Investigating the Prioritization of Unit Testing Effort using Software Metrics

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Abstract: In object-oriented software, unit testing is a level of software testing where each individual class is tested by a dedicated unit test class. Unfortunately, due to time and resources constraints, this phase does not cover all classes. The testing efforts are often focused on particular classes. In this paper, we investigate an approach based on software information history to support the prioritization of classes to be tested. To achieve this goal, we first analyzed different attributes of ten open-source Java software systems for which JUnit test cases have been developed for several classes. We used the mean and the logistic regression analysis to characterize the classes for which JUnit test classes have been developed by testers. Second, we used two classifiers trained on metrics values and unit tests information collected from the selected systems. The classifiers provide, for each software, a set of classes on which unit testing efforts have to be focused. The obtained sets have been compared to the sets of classes for which JUnit test classes have been developed by testers. Results show that: (1) the metrics average values of tested classes are significantly different from the metrics average values of other classes, (2) there is a significant relationship between the fact that a JUnit test class has been developed for a class and its attributes, and (3) the sets of classes suggested by classifiers reflect the testers’ selection properly.

1 INTRODUCTION

Testing plays a crucial role in software quality assurance. It is, however, a time and resource-consuming process. Unit testing is one of the main phases of the testing process where each software unit is early and individually tested using dedicated unit test cases. In object-oriented (OO) software systems, units are software classes and testers usually write a dedicated unit test class for each software class they decided to test. The main goal of unit testing is to early reveal the faults of software classes. In the case of large-scale OO software systems, because of resources limitation and time constraints, the unit testing efforts are often focused. Testers usually select a limited set of software classes for which they write dedicated unit tests. Knowing that it is often difficult and not realistic to test equally all software classes, it is important for testers to target the most critical and fault-prone ones. Unfortunately, the task is not obvious and requires a deep analysis of software. In this paper, we focus on unit testing of classes and particularly on how to automatically target suitable classes for unit testing using classifiers algorithms trained from unit tests information and source code metrics.

A large number of OO metrics were proposed in literature (Chidamber and Kemerer, 1994; Henderson-Sellers, 1996). Some of these metrics, related to different OO internal class attributes, were already used in recent years to predict unit testability of classes in OO software systems (Gupta et al., 2005; Bruntink and Van Deursen, 2006; Badri et al., 2010; Badri and Toure, 2011; Badri and Toure, 2012; Toure et al., 2014a; Toure et al., 2014b). These studies have analyzed various open source Java software systems and corresponding JUnit test classes. One of the observations that have been made in these studies is that unit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes. To the best of our knowledge, no study focused on how the selection of classes for which JUnit test cases have been developed only for a subset of classes.

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of the research questions we consider in this paper is: can the selection of these classes (made by testers) for unit tests be predicted or explained by software classes’ attributes? As far as we know, this issue has not been empirically investigated.

Understanding the testers’ selection criteria using software metrics could help to: (1) know whether these criteria are similar (reproducible) or not (from one system to another), (2) characterize typical software classes that require unit test cases to be written, and (3) automate the selection of suitable classes for the unit testing phase. In this case, we could automatically determine the classes requiring a more unit testing effort on which testers have to focus to ensure software quality. We used, in this study, some OO metrics to characterize software classes. We considered three class attributes: size, complexity and coupling, which we believe to be determinative factors in the choice of testers. The objective is first to identify the metrics that best distinguish the classes for which unit test cases have been developed by testers from other classes. Software metrics will be used in a second step, in combination with test information history, to explore an automatic approach to prioritize the classes on which the unit testing efforts have to be focused.

The rest of the paper is organized as follows. Section 2 presents some related works. Section 3 presents the OO software metrics we used in our study. Section 4 describes the data collection procedure. Section 5 presents the empirical study that we conducted. Section 6 focuses on the main threats to validity related to the empiricalexperimentations. Finally, Section 7 concludes the paper, summarizes the contributions of this work and outlines several directions for future investigations.

2 RELATED WORK

Many researchers have proposed different tests prioritization techniques in the literature. Test cases prioritization has been widely discussed in the context of regression testing, and from different perspectives. The various proposed techniques are based on different criteria such as fault detection, coverage rates, software history information, and risk analysis.

In fault detection based techniques, the main goal is to run test cases that target the most fault prone components. Since these components are not known in practice, the proposed techniques use different factors of fault exposure as proxies, which can be estimated in different ways from the software artifacts. In a controlled environment, Rothermel et al. (1999) showed that rescheduling test cases improve the fault detection rate during regression testing. The authors analyzed different test cases scheduling techniques and used the APFD (Average Percentage of Faults Detected) metric to compare models’ performance. Yu and Lau (2012) proposed a fault-based test cases prioritization that directly utilizes the theoretical knowledge of their fault-detecting ability. The technique is based on the relationships between test cases and faults.

In coverage based techniques, the main goal is to run test suites that cover most modified software artefacts during regression testing. This goal leads to fault detection rate improvement. Wong et al. (1997) suggest a first prioritization strategy for regression test case suites which optimizes the factor "cost coverage" (branches that have undergone the change). The authors focus on the prioritization of a subset of test cases of a test suite by using a "safe regression selection" technique. More complex algorithms will be proposed by Mirarab et al. (2007). The authors used Bayesian Networks to build a unified model based on information provided by CK metrics (Chidamber and Kemerer, 1994), changes, and coverage rates. The defined approach optimizes the coverage and, as a corollary, improves the fault detection rate when compared to the random test cases scheduling. The authors integrated later an information feedback mechanism in the Bayesian model in order to improve its performances. However, the feedback mechanism led to a relative slight improvement from the basic Bayesian approach. Walcott and Kapfhammer (2006) integrated time constraints in their prioritization technique and used genetic algorithms with artifact coverage rate as fitness function. The authors considered different levels of software artifacts granularity. Results showed a better APFD value when considering the source code block level of granularity. Rothermel et al. (1999) compared the performances of different techniques. They describe nine test cases prioritization techniques based on random prioritization, coverage prioritization and fault detection (using proxy) prioritization. They reported the empirical results by measuring the effectiveness of these techniques to improving fault detection rate (AFPD). Results provide insights into the tradeoffs among various techniques for test cases prioritization.

The history based prioritization uses information from the previous regression testing of the same software system and current modification information in order to prioritize the new test suites. This makes the technique unsuitable for the first
regression testing of software. Porter and Kim (2002) used the historical execution data to prioritize test cases in a suite of regression tests. The authors investigated the long run performance of prioritization techniques based on data history within environment under time and resources constraints. The regression tests selection is driven by the probabilities that integrate model features and results of the previous test case execution. Lin et al. (2013) investigate the weight of used information between two versions of history based prioritization techniques. The authors propose an approach that mitigates the weight of information by integrating the source code history of faults. Results indicated that the approach provides a better fault detection rate.

Some studies proposed mixing techniques based on the history of faults detection and coverage information. Carlson et al. (2011) conjectured that if test cases have common properties, then test cases within the same group may have similar fault detection ability. The authors proposed a clustering based prioritization technique that incorporates code complexity, code coverage and system data history of real faults. Applied to industrial software products, results show that the approach could improve the effectiveness of test cases prioritization techniques.

Elbaum et al. (2004) analyzed the conditions into which techniques are relevant. The authors observed that the effectiveness of orientation techniques varies a lot depending on various attributes of the software and test suites. This makes difficult for a practitioner to choose an appropriate prioritization technique for a testing scenario. The problem has been addressed by analyzing the fault detection rates that result from applying several different prioritization techniques to different programs and their modified versions. Results provide insights and conditions into which types of prioritization techniques are or are not appropriate under specific testing scenarios.

All previous techniques prioritize test suites in the context of regression testing. Some other techniques allow, upstream, the prioritizing of components to be tested. The main objective is to optimize the testing effort to target the most fault prone components. Boehm and Basili (2001) proposed a Pareto distribution in which 80% of all defects within software are found in 20% of the modules. Ray and Mohapatra (2012) rely on the Pareto distribution proposed by Boehm and Basili (2001) to address the question of components prioritization. The objective was to locate critical parts of software code that present high risks of faults (because of their complexity) and high impact (because of their severity). The authors conducted an empirical study on three small software systems. Results indicated that the proposed approach improves the test efficiency by targeting critical bugs on systems. Shihab et al. (2010) explored the prioritization for unit testing phase in the context of legacy systems. They presented an approach that assists testers with limited resources, to test legacy systems efficiently. The technique leverages the development history of a project to generate a prioritized list of functions that managers should focus their unit tests writing resources on. The approach has been evaluated on two software systems. The findings suggest that heuristics based on the function size, modification frequency and bug fixing frequency should be used to prioritize the unit tests writing efforts for legacy systems.

The approach of Ray and Mohapatra (2012) ignores the history of the software, whereas the approach of Shihab et al. (2010) is not suitable for new software because it requires own software history information. Moreover, neither approach takes advantage of the large amounts of information available in software public repositories, coming from the tests of various open-source software systems. In this paper, we study the prioritization of software classes to be tested for OO software systems in the context of unit tests. We conjecture that testers generally rely on class characteristics which are captured by source code metrics, in order to select the component for which they will write dedicated unit tests. Thus, we propose an approach that takes advantage of the experience of different software testers as well as objective attributes of software classes (metrics), in order to prioritize classes for which unit test cases should be written.

### 3 SOFTWARE METRICS

We present, in this section, the OO source code metrics we selected for the empirical study. These metrics have received considerable attention from researchers and are also being increasingly adopted by practitioners. In fact, several studies have shown that considered metrics are related to testability (Bruntink and Van Deursen, 2004; Gupta et al., 2005; Bruntink and Van Deursen, 2006; Badri et al., 2010; Badri and Toure, 2011; Badri and Toure, 2012; Toure et al., 2014a; Toure et al., 2014b), maintainability (Li and Henry, 1993; Dagpinar and Jahnke, 2003; Zhou and Leung, 2007), and fault proneness (Basili et al., 1996; Zhou and Leung, 2006; Aggarwal et al., 2009; Shatnawi, 2010).
Furthermore, these metrics have been incorporated into several development tools. Two of the selected metrics were proposed by Chidamber and Kemerer (1994). We also include in our study the well-known LOC metric. We give in what follows a brief definition of each metric. The selected source code metrics are related to three class attributes: coupling, complexity and size.

*Coupling between Objects*: The CBO metric counts for a given class, the number of other classes to which it is coupled (and vice versa).

*Weighted Methods per Class*: The WMC metric gives the sum of complexities of the methods of a given class, where each method is weighted by its cyclomatic complexity (McCabe, 1976). Only methods specified in the class are considered.

*Lines of Code per class*: The LOC metric counts for a given class its number of source lines of code.

The selected metrics have been computed using the Borland Together Tool (http://www.borland.com).

4 DATA COLLECTION

4.1 Data Collection Procedure

The systems we selected for our study are of different sizes and from different domains. In addition, these systems have been developed by different teams in Java. The selected systems have been tested using JUnit framework. JUnit (http://www.junit.org/) is a simple Framework for writing and running automated unit tests for Java classes. The unit test cases in JUnit are written by testers in Java. JUnit gives testers some support so that they can write the test cases more conveniently. A typical usage of JUnit is to test each class C of the software by means of a dedicated test class C. To actually test a class C, we need to execute its test class C. This is done by calling JUnit’s test runner tool. JUnit will report how many of the test methods in C succeeded, and how many failed.

By analyzing the code of the JUnit test cases of the selected systems, we noticed that developers usually name the JUnit dedicated test case classes by adding the prefix (suffix) “Test” (“TestCase”) into the name of the classes for which JUnit test cases were developed. This observation has been the basis for the identification of the link between classes and corresponding JUnit test classes in other previous studies (Bruntink and Van Deursen, 2006; Mockus et al., 2009; Rompaey and Demeyer, 2009). In our study, we adopted the same approach. The matching procedure has been performed on the subject systems by three research assistants separately in a first step. Results have been checked, discussed and validated in a second step. The software classes for which JUnit test classes have such naming mechanism are referred as tested classes. So, these classes are the classes on which (in each system) testers have deliberately focused while developing unit test cases. We assign the modality 1 to the set of tested classes and the modality 0 to the other classes.

In what follows, we will characterize and analyze both categories of classes using statistics based on source code metrics.

4.2 Selected Systems

We extracted information from the repositories of 10 open source OO software systems that were developed in Java. For each system, only a part of the classes has been tested using JUnit framework. The selected systems are:

- **ANT** (http://www.apache.org/). ANT is a Java library and command-line tool that drives processes described in build files as target and extension points dependent upon each other. This system consists of 713 classes with a total of roughly 64,000 lines of code.
- **DBU** (http://dbunit.sourceforge.net/). DbUnit is a JUnit extension (also usable with Ant) used in database-driven projects that, among other things, put a database into a known state between test runs. This system consists of 238 classes with a total of roughly 12,300 lines of code.
- **IO** (https://commons.apache.org/proper/commons-io/). Commons IO is a library of utilities for developing Input/Output functionalities. It is developed under Apache Software Foundation (ASF). This system consists of 104 classes with a total of roughly 7,600 lines of code.
- **IVY** (http://ant.apache.org/ivy/). The agile dependency manager known as IVY, is a popular dependency manager. It is characterized by flexibility, simplicity and tight integration with Apache Ant. This system consists of 610 classes with a total of roughly 50,080 lines of code.
- **LOG4J** (http://wiki.apache.org/logging-log4j/). Log4j is a fast and flexible framework for logging applications debugging messages. This system consists of 252 classes with a total of roughly 20,300 lines of code.
- **JFC** (http://www.jfree.org/jfreechart/). JFreechart is a free chart library for Java platform. This system consists of 496 classes with a total of roughly 68,000 lines of code.
• JODA (http://joda-time.sourceforge.net/). JODA-Time is the de facto standard library for advanced date and time in Java. Joda-Time provides a quality replacement for the Java date and time classes. The design supports multiple calendar systems, while still providing a simple API. This system consists of 225 classes with a total of roughly 31,000 lines of code.

• POI (http://poi.apache.org/). POI is a Java APIs for manipulating various file formats based upon the Office Open XML standards (OOXLM) and Microsoft's OLE2 Compound Document format (OLE2). It can read and write MS Excel files using Java. This system consists of 1,539 classes with a total of roughly 136,000 lines of code.

• MATH (http://commons.apache.org/proper/commons-math/). Commons MATH is a library of lightweight, self-contained mathematics and statistics components addressing the most common problems not available in the Java programming language or Commons Lang. This system consists of 659 classes with a total of roughly 56,900 lines of code.

• LUCENE (http://lucene.apache.org/). LUCENE is a high-performance, full-featured text search engine library. It is a technology suitable for nearly any application that requires full-text search, especially cross-platform. This system consists of 125 classes with a total of roughly 8,106 lines of code.

EMPIRICAL ANALYSIS

5.1 Research Questions

We investigated the following research questions:

Table 1: Descriptive statistics of the source code metrics.

<table>
<thead>
<tr>
<th></th>
<th>ID</th>
<th>POI</th>
</tr>
</thead>
<tbody>
<tr>
<td>CBO</td>
<td>LOC</td>
<td>WMC</td>
</tr>
<tr>
<td>Obs.</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>Min.</td>
<td>0</td>
<td>7</td>
</tr>
<tr>
<td>Max.</td>
<td>39</td>
<td>968</td>
</tr>
<tr>
<td>Mean</td>
<td>405</td>
<td>7664</td>
</tr>
<tr>
<td>Std.</td>
<td>4.05</td>
<td>2.66</td>
</tr>
<tr>
<td>CV</td>
<td>1.353</td>
<td>12.25</td>
</tr>
</tbody>
</table>

Table 1 shows that the selected software systems are of different sizes. The lines of code vary from 7,600 lines spread over 100 software classes for IO system, to more than 130,185 lines of code over 1,382 software classes for POI system. The number of classes and their cyclomatic complexity follow the same trend. Descriptive statistics also show 4 groups of systems according to the systems’ size: (1) the small-size systems, about 100 software classes such as IO and MATH, (2) the medium-size systems around 200 classes as LOG4J, DBU and JODA, (3) the large-size systems, between 400 and 600 classes as LUCENE, IVY, ANT and JFC, and (4) the very large-size systems over than 1,000 software classes as POIs.

Note that the average cyclomatic complexity varies widely between systems with similar sizes. For example, JODA and DBU have a similar number of classes (around 200) but quite a different average of cyclomatic complexity (9.34 vs 31.18). The systems LUCENE and JFC also have this characteristic. In our data set, each observation (software class) has, in addition to the metrics CBO, LOC, and WMC, a binary attribute TESTED taking modalities 1 or 0 to indicate whether it has been tested (JUnit test class has been developed) or not.

5 EMPIRICAL ANALYSIS

5.1 Research Questions

We investigated the following research questions:
Q1: Can the sets of tested classes (in comparison to the other classes) be characterized by source code attributes?  
Q2: Are there common criteria (in terms of class attributes) used by testers to select the classes for which they explicitly write unit test classes?  
Q3: Can we automatically learn from the history of the selection made by other testers on different software systems using a suitable set of class attributes to generate a set of classes similar to the set of tested classes given by the testers of a new system?

5.2 Goals

We investigate, in this section, the relationship between class attributes and the fact that the class is tested or not. We considered the source code metrics CBO, LOC and WMC described previously as observable (measurable) characteristics of software classes. In a first step, we performed a Z-Test to compare the mean values of the set of tested classes to the mean values of the set of not-tested classes (classes for which JUnit test classes have not been developed). In a second step, we performed a univariate binary logistic regression analysis. The objective was to study the significance level of these relationships with each metric. Finally, we used machine learning classifiers in a third step, to suggest a set of classes to be tested for a system from its source code metrics and history test information of other systems. The goal, in this case, is to investigate to what extent the selection criteria of classes to be tested in a given system are reusable to support the test prioritization of classes for another software system.

5.3 Mean Analysis

We relied on Z-Test of related samples to compare the set of tested classes to the other classes for each system. The Z-Test is typically used to compare the means values of two large samples (>32 observations) of known variances. In our case, the test will determine whether the set of tested classes has a mean value of CBO, LOC and WMC significantly different from the set of other classes. We wanted to know whether the selected metrics could significantly characterize both sets of classes. We thus made the following null and alternative hypotheses for each given system $s$ and metric $ms$:

- $H0$: The $ms$ mean value of tested-classes (modality 1) is not significantly different from the $ms$ mean value of other classes (modality 0).
- $H1$: The $ms$ mean value of tested-classes is significantly different from the $ms$ mean value of other classes.

The Z-Test determines a $p$-value that is compared with the typical significance level $\alpha = 5\%$. The $p$-value is the probability that the difference $\delta$ between the mean values ($\mu$) of two sets is not equal to 0 by chance. The computed Z coefficient is compared to a reference threshold ($Z/Cr = 1.96$ in our case) for a normal distribution. We also determined the standard deviation $\sigma$ of each set. Table 2 shows the results of the 10 analyzed systems. The modalities 1 and 0 indicate respectively the set of tested and not-tested classes.

We note that for almost all systems (except MATH), the WMC and LOC mean values of tested classes are significantly higher than those of not-tested classes. For only MATH system, the difference of WMC and LOC mean values of the two sets was not significant: $p$-value of 0.092 and 0.143 ($\geq 0.05$). We can generally, in the case of WMC and LOC, reject the null hypothesis. The CBO metric seems to be less discriminating between the two sets of classes. Indeed, the mean differences between the sets are not significant for LOG4J, MATH and IO systems. This is not surprising and can be explained, among other, by the level of observability of the software source code attributes. Indeed, the lines of code (LOC) and the high cyclomatic complexity (WMC) are directly and more visible in the source code than the level of coupling between classes. The incoming coupling, captured by CBO, is not easily visible in a single class source code. Indeed, identifying coupling between classes requires global and deep analysis of the system architecture. These preliminary results suggest that the mean values of software metrics of both sets of classes are significantly different. The considered software metrics (especially LOC and WMC) can distinguish the set of tested classes from the set of not-tested classes. This suggests the existence of relationship between the software attributes captured by metrics and the selection criteria considered by testers when selecting software classes for which unit tests will be written. We explore these relationships individually in the following section.

5.4 Univariate Logistic Regression Analysis

After characterizing the metrics mean values of tested classes and not-tested classes sets, we present in this section the empirical study we conducted to evaluate individual relationship between each of
source code metrics (LOC, WMC and CBO) and the status (tested or not) of software classes. We used univariated logistic regression to analyze the relationship between the considered OO metrics and TESTED binary variable. Logistic Regression (LR) is a standard statistical modelling method in which the dependent variable can take on only one of two different values. It is suitable for building software quality classification models. It is used to predict the dependent variable from a set of independent variables to determine the percent of variance in the dependent variable explained by the independent variables. This technique has been widely applied to the prediction of fault-prone classes (Basili et al., 1996; Zhou and Leung, 2006; Aggarwal et al., 2009; Shatnawi, 2010). LR is of two types: Univariate LR and Multivariate LR. A multivariate LR model is based on the following equation:

\[
P(X_1, X_2, \ldots, X_n) = \frac{e^{(a + \sum_{i=1}^{n} b_i X_i)}}{1 + e^{(a + \sum_{i=1}^{n} b_i X_i)}}
\]

The \(X_i\)s are the independent variables and the (normalized) \(b_i\)s are the estimated regression coefficients (approximated contributions) corresponding to the independent variables \(X_i\). The larger the absolute value of the coefficient, the stronger the impact of the independent variable on the probability \(P\). In our case, \(P\) is the probability of a class to be tested. The univariate regression analysis we used is, in fact, a special case of the multivariate regression analysis, where there is only one independent variable (one OO metric). The \(p\)-value related to the statistical hypothesis is the probability of the coefficient being different from zero by chance and is also an indicator of the accuracy of the estimated coefficient. To decide whether a metric is a statistically significant predictor of tested classes, we compared the obtained \(p\)-value to \(a = 0.05\). Nagelkerke \(R^2\) is defined as the proportion of the total variance in the dependent variable that is explained by the model. The higher \(R^2\) is, the higher the effect of the independent variables, and the more accurate the model. We also calculated the area under ROC (Hosmer and Lemeshow, 2000) curve (AUC) to evaluate the model adjustment level with data. A model is considered to be well-adjusted if the \(AUC\) value is greater than 0.70 (Hosmer and Lemeshow, 2000). The results are summarized in Table 3. The results indicate that ANT and JODA have a significant \(R^2\) values for all metrics (\(p\)-values < 0.05), with an \(AUC\) value < 0.70. The significant value of \(R^2\) suggests that the information provided by metrics has significantly improved the baseline model. Baseline model is the prediction model in which probabilities are based on the distribution of dependent variable modalities. The \(b\) coefficients are all significant according to their \(p\)-values. However, the models are not good predictors (\(AUC < 0.70\)) of TESTED variable. For ANT system, this result may be explained by the low unit test class coverage (about 16.9%). For JODA, the explanation may lie in the low rate of tested classes among the complex classes. Indeed, the average WMC complexity of JODA’s not-tested classes is of 22.94 vs 15.52 for ANT. Finally, for both systems, a key factor of classes that have been tested is related to their cyclomatic complexity (WMC).

Logistic models derived from that metric have the highest \(R^2\) value (7.7% for ANT and 16% for JODA). For the most of models, the IO and MATH systems present a non-significant \(R^2\) and \(b\) values and a low predictive ability (\(AUC < 70\%\)). For IO system, the size factor (LOC), is an exception and has a good performance (significant \(R^2\) of 15.4%, significant \(b\) of 1.053 and significant \(AUC\) of 0.710 > 0.7). The size seems to be one of the main factors that explain the choice of the software classes to be tested by testers.

The positive sign of the \(b\) coefficients indicates that large classes were tested while the small classes were not. The \(AUC\) greater than 0.70 suggests that the model derived from LOC fits the data, which means that obtained model could be a good predictor of TESTED variable. The average size ratio of tested over not-tested classes is of 2.55 with the absolute highest \(Z\) value (3.08) according to Table 2. This confirms the performance of the size (LOC) factor.

For JFC, DBU, POI, IVY, LOG4J and LUCENE systems, we observe a significant \(b\), and \(R^2\) coefficients with higher \(AUC\) scores (> 0.70). In these systems, the selection criteria of classes to be tested are significantly explained by the considered software metrics. We also note, when considering previous results in Table 2, that the \(Z\) scores of these systems are particularly high. This result can be explained by the multiplicity of selection criteria for unit testing or the existence of a strong correlation between software metrics of the systems. JFC and IVY have significant performance for all metrics. In both systems, all the metrics appear to have been considered during the selection of classes to be tested (at least the attributes they capture). Note that the coupling is the worst performing metric. Coupling seems to be the least considered factor when selecting classes to be tested.
### Table 2: Results of Z-Test.

<table>
<thead>
<tr>
<th>Obs.</th>
<th>µ</th>
<th>σ</th>
<th>δ</th>
<th>Z</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>CBO 1</td>
<td>112</td>
<td>10.41</td>
<td>8.6</td>
<td>-4.15</td>
<td>4.82</td>
</tr>
<tr>
<td>CBO 0</td>
<td>551</td>
<td>6.26</td>
<td>6.75</td>
<td>-7.42</td>
<td>4.77</td>
</tr>
<tr>
<td>LOC 1</td>
<td>112</td>
<td>157.45</td>
<td>154.72</td>
<td>-28.76</td>
<td>0.03</td>
</tr>
<tr>
<td>LOC 0</td>
<td>551</td>
<td>83.33</td>
<td>124.64</td>
<td>-12.56</td>
<td>2.23</td>
</tr>
<tr>
<td>WMC 1</td>
<td>112</td>
<td>31.11</td>
<td>31.18</td>
<td>-15.59</td>
<td>5.05</td>
</tr>
<tr>
<td>WMC 0</td>
<td>551</td>
<td>15.52</td>
<td>21.61</td>
<td>-14.38</td>
<td>4.79</td>
</tr>
</tbody>
</table>

### Table 3: Univariate logistic regression results.

<table>
<thead>
<tr>
<th>Obs.</th>
<th>µ</th>
<th>σ</th>
<th>δ</th>
<th>Z</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>CBO 1</td>
<td>112</td>
<td>10.41</td>
<td>8.6</td>
<td>-4.15</td>
<td>4.82</td>
</tr>
<tr>
<td>CBO 0</td>
<td>551</td>
<td>6.26</td>
<td>6.75</td>
<td>-7.42</td>
<td>4.77</td>
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<tr>
<td>LOC 1</td>
<td>112</td>
<td>157.45</td>
<td>154.72</td>
<td>-28.76</td>
<td>0.03</td>
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<tr>
<td>LOC 0</td>
<td>551</td>
<td>83.33</td>
<td>124.64</td>
<td>-12.56</td>
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</tr>
<tr>
<td>WMC 1</td>
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<td>31.11</td>
<td>31.18</td>
<td>-15.59</td>
<td>5.05</td>
</tr>
<tr>
<td>WMC 0</td>
<td>551</td>
<td>15.52</td>
<td>21.61</td>
<td>-14.38</td>
<td>4.79</td>
</tr>
</tbody>
</table>

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Overall, the logistic regression analysis suggests that the criteria that guide the testers when selecting software classes to be tested can be, in most cases, well explained by cyclomatic complexity, size and coupling.

5.5 Classifiers and Cross-System Validation

We used machine learning classifiers trained on a system’s test information data to provide a set of classes to be tested for other software systems. The objective of this experiment is to see to what extent the criteria used by the testers to decide which software classes they will test can be reused on different systems. The hypothesis is the following:

**Considering the values of CBO, LOC and WMC metrics for all classes of a system \( S_i \), for which testers have already provided a set of tested classes, is it possible to build a learner automatically which is able to suggest, for another system \( S_j \), a set of classes (to be tested) "similar" to the set of tested classes that would have been proposed by \( S_i \) testers?**

Validating this hypothesis would indicate the existence of class attributes that determine the testers’ selection. From these attributes, it will then be possible to build an automated tool that could help to prioritize software classes during unit testing. Such a tool could rely on test information and associated class metrics automatically gathered from different open source software repositories to support automatic unit tests orientation.

We chose multivariate logistic (LR) regression and Naïve Bayesian (NB) classifier. Multivariate LR is particularly suitable for the binary variables prediction. It allows analyzing multiple output parameters that may explain the classifier model performance. Even if the NB classifier assumes a strong hypothesis on sample data, the classifier is particularly efficient and fast for large observations size such as in our case. Furthermore, it requires a relatively small training set, which is an advantage when trainings are done on small systems. For both classifiers, data collected from each of the 10 systems will be used in turn as training set and the derived model will be cross-validated on the 9 remaining systems. In Table 4, LR and NB represent the Multivariate Logistic Regression and Naïve Bayes classifiers. The table presents, in each box, the accuracy (1-error) of classifications obtained for both classifiers, trained on the system dataset of rows \( i \), validated on the dataset’s system on column \( j \). The boxes in the diagonal \((k, k)\) present the adjustment \((1 – \text{optimistic error})\) of classifiers on the dataset of system \( k \). We interpret the performance of classifiers by analyzing the results obtained according to the training data (rows) and validating data (columns). We consider the models with accuracy values greater than 0.70 (error < 0.30) as good classifiers. From Table 4, the analysis of training and test data shows 4 groups of systems.

**IO and MATH compose the first group. Both systems have unpredictable testing information. Furthermore, the unit test information from these systems forms bad training sets. Indeed, the classifiers trained from these datasets have no predictive ability on the sets of tested classes of other systems. Overfitting problems may explain the low performances. Indeed, both classifiers provide a good adjustment (LR: 0.74, NB: 0.71 for IO, LR: 0.745, NB: 0.723 for MATH), but cannot predict any dataset from other systems (rows 4 and 9).**

Furthermore, the small size of both systems reduces the training data set (100 observations for IO and 125 for MATH) and may also explain the poor performances observed on the 4th and 9th rows. In software testing perspective, the overfitting results of IO and MATH as training sets indicate that the testers took into account specific criteria of the systems when selecting the classes to be tested. Those specific criteria are captured by our metrics (Good adjustment values of classifiers) but are not considered by testers of other systems. The fact that no classifier trained on other systems were able to accurately predict the test information of both systems (columns 4 and 9) confirms the specificity of the criteria used by IO and MATH testers.

In the second group, we find DBU system. The trained classifiers have a little predictive ability on other systems. LR and NB classifiers do not have the same performances depending on the validating systems. The LR classifier predicts IVY and LUCENE test information accurately when NB classifier provides good adjustment on DBU test set (0.756). NB seems to overfitting DBU dataset as in first group systems, but LR classifier does not over fit the training data, according to the adjustment values (0.664). The selection made by testers seems to consider some of common and DBU specific criteria captured by considered metrics.

The third group is composed of JFC and JODA systems. The classifiers trained on the group have a good predictive ability on other systems but their testing information is not well predicted by other groups (<0.7). The result may be obtained when the selection made by testers mix common criteria captured by metrics for some selected classes with a random or different criteria that are not captured by LOC, WMC and CBO for other classes.
The last group is formed of ANT, IVY, LOG4J, LUCENE and POI. The classifiers trained on the data from the fourth group of systems can accurately predict test information of the other systems of the group. This result indicates that the testers of different systems used similar criteria that are captured by the considered metrics. LR and NB classifiers trained on JFC and JODA (from the third group of systems) accurately predicted the unit test information of this group, even if they are not well-adjusted on their dataset.

The learning algorithms and cross-validation results, especially for systems of the 4th group, show that it is possible, based on only several metrics, to construct classifier models from existing software datasets that automatically suggest, for another software system, a set of classes to be tested. For the last group, the suggested set is more than 70% similar to the set of tested classes that would have proposed a tester knowing the system. Results also indicate that the criteria for selecting tested classes are relatively the same (consistent) and significantly captured by CBO, LOC and WMC metrics.

### 6 THREATS TO VALIDITY

The study we conducted in this paper was performed on 10 open-source systems containing almost a half million of lines of code (453K). The sample is large to allow obtaining significant results, but the measuring methods and approaches have limitations that can restrict the generalization of certain conclusions. We have identified external validity threats that can prevent the generalization of results and construct validity threats which can skew the measurements.

For external validity, the threats are mainly related to the type and the domain of considered systems. The application domains (Calculus, Code Parsers, Graphic Charts, etc.) and types (Standalone application, libraries, plugins etc.) of analyzed systems may impact the selected metrics and reduce the classifier performances during the cross system validation. Indeed, some analyzed systems are mathematical algorithms libraries (IO), while others have more complex architectures and involve many OO-technology specific artifacts such as inheritance and polymorphism (JFC). Thus, the learning algorithms that trained on some types of systems can greatly adjust to validation datasets from systems of similar domain and not able to suggest a good set of classes (to be tested) for other types and domain systems. It would be interesting, in this context, to include the domain and the type of systems as classifier parameters to take into account this bias.

On the other hand, the data we analyzed from the different repositories does not provide any information on selection criteria of tested classes. It may be that, for some systems, tested classes were randomly selected. In these cases, obtained models and results cannot be generalized, even for software systems in the same domain and type.

For construct validity, the main threat lies in the technique used for matching JUnit test suites to software classes to identifying the tested classes. Indeed, unpaired software classes that are tested by transitive method calls, are ignored by our approach.

<table>
<thead>
<tr>
<th></th>
<th>ANT</th>
<th>JFC</th>
<th>DBU</th>
<th>JODA</th>
<th>IO</th>
<th>POI</th>
<th>IVY</th>
<th>MATH</th>
<th>LOG4J</th>
<th>LUCENE</th>
</tr>
</thead>
<tbody>
<tr>
<td>LR</td>
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<td>0.633</td>
<td>0.823</td>
<td>0.691</td>
<td>0.530</td>
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<td>0.823</td>
<td>0.823</td>
<td>0.816</td>
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<td>0.632</td>
<td>0.691</td>
<td>0.641</td>
<td>0.590</td>
<td>0.735</td>
<td>0.814</td>
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<td>0.641</td>
<td>0.641</td>
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<tr>
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<td>0.597</td>
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<tr>
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<td>0.671</td>
<td>0.622</td>
<td>0.490</td>
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<td>0.440</td>
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<td>0.620</td>
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<td>0.814</td>
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<tr>
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<td>0.662</td>
<td>0.550</td>
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<td>0.784</td>
<td>0.408</td>
<td>0.753</td>
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</table>

Table 4: Cross-System Validations.
It would be possible to reduce this bias if we consider a larger set formed by the union of classes for which JUnit test classes have been written and the classes that have been implicitly tested by methods transitive calls.

7 CONCLUSIONS

We analyzed 10 open source software systems containing more than 4400 classes for which testers developed dedicated unit test classes using JUnit for several classes of each system. The selection criteria of classes that have been tested are not known. We explored the possibility of explaining and reusing these criteria for different systems through three experiments using three source code metrics. We first analyzed, for each system, the set of tested classes and not-tested classes using the Z-Test. Results show that for all metrics except CBO, the mean values are significantly different and consistent (the tested sets have higher average values for LOC and WMC). Secondly, we performed a binary univariate logistic regression analysis to determine the individual effect of each metric on the tested classes’ selection made by testers. Results show a significant relationship with the considered software metrics. We finally used multivariate LR and NB classifiers to build models that support unit tests prioritization. The goal was to compare classes suggested by classifiers and the set of tested classes provided by systems’ testers. The classifiers were trained on each system dataset taken individually and validated on the 9 remaining systems. Results suggest that more than 70% of classes provided by testers (tested classes) can be automatically suggested by the classifiers. The results of this experiment suggest the viability of a unit tests prioritization automation technique using classifiers trained on different software source code metrics and history of the unit tests information. It would be interesting to group systems according to their domains, types and include other software source code metrics such as RFC (Response For Class) to observe the changes on results. It would also be interesting to apply an adapted Leave-One-Out-Validation technique (LOOV) by validating one system with a classifier trained on the test information of the remaining systems. We could improve classifier performances, prevent overfitting problems and be able to use more classifiers that require a larger training datasets. Moreover, since the proposed prioritization technique suggests a slightly different (30%) set of classes from the testers set of tested classes, it would be pertinent to analyze and compare their actual performance on covering faulty classes. This topic will be the next direction of our investigations.

REFERENCES


