results present that the monocular cameras are likely to degrade on unmarked roads, so stereo-cameras outperforms monocular cameras in urban scenarios. However, when multiple lanes are available the monocular camera presented an adequate result taking into account the reduced implementation costs (Saleem and Klette, 2016).

Machine learning methods enable a light-weight, real-time and low-cost deployment of free-space algorithms. However, a solution for free-space detection with monocular cameras shows confusion between pavement, road and road markings (Yao et al., 2015).

With the rapid growth of Machine Learning implementation and the high demand for data, the virtual environment presents itself as an important tool for training and validation of algorithms (Tuncali et al., 2019). More recently, the determination of failure scenarios in Machine Learning algorithms has been evaluated in simulated platforms, allowing the retraining and improvement of the intelligent agent (Corso et al., 2019).

Wissing et al. (2016) compared two identical scenarios in simulated and real environments evaluating vehicle tracking. The authors implemented mathe-

matical models of the environment sensors, presenting the viability of realistic reproduction of traffic scenarios in the simulation (Wissing et al., 2016).

In this work, simulation is used as a tool for testing a free-space detection algorithm that predicts the unoccupied area based on a monocular camera. Then, the obtained results are compared with the ones from a real test drive so that the validity of simulation-based testing is analyzed.

## 3 MATERIALS AND METHODS

### 3.1 Test Scenario Definition

An inner-city test scenario is defined in which the ego car and other two traffic participants are involved, as shown in Fig. 2. This is composed of a two-lane road, on which the test vehicle (marked with a star) is standing at the beginning of the lane, a bicyclist and his bike (B) standing right in front of the test vehicle (in the middle of its lane), and an oncoming car positioned on the contraflow lane.

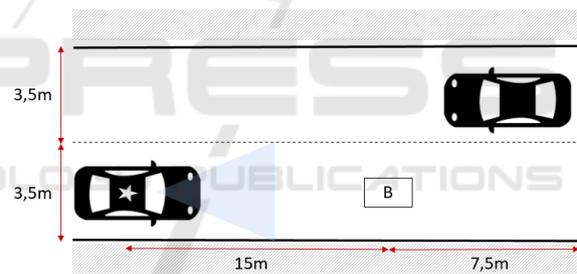


Figure 2: (off-Scale) Blueprint of the inner-city test scenario. Ego car is marked with a star and 'B' stands for bicyclist.

For the same scenario, three variations of environment conditions are considered: daytime, night time and fog. These are reproduced in reality as well as in simulation. Then, the comparison regarding the algorithm performance between real and simulation-based tests can be made.

### 3.2 Real Test Drive

The real tests were performed on the indoor proving ground in CARISSMA (Ingolstadt, Germany), where it is possible to control environment conditions and easily reproduce real test drives. In this subsection, the sensor setup and the construction of the defined scenario are described.

*Sensor Setup:* An automotive monocular camera with a field-of-view of  $60^\circ$  is installed inside the test vehi-

cle facing the forward direction of driving. This camera is connected to an ADAS Platform, which runs a OpenRoadNET DNN-based algorithm for predicting the drivable free-space based on a monocular video. The algorithm was already trained and implemented by the ADAS Platform manufacturer and is used as a black-box. The video data of the camera is captured by the ADAS Platform and stored into a hard-drive. The calibration of the camera is performed, as illustrated in Fig. 3, so that the values for position ( $x$ ,  $y$ ,  $z$ ) and rotation ( $roll$ ,  $pitch$ ,  $yaw$ ) of the camera sensor are estimated precisely. The results for the calibration are presented in Table 1.

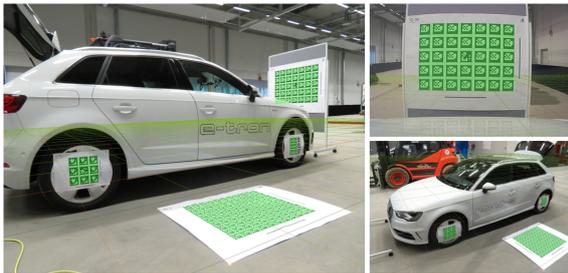


Figure 3: Camera calibration.

Table 1: Calibration results for the camera installed in the test vehicle.

Position [m]			Rotation [°]		
$x$	$y$	$z$	$roll$	$pitch$	$yaw$
2.03	-0.01	1.16	-0.11	7.25	-0.97

**Scenario Construction:** To build the defined scenario as realistically as possible on the proving ground, the "German Traffic Regulations" were considered, specially with concern to the road marks. Their dimensions are defined in the "Road Marking Guidelines" by The German Road Safety Council (DVR) and the German Study Society for Road Markings (DSGS) for different applications. In this work, an inner-city scenario was constructed. The scenario and its road marks have the following characteristics:

- The manufacturing material of the road marks is composed of micro glass beads (reflex bodies of 0,1 to 2,0mm), so that light is partially reflected;
- The width of the roads marks is equal to 0,12m;
- For the center line, the ratio line-to-gap is 2:1 (3m:1,5m).

After setting up the road marks in the indoor hall, certified targets were used and organized spatially, according to the scenario blueprint (Fig. 2).

- an Euro NCAP Bicyclist and Bike Target (EBT);
- a 4a Soft Target for the oncoming car.

For reproducing daytime and night time conditions, the illuminance was varied inside the hall to, respectively, 470lux and 13lux. For reproducing fog, the proving ground is equipped with a fog-facility, which is able to reproduce realistic fog conditions inside the test track. Under this condition, the scenario had a visibility of 20m and a relative humidity of 82,5%. Fig. 4 shows the same scenario under daytime and fog conditions.



Figure 4: Inner-City test scenario built on the proving ground - daytime (top) and fog (bottom).

### 3.3 Simulation-based Test Drive

The environment simulation software CarMaker (CM) was used for performing the simulation-based test drives. In this subsection, the reproduction of the sensor setup of the real test vehicle and the construction of the virtual scenario in CM are described.

**Reproduction of the Real Sensor Setup:** A virtual camera with a field-of-view of 60° and in accordance with the calibration values obtained from Table 1 is created in CM. The  $roll-pitch-yaw$  values configured in the simulation are exactly the ones of the real experiment and the positioning of the camera has to be adjusted due to different coordinate systems.

**Virtual Scenario Construction:** The virtual scenario is constructed based on the blueprint in Fig. 2 and adapted to the real one described in Subsection 3.2. In the simulation, the walls of the proving ground are represented by high buildings. The virtual road markings are continuous on the outside and dotted in the middle and their lengths correspond to those of the real tests. Furthermore, the lanes are limited by lateral roadway boundaries, which represent the concrete blockades of the proving ground. Look alike models for the traffic participants are chosen in the simulation so that they represent the bicyclist, the bike and the other car coming on the contraflow lane.

For simulating the different conditions, daytime, night time and fog scenarios are already available in CM. For the fog simulation, the daytime scenario is selected and the exponential fog model is applied.

### 3.4 Ground-truth Labeling of Free-space

To enable the evaluation of the predictions given by the free-space detection algorithm, reference data must be created. The GT data is either generated by hand or automatically (Richter et al., 2016), with the help of algorithms. In the latter case, the automated labeled data must be subsequently checked and adjusted, if necessary. In this subsection, it will be discussed how the GT data is created so that the predictions of free-space can be evaluated. The same method is used for creating the GT for the real and virtual scenarios.

In this work, MATLAB and Python are primarily used for labeling the free-space in the videos from the real and virtual test drives. In a frame, the pixels regarding the free-space are labeled as follows:

- 1 is assigned to free-space;
- 0 is assigned to occupied area (or not free-space).

However, as the duration of a video increases, so does the effort involved in labeling the GT data. Every single frame of a video should be labeled so that the predictions can be evaluated more accurately. For example, when a video with a duration of 10s is recorded with a camera that captures 30fps, a total of 300 images have to be labeled.

In this work, to reduce the manual labeling effort, an algorithm was developed in Python, which can estimate the GT data for a sequence of frames. Based on manually labeled intervals, the GT data is interpolated on the frames that lie in between. For example, instead of manually labeling all the 30 frames for 1 second, only the start and end frames have to be manually labeled and the labeling process is automated for the other 28 frames in between. Note that the manual definition of the GT data is extremely time-consuming and, with the help of this algorithm, this process can be significantly eased.

First, key frames are empirically defined based on abrupt movement changes in the scene. These frames are manually labeled as described above with the help of the MATLAB Ground Truth Labeler. This labeled data is then processed by the developed Python algorithm, which interpolates the GT data, based on the following sequence: optical flow; linear interpolation; weighted fusion of the two previous methods

and morphological operators. This process is illustrated in Fig. 5 and described next.

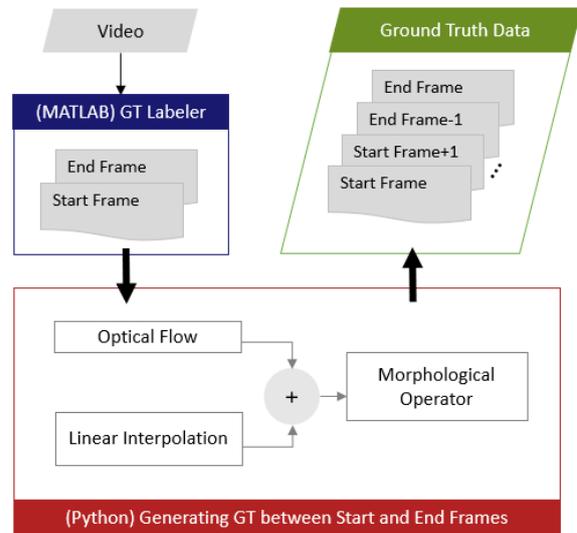


Figure 5: Generation of Ground-Truth Data based on interpolation of frames.

*Optical Flow:* At first, the optical flow can be used to predict where a pixel will move to in the next step. Thus, a prediction about the movement of labeled pixels can be made.

*Linear Interpolation:* On the other hand, the progression from one labeled image to another can be interpolated. The closer you move from the start frame to the end frame, the more relevant the information from the linear interpolation becomes for each pixel. This is exploited to improve the estimation of the free-space.

*Weighted Fusion:* The information from the linear interpolation and the estimation from the optical flow are combined. A weighted combination is calculated based on the probability of correctness of the linear interpolation, which is defined as "dynamic weighting factor". In case the labeled frames are too distant from each other, the optical flow approach gains relevance for assigning the GT to a certain pixel. In case the probability of either one of these methods is absolute (either 0 or 1), then their automatic label is assigned as GT.

*Morphological Operators:* Morphological operators are well established in image processing. The Operator Closing (addition + subtraction) is a method to close "holes" and add "tentacles" to the rest of the body. This corresponds to a low-pass filter that avoid obfuscating the corners.

The GT is created as a PNG for each frame which contains the corresponding labels for every pixel.

### 3.5 Evaluation Method

To evaluate the performance of the free-space detection algorithm, its predictions are compared against the defined GT data. The method is illustrated in Fig 6. An element-wise comparison is carried out. That means, each pixel predicted as either free-space or occupied area is compared with its respective pixel in the GT data.

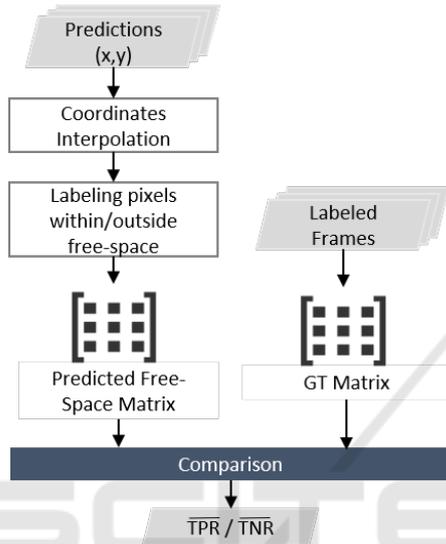


Figure 6: Evaluation Method: Comparison of predicted free-space and GT data.

The GT data is stored as a PNG image, which already contains the labels for free-space and occupied area for each pixel in the entire frame, as described in Subsection 3.4. This image can be directly loaded into the memory in form of a matrix.

The algorithm for free-space detection gives its predicted pixel coordinates (x,y). Thus, the remaining pixels related to the free-space boundaries have to be marked. Therefore, the evaluation algorithm interpolates between the free-space boundary points. Then, it assigns 1 to the pixels below the interpolated line and 0 to the pixels above it. As a result, the pixels within the entire free-space area are automatically marked with 1 and the outside ones marked with 0. This process is illustrated in Fig. 7.

Then, the direct comparison of the matrices elements of predicted free-space and GT pixels is possible. Finally, the pixels are compared and the average of the True Positive and Negative Rates ( $\overline{TPR}$  and  $\overline{TNR}$ , respectively) are calculated as follows:

$$\overline{TPR} = \frac{\sum_{i=1}^N TP_i}{\sum (TP_i + FN_i)} \quad (1)$$

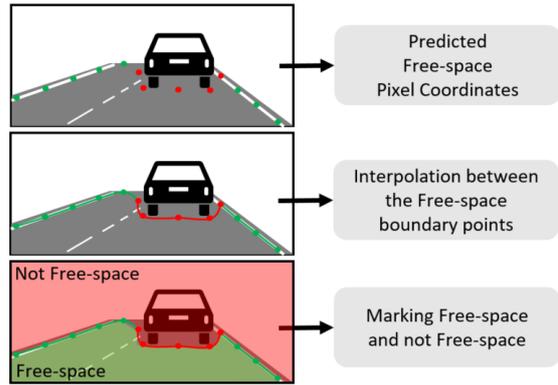


Figure 7: Process for marking free-space and not free-space areas.

$$\overline{TNR} = \frac{\sum_{i=1}^N TN_i}{\sum (TN_i + FP_i)} \quad (2)$$

where  $N$  is equal to the number of frames available in the recorded video.

The performance results across the real and virtual domains are calculated for the different environment conditions: daytime, night time and fog. The results are analyzed to verify the validity of simulation-based testing for this algorithm.

## 4 RESULTS

The obtained results of  $\overline{TPR}$  and  $\overline{TNR}$  are shown in Fig. 8.

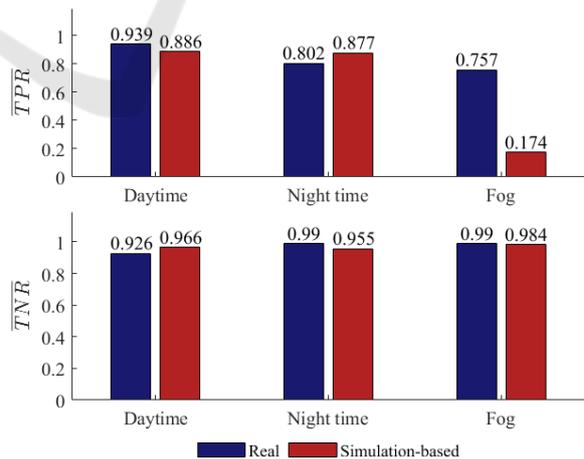


Figure 8:  $\overline{TPR}$  and  $\overline{TNR}$  results of the free-space detection under daytime, night time and fog conditions on real and simulation-based test drives.

These results demonstrate that the performance for detecting the free-space area decreases as the complexity of the environment increases. This is valid

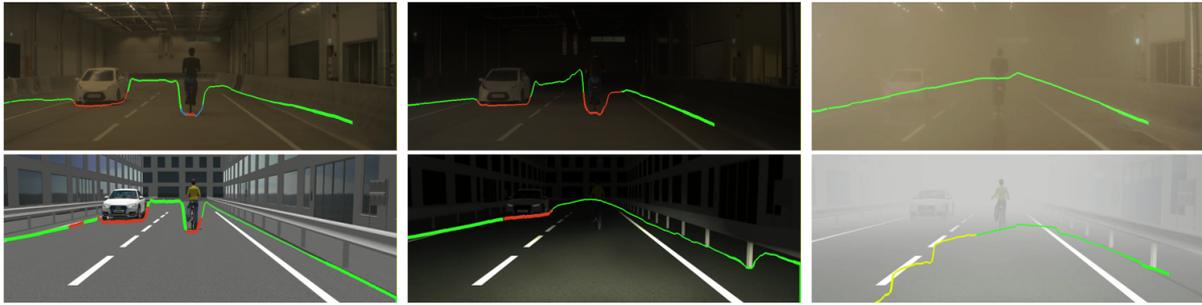


Figure 9: Real (top) and simulation-based (bottom) test drives with predictions of the free-space detection under different environment conditions: daytime (left), night time (middle) and fog (right). The free-space boundary lines display vehicles and bicycle in *red*; persons in *blue*; curb in *green* and others in *yellow*.

for the real as well as the simulated-based test drives. This means that the algorithm becomes significantly more conservative under fog condition as in comparison to the others, reducing the predicted free-space area. As a result, the *TPR* has the lowest scores and *TNR* the highest ones.

Fig. 9 shows the free-space predictions for the real and simulated-based test drives under the considered environment conditions for exemplary image frames. Note that the predictions for other frames may vary. The free-space boundary line presents the classes of objects and road marks in different colors:

- *red* for vehicles and bicycle;
- *blue* for persons;
- *yellow* for others;
- *green* for curb.

Comparing the scenario under different environment conditions, it is clear that detection of the other traffic participants and also road markings and boundaries are affected by the low light and foggy conditions applied in this work.

In daytime, both traffic participants are well perceived. In the real test, the algorithm is able to differentiate the bicyclist from the bicycle, but, in the simulation, it misses the bicyclist. In the virtual scenario, the prediction for round boundaries is more accurately defined as in the proving ground, but, the algorithm mistakenly predicts a ghost vehicle on the left curb.

Under the night time condition, the prediction of the EBT Target has a larger occupied area in the real test scenario, while in the simulation, the virtual bicyclist is not even perceived. This behavior indicates a limitation of the algorithm in differentiating the objects from the background.

Under fog, the traffic participants are not at all perceived in the real scenario as well as in simulation. In the latter case, the middle lanes are classified as an object of class "other", which is in accordance with limitations observed by Yao et al. (2015).

Table 2 shows the percent error concerning performance results for free-space detection under each environment condition of real and simulation-based test drives. The results obtained from the real test drives are used as reference for this calculation.

Table 2: Percent error of the performance results for free-space detection between real test and simulation-based test drives.

	<b>Daytime</b>	<b>Night time</b>	<b>Fog</b>
<i>TPR</i>	5.9%	8.6%	335.0%
<i>TNR</i>	4.1%	3.7%	0.6%

Comparing the results obtained from the real and simulation-based testing for the different conditions considered, the simulation is able to provide valid results, except for the fog condition. In this case, the percent error is enormous, since the algorithm is not even able to predict any free-space in some frames in the virtual scenario.

Finally, it can be observed that simulation can be used to support, in specific use-cases, the validation of algorithms for automated driving systems, such as free-space detection. However, the virtual scenarios have to be created with proper levels of details and noise, which, in case of fog, it is still challenging to reproduce. Moreover, the process of mapping reality to simulation is limited, since the physical parameters that define this phenomenon are missing in the implemented fog model.

## 5 CONCLUSION

Real test drives provide high validity test results. However, simulation-based testing offers a high level of reproducibility and controllability, reducing time and effort in verification and validation processes. In this work, the validity of test results of a free-space detection algorithm obtained with simulation is an-

alyzed under different environment conditions: daytime, night time and fog. This helps to identify which specific use-cases can be transferred from the real to the virtual domain.

Results show that complex environment condition models, such as fog, still need to be further developed for this kind of test, since the predictions in the virtual scenario differs tremendously from the real one. For daytime and night time conditions, the simulation-generated results can be sufficient for testing purposes.

The divergence in the performance results under adverse environment conditions reinforce that algorithms for automated driving systems have to be developed and tested beyond ideal conditions, such as daytime and sunny weather. The datasets used for training machine learning algorithms must be balanced also with data acquired under non-ideal environment conditions, so that these systems become robust enough and safety in usage is ensured.

In this work, only night time and fog in one environment simulation software were considered, but rain and snow may also degrade the algorithms performance. Therefore, further experiments can be realized in these mentioned cases and with other environment simulation software as well. In addition, this study can be expanded to other algorithms and even other sensors, such as radar and lidar, which are also focus of research at the CARISSMA test center.

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