Towards Identification of Operating Systems from the Internet Traffic
IPFIX Monitoring with Fingerprinting and Clustering

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Abstract: This paper deals with identification of operating systems (OSs) from the Internet traffic. Every packet injected on the network carries a specific information in its packet header that reflects the initial settings of a host’s operating system. The set of such features forms a fingerprint. The OS fingerprint usually includes an initial TTL time, a TCP initial window time, a set of specific TCP options, and other values obtained from IP and TCP headers. Identification of OSs can be useful for monitoring a traffic on a local network and also for security purposes. In our paper we focus on the passive fingerprinting using TCP SYN packets that is incorporated to an IPFIX probe. Our tool enhances standard IPFIX records by additional information about OSs. Then, it sends the records to an IPFIX collector where network statistics are stored and presented to the network administrator. If identification is not successful, a further HTTP header check is employed and the fingerprinting database in the probe is updated. Our fingerprinting technique can be extended using cluster analysis as presented in this paper. As we show the clustering adds flexibility and dynamics to the fingerprinting. We also discuss the impact of IPv6 protocol on the passive fingerprinting.

1 INTRODUCTION

Knowledge of operating systems can be an important information for network security and monitoring. From the point of view of an attacker, the knowledge of the targeting system helps him to choose his strategy and identify where critical files or resources are stored and how can be weaknesses of the system exploited (Sanders, 2011).

Detecting connected hosts and identifying operating systems is also useful for maintaining a site security policy (Lippmann et al., 2013). The policy may specify the types of hosts that are allowed to connect to the local network (LAN). OS fingerprinting can help a network administrator to detect unexpected devices like smart-phones, WiFi access points, or routers using fingerprints of their OSs (Android, Cisco IOS). The knowledge of OSs in the LAN can help a network administrator to find out how many operating systems are currently employed in the LAN, if there are potential vulnerabilities related to unpatched software, which hosts use obsolete systems and should be updated, etc. OS fingerprinting techniques can be also used for NAT detection (Krmicek, 2011).

OS fingerprinting can be defined as “an analysis of certain characteristics and behaviors in network communication in order to remotely identify an OS and its version without having direct access to the system itself” (Allen, 2007). The technique relies on differences among implementations of OSs and TCP/IP stacks that have an impact on certain values in IP or TCP headers of packets generated by these systems. Having a database of typical OS features (called OS signatures), we are able to identify with a certain probability by which OS a packet was sent.

There are two types of OS fingerprinting: passive and active. Passive fingerprinting only listens to the packets on the networks. When a packet is received, passive fingerprinting extracts needed values of TCP or IP header fields in order to detect an OS using a OS fingerprint database. If a host does not send an expected type of data like TCP SYNs, DHCP requests, HTTP requests, or does not communicate at all, passive fingerprinting cannot be used. Active fingerprinting is a complementary technique that actively sends special packets to a targeted host in order to elicit replies that will reveal an operating system of the target. In comparison to the passive fingerprinting, the active fingerprinting is not transparent from the point of view of network communication. There are several tools implementing both the passive fingerprinting...
Key factors for successful classification of an OS using the fingerprinting includes a proper selection of OS features to be compared, an up-to-date OS signatures database, and a technique that is used to classify features obtained from a packet header with a fingerprinting database. Common fingerprinting features used for OS identification can be extracted, for example, from an IP header (TTL, Don’t Fragment bit, Packet Size), or a TCP header (TCP Windows size, Max Segment Size, Options, etc.) (Lippmann et al., 2013).

Classification can be based on a perfect matching when packet features must exactly match one of the OS signatures in database. It is also possible to use an approximate matching where only few features match an OS signature and the best possible (but imprecise) selection of OS is returned. There are also advanced classification methods using machine learning algorithms (Caballero et al., 2007), clustering (Jain, 2010), or fractal geometry and neural networks (Zelinka et al., 2013).

In our work we focus on the passive fingerprinting that is incorporated into IPFIX framework (Claise et al., 2013) where an IPFIX probe scans incoming packets, extracts relevant features from IP, TCP, or even application headers, and creates an extended IPFIX record (Claise, 2004) with additional information about senders’ OSs. Because an IPFIX probe is a passive monitoring device, we are interested only in passive fingerprinting methods. For monitoring purposes, fast on-line processing of incoming packets is preferred over accuracy of the classification. In this paper, we present our technique for fast on-line OS identification using passive fingerprinting. This method was implemented as a plugin into the IPFIX probe. Our classifier uses a special OS signature database where eight OS features of a packet are tested. The database contains signature classes of major operating systems (Windows, Linux, BSD, Mac OS, Android, Palm OS). Classification is made if the perfect match of at least six SYN packet features is found. If the matching is not successful, further check is done using HTTP header. If such header is a part of TCP communication, HTTP User-agent field is extracted. Based on these data, a new signature containing current packet features is generated and automatically added to the OS signature database.

Our results show that this approach is feasible and give good results. During our test we observed that small variations of observed features can cause the system to be classified as unknown because the perfect match is required. This can be improved using classification based on cluster analysis. This technique is not dependent on a fixed OS fingerprinting database and perfect matching since the clustering methods similarity between classified objects. This paper shows a proposal of this architectures and discusses its pros and cons.

1.1 Contribution

Main contribution of this positional paper is design and implementation of the passive fingerprinting within a scheme of IPFIX monitoring. We present our classification database and discuss our first results that are compared with p0f. Further, we propose an extension of the system using cluster analysis that helps to decrease false negatives. We also discuss an impact of IPv6 protocol on passive fingerprinting because IPv6 and IPv4 headers differ and the same features cannot be used without additional tests and observation of different IPv6 implementations. To our best knowledge, we are not aware of studies describing implementation of OS fingerprinting within IPFIX framework and application of the cluster analysis in OS classification.

1.2 Structure of the Paper

The paper is structured as follows. Section 2 discusses current fingerprinting techniques and results published in recent years. It also proposes open questions and areas to be explored, especially in IPv6 OS fingerprinting. Section 3 shows an architecture of our tool and presents the first results. Section 4 describes a concept of the cluster analysis applied on the area of OS fingerprinting and future steps in our research. The last section summarizes our current work.

2 STATE OF THE ART

Passive and active fingerprinting techniques and tools were explored in previous years. The main motivation of that research was network security and configuration of network-based intrusion detection systems using information about OSs from incoming packets. General principles of OS fingerprinting and common fingerprinting techniques are described in (Allen, 2007). (Lippmann et al., 2013) focuses on accuracy of passive OS fingerprinting and the evaluation of common tools like nmap, siphon, p0f, and ettercap. It discusses quality of different features, the number
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of features to be used for classification and the structure of OS signature databases. This paper also talks about reliability of commonly used features and how can be changed by intermediate network devices or an attacker.

The main limitation of techniques based on OS signatures is to keep database up-to-date when new versions and implementations of OSs appear. Otherwise, modern operating systems remain unrecognized during classification. To overcome this issues, advanced methods for automated generation of OS signatures were explored. One of the first attempt was FiG tool presented by (Caballero et al., 2007). This tool automatically explores a set of candidate queries and applies a machine learning technique to identify the set of valid queries. A similar approach was also proposed by (Schwartzenberg, 2010) where a classifier based on neural networks was introduced. However, these techniques have their limits as proved by (Richardson et al., 2010). In their work, the authors argue that OS signatures that are generated automatically using machine learning techniques are not viable because of over-fitting, indistinguishability, biases in the training data, and missing semantic knowledge of protocols. The authors recommend to add an expert knowledge or manual intervention in the process of putting new OS signatures into the OS database. Otherwise, the accuracy of detection is very low.

Our goal is similar to the previous authors—to automatically update an OS signature database without human intervention based on incoming packets. However, our technique is different. First, we explore HTTP application header for OS type specification and if it is found, a set of features from IP and TCP headers is used to form a new OS fingerprint entry that is added to the database. Seconds, the cluster analysis can be applied to identify the best possible match of an OS.

Another part of work concerns on the impact of migration from IPv4 to IPv6 (S.Deering and R.Hinden, 1998). There are several studies describing differences between these two protocols and challenges for OS fingerprinting over IPv6 (Nerakis, 2006). Most of these studies, e.g., (Beck et al., 2007), employ the active fingerprinting using Neighbor Discovery Protocol (Narten et al., 2007) for OS identification. However, most of these approaches do not reflect recent changes in standardization of IPv6 extended headers defined by RFC 7045 (Carpenter and Jiang, 2013) and RFC 6564 (Krishnan et al., 2012). (Eckstein, 2011) describes major challenges and limitation of IPv6 OS fingerprinting only theoretically. To our best exploration, there are no thorough experiments showing how IPv6 passive fingerprinting works in real systems. Thus, we decided to extent our tool with IPv6 and to show how IPv6 features in combination with TCP and cluster analysis can be employed for successful OS identification.

3 FINGERPRINTING AND IPFIX

In our research, we apply a technique of the passive fingerprinting on the area of network monitoring using IPFIX framework. The main concept we used is derived from Michal Zalewski’s approach implemented in p0f1 that identifies OSs using TCP SYN or SYN+ACK packets. The tool checks selected values from IP headers (TTL, DF bit, ToS), TCP headers (Window size, options), or HTTP headers (User-Agent field) and compares them with OS signatures in a database that currently contains about 300 entries. p0f database is composed of TCP signatures, HTTP signatures and MTU signatures. It uses TCP SYN, TCP SYN+ACK, HTTP request, or HTTP response for classification. The result of classification is (i) a perfect match, (ii) a fmatch (small deviation in some values), or (iii) unknown.

3.1 OS Signatures

We decided to implement a lightweight version of p0f approach. We use only SYN packets and HTTP headers to obtained OS features. Since we are not interested in minor differences between OSs (like versions, kernel numbers, etc.) our database contains only several classes of major OSs. Our set of features includes three IP fields (TTL, DF bit, packet size) and five TCP fields (Max segment size, Selective ACK, Window size, number of NOPs—No operation bytes, and Window scale). Since TTL is decremented by every L3 device, we don’t look at a certain value but for an interval. Our signature database was based on p0f database, observations published in (Sanders, 2011), and our experiments. The database values for major OS classes are shown in Table 1. Linux values were tested on Ubuntu and Red Hat distributions. We can see that the most important features where OSs differ at most are SYN packet size, number of NOPs, and Win Scale.

We test eight features for OS identification, but the perfect match on all features is not required. Table 2 shows that the higher number of perfectly matched

\[1\]p0f stands for Passive OS Fingerprinting, see http://lcamtuf.coredump.cx

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Table 1: OS signature database.

<table>
<thead>
<tr>
<th>OS</th>
<th>TTL</th>
<th>DF</th>
<th>Pkt size</th>
<th>Win Size</th>
<th>Win Scale</th>
<th>MSS</th>
<th>SAck</th>
<th>NOPs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Windows XP</td>
<td>64–128</td>
<td>Set</td>
<td>48</td>
<td>variable</td>
<td>0</td>
<td>1440,1460</td>
<td>Set</td>
<td>2</td>
</tr>
<tr>
<td>Windows 7</td>
<td>64–128</td>
<td>Set</td>
<td>52</td>
<td>variable</td>
<td>2</td>
<td>1440,1460</td>
<td>Set</td>
<td>3</td>
</tr>
<tr>
<td>Windows 8</td>
<td>64–128</td>
<td>Set</td>
<td>52</td>
<td>variable</td>
<td>8</td>
<td>1440,1460</td>
<td>Set</td>
<td>3</td>
</tr>
<tr>
<td>Linux</td>
<td>0–64</td>
<td>Set</td>
<td>60</td>
<td>2920–5840,14600</td>
<td>3</td>
<td>1460</td>
<td>Set</td>
<td>1</td>
</tr>
<tr>
<td>FreeBSD</td>
<td>0–64</td>
<td>Set</td>
<td>60</td>
<td>65 550</td>
<td>7</td>
<td>1460</td>
<td>Set</td>
<td>1</td>
</tr>
<tr>
<td>Mac OS</td>
<td>0–64</td>
<td>Set</td>
<td>64</td>
<td>65 535</td>
<td>4</td>
<td>1460</td>
<td>Not</td>
<td>3</td>
</tr>
<tr>
<td>Android 4.x</td>
<td>0–64</td>
<td>Set</td>
<td>52,60</td>
<td>65 535</td>
<td>6</td>
<td>1460</td>
<td>Set</td>
<td>3</td>
</tr>
<tr>
<td>Symbian</td>
<td>128–255</td>
<td>Not</td>
<td>44</td>
<td>8 192</td>
<td>0</td>
<td>1460</td>
<td>Not</td>
<td>0</td>
</tr>
<tr>
<td>Palm OS</td>
<td>128–255</td>
<td>Not</td>
<td>44</td>
<td>16 348</td>
<td>0</td>
<td>1350</td>
<td>Not</td>
<td>0</td>
</tr>
<tr>
<td>NetBSD</td>
<td>0–64</td>
<td>Set</td>
<td>64</td>
<td>32 768</td>
<td>0</td>
<td>1416</td>
<td>Set</td>
<td>5</td>
</tr>
<tr>
<td>Open BSD</td>
<td>0–64</td>
<td>Set</td>
<td>64</td>
<td>32 768</td>
<td>0</td>
<td>1440</td>
<td>Set</td>
<td>5</td>
</tr>
</tbody>
</table>

Table 3: Comparison of Win 7 and Win 8 features.

<table>
<thead>
<tr>
<th>OS</th>
<th>TTL</th>
<th>DF</th>
<th>Pkt size</th>
<th>Win size</th>
<th>MSS</th>
<th>NOPs</th>
<th>Win Scale</th>
<th>Sack</th>
</tr>
</thead>
<tbody>
<tr>
<td>Win 7 Home</td>
<td>128</td>
<td>Set</td>
<td>52</td>
<td>8192</td>
<td>1440,1460</td>
<td>3</td>
<td>8</td>
<td>Set</td>
</tr>
<tr>
<td>Win 7 others</td>
<td>64,128</td>
<td>Set</td>
<td>52</td>
<td>8192</td>
<td>1440,1460</td>
<td>3</td>
<td>2</td>
<td>Set</td>
</tr>
<tr>
<td>Win 8</td>
<td>128</td>
<td>Set</td>
<td>52</td>
<td>8192</td>
<td>1440,1450</td>
<td>3</td>
<td>2</td>
<td>Set</td>
</tr>
</tbody>
</table>

Table 2: Impact of the number of comparisons.

<table>
<thead>
<tr>
<th>Matches</th>
<th>W7</th>
<th>W8</th>
<th>XP</th>
<th>Linux</th>
<th>BSD</th>
<th>Unknown</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>10</td>
<td>46</td>
<td>46</td>
<td>43</td>
<td>17</td>
<td>9</td>
</tr>
<tr>
<td>6</td>
<td>7</td>
<td>42</td>
<td>7</td>
<td>43</td>
<td>17</td>
<td>0</td>
</tr>
<tr>
<td>7</td>
<td>4</td>
<td>16</td>
<td>4</td>
<td>43</td>
<td>14</td>
<td>43</td>
</tr>
<tr>
<td>Sample</td>
<td>30</td>
<td>21</td>
<td>9</td>
<td>43</td>
<td>17</td>
<td>4</td>
</tr>
</tbody>
</table>

features is, the higher number of false negatives occurs. It means that some OS remains unidentified if their features differ in one of eight comparisons. In Table 2 we can see the results of comparison when five, six, or seven features were required for the perfect match. The last row shows the real distribution of OSs in our sample. We can see that Window 7 features can be confused with Windows 8 features: in rows 1 and 2 the total count is almost the same but the ratio between these two OSs varies. If we require seven features to be matched, there is a lot of false negatives, mostly for Windows systems. Further analysis showed that differences between Windows 7 and Windows 8 are minimal, especially for Windows 7 Home Edition (32 bits) and Windows 8, see Table 3. This is the reason why classification using cluster analysis can give better results.

3.2 Classification

The classification algorithm is depicted in Figure 1. The algorithm starts with testing a packet type. If it is a SYN packet, the OS features are extracted one by one from the packet header and compared with the OS database. If there is a match, counter $cnt_i$ of each of the matched OSs is incremented. After all features are tested, the best match with the highest score of the counter is selected. If the highest counter score is lower than six, an OS is not identified.

In case of a HTTP packet, its header is examined if it contains GET or POST method. Then, an OS name is retrieved from User-Agent field. Further, a new OS signature is generated and added to the OS fingerprinting database to keep the database updated. The output of our classification tool is showed in Figure 2.

Despite the fact that our plugin uses simple OS signature database in comparison to $p0f$, we can see
that the results are quite similar, see Figure 3. This graph presents the results of OS classification using p0f and our tool plugin. The third column represents a reference dataset (Ref) with known OSs. The total number of classified flows was 100. As we can see, our plugin has a large number of unclassified flows (false negatives) due to the perfect feature matching that can be enhanced using clustering.

Nevertheless, our first results proved the hypothesis that even small number of signatures classes can help to identify OSs using the passive fingerprinting. In that case we loose more precise information about detected OSs. However, this is not crucial for on-line network monitoring.

### 3.3 IPFIX Monitoring

The main purpose of this research was to extend the current framework of IPFIX monitoring by information about the operating system. Our classifier is a part of IPFIX probe that collects information about flows (Claise, 2004). Flow records include (i) identification of the flow (source and destination IP address, source and destination port, ToS, protocol, and interface ID), and (ii) statistics about the flow: number of packets, bytes, starting and ending time of the flow, etc. Standard IPFIX supports dynamic structuring of flow records using templates where additional data can be attached.

In our case, we extend IPFIX records by a set specific features extracted from IP or TCP headers, and the name of an identified OS. After a flow expires in the probe cache, an IPFIX record is sent to an IPFIX collector. The collector stores incoming data in its monitoring database. These data can be automatically or manually processed and visualized. A simple example of extended IPFIX records processed by fbitdump (Velan, 2012) is shown in Figure 4.

### 4 FUTURE WORK

The main drawbacks of OS passive fingerprinting are (i) a need of up-to-date signature database and (ii) the number of false negatives caused by classification based on perfect matching. The first drawback can be minimized using automatic generation of signatures from HTTP headers. The second drawback can be solved using advanced classification methods. Methods based on training neural networks can give good results, however they need to be trained repeatedly for every new OS. From this point of view, an application of the cluster analysis seems to be more promising.

#### 4.1 Data Clustering Using K-means

The cluster analysis is a technique of classifying data into classes called clusters based on unsupervised learning. Unlike machine learning that uses supervised classification based on given training data, clustering uses unlabeled data and tries to classify them to clusters based on similarities (Duda et al., 2001). There can be also a hybrid approach called semi-supervised learning that uses only a small portion of training data for cluster definition (Chapelle et al., 2006). The goal of data clustering is to discover the natural grouping of a set of objects. An operation of clustering can be described as follows: Given a representation of \( n \) objects, find \( K \) groups based on a measure of similarity such that the similarities between objects in the same group are high while the similarities between objects in different groups are low (Jain, 2010).

In our work, we decided to explore an application of non-hierarchical clustering using K-means method to the OS identification. K-means algorithm finds a partition on a set of \( n \) \( d \)-dimensional objects such that the squared error between the empirical mean of a cluster and objects in the cluster is minimized. Let \( X = \{ x_1, x_2, \ldots, x_n \} \) be a set of \( d \)-dimensional objects that needs to be clustered into set \( C = \{ c_1, c_2, \ldots, c_k \} \) of \( K \) clusters where \( \mu_c \) is a mean of cluster \( c_o \). Then the squared error between \( \mu_o \) and the points of clus-
ter $c_a$ is $E(c_a) = \sum_{x_i \in c_a} \|x_i - \mu_a\|^2$ (Jain, 2010). The method requires three parameters for its operation: a number of clusters, a set of initial cluster centers (centroids), and metrics.

In OS identification, the number of clusters and their centers can be extracted from the OS signature database. In our case, signatures from Table 1 can be used. As we see, the table contains the wide range of values for each feature. This would not work properly for euclidean metric because the square error of each dimension (feature) should have the same weight. In this case, features with the small range of values (Set/Unset bits, WinScale, NOPs) would be discriminated against Windows Size or Maximum Segment Size. So, before computing square error, normalization of feature values should be done so that they have the same weight despite the range of their values. Possibly, an additional weight coefficient could be added to some of the most distinguish features.

The OS identification using K-means method can be described as follows:

1. Initialize cluster centroids using OS signature database in Table 1, p0f database, or any other OS fingerprinting database.
2. For each incoming SYN packet:
   (a) Compute an square error for every cluster.
   (b) Find out the nearest centroid and select an OS.
   (c) Re-compute the mean value of the cluster.
   (d) Update the database.
3. If a HTTP packet is detected:
   (a) Extract a feature set from the packet.
   (b) Check is such OS cluster exists.
   (c) If the cluster exists, update the mean value of the cluster. Otherwise, create a new cluster.

4.2 Preliminary Results of K-means

Machine learning methods are sensitive to feature selection. As a part of preliminary work on application of machine learning algorithms to OS detection and classification, we have performed an experiment with feature selection for K-means algorithm in order to examine relevance of the proposed feature set used in the previously described fingerprinting method. The experiment consists of evaluating fitness of K-means computed clusters for an exhaustive collection of selected features. The best results are shown in Table 4. The evaluation is based on computation of overall fitness value. For each TCP flow, the operating system is determined using the fingerprinting method. The K-means algorithm computes clusters from these flows according to the selected features. An operating system is identified by finding the most frequent OS label among the flows in the cluster. Each cluster thus corresponds to one of the operating systems. We denote $p$ being the number of flows labeled with the same OS and $n$ being the number of flows with a different OS label, respectively. The fitness is then computed as follows: $fit = p/(p+n)$.

Table 4: Results of K-means clustering experiment.

<table>
<thead>
<tr>
<th>Feature Set</th>
<th>Fitness</th>
</tr>
</thead>
<tbody>
<tr>
<td>{TTL, SynLen, WinSize, NOPs}</td>
<td>92 %</td>
</tr>
<tr>
<td>{TTL, WinSize, NOPs, WinScale}</td>
<td>86 %</td>
</tr>
<tr>
<td>{TTL, WinSize, WinScale}</td>
<td>83 %</td>
</tr>
<tr>
<td>{TTL, SynLen, WinSize, WinScale}</td>
<td>83 %</td>
</tr>
<tr>
<td>{WinSize, NOPs, WinScale}</td>
<td>81 %</td>
</tr>
<tr>
<td>{TTL, SynLen, WinSize, NOPs, WinScale}</td>
<td>79 %</td>
</tr>
</tbody>
</table>

As can be seen from the table, the best results were obtained when using four features out of eight proposed for the fingerprinting. Certain combinations of three features give also remarkable results. Nevertheless, feature sets with more than five and less than three items provide poor results. Although these findings represent preliminary results they give a noteworthy hint on finding relevant features for the domain of OS classification.

Our future work will focus on further testing and improvement of our approach using clustering algorithms. It involves following research questions:

- How is this approach accurate in comparison with the first approach and p0f?
- Does this approach help to decrease the number of false negatives?
- What kind of features should be preferred in order to receive more precise results?
- How will clusters change in time? Do centroids converge to a stable value?
- Is this approach feasible for special device like routers, printers, etc.?
- What IPv6 features are more suitable for OS identification?

As a part of our future work is the creation of a reference dataset of current OSs under both IPv4 and IPv6.

5 CONCLUSION

The paper applies a method of passive fingerprinting on the area of IPFIX monitoring. This application requires the fast on-line processing of incoming packets
and flexible OS fingerprinting database with minimal intervention of a network administrator. Our first tests show that this approach is feasible. We also implemented cluster analysis using K-means algorithm in order to show that this technique can be successfully applied on the passive OS fingerprinting.

Our future work will focus on implementation of different cluster analysis algorithms and their evaluation on real data and comparison with existing passive approaches. In addition, we will apply this approach on IPv6 communication to show how to identify OSs using the passive fingerprinting from IPv6/TCP communication.

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