A Refactoring Architecture for Measuring and Identifying Spots of Design Patterns Insertion in Source Code

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Abstract: This work presents an architecture for detecting insertion spots of design patterns in an object-oriented source code. The proposed architecture contains a Service that implements Detection Methods (DMS) present in the literature such as identification of precursors, prolog rules and facts, among others. The DMS notifies the Metrics Service (MS) which patterns can be used. The evaluation of the application of the patterns undertaken by the MS is performed by means of quality metrics such as maintainability, flexibility, and so forth. The MS notifies the Client App (CA) of the advantages and disadvantages of using the eligible patterns. The CA interacts with the user to retrieve decisions about which changes to perform in source code according to the design pattern real benefit and notifies the Applier Service (AS), that applies the patterns in the source code. The difference between the proposed architecture and the literature is that it allows a thorough interaction with the user and it creates an extendable environment to cover several pattern detection/insertion methods. The architecture allows automated support to users engaged in the refactoring process based on design patterns.

1 INTRODUCTION

Refactoring processes correct bad smells or code that is poorly written. These processes are aimed to provide a good internal structure for a software project, to remove duplicated code, find meaningful names for methods and classes, restructure class hierarchies and others (Fowler and Beck, 1999). Some quality requirements such as performance, maintainability, loose coupling, high cohesion and reusability can be improved in a software project through refactoring (Fowler and Beck, 1999; Mens and Tourwé, 2004).

Design patterns may be attached to refactoring processes improving code flexibility, these patterns are highly recommended during software natural evolution (Cinneide, 2000). The benefits of applying these patterns are widely known, being present in works that detect them, like the ones of Chatzigeorgiou et. al (Chatzigeorgiou et al., 2006) and Li et. al (Li et al., 2007), as well as in works that used them within refactoring processes such as Christopoulou et. al (Christopoulou et al., 2012), Zafeiris et. al (Zafeiris et al., 2017) and Zanoni et. al (Zanoni et al., 2015).

It has been a more common approach to deal with refactorings to patterns in an automated manner (Christopoulou et al., 2012; Fontana and Zanoni, 2011; Zafeiris et al., 2017).

This work proposes an architecture aimed to provide a structure that covers methods in the literature that identify spots to insert design patterns in source code, also, some other methods that apply these patterns in a given project. Besides detecting and inserting patterns in source code, this architecture will also be responsible for the evaluations of the possible refactorings and to promote interactions with the user, providing feedbacks and relying on his/her decisions to actually execute the proposed procedures.

2 BACKGROUND WORKS

In order to extend the reader's perception concerning methods that to introduce design patterns in source code, the following descriptions present a deeper understanding of some methods of the literature.

Some works were analyzed during the development of the architecture, these were gathered considering the past twenty years. Cinnéide and Nixon (Cinnéide and Nixon, 1999) for example, created a method to insert a given design pattern based on minipatterns and minitransformations.

Jeon, Lee and Bae (Jeon et al., 2002) only propose a method to identify spots to insert design patterns in code through prolog rules and facts; Gaitani

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et al. (Gaitani et al., 2015) propose refatorings towards a NULL Object design pattern; Christopoulou et al. (Christopoulou et al., 2012) identify the spots to insert a Strategy design pattern, these are spots containing conditional statements with two or more branches.

Analyzing the works Cinnéide and Nixon (Cinnéide and Nixon, 1999), Jeon, Lee and Bae (Jeon et al., 2002), Gaitani et al. (Gaitani et al., 2015) and Christopoulou et al. (Christopoulou et al., 2012), it is noticed that features could be added to their process, for example, measuring whether the insertion brings actual benefits to the project and improving interactions with the user.

Considering the above mentioned and the other works of the literature that were studied, this architecture focuses on having more interactions with the user. It also evaluates the refactoring possibility through software metrics. As last, it provides an environment that covers several refactoring methods of the literature, which enables the user to use an unique process (having no need to search for other refactoring tools).

3 PROPOSED ARCHITECTURE

The proposed architecture (Figure 1), called ArchProMe, is composed of tree core modules: *Applier Service* (AS), *Metrics Service* (MS) and *Detection Methods Service* (DMS); an intermediary one called *Intermediary Service* (IS) and a *Client App* (CA).

The modules that present this name *Service* are the ones that contain the pattern Service Layer, this pattern presents some functionalities that are made available to external users, like a boundary that encapsulates business rules and control the responses to be given in each functionality (Fowler, 2002).

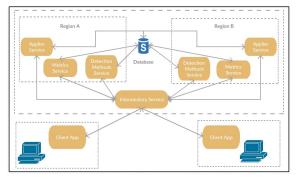


Figure 1: Architecture Overview.

The *CA* in turn, promotes the interaction between the users of the architecture (probably software developers) and is responsible for communicating with the *IS* in order to request operations from the core.

It is quite noticeable that Figure 1 displays a duplicated structure in the architecture, the only difference being the identifier of the so called Regions (A and B). These regions were built considering the possibility to have absent modules in each region, it also provides means to distribute the requests from the *CAs* to the *alive* members in the core.

The main idea is that each member of the Region must send messages directly to the *IS*, this confirms its *alive* state within the architecture. After that, once the *IS* receives a request from a given *CA*, it can redirect the call to a specific member for processing.

Once the overview of the architecture is well stablished, the following sections are in charge of having a detailed description of the basic requirements of the architecture and afterwards, the internal procedures of each module.

3.1 Architecture Inputs

The input corresponds to a project with a set of subsystems, at the lowest level of each subsystem is a set of source files.

Within the project, a Project Description file is provided so that the project may be registered. The Project Description has the project_id (empty at first and filled by a procedure of the architecture), project_name (significant name given by the project owner) and a source code folder path (src).

A .*prop* extension is placed with the project file name as a markup extension to be read by the *CA*, which identifies the text file as a Project Description.

The first requirement of the ArchProMe is to know in depth the methods of the literature focused on the insertion and detection of patterns insertion spots (Section 2). In case of the creation of a new method, the architecture must be prepared to be extended.

The second requirement for the ArchProMe are a set of metrics, which are used to evaluate the quality of the source code. These metrics provide means to precisely measure a source code through quantitative values. They also contribute to measure quality requirements such as: reliability, maintainability and others.

After having the base for the refactoring (requirements) and receiving the input value (project), the architecture is ready to detect what design patterns can be inserted within the source code.

3.2 Client App

This module presents two main responsibilities: to interact with the user and to communicate with the *IS*. Among the interactions with the user, it is expected to extract data inputs from the user and present some information from the architecture core (patterns eligible for insertion and metrics analysis result).

There are two main interactions available for the user: start process and apply patterns:

Start Process: This interaction is triggered by the user and it causes the *CA* to request two operations provided by the *IS*. First the *CA* is responsible for collecting project data from the Project Description, after that, the *CA* requests the *IS* to register the project at hand. The source code is compressed and sent with all the other data collected initially.

After registering the project, the *CA* executes a new request, this time it actually starts the refactoring process. Now the *CA* has the id of the registered project and uses it to make this start refactoring request. At the end of this call, the *CA* receives all patterns eligible for applying in the source code along with their improvements in quality attributes terms.

Apply Patterns: Once the *CA* has all patterns eligible for applying in the source code, it displays them to the user, along with their quality attributes evaluation (detailed in the *MS* flow), so that s/he may choose which to apply in his/her source code.

The *CA* retrieves the user's choices and is in charge of sending this data to the *IS*, this data is processed to alter the source code. Once the refactored source code is created, the *CA* displays a last message, confirming the refactoring with the user.

If the user decides not to apply the chosen patterns, either because s/he has given up or because s/he decided that the refactorings do not worth to be executed, then the refactoring process is cancelled. If the user agrees that the refactorings should be made the activities of the user are frozen and the old source code is replaced by the new one (the refactored one).

3.3 Intermediary Service

All modules of the architecture do not communicate with each other directly, the requests/responses are sent to the the *IS* which in turn has the responsibility to manage these interactions between the modules. This Service has to deal with the source code inputs arriving from the *CA* as well.

In order to manage the active modules of the architecture (Figure 1), these are grouped by their type, that is, *DMSs* with *DMSs*, *MSs* with *MSs* and *ASs* with *ASs*; in such a way, that for every type, there is a queue related to it.

As already mentioned, a member is taken as *active* if it frequently sends *alive* messages to the *IS*. This kind of control is useful when a new request is re-

ceived and to distinguish what architecture member should be executed, the *IS* searches in its queues by type, the Service to be called.

For every kind of request received by the *IS*, the due Service is retrieved from its own queue and called through an HTTP request for processing. The functionalities of this module, as well as the Services called in the requests are presented as follows:

Register Project Request: It inserts the source code (along with the other data provided) in the database. The project will have its unique id once it is inserted, after that, the id is sent as a response.

Start Refactoring Request: It retrieves the first *DMS* in the queue and requests a *pattern insertion spots evaluation* (with the id of the project). At the end of the execution, the *DMS* receives as a reply all patterns eligible for application, it forwards it to the *IS* along with the id of the project.

Source Code Evaluation Request: it retrieves the first *MS* available in the queue and forwards the evaluation request to it (along with the id of the project and the patterns eligible for application). After receiving the response of the module, the *IS* sends a response message to the *CA* with the eligible patterns and their quality evaluations.

Pattern Insertion Request: This insertion is only ment to refactor the source code based on one pattern alone. As expected, the first *DMS* is retrieved from the queue and, the application of a given pattern P1, of a refactoring method M2, in the source code with id *XYZ* is requested.

The response of this call is the id of the refactored source code, this is because after the refactoring of *DMS*, the refactored code is compressed and inserted in the database of the architecture.

Source Code Refactoring Request: In this stage, the patterns for the final refactoring were already chosen by the user. The *AS* from the *queue* is retrieved and is responsible for managing the application of all selected patterns. The source code containing all the patterns selected by the user is send to the *CA*. At last, the project source code is removed from the database.

3.4 Detection Methods Service

One of the functions executed by this Service is to check which patterns can be applied in the source code. This module receives the request and submits the source code to the evaluation of refactoring methods present in the literature.

The refactoring methods of the literature have distinct approaches as to how to detect patterns insertion spots in a certain source code. Gaitani *et al.* (Gaitani et al., 2015) converts the source to Abstract Syntax Trees (Jones, 2003) to check the possibility to insert the *Null Object* pattern; also, Rajesh and Janakiram (Rajesh and Janakiram, 2004) convert a source code in Prolog Facts (Clocksin and Mellish, 2003), in order to search for insertion spots through Prolog Rules. This kind of perception was only possible due to the analysis of the works of the literature in Section 2.

Considering these possibilities, the evaluation of insertion spots in the source code was fragmented into data extraction approaches, this happened to avoid duplications since several methods have the same extraction approach; after that, each method is executed.

The methods themselves are the ones found in the literature (Section 2). In case of a new source code extraction approach which cannot be detached from its own refactoring method, then it will not be placed along with the generic approaches.



Figure 2: *Detection Methods Service* - Gaitani *et al.* (Gaitani et al., 2015) Method Execution.

Figure 2 state shows an example of a possible insertion spot for the Null Object pattern using the method provided by Gaitani *et al.* (Gaitani *et al.*, 2015). The highlighted parts (on the left) represent the spots that can be altered; the object *buyer*, instead of being instantiated with a null value can have a default instance (Null Object) and the conditionals checking for null values are discarded.

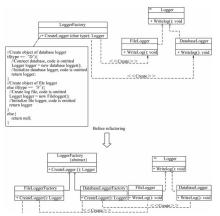


Figure 3: *Detection Methods Service* - Liu *et al.* (Liu et al., 2014) Method Execution.

An example of the detection of the Factory Method pattern (Figure 3) is proposed by Liu *et al.* (Liu et al., 2014), that demonstrates a complex conditional expression evaluating the values of the *type* variable. This conditional expression can be replaced by a Factory Method or a Strategy pattern depending on whether the conditional expression creates a new object or not. In this example, the internal procedures of each branch of the conditional statement is replaced by a child of the Factory Method base class.

Another method that applies a Strategy pattern, is the work of Christopoulou *et al.* (Christopoulou et al., 2012), that also looks for conditional statements to insert the pattern. Figure 4 shows an example of a class eligible for refactoring.



Figure 4: *Detection Methods Service* -Christopoulou *et al.* (Christopoulou et al., 2012) Insertion Spot.

Considering the three previous methods, it can be seen that the patterns eligible for application are: Null Object, Factory Method and Strategy. Of course, the idea is that not only the refactoring methods presented here can be part of the architecture, that is why they have a common input (id of the project pending of evaluation), a data extraction approach (if it is possible to detach it from the method) and a common output (a set of methods and their respective patterns eligible for application).

The *DMS* receives the return of the refactoring methods and it groups them to a single response. Figure 5 presents it in a generic response.

If a method M1 elects a Factory pattern to be inserted in the source code, and another method M2 elects the same possibility, then, the architecture is responsible for ignoring one of the possibilities.

Besides detecting patterns insertion spots, this service is also responsible for refactoring a certain source code through an insertion request. Once the *IS* reque-

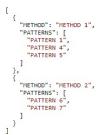


Figure 5: Detection Methods Service - Generic Response.

sts the application of a pattern, it will send what was the method used for detecting the insertion spots along with the pattern authorized for insertion.

In its internal execution, the *DMS* must have a correlation between a method that detects patterns insertion spots and the method which will undertake the refactoring for that given pattern. The work of Jeon, Lee and Bae (Jeon et al., 2002) for example, only detects insertion spots for design patterns through Prolog Facts, however, when it has the insertion spots, the method of Cinneide and Nixon (Cinnéide and Nixon, 1999) is used to refactor the source code.

Finally, the source code is refactored through a similar process as the one to detect insertion spots, first transforming the code into extraction approaches, then, actually refactoring the code. The refactored source code, with all eligible patterns applied, is then compressed and inserted in the database of the architecture. The id of this new record is then sent as response of the operation.

3.5 METRICS SERVICE

The *MS* module was conceived in the architecture so that the user may have objective values for perceiving the actual benefits of the refactoring (in terms of software quality metrics) once a certain pattern is applied. The evaluation of the improvement is executed with the patterns eligible for applying, the ones retrieved through the execution of the *DMS*.

The evaluation of the source is initialized once it is requested to the *IS*, this process searches the project's original source code (through its id) and measures it extracting metrics about the class, inheritance, methods, system and coupling. The result of this first evaluation is called OPM (Original Project Metrics), that will be used further on.

The first elected pattern is selected and its insertion is requested, this request is sent to the *IS* for due processing. The *MS* retrieves the refactored source code through the id received as response from the insertion process. This code is then submitted to the same evaluation of the original source code, in order to get the RPM (Refactored Project Metrics). When comparing the OPM with the RPM, there can be some unchanged metrics, nevertheless, only those presenting differences between versions will be used in the evaluation. The first elected pattern was used as an example, but the the same evaluation is going to be performed for all elected patterns.

The final evaluation uses correlations between Metrics and Quality Attributes so as to compose its response. Sommerville (Sommerville, 2011) associates quality attributes with five software metrics (Figure 6). This association is used in the architecture in order to retrieve values of quality attribute from the measurement between (OPMs and RPMs) and send these attributes to the user.



Figure 6: Quality Attributes x Metrics (Sommerville, 2011).

Among the metrics of Figure 6, only *length of user manual* will not be used (that is why it is highlighted), because the architecture is structured only to evaluate the source code, and not its manual.

If we look at the example of Figure 6, the metrics will be evaluated according to their index (i), being the first index (0) *depth of inheritance tree, cyclomatic complexity* is the element on index 1 and so forth. The equation 1 will be used for metrics of the original project (M i OP) as well as for the refactored one (M i PR).

$$MP^{i} = ((M^{i}PR * 100) / M^{i}PO) - 100$$
(1)

Considering all metrics involved in a quality attribute, an arithmetic mean is calculate with these percentage values (according to Equation 2), in which n is the index of the metric (n representing the last possible value for this index) and where m is the total of metrics associated with that quality attribute. The final result is this final percentage of improvement/decline of the quality attribute.

$$QA^{qai} = (MP^0 + MP^1 + ...MP^n)/m$$
(2)

In order to calculate the quality attribute *reusability*, with the quality attribute index (qai) 2, there are two metrics involved in this process: *depth of inheritance tree* (index 0) and *program size in lines of code* (index 2). With these values at hand, the resulting

formula for this quality index is presented as follows (Equation 3).

$$QA^2 = (MP^0 + MP^2)/2$$
 (3)

In the example of Figure 7, it is presented the expected response from this Service, with the evaluation of two patterns (and their related refactoring methods). As predicted, each quality attribute (Figure 6) is returned with its percentage of improvement/decline.

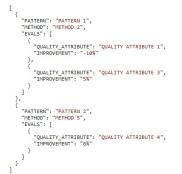


Figure 7: Metrics Service - Generic Response.

The *MS* is then responsible for retrieving the results from all evaluations of the patterns and group them in order to send a final result to the *IS*, which will forward the results to the *CA* for the last decision.

3.6 Applier Service

This module has at hand the patterns selected (by the user) for application. Since the user has at his/her disposal several patterns to be selected, s/he chooses what patterns to apply in the source code based on the provided quality attributes.

The *CA* sends the apply patterns request to the *IS*, which forwards the request to the *AS*. For every selected pattern, the *AS* will request to the *IS* to insert the pattern in the source code. If no refactor has been made yet, then this module retrieves the id of the original source code for the first request; however, as for the other refactorings, the id returned from the refactoring process is going to be used for the next request.

After the refactorings, the id of the refactored source code is sent to the *IS* marking the end of the process for this module.

3.7 Applying the Architecture

Suppose an application that applies the three pattern detection/insertion methods presented in Subsection 3.4. As an input example, let's imagine a barcode scanner device that supports an inner barcode translator that can be changed at will.

This kind of situation might be useful in cases when the barcode has a specific encryption or pattern that could be read and changed into intelligible data.

The sample code was developed using Java, and for this very example, two classes and one interface were created: BarcodeTranslator interface, Base64BarcodeTranslator class (Figure 8) and finally a Scanner.java class.

```
public interface BarcodeTranslator {
   String read(String barcode);
}
public class Base64BarcodeTranslator
   implements BarcodeTranslator{
   @Override
   public String read(String barcode) {
        return this.isMotEmpty(barcode) ?
        Base64.getDecoder().decode(barcode).toString()
        : barcode;
   }
   private boolean isMotEmpty(String value){
        return value != null && !value.trim().isEmpty();
   }
}
```

Figure 8: Barcode Translator Hierarchy - Before.

The first two Java files representing the mutable inner translation mechanism and the Scanner.java class representing the device itself (Figure 9).



Figure 9: Scanner class - Before.

The reader may notice that each following request example is based on the functionalities of the *CA*, so it will become clearer what is expected in the background of each function triggered by the user.

Each module of the architecture core is a distinct Service, then each request is built upon (has is functions available) over the HTTP protocol.

The registration of the project is a POST call to the *IS*, which will send the description of the project and its source code as well. Once the project is registered, its id is sent to the *CA* as a response.

The *CA* will make a POST call to the *IS* once more, this time sending the only the id of the project with the start refactoring request. The *DMS*, when called by the *IS*, collects all eligible patterns and sends them back to the *IS* for evaluation. This last call for evaluation is then forwarded to the *MS* for individual evaluation of the patterns.

The only pattern eligible for application in this example was Null Object. This is because the code under evaluation (Figures 8 and 9) does not present complex conditional statements, which are the base preconditions for the methods of Liu *et al.* (Liu et al., 2014) and Christopoulou *et al.* (Christopoulou et al., 2012); also, the null initialization of the Barcode-Translator and the null check in the read method (Figure 9) are both signs of an insertion spot in the method proposed by Gaitani *et al.* (Gaitani et al., 2015).

After applying the pattern in the source code, the only two metrics that did not remain the same were *Program size in lines of code* and *Cyclomatic complexity*. These metrics increased in 18% (from 28 to 33) and 11% (from 10 to 11) respectively. They were used to evaluate quality attributes through Equation 2.

Although the evaluation presents no great benefits from the application of the refactoring, the user may still want to apply this code because s/he will not need to deal with null instances of BarcodeTranslator.

It can be noticed that some parts of the process were omitted during its explanation, this is because they were covered in depth in previous sections.

In the second part of the refactoring, the *DMS* will apply the patterns once again. The only difference is that, the service will change the same project under refactoring, creating a single result source code with all the patterns selected for application. In this case, the user only had Null Object pattern as an option.

As expected of the method of Gaitani *et al.* (Gaitani et al., 2015), it is created a new class for a given class hierarchy, this Null class represents a default behavior for its null instances. That is why when this method is applied over the given source code, the Null class of BarcodeTranslator is set as the default instance for the inner variable of Scanner; also, when a new value for this variable is set, it is first checked if it is null, if it is, then the default instance replaces the null value in it (Figure 10).

Figure 10: Scanner class - After.

Figure 11 presents the new hierarchy of the BarcodeTranslator interface, with the new NullBarcode-Translator.

After the refactoring, the new code is sent as a HTTP response for the applyPatterns request.

As observed, using the proposed architecture the user has more possibilities of refactorings in the source code, and a deep evaluation of the source code in order to aid the user when choosing which patterns to apply. So if one method cannot be applied or is not

Figure 11: Barcode Translator Hierarchy - After.

available, the architecture still has other possibilities.

4 CONCLUSIONS

This paper proposed an architecture that detects patterns insertion spots and patterns insertion in midst of a given source-code, for this matter it is based on methods of the literature to define its execution.

The main idea is that a certain code or project goes through the processes of: using literature methods to identify insertion spots of patterns; being evaluated, providing patterns information to clients that determine which of them they want to apply; evaluating the source code it in terms of quality requirements using software metrics; applying the patterns desired by the client.

As additional future works, more implementations of the architecture can be developed, providing broader test scenarios. Also, when choosing what methods should remain in the process to retrieve eligible patterns (found in Section 3.4), a more intelligent approach (considering the most effective refactoring methods, for example) could be implemented.

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