# University Student Progressions and First Year Behaviour 

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#### Abstract

Advanced mining techniques are used on educational data concerning university students. In particular, cluster analysis is used to predict the university careers of students starting from their first year performance and the results of the self assessment test. The analysis of the entire careers highlights three groups of students strongly affected by the results of the first year: high achieving students who start medium-high and increase their performance over the time, medium achieving students who maintain their performance throughout the entire course of study, low achieving students unable to improve their performance who often abandon their studies. This kind of knowledge can have practical implications on the involved laurea degree.


## 1 INTRODUCTION

Many fields and sectors, from economic and business activities to public administration, are involved with the growth of data in computer systems, resulting in the need to develop new technologies to manage and analyse all the information from this large amount of data. For what concerns the field of education, EDM (Educational Data Mining) is a recent area of research that, starting from data stored in the schools and universities databases for administrative purposes, is designed to extract knowledge with the aim to understand and improve the performance of the student learning process (see (Baker, 2014; PeñaAyala, 2014; Romero et al., 2014; Romero and Ventura, 2013) for recent surveys on the state of the art of educational data mining and on preprocessing educational data).

The recent literature reveals that predicting performance at a university degree level has attracted considerable attention and interest. For example, (Kabakchieva et al., 2011; Zimmermann et al., 2011; Zimmermann et al., 2015) use regression and classification models to analyse how well indicators of undergraduate achievements and university performance characteristics can predict graduate-level performance; in (Bower, 2010), hierarchical cluster analysis is used to provide school leaders and researchers a method to make better informed decisions in schools earlier, using data already collected on students.

The present study uses data mining methods, in particular partitional clustering, to analyse the per-
formance of students in the Computer Science laurea degree of the University of Florence (Italy), by using an explorative approach to mine information from students data. Two aspects of students performance are considered. First, we analyse the performance of students during their first year for 5 cohorts, starting from the academic year 2010-2011 up to 2014-2015. In particular, we combine results achieved by students in the courses of the first year with the results of a selfassessment test they are required to take before entering the university. This is done by clustering analysis using the K-means implementation of software WEKA (see (Witten et al., 2011)); the obtained results allows us to identify a few courses which can serve as indicators of good and low performance and to point out the influence of the self-assessment test in being successful in the first year exams.

Second, we concentrate on the first three cohorts of students and study their progressions during the first, second and third year of university. Three important groups of students have been identified: students who achieve quite high results during all the period under examination, medium achieving students who maintain a medium profile throughout the entire course of study, low achieving students which often abandon their studies (see (Campagni et al., 2015) for a similar study treated with a different approach). This analysis is done by clustering students of the first year according to the number of credits and the grades achieved during the first year, together with the result of the self-assessment test. Then we use this model to classify students during the other two
years and thus identify the three typical behaviors. This second analysis is performed by using the Cluster Assigner node function of the software KNIME (see https://www.knime.org).

In Section 2 we introduce data used for the analysis and in particular we illustrate the operations performed on the original data to obtain the final data sets for the application of the appropriate algorithms. In Sections 3 and 4 we explain the methodology and present the results obtained on a real case study. Finally, in Section 5 we present our conclusions.

## 2 DATA SETS FOR ANALYSIS

In this section, we describe how university students' data are organized, referring to a laurea degree of the University of Florence, Italy; in particular we deal with data of the Computer Science degree of the Science School, under the Italian Ministerial Decree n. $270 / 2004$. This academic degree is structured over three years and every academic year is organized with several courses, each course has assigned some credits ( $C F U$ ) for an amount of 60 credits in each year.

Each student, before enrolling in the degree course, has to take an entrance test to self-evaluate his background in mathematics ${ }^{1}$. This test consists of 25 multiple choice questions (one correct answer out of four possible options) on mathematics arguments usually studied in high school: arithmetic, elementary algebra, equations, inequalities, elementary logic, combinatorics, functions, geometry, probability. Each correct answer counts as 1 point while a wrong or no given answer counts as 0 points: the test is passed with 12 points.

Data under analysis concern university students enrolled from academic year 2010-2011 (afterwards cohort 2010) up to 2014-2015 (afterwards cohort 2014), updated to 31th December 2015. We start with two different data sets: the first contains information about students and their school career before entering university, together with information on the entrance test; the second, with information about exams taken by students.

Table 1 illustrates an example of students data set after a preprocessing phase which allows us to integrate all the attributes related to students in a single table. Table 2 contains, for each student, the exams data with the grade and the credits obtained. As often happens, we deal with data which need a preprocessing step to fix errors and to reorganize the data

[^0]for the purposes of analysis, before applying the various analysis techniques, such that clustering, classification and several others (see (Romero et al., 2014) for a survey on preprocessing educational data). During this preprocessing phase we join Tables 1 and 2 and aggregate the data to obtain the productivity of the student in a year, in terms of credits and the average grade obtained in the corresponding exams.At the end of this phase the resulting data set is organized as shown in Table 3, where, for example, the student 100 obtained 24 credits in the first year (attribute credits) with a average grade of 25.5 (attribute avggrade); in the second year the same student obtained 60 credits with an average grade of 28. The attribute test_grade corresponds to the grade in the entrance test.

In this paper we present two different analyses based on two different data sets. The first analysis, by concerning the student productivity during the first year, considers all cohorts from 2010 to 2014 and is based on the data set related to students who took at least an exam in their first year. The data set, analysed by the $K$-means implementation of the software WEKA, contains the detail about the grades obtained in each exam taken by students and is illustrated in Table 4.

The second analysis concerns the student productivity during the first three years; for each year we consider the credits and the average grade of exams and we analyse the way students behave in the different years in terms of the corresponding attributes. This analysis is based on students enrolled from 2010 up to 2012 and takes into account the entrance test and the examinations over the three years, as shown in Table 5. The indices I, II and III refer to the different years; the column names CreditsI, CreditsII, CreditsIII are for CreditsIgrade, CreditsIIgrade, CreditsIIIgrade, that is, they represent credits obtained in exams with grade. In particular we analyse how the career of the first year affects the entire career by using the Cluster Assigner node function of the software KNIME.

## 3 ANALYSIS OF THE FIRST YEAR PRODUCTIVITY

In this section, we examine the first year active students of the 5 cohorts from 2010 up to 2014, for a total of 289 students. The term active refers to the fact that students under examination have given at least an exam within the month of December of the second year (for example, December 2011 for students of cohorts 2010). For some of these students, this fact corresponds to passing the English exam, which is a 3

Table 1: A sample of students data. The attributes in the table refer to the student identifier, Student, the grade obtained in the entrance test, varying in the range $0 . .25$, Test_grade, the final grade obtained at the high school, varying in the range 60..100, Hgrade, and the typology of high school, Hschool.

| Student | Test_grade | Hgrade | Hschool |
| :---: | :---: | :---: | :---: |
| 100 | 18 | 80 | LS |
| 200 | 22 | 100 | IT |
| 300 | 15 | 78 | IT |
| 400 | 24 | 100 | LS |
| 500 | 19 | 90 | LC |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |

Table 2: A sample of exams data. The attributes in the table refer to the student identifier, Student, the exam code, Exam, the exam date, Date, the grade obtained in the exam, varying in the range $18 . .30$, Grade, and the corresponding number of credits, Credits.

| Student | Exam | Date | Grade | Credits |
| :---: | :---: | :---: | :---: | :---: |
| 100 | 10 | $2011-01-14$ | 24 | 12 |
| 100 | 20 | $2011-02-20$ | 27 | 12 |
| 200 | 20 | $2011-02-20$ | 21 | 12 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 300 | 10 | $2012-01-29$ | 26 | 12 |
| 100 | 40 | $2012-02-15$ | 26 | 6 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |

Table 3: A sample of student productivity in the years. The attributes in the table refer to the student identifier, Student, the academic year under examination, Year, the number of credits achieved during the year, Credits, the average grade, varying in the range $18 . .30$, Avggrade, and the grade obtained in the entrance test, varying in the range $0 . .25$, Test_grade.

| Student | Year | Credits | Avggrade | Test_grade |
| :---: | :---: | :---: | :---: | :---: |
| 100 | 2011 | 24 | 25.5 | 18 |
| 200 | 2011 | 12 | 21 | 22 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 300 | 2012 | 26 | 12 | 15 |
| 100 | 2012 | 60 | 28 | 18 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |

Table 4: A sample of data set for the analysis on first year. The attributes in the table refer to the student identifier, Student, the student cohort, Cohort, the number of credits corresponding to exams with a grade achieved during the year, Credits_grade, the average grade, varying in the range $18 . .30$, Avggrade, the grade obtained in the entrance test, varying in the range $0 . .25$, Test_grade, and the grade obtained in the $i$ th exam, exam_i.

| Student | Cohort | Credits_grade | Avggrade | Test_grade | exam_1 | $\ldots$ | exam_n |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 100 | 2010 | 60 | 26 | 18 | 27 | $\ldots$ | 24 |
| 200 | 2010 | 12 | 21 | 15 | 21 | $\ldots$ | 0 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 300 | 2011 | 12 | 26 | 12 | 26 | $\ldots$ | 0 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |

CFU course without grade assignment. From our analysis are therefore excluded all the students that enroll at the Computer Science degree of the University of Florence and remain inactive within December of the
next year: most of these students abandon their studies or make a different choice. However, among the active students just defined, there are some which are yet at risk of dropping out, due to the very low results

Table 5: A sample of data set for the analysis on first three years. The attributes in the table refer to the student identifier, Student, the student cohort, Cohort, the number of credits corresponding to exams with a grade achieved during the I, II or III year, CreditsI, CreditsII, CreditsIII, the average grade, varying in the range 18..30, achieved during the I, II or III year, AvggradeI, AvggradeII and AvggradeIII, and the grade obtained in the entrance test, varying in the range $0 . .25$, Test.

| Student | Cohort | CreditsI | CreditsII | CreditsIII | AvggradeI | AvggradeII | AvggradeIII | Test |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 100 | 2010 | 60 | 60 | 60 | 26 | 28 | 28 | 18 |
| 200 | 2010 | 12 | 36 | 48 | 21 | 23 | 25 | 15 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 300 | 2011 | 12 | 24 | 36 | 21 | 23 | 24 | 12 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |

during their first year. Understanding the productivity of first year students can point out these difficulties and gives an opportunity to improve the teaching and learning processes of the Laurea Degree. The data set we analyse is not big, however, as focused in (Natek and Zwilling, 2014), a data mining analysis is useful also in such small contexts. Moreover, the case study allows us to describe the methodology on a real situation.

In particular, we perform a cluster analysis by using the K-means implementation of the software WEKA. In our analysis we measure cluster validity with correlation, by using the concept of proximity and incidence matrices: in the proximity matrix $P=\left(P_{i, j}\right)$, each element $P_{i, j}$ represents the Euclidean distance between elements $i$ and $j$ in the data set; in the incidence matrix $I=\left(I_{i, j}\right)$, each element $I_{i, j}$ is 1 or 0 if the elements $i$ and $j$ belong to the same cluster or not. We then compute the Pearson's correlation, as defined in (Tan et al., 2006, page 77), between the linear representation by rows of matrices $P$ and $I$ and we expect to find a negative value, where -1 means a perfect negative linear relationship.

We tried the K -means algorithm with several values of $k$ and with $k=3$ we obtained the cluster for the first year students of the 5 cohorts from 2010 up to 2014, illustrated in Figure 1. As cluster attributes we used the number of credits corresponding to exams with a grade, attribute credits_grade, the average grade, attribute avggrade, and the grade of the self-assessment test, attribute test_grade.

The centroids of the cluster are illustrated in Table 6 and, in particular, cluster 0 identifies medium achieving students, cluster 1 corresponds to students that during the first year had success only with the exam of English and therefore have no credits and no grade in this clustering, finally, cluster 2 identifies high achieving students. The clusters are characterized by colours blue, red and green in Figure 1, respectively. The Pearsons correlation between the lin-
ear representation of the proximity and incidence matrices is -0.66 , a good value of correlation.

The following Figures 2,3,4,5 and 6 illustrate the relation between the students in the cluster of Figure 1 and the exams of the first year: Algorithms and Data Structures (ADS), Programming (PRG), Calculus (CAL), Architectures (ARC), Discrete mathematics and Logic (DML). In these figures, the blue colour means that the exam has not been given (the grade is 0 ) and the orange colour means that the exam has been passed with a grade between 18 and 30 ( 31 means 30 cum laude). As can be seen, there are some courses, such as ADS, organized in a such a way that most students in clusters 0 and 2 are able to give the corresponding exams, while there are two exams, ARC and DML, which are given mainly by students in cluster 2 and that therefore present some critical aspects.

Figure 7 puts in evidence the results of the self assessment test, however such figure should be accompanied with the results of the Pearson correlation between the test grade and the number of credits and the average grade, respectively: for the five years 2010-14 the value corresponding to attributes credits_grade and test_grade shows a positive correlation of 0.49 while the value corresponding to attributes avggrade and test_grade shows a positive correlation of 0.39 . A more detailed analysis, shows a particular positive correlation with the average grade of CAL and DML, that is, the mathematics courses of the first year. The self assessment test is mainly concerned with problems of logic, calculus, probability and the previous correlations between mathematics courses and the test are quite natural. These facts are summarized in Table 7 which shows the values of the correlation between each of the attributes credits_grade, avggrade, ADS, ARC, PRG, CAL, DML and the attribute test_grade, during the academic years from 2010 up to 2014, the three years 2010-12, which will be examined in the next section and, finally, the five years 2010-14.

Table 6: Centroids corresponding to Figure 1 and corresponding to first year results of cohorts 2010-2014. The cluster 0 identifies medium achieving students, cluster 1 corresponds to students that during the first year had success only with the exam of English and therefore have no credits and no grade in this clustering, finally, cluster 2 identifies high achieving students.

| Attribute | Full Data <br> $(289)$ | Cluster_0 <br> $(154)$ | Cluster_1 <br> $(32)$ | Cluster_2 <br> $(103)$ |
| :---: | :---: | :---: | :---: | :---: |
| credits_grade | 28.55 | 21.99 | 0 | 47.21 |
| avggrade | 22.52 | 24.23 | 0 | 26.97 |
| test_grade | 14.39 | 13.08 | 11.28 | 17.32 |



Figure 1: Clusters for the first year students of cohorts 20102014 with respect to attributes credits_grade, attribute avggrade, and test_grade and their projection with respect to attributes credits_grade and avggrade. In red the students that during the first year had success only with the exam of English and therefore have no credits and no grade in this clustering; in blue medium achieving students and in green high achieving students. (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).


Figure 2: Clusters of cohorts 2010-2014 illustrated in Figure 1 with the Algorithms and Data Structures course, ADS, in evidence. The blue colour means that the exam has not been given (the grade is 0 ) and the orange colour means that the exam has been passed with a grade between 18 and 30 ( 31 means 30 cum laude). (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).


Figure 3: Clusters of cohorts 2010-2014 illustrated in Figure 1 with the Programming course, PRG, in evidence. The blue colour means that the exam has not been given (the grade is 0 ) and the orange colour means that the exam has been passed with a grade between 18 and 30 ( 31 means 30 cum laude). (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).


Figure 4: Clusters of cohorts 2010-2014 illustrated in Figure 1 with the Calculus course, CAL, in evidence. The blue colour means that the exam has not been given (the grade is 0 ) and the orange colour means that the exam has been passed with a grade between 18 and 30 ( 31 means 30 cum laude). (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).


Figure 5: Clusters of cohorts 2010-2014 illustrated in Figure 1 with the Architectures course, ARC, in evidence. The blue colour means that the exam has not been given (the grade is 0 ) and the orange colour means that the exam has been passed with a grade between 18 and 30 ( 31 means 30 cum laude). (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).


Figure 6: Clusters of cohorts 2010-2014 illustrated in Figure 1 with the Discrete mathematics and Logic course, DML, in evidence. The blue colour means that the exam has not been given (the grade is 0 ) and the orange colour means that the exam has been passed with a grade between 18 and 30 ( 31 means 30 cum laude). (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).

For completeness, we computed also the Spearman and Kendall correlations between the same attributes as before and the test grade. The results are illustrated in Table 8 and reveal that the Kendall values are worse than Pearson values while the Spearman values are quite similar to Pearson values illustrated in Table 7.

A behavior similar to that illustrated in the previous figures, relative to cohorts 2010-2014 examined


Figure 7: Clusters of cohorts 2010-2014 illustrated in Figure 1 with self assessment test in evidence. The blue colour means that the test has not been passed (the grade is 0 ) and the orange colour means that the test has been passed with the maximum grade 25. (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).
all together, can also be find on the single cohorts.

## 4 ANALYSIS OF THE FIRST THREE YEARS PRODUCTIVITY

In this section we analyse the first three cohorts of students from 2010 up to 2012, for a total of 125 students, by studying their progressions during the first, second and third year of university. As in Section 3, we consider only active students during the first year, that is, students that have taken at least an exam in the same year. We point out that a student active in the first year can stop to be active during the second and/or third year, thus becoming inactive in that year. In particular, for the third year we consider exams and credits matured up to the end of April of the fourth year after enrollment; this date represents the end of the third academic year.

As already observed for the analysis of Section 3, the data set we study is not very big but we wish to underline the methodological approach that, as far as we know, is new. The analysis starts by clustering the results of students during their first year, obtaining a model that is the input for the next steps that classify students according to their results during the second and third years. This process allows us to analyse the way in which the student careers evolve over the three years and to understand how the performance during the first year affects the following ones. We developed a KNIME flow, illustrated in Figure 8, that starts

Table 7: Pearson's correlation between various attributes and the test grade, test, relative to the first year of the cohorts 2010 up to 2014, of the three cohorts 2010-12 and of the five cohorts 2010-14. In particular, Credits_grade is the number of credits corresponding to exams with a grade achieved during the year, Avggrade is the average grade and ADS, ARC, PRG, CAL and DML are the grades in the corresponding exams (the grade is 0 if the exam is not been passed).

| Cohort | Credits_grade/test | Avggrade/test | ADS/test | ARC/test | PRG/test | CAL/test | DML/test |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{2 0 1 0}$ | 0.49 | 0.40 | 0.08 | 0.23 | 0.56 | 0.51 | 0.46 |
| $\mathbf{2 0 1 1}$ | 0.15 | 0.22 | 0.06 | -0.12 | 0.07 | 0.20 | 0.17 |
| $\mathbf{2 0 1 2}$ | 0.41 | 0.45 | 0.28 | 0.26 | 0.30 | 0.33 | 0.43 |
| $\mathbf{2 0 1 3}$ | 0.55 | 0.39 | 0.22 | 0.43 | 0.42 | 0.51 | 0.47 |
| $\mathbf{2 0 1 4}$ | 0.61 | 0.40 | 0.39 | 0.37 | 0.57 | 0.55 | 0.53 |
| $\mathbf{2 0 1 0 - 1 2}$ | 0.33 | 0.38 | 0.18 | 0.15 | 0.27 | 0.29 | 0.36 |
| $\mathbf{2 0 1 0 - 1 4}$ | 0.49 | 0.39 | 0.26 | 0.31 | 0.41 | 0.43 | 0.44 |

Table 8: Spearman ( S ) and Kendall ( K ) correlations between various attributes and the test grade, test, relative to the first year of the three cohorts 2010-12 and of the five cohorts 2010-14. In particular, Credits_grade is the number of credits corresponding to exams with a grade achieved during the year, Avggrade is the average grade and ADS, ARC, PRG, CAL and DML are the grades in the corresponding exams (the grade is 0 if the exam is not been passed).

| Cohort | Credits_grade/test | Avggrade/test | ADS/test | ARC/test | PRG/test | CAL/test | DML/test |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S 2010-12 | 0.26 | 0.34 | 0.18 | 0.11 | 0.25 | 0.32 | 0.35 |
| S 2010-14 | 0.47 | 0.48 | 0.36 | 0.30 | 0.41 | 0.48 | 0.43 |
| K 2010-12 | 0.20 | 0.25 | 0.14 | 0.09 | 0.19 | 0.25 | 0.28 |
| K 2010-14 | 0.35 | 0.36 | 0.27 | 0.25 | 0.32 | 0.37 | 0.35 |

with the $K$-means node to analyse data of the first year and produces an output model; this model represents the input for a first Cluster Assigner node, that classifies students of the second year, and for a second node which classifies students of the third one. In the figure, these tree nodes are evidenced in green. As in the previous analysis, we applied the K -means algorithm with $k=3$ and we considered the same cluster attributes, that is, test_grade, credits_grade and avggrade.

More precisely, the input to the KNIME flow consists of three data sets, evidenced in orange in Figure 8 ; the input for the $K$-means node contains information about active students in the first year and concerns exams taken by students up to 31th December of the year following their enrollment. A sample of these data is illustrated in Table 9, where the attributes creditsI_grade and avggradeI contain respectively the credits and the average grade obtained from students in exams taken during their first year. The inputs for the first and second Cluster Assigner nodes are analogous; in this case we consider the attributes creditsII_grade and avggradeII, indicating the credits and the average grade obtained from students in exams taken in the second year, and the similar attributes corresponding to the exams taken in the third year, that is, up to the 30th April of the fourth year after the year of enrollment. We wish to point out that we still consider active students, therefore the cardinalities of these input files can decrease from year to year.

The result of the K-means step of the KNIME flow, expressed in terms of coordinates of the centroids, is showed in Table 10. We can observe that the attribute credits_grade separates very well the three centroids; the cluster_2 identifies high achieving students, cluster_1 medium achieving students and finally cluster_ 0 corresponds to students that during their first year had success only with the exam of English and therefore have no credits and no grade in this clustering.

Table 11 represents the output data set obtained from the clustering step, where the new attribute cluster indicates the cluster to which each student has been assigned: this data set corresponds to the first red node in Figure 8, starting from above. The output data sets of the two Cluster Assigner nodes are similar and correspond to the other two red nodes, one for the second and one for the third year. This process is concluded by a last postprocessing phase which joins the previous data sets and produces a final output illustrated in Table 12: a triplet of cluster values is associated to each student, indicating the path followed over the three years under analysis. This path takes into account also the inactivity of students during a year. This data set is visualized in Figure 9, where the green colour corresponds to hight profile students, the yellow colour to medium profile students and the orange colour to low profile students; the blue colour represents inactive students. According to our definition of active students, a student can be inactive during the second year but become active again during


Figure 8: The KNIME flow: the orange nodes are the input to the flow and contain information about active students in first, second and third year, respectively (see also Table 9); the first green node on the top is the $K$-means node where the clustering step is performed; the other green nodes are the Cluster Assigner nodes which classify students according to the previous clustering by using the results of second and third year; finally, the red nodes partition the students of each year according to the clustering (see also Table 11). (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).

the third. Figure 9 suggests that students inactive during the second year remain inactive also in the next year; moreover, students in the green cluster confirm their good trend in the subsequent years, students in the orange cluster tends to become inactive and, finally, some of the students in the yellow cluster move to the adjacent clusters, improving or getting worse. This hypothesis suggested by Figure 9 is confirmed both by an analytic inspection of the data set and by a new clustering step performed on data organized as in Table 12. In fact, by using again the $K$-means implementation of WEKA with $k=4$ and attributes clusterI, clusterII and clusterIII, where values cluster_0, cluster_1 and cluster_2 have been transformed into the numeric values 0,1 and 2 respectively, and the value -1 has been assigned to inactivity, we obtain the centroids illustrated in Table 13. With this choice of $k$, the Pearsons correlation between the linear representation of the proximity and incidence
matrices gives the value -0.63 , a quite good value.
The cluster 0 represents students starting as yellow and becoming green, cluster 1 represent the ever green students, cluster 2 corresponds to students at risk of dropping out and, finally, cluster 3 represents the ever yellow students. In other words, clusters 0 and 1 represent students who had overall very positive results during the three years, students of cluster 3 represent the medium achieving students throughout the entire course of study and, finally, cluster 2 corresponds to students who started with low or medium results and were not be able to improve their performance, often dropping out.

## 5 CONCLUSIONS

The analysis presented in Section 3 can be summarized in the following steps: 1) we performed a pre-

Table 10: Centroids resulting from the first step of the KNIME flow and corresponding to first year results of cohorts 2010-2012. The cluster_0 corresponds to students that during their first year had success only with the exam of English and therefore have no credits and no grade in this clustering, cluster_1 corresponds to medium achieving students and cluster_2 identifies high achieving students. See Table 6 for the centroids of the analogous clusters on cohorts 2010-2014.

| Attribute | Cluster_0 <br> $(12)$ | Cluster_1 <br> $(57)$ | Cluster_2 <br> $(57)$ |
| :---: | :---: | :---: | :---: |
| credits_grade | 0 | 18.69 | 43.89 |
| avggrade | 0 | 24.42 | 26.42 |
| test_grade | 11.67 | 14.45 | 15.33 |

Table 11: A sample of data set resulting from the $K$-means node of Figure 8.

| Student | Cohort | CreditsIgrade | AvggradeI | Test_grade | Hgrade | Hschool | Cluster |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 100 | 2010 | 48 | 27 | 19 | 69 | LS | cluster_1 |
| 200 | 2010 | 60 | 27 | 17 | 75 | IT | cluster_2 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |
| 300 | 2011 | 0 | 0 | 12 | 65 | IT | cluster_0 |
| 400 | 2012 | 60 | 26 | 16 | 75 | PS | cluster_2 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ |

Table 12: A sample of the final data set resulting from the KNIME flow and the postprocessing phase. A triplet of cluster values is associated to each student, indicating the path followed over the three years under analysis. This path takes into account also the inactivity of students during a year, as in the case of student 400.


Figure 9: A visualization of data corresponding to Table 12. The green colour corresponds to hight profile students, the yellow colour to medium profile students and the orange colour to low profile students; the blue colour represents inactive students. (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).

Table 13: Centroids corresponding to data organized as in Table 12. By referring to Figure 9, the cluster 0 represents students starting as yellow and becoming green, cluster 1 represent the ever green students, cluster 2 corresponds to students at risk of dropping out and, finally, cluster 3 represents the ever yellow students. (For interpretation of the references to colours in this figure legend, the reader is referred to the electronic version of this article).

| Attribute | Full Data <br> $(125)$ | Cluster_0 <br> $(16)$ | Cluster_1 <br> $(52)$ | Cluster_2 <br> $(37)$ | Cluster_3 <br> $(20)$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| clusterI | 1.36 | 0.94 | 2 | 0.81 | 1.05 |
| clusterII | 1.14 | 2 | 1.85 | -0.03 | 0.75 |
| clusterIII | 0.98 | 1.94 | 1.96 | -1 | 1.3 |

processing phase on students information to obtain data organized as in Table 4, relative to students results on first year; 2) we performed a clustering step to classify students according to the results of the selfassessment test and the university performance during the first year, by using K-means algorithm; 3) we deepened the analysis on the partition obtained at the previous step by exploring each exam dimension and by computing correlations between attributes.

For the cohorts of students considered in this paper, this analysis puts in evidence that the self assessment test is an important indicator to predict both the performance of the first year, in particular for what concerns mathematical courses, and the progress of the students career. On the other hand, courses of the first year in which students have more difficulties seem to give an important indication on the student success. The laurea degree course could use this information to support students having this kind of problems.

The analysis proposed in Section 4 can be summarized in the following steps: 1) we performed a preprocessing phase on students information to obtain data organized as in Table 5, relative to the results of students during their first, second and third year; 2) we performed a clustering step to classify students according to the results of the self-assessment test and the university performance during their first year, by using K-means algorithm; 3) we applied the model obtained at the previous step to the results of students during their second and third year and associated to each student the sequence of traversed clusters; 4) we performed a second clustering step according to the traversed clusters. Step 2 and 3 were realized by a Knime flow.

The observations made as conclusion of the analysis presented in Section 3 are confirmed by the analysis of Section 4 that highlights three different trends strongly affected from the performance of the first year. As before, supporting first year students seems to be a way to face the problem of inactive students and dropping out. We think that the proposed methodology could be possibly applied to similar university contexts to give suggestions for the definition of man-
agement strategies aiming to improve the students productivity.

A practical implication of the results obtained from this research could be the introduction of tutors to support first year students, with special attention to the most critical courses. Another aspect not to be underestimated concerns the orientation and information for incoming students: if it is true that the entrance test gives important information on the students progressions, then the laurea degree should try to make it clear to young people willing to join, what are the difficulties that they will encounter, in order to help them to make an informed choice.

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[^0]:    ${ }^{1}$ See http://www.scienze.unifi.it/upload/sub/ testdiaccesso/syllabus-conoscenze-matematiche. pdf for more details, in Italian.

